

Recertification Notice of Intent (NOI)

NPDES General Permit for Aggregate Facilities ARG500000

You must complete and certify this Recertification Notice of Intent (NOI) form and return it to the Department, with an updated disclosure statement, in order to continue permit coverage under the General Permit ARG500000. You must submit this form no later than January 31, 2016. Please keep a copy of this form for your records once completed and signed.

Permit Tracking Number: ARG500066 AFIN: 15-00525
 Permittee Name: Rogers Group, Inc.

If any changes need to be made to the information shown below, please update the new information in the corrections section below and/or attach documentation.

	Current Information in ADEQ's Database	Corrections, If Needed
Facility Physical Address:	Rogers Group, Inc.-Conway County Quarry	
	341 Highway 71	
	Springfield, AR 72157	
Facility Mailing Address:	421 Great Circle Road	
	Nashville, TN 37228	
Responsible Official:		
Responsible Official Email:		
Cognizant Official:	Van Medlock	
Cognizant Official Email:	van.medlock@rogersgroupinc.com	
Contact Person:	Justin Dees	
Phone Number:	479-751-6343	

1. Have you attached an updated disclosure statement? Yes or No
2. Is the invoice address the same as the mailing address above? Yes or No If "No" please provide invoice address _____

Outfall Currently Listed in ADEQ's Database*

Outfall Number 001 - Mine Pit Type	Latitude			Longitude		
	35°	14'	35"	-92°	39'	22"

* If a change to the above outfall is needed, please be sure to provide the outfall number, coordinates, and an explanation of the required changes.

Additional Comments: _____

"I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."

I certify that I have read and will comply with all the requirements of the NPDES General Permit ARG500000 for Aggregate Facilities.

Responsible Official Name: Van Medlock Responsible Official Title: Dir. of Env. Services
 Responsible Official Signature: [Signature] Date: 2/7/15

Return the NOI form to the address below or send it electronically to: water.permit.application@adeq.state.ar.us
 Water Division, General Permits Section
 Arkansas Department of Environmental Quality
 5301 Northshore Drive
 North Little Rock, AR 72118-5317

INSTRUCTIONS FOR DISCLOSURE STATEMENT

Arkansas Code Annotated Section 8-1-106 requires that all applicants for the issuance, or transfer of any permit, license, certification or operational authority issued by the Arkansas Department of Environmental Quality (ADEQ) file a disclosure statement with their applications. The filing of a disclosure statement is mandatory. No application can be considered complete without one.

Disclosure statement means a written statement by the applicant that contains:

- **The full name and business address of the applicant and all affiliated persons;**
- **The full name and business address of any legal entity in which the applicant holds a debt or equity interest of at least five percent (5%) or that is a parent company or subsidiary of the applicant, and a description of the ongoing organizational relationships as they may impact operations within the state;**
- **A description of the experience and credentials of the applicant, including any past or present permits, licenses, certifications, or operational authorizations relating to environmental regulation;**
- **A listing and explanation of any civil or criminal legal actions by government agencies involving environmental protection laws or regulations against the applicant and affiliated persons in the ten (10) years immediately preceding the filing of the application, including administrative enforcement actions resulting in the imposition of sanctions, permit or license revocations or denials issued by any state or federal authority, actions that have resulted in a finding or a settlement of a violation, and actions that are pending;**
- **A listing of any federal environmental agency and any other environmental agency outside this state that has or has had regulatory responsibility over the applicant; and**
- **Any other information the Director of the Arkansas Department of Environmental Quality may require that relates to the competency, reliability, or responsibility of the applicant and affiliated persons.**

Exemptions:

The following persons or entities are not required to file a disclosure statement:

- **Governmental entities, consisting only of subdivisions or agencies of the federal government, agencies of the state government, counties, municipalities, or duly authorized regional solid waste authorities as defined by § 8-6-702. (This exemption shall not extend to improvement districts or any other subdivision of government which is not specifically instituted by an act of the General Assembly.)**
- **Applicants for a general permit to be issued by the department pursuant to its authority to implement the National Pollutant Discharge Elimination System for storm water discharge.**
- **If the applicant is a publicly held company required to file periodic reports under the Securities and Exchange Act of 1934 or a wholly owned subsidiary of a publicly held company, the applicant shall not be required to submit a disclosure statement, but shall submit the most recent annual and quarterly reports required by the Securities and Exchange Commission which provide information regarding legal proceedings in which the applicant has been involved. The applicant shall submit such other information as the director may require that relates to the competency, reliability, or responsibility of the applicant and affiliated persons.**

Exemptions continued:

The following permits, licenses, certifications, and operational authorizations are also exempt from submitting a disclosure statement:

- **Hazardous Waste Treatment, Storage, and Disposal Permit Modifications (Class 1, 2, and 3), as defined in Arkansas Pollution Control and Ecology Commission (APC&EC) Regulation 23;**
- **Phase 1 Consultants, as defined in APC&EC Regulation 32;**
- **Certifications for Operators of Commercial Hazardous Waste Facilities, as defined in APC&EC Regulation 23 § 264.16(f);**
- **Regulated Storage Tank Contractor or Individual License Renewals as defined in APC&EC Regulation 12;**
- **Certifications for Persons Operating and Maintaining Underground Storage Tank Systems which Contain Regulated Substances, as defined in APC&EC Regulation 12.701, *et. seq.*;**
- **Individual Homeowners seeking coverage under General Permit ARG5500000;**
- **Wastewater Operator Licenses, as defined in APC&EC Regulation 3;**
- **Water Permit Modifications for permits issued under the authority of the Arkansas Water and Air Pollution Control Act (Ark. Code Ann. §8-4-101, *et. seq.*);**
- **Solid Waste Permit Modifications for permits issued under APC&EC Regulation 22;**
- **Solid Waste Landfill Operator License Renewals, as defined in Regulation No. 27;**
- **Air Permit Modifications for permits issued under APC&EC Regulations 18, 19, and 26; and**
- **Asbestos Certification Renewals, as defined in Regulation 21.**

Deliberate falsification or omission of relevant information from disclosure statements shall be grounds for civil or criminal enforcement action or administrative denial of a permit, license, certification, or operational authorization.

ARKANSAS DEPARTMENT OF ENVIRONMENTAL QUALITY DISCLOSURE STATEMENT

Instructions for the Completion of this Document:

- A. Individuals, firms or other legal entities with no changes to an ADEQ Disclosure Statement, complete items 1 through 5 and 18.
- B. Individuals who never submitted an ADEQ Disclosure Statement, complete items 1 through 4, 6, 7, and 16 through 18.
- C. Firms or other legal entities who never submitted an ADEQ Disclosure Statement, complete 1 through 4, and 6 through 18.

If Not Submitting by ePortal, Mail Original to:

**ADEQ
DISCLOSURE STATEMENT**
[List Proper Division(s)]
5301 Northshore Drive
North Little Rock, AR 72118-5317

1. APPLICANT: (Full Name)

Rogers Group, Inc.

2. MAILING ADDRESS (Number and Street, P.O.Box Or Rural Route) :

421 Great Circle Road

3. CITY, STATE, AND ZIPCODE:

Nashville, TN 37228

4a. Applicant Type:

Individual Corporate or Other Entity

4b. Reason for Submission:

Permit License Certification Operational Authority

New Application Modification Renewal Application (If no changes from previous disclosure statement, complete number 5 and 18.)

4c. Division:

Air Water Hazardous Waste Regulated Storage Tank Mining Solid Waste

5. Declaration of No Changes:

The violation history, experience and credentials, involvement in current or pending environmental lawsuits, civil and criminal, have not changed since the last Disclosure Statement that was filed with ADEQ on _____

6. Describe the experience and credentials of the Applicant, including the receipt of any past or present permits, licenses, certifications or operational authorization relating to environmental regulation. (Attach additional pages, if necessary.)

Rogers Group, Inc. conducts business in nine states. It operates and maintains all applicable permits, licenses, certifications or operational authorization relating to environmental regulation at the following quarries and asphalt plants in the State of Arkansas:

Beebe Quarry
Beryl Quarry
Cabot Quarry
Cabot Asphalt
Centerton Quarry
Cleveland Quarry
Conway City Resale Yard
Conway County Quarry
Conway County Asphalt
Greenbrier Quarry
Greenbrier Asphalt
Toadsuck Quarry

In addition, Rogers Group, Inc., as managing member of Hunt-Rogers Materials, LLC, manages the following quarries:

Farmington Quarry #1 & #2
Lowell Quarry
Springdale Quarry

7. List and explain all civil or criminal legal actions by government agencies involving environmental protection laws or regulations against the Applicant * in the last ten (10) years including:

1. Administrative enforcement actions resulting in the imposition of sanctions;
2. Permit or license revocations or denials issued by any state or federal authority;
3. Actions that have resulted in a finding or a settlement of a violation; and
4. Pending actions.

(Attach additional pages, if necessary.)

2009 - A CAO was issued to Rogers Group for failing to complete a stack test at Cabot Asphalt within the stipulated time frame. The stack test was completed, submitted to ADEQ and accepted.

2010 - A NOV was issued to Rogers Group for not properly installing a V-notch weir to allow for flows to be estimated at Cabot Quarry. The weir was sent back to the fabricators and corrected to obtain more accurate estimates.

Rogers experienced a near miss at the intersection near the asphalt plant at Cabot Quarry. To prevent an accident from occurring there, RGI cleared the area on the permitted property to improve site distances in the area. During a water audit an old valve was found that drained the site pond. While the valve was closed this was considered a bypass. RGI capped the line and permanently removed from service.

2013 - A NOV was issued to Rogers Group - Beebe Quarry for staining on the ground related to an overturned drum and berm maintenance. Rogers remediated the site to ADEQ's satisfaction.

2014 - A NOV was issued to Rogers Group - Beebe Quarry for encroaching on the 50' buffer zone by the mining section. Area was remediated and closed out with ADEQ.

* Firms or other legal entities shall also include this information for all persons and legal entities identified in sections 8-16 of this Disclosure Statement.

8. List all officers of the Applicant. (Add additional pages, if necessary.)

NAME: See Attachment 1 TITLE: _____
STREET: _____
CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____
STREET: _____
CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____
STREET: _____
CITY, STATE, ZIP: _____

9. List all directors of the Applicant. (Add additional pages, if necessary.)

NAME: See Attachment 2 TITLE: _____
STREET: _____
CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____
STREET: _____
CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____
STREET: _____
CITY, STATE, ZIP: _____

10. List all partners of the Applicant. (Add additional pages, if necessary.)

NAME: N/A - RGI is a corporation TITLE: _____
STREET: _____
CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____
STREET: _____
CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____
STREET: _____
CITY, STATE, ZIP: _____

11. List all persons employed by the Applicant in a supervisory capacity or with authority over operations of the facility subject to this application.

NAME: Tim Gorman TITLE: Vice Pres., Central Arkansas operations
STREET: 1223 Front Street
CITY, STATE, ZIP: Conway, AR 72032

NAME: Scott Strickland TITLE: Area Manager
STREET: 1223 Front Street
CITY, STATE, ZIP: Conway, AR 72032

NAME: Darin M. Matson TITLE: Exec. VP, Chief Operating Officer
STREET: 421 Great Circle Road
CITY, STATE, ZIP: Nashville, TN 37228

See Attachment 3 also

12. List all persons or legal entities, who own or control more than five percent (5%) of the Applicant's debt or equity.

NAME: See Attachment 4 TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

13. List all legal entities, in which the Applicant holds a debt or equity interest of more than five percent (5%).

NAME: See Attachment 5 TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: _____ TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

14. List any parent company of the Applicant. Describe the parent company's ongoing organizational relationship with the Applicant.

NAME: N/A

STREET: _____

CITY, STATE, ZIP: _____

Organizational Relationship:

15. List any subsidiary of the Applicant. Describe the subsidiary's ongoing organizational relationship with the Applicant.

NAME: See Attachment 5

STREET: _____

CITY, STATE, ZIP: _____

Organizational Relationship:

16. List any person who is not now in compliance or has a history of noncompliance with the environmental laws or regulations of this state or any other jurisdiction and who through relationship by blood or marriage or through any other relationship could be reasonably expected to significantly influence the Applicant in a manner which could adversely affect the environment.

NAME: N/A TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

NAME: N/A TITLE: _____

STREET: _____

CITY, STATE, ZIP: _____

17. List all federal environmental agencies and any other environmental agencies outside this state that have or have had regulatory responsibility over the Applicant.

Environmental Protection Agency Regions IV, V and VI

- State of Alabama
- State of Indiana
- Commonwealth of Kentucky
- State of North Carolina
- State of Ohio
- State of South Carolina
- State of Tennessee
- Commonwealth of Virginia


18. VERIFICATION AND ACKNOWLEDGEMENT

The Applicant agrees to provide any other information the director of the Arkansas Department of Environmental Quality may require at any time to comply with the provisions of the Disclosure Law and any regulations promulgated thereto. The Applicant further agrees to provide the Arkansas Department of Environmental Quality with any changes, modifications, deletions, additions or amendments to any part of this Disclosure Statement as they occur by filing an amended Disclosure Statement.

DELIBERATE FALSIFICATION OR OMISSION OF RELEVANT INFORMATION FROM DISCLOSURE STATEMENTS SHALL BE GROUNDS FOR CIVIL OR CRIMINAL ENFORCEMENT ACTION OR ADMINISTRATIVE DENIAL OF A PERMIT, LICENSE, CERTIFICATION OR OPERATIONAL AUTHORIZATION.

COMPLETE THIS SECTION ONLY IF SUBMITTING OTHER THAN BY EPORTAL:

I, Van Medlock, certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violation.

APPLICANT SIGNATURE: 

TITLE: Director of Environmental Services, Rogers Group, Inc.

DATE: 9/3/2015

**Arkansas Department of Environmental Quality Disclosure Statement
Submitted on behalf of Rogers Group, Inc.**

Attachment 1 – Officers

President and Chief Executive Officer	Gerard V. Geraghty
Executive Vice President and Chief Operating Officer	Darin M. Matson
Chief Financial Officer and Corporate Controller	James A. Patton, Jr.
Vice President Finance and Treasurer	Joseph A. Whitehouse
Vice President - Business Development and Information Systems	Stephen P. Masie
Vice President, General Counsel and Secretary	Daniel C. Rose
Vice President, Human Resources and Safety	Kye W. Hudson
Division Vice President, Eastern Division	Mark A. Stephens
Division Vice President, South Central Division	Randy A. Butler
Division Vice President, Mid-Central Division	Richard N. Turner
Vice President, Strategic Market Area Manager, Indiana	Andrew W. Williams
Vice President, Strategic Market Area Manager, Central Kentucky and Arch Materials	Leslie A. Gerald, Jr.
Vice President, Strategic Market Area Manager, North Carolina, South Carolina and Virginia	David W. Rechter
Vice President, Strategic Market Area Manager, Western KY	Laura R. Harper
Vice President, Strategic Market Area Manager, Arkansas	Timothy S. Gorman
Vice President, Strategic Market Area Manager, Eastern Middle Tennessee	Rodney B. Gamble
Vice President, Division Aggregate Operations, So. Central Division	Derek M. Roberts
Vice President, Sales, Marketing and Quality	Granville G. Grant
Vice President, Aggregate Operations, Central KY & Arch Materials	Daniel R. Goethel
Director Risk Management and Credit	Thomas J. Oczkowicz
Director, Internal Audit	Larry D. Cox
Assistant Secretary	Wendy W. Pine
Assistant Secretary	Andrew N. Effinger
Eastern Division Controller	Joshua D. DePriest
Mid-Central Division Controller	Samuel David Neal
South Central Division Controller	Stephen M. Jackson

**Arkansas Department of Environmental Quality Disclosure Statement
Submitted on behalf of Rogers Group, Inc.**

Attachment 2 - Directors

Ben L. Rechter, Chairman	3200 West End Avenue, Suite 500, Nashville, TN 37203
Gerard V. Geraghty	Post Office Box 25250, Nashville, TN 37202-5250
James S. Beard	Post Office Box 25250, Nashville, TN 37202-5250
Jeffrey S. Campbell	600 Third Ave., Fourth Floor, New York, NY 10016
M. Kay Grenz	Post Office Box 25250, Nashville, TN 37202-5250
William F. Hagerty	54 Music Square East, Suite 250, Nashville, TN 37203
Laura R. Harper	Post Office Box 154, Hopkinsville, KY 42240
Darin M. Matson	Post Office Box 25250, Nashville, TN 37202-5250
David W. Rechter	601 Maryville Pike, Knoxville, TN 37920-3936
Raymond C. Richelsen	27921 N. Agua Verde Drive, Tonto Verde, AZ 85263

Arkansas Department of Environmental Quality Disclosure Statement

Submitted on behalf of Rogers Group, Inc.

Attachment 3 - Supervisors

Name: Van Medlock Title: Director of Environmental Services

Street: P. O. Box 25250

City, State, Zip: Nashville, TN 37202-5250

Arkansas Department of Environmental Quality Disclosure Statement

Submitted on behalf of Rogers Group, Inc.

Attachment 4 – Greater than 5% ownership of RGI

Name: RBS Family Partners, LLC **Title:** Shareholder

Street: 525 S. Landmark Avenue

City, State, Zip: Bloomington, IN 47403

Name: SRB Family Partners, LLC **Title:** Shareholder

Street: 950 Breckenridge Lane, Suite 150

City, State, Zip: Louisville, KY 40207

Name: 1995 Bonnie Jo Rechter Receptacle Trust **Title:** Shareholder

Street: 525 S. Landmark Avenue

City, State, Zip: Bloomington, IN 47403

Name: 1995 Mary Joan Rechter Receptacle Trust **Title:** Shareholder

Street: 525 S. Landmark Avenue

City, State, Zip: Bloomington, IN 47403

Arkansas Department of Environmental Quality Disclosure Statement

Submitted on behalf of Rogers Group, Inc.

Attachment 5 - Greater than 5% ownership by RGI

Name	Address	Affiliated Firm's Relationship to Applicant
SES Trucking, Incorporated	P.O. Box 25250, Nashville, TN 37202-5250	Subsidiary
Mid-South Pavers, LLC	P.O. Box 25250, Nashville, TN 37202-5250	Subsidiary
REOSTONE, LLC	P.O. Box 25250, Nashville, TN 37202-5250	Subsidiary
Rogers Block, LLC	P.O. Box 25250, Nashville, TN 37202-5250	Subsidiary
Rogers Block Trucking, LLC	P.O. Box 25250, Nashville, TN 37202-5250	Subsidiary
Turner & Co., Inc.	P.O. Box 25250, Nashville, TN 37202-5250	Subsidiary

Name	Address	Affiliated Firm's Relationship to Applicant
Hunt-Rogers Materials, LLC	P.O. Box 25250, Nashville, TN 37202-5250	Affiliate
Hunt-Rogers Properties, LLC	P.O. Box 25250, Nashville, TN 37202-5250	Affiliate
Arch Materials, LLC	P.O. Box 25250, Nashville, TN 37202-5250	Affiliate