



**DIVISION OF
ENVIRONMENTAL QUALITY**

Sarah Huckabee Sanders
GOVERNOR

Shane E. Khoury
SECRETARY

March 25, 2024

Via email to: Steve.Mahanes@ahfproducts.com
Ricardo.E.Rodriguez@ahfproducts.com & First Class Mail

Steve Mahanes
Acting Plant Manager
AHF, LLC d/b/a AHF Products
PO Box 950
Warren, AR 71671

Re: Notice of Final Permitting Decision; Permit No. 0427-AOP-R14

Dear Mr. Mahanes,

After considering the application and other applicable materials as required by APC&EC Rule 8.211 and Ark. Code Ann. § 8-4-101 *et seq.*, this notice of final permitting decision is provided for:

AHF, LLC d/b/a AHF Products
688 Hwy 278 Bypass
Warren, AR 71671

Permit Number: 0427-AOP-R14

Permitting Decision: approval with permit conditions as set forth in final Permit No. 0427-AOP-R14

Accessing the Permitting Decision:
<https://www.adeq.state.ar.us/downloads/WebDatabases/PermitsOnline/Air/0427-AOP-R14.pdf>.

Accessing the Statement of Basis:
<https://www.adeq.state.ar.us/downloads/WebDatabases/PermitsOnline/Air/0427-AOP-R14-SOB.pdf>.

Rule 26.903 of the Rules of the Arkansas Operating Air Permit Program do not require a public notice or public comment period for Administrative Amendments.

Sincerely,

A handwritten signature in cursive script, appearing to read "Demetria Kimbrough".

Demetria Kimbrough
Associate Director, Office of Air Quality, Division of Environmental Quality
5301 Northshore Drive, North Little Rock, AR 72118-5317

Enclosure: Certificate of Service

CERTIFICATE OF SERVICE

I, Natasha Oates, hereby certify that the final permit decision notice has been mailed by first class mail to AHF, LLC d/b/a AHF Products, PO Box 950, Warren, AR, 71671, on this 25th day of March, 2024.

Natasha Oates

Natasha Oates, AA, Office of Air Quality



DIVISION OF ENVIRONMENTAL QUALITY

OPERATING AIR PERMIT

PERMIT NUMBER: 0427-AOP-R14

IS ISSUED TO:

AHF, LLC d/b/a AHF Products
688 Hwy 278 Bypass
Warren, AR 71671
Bradley County
AFIN: 06-00014

PURSUANT TO THE RULES OF THE ARKANSAS OPERATING AIR PERMIT PROGRAM, RULE 26: THIS PERMIT AUTHORIZES THE ABOVE REFERENCED PERMITTEE TO INSTALL, OPERATE, AND MAINTAIN THE EQUIPMENT AND EMISSION UNITS DESCRIBED IN THE PERMIT APPLICATION AND ON THE FOLLOWING PAGES. THIS PERMIT IS VALID BETWEEN:

March 25, 2024 AND March 24, 2029

THE PERMITTEE IS SUBJECT TO ALL LIMITS AND CONDITIONS CONTAINED HEREIN.

Signed:

A handwritten signature in black ink, appearing to read "Demetria Kimbrough", is written over a horizontal line.

Demetria Kimbrough
Associate Director, Office of Air Quality
Division of Environmental Quality

March 25, 2024

Date

AHF, LLC d/b/a AHF Products
Permit #: 0427-AOP-R14
AFIN: 06-00014

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List of Acronyms and Abbreviations

Ark. Code Ann.	Arkansas Code Annotated
AFIN	Arkansas DEQ Facility Identification Number
C.F.R.	Code of Federal Regulations
CO	Carbon Monoxide
COMS	Continuous Opacity Monitoring System
HAP	Hazardous Air Pollutant
Hp	Horsepower
lb/hr	Pound Per Hour
NESHAP	National Emission Standards (for) Hazardous Air Pollutants
MVAC	Motor Vehicle Air Conditioner
No.	Number
NO _x	Nitrogen Oxide
NSPS	New Source Performance Standards
PM	Particulate Matter
PM ₁₀	Particulate Matter Equal To Or Smaller Than Ten Microns
PM _{2.5}	Particulate Matter Equal To Or Smaller Than 2.5 Microns
SNAP	Significant New Alternatives Program (SNAP)
SO ₂	Sulfur Dioxide
SSM	Startup, Shutdown, and Malfunction Plan
Tpy	Tons Per Year
UTM	Universal Transverse Mercator
VOC	Volatile Organic Compound

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SECTION I: FACILITY INFORMATION

PERMITTEE: AHF, LLC d/b/a AHF Products

AFIN: 06-00014

PERMIT NUMBER: 0427-AOP-R14

FACILITY ADDRESS: 688 Hwy 278 Bypass
Warren, AR 71671

MAILING ADDRESS: P.O. Box 950
Warren, AR 71671

COUNTY: Bradley County

CONTACT NAME: Steve Mahanes

CONTACT POSITION: Operations Manager/Acting Plant Manager

TELEPHONE NUMBER: (870) 229-0654

REVIEWING ENGINEER: Sterling Powers

UTM North South (Y): Zone 15: 3716849.85 m

UTM East West (X): Zone 15: 585525.97 m

SECTION II: INTRODUCTION

Summary of Permit Activity

AHF, LLC d/b/a AHF Products, formerly Armstrong Flooring, Inc., formerly Robbins Hardwood Flooring, Inc., is located at 688 Highway 278 Bypass, Warren, Arkansas. The facility manufactures finished hardwood flooring. This permit renewal will add various units to the Insignificant Activities List, including diesel tanks, hydraulic fluid tanks, a chemical foam system, a stick planer, and welding vents; and add a CAM Plan for one of the wood-fired boilers (SN-13). Some HAPs from the sources were reorganized in format and combined into Total HAPs. Permitted emissions are unchanged.

Process Description

Rough lumber is brought to the site and air-dried for approximately 90 days during summer months and up to 150 days during winter months. The lumber is then dried in one of twenty-one (21) kilns (SN-14 through SN-29 and SN-46 through SN-50). The length of time in the kilns depends on the moisture content of the lumber, but typically takes about 8 days. The steam used to dry the lumber in the drying kilns is provided by one of two (2) boilers (SN-13 and SN-41), which are fired by wood waste generated at the site.

Lumber from the drying kilns is taken to the milling section of the facility where it is ripped to 2-9/16" and 3-9/16" widths. Rough cut boards are run through knotsaws, sidematcher, and endmatcher milling machines. Sawdust emissions from the milling section are picked up by suction fans and routed to the dust collection system (SN-37). Wood waste is routed to one of two (2) hammer mills (hogs) (SN-38).

Wood from the milling section is sent to the Finishing Line (SN-01 through SN-12) or to a packaging area. The flooring banded in pallets is sent to the front of the Finishing Line. At the Finishing Line, the flooring goes through a number of processes: sanding, stain roll coating (SN-01); high mass oven (SN-02); UV reactor (SN-03); first coat sealer (SN-04); UV oven (SN-05); second coat sealer (SN-06); UV reactor with third coat sealer (SN-07); UV oven (SN-08); first coat top coat (SN-09); UV oven (SN-10); second & third coat top coat (SN-11); and final cure oven (SN-12, two stacks). The Finishing Line applies stains, sealers, and topcoats that contain solvents which result in VOC emissions and small quantities of HAP emissions. Total VOC emissions from the Finishing Line (SN-01 through SN-12) are limited to 189.9 tons per year.

The material handling operations at the facility consist of two operations: the milling process (SN-37) and the hammer mills (SN-38). Waste wood generated at the milling section and the Finishing Line (SN-01 through SN-12) is conveyed to the two hogs (SN-38). The smaller hog located on the east side of the facility receives waste from the milling section only. Dust from both units is collected by two cyclones (each hog has its own cyclone) and the cyclones' exhausts are controlled by the plant's three baghouses. From the cyclones, the dust is combined with the baghouse dust and routed to a third cyclone that feeds the truck loading bin (SN-43). The sawdust in the truck bin is loaded into trucks and shipped offsite. The facility has installed a

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dust collection system inside the truck loading station to minimize particulate matter emissions during loading operations.

The dust collection system (SN-37) consists of three baghouses and five cyclones (including the two hog cyclones). Particulate matter collected from the milling section and the finishing line is exhausted to the baghouses. The clean air from the baghouses is then exhausted to the atmosphere. Dust from the baghouses is pneumatically conveyed to either the truck bin cyclone or the cyclone feeding the two boiler silos. Wood sent to the truck bin is trucked offsite. Wood sent to the boiler silos is blown to the boiler cyclone and then combusted in the boilers (SN-13 and SN-41). Prior to entering the silos, dirty air is sent through a cyclone. Clean air from all cyclones is routed back to the baghouses.

Wood waste from the dust collection silos is used to feed the boilers. Particulate emissions from boiler SN-13 are controlled by a mechanical collector. Particulate emissions from boiler SN-41 are controlled with an electrostatic precipitator (ESP). The boilers have rated heat inputs of 28.08 MMBtu/hr (SN-13) and 53.5 MMBtu/hr (SN-41) and both are subject to federal NSPS Subpart Dc standards and to the Boiler NESHAP (40 CFR Part 63, Subpart JJJJJ). Both boilers have undergone performance testing for PM, CO, and NOx emissions.

Rules and Regulations

The following table contains the rules and regulations applicable to this permit.

Regulations
Arkansas Air Pollution Control Code, Rule 18, effective March 14, 2016
Rules of the Arkansas Plan of Implementation for Air Pollution Control, Rule 19, effective May 6, 2022
Rules of the Arkansas Operating Air Permit Program, Rule 26, effective March 14, 2016
40 C.F.R. Part 60, Subpart Dc— <i>Standards of Performance for Small Industrial-Commercial-Institutional Steam Generating Units</i>
40 C.F.R. Part 63, Subpart JJJJJ — <i>National Emission Standards for Hazardous Air Pollutants for Industrial, Commercial, and Institutional Boilers Area Sources</i>
40 C.F.R. Part 63, Subpart ZZZZ— <i>National Emissions Standards for Hazardous Air Pollutants for Stationary Reciprocating Internal Combustion Engines</i>
40 C.F.R. Part 60, Subpart JJJJ— <i>Standards of Performance for Stationary Spark Ignition Internal Combustion Engines</i>

Emission Summary

The following table is a summary of emissions from the facility. This table, in itself, is not an enforceable condition of the permit.

EMISSION SUMMARY				
Source Number	Description	Pollutant	Emission Rates	
			lb/hr	tpy
Total Allowable Emissions		PM	35.4	135.9
		PM ₁₀	35.4	135.9
		SO ₂	3.0	9.3
		VOC	146.6	243.2
		CO	27.8	102.4
		NO _x	52.8	178.4
		Lead	0.02	0.03
		Chromium (II)	1.61	*
		Cobalt	0.27	*
		Manganese	0.26	*
		Hydrochloric Acid	1.56	*
		Acrolein	0.35	*
		Total HAPs ^A	*	23.75*
SN-01 – SN-12	Finishing Lines	VOC	133.0	189.9
		Chromium (II)	1.61	*
		Cobalt	0.27	*
		Manganese	0.12	*
		Total HAPs	*	23.75*
SN-13	Wood-fired Boiler (28.08 MMBTU/hr)	PM	19.8	86.8
		PM ₁₀	19.8	86.8
		SO ₂	0.7	3.1
		VOC	1.1	4.8
		CO	9.3	40.7
		NO _x	13.8	60.3
		Lead	0.01	0.01
		Hydrochloric Acid	0.54	*
		Manganese	0.05	*
		Acrolein	0.12	*
		Total HAPs	*	23.75*

EMISSION SUMMARY				
Source Number	Description	Pollutant	Emission Rates	
			lb/hr	tpy
SN-14 – SN-29 & SN-46 –SN-50	Hardwood Lumber Drying Kilns	PM	1.9	8.1
		PM ₁₀	1.9	8.1
		VOC	8.9	39.0
SN-37/38	Wood Processing	PM	6.3	27.6
		PM ₁₀	6.3	27.6
SN-41	Wood-fired Boiler (53.5 MMBTU/hr)	PM	1.9	8.3
		PM ₁₀	1.9	8.3
		SO ₂	1.4	5.9
		VOC	2.1	9.1
		CO	13.8	60.5
		NO _x	26.2	114.8
		Lead	0.01	0.02
		Hydrochloric Acid	1.02	*
		Manganese	0.09	*
		Acrolein	0.23	*
	Total HAPs	*	23.75*	
SN-43	Truck Loadout Station	PM	4.5	4.8
		PM ₁₀	4.5	4.8
SN-44	Diesel-Fired Fire Pump (345 hp)	PM	0.9	0.2
		PM ₁₀	0.9	0.2
		SO ₂	0.8	0.2
		VOC	1.0	0.3
		CO	2.6	0.7
	NO _x	11.8	3.0	
SN-51	Natural Gas-Fired Emergency Generator (231 hp)	PM	0.1	0.1
		PM ₁₀	0.1	0.1
		SO ₂	0.1	0.1
		VOC	0.5	0.1
		CO	2.1	0.5
		NO _x	1.0	0.3
	Total HAPs	*	23.75*	

^A HAPs included in the VOC totals. Other HAPs are not included in any other totals unless specifically stated. HAPS with < 1 mg/m³ TLV combined into Total HAPs.

*Subject to a Plantwide Limit of 9.5 tpy of a single HAP/NCAC and 23.75 tpy of combined HAPs for SN-1 through SN-12, SN-13, SN-41, SN-44 and SN-51

SECTION III: PERMIT HISTORY

- 427-A Issued to Branwood Corporation in September, 1977. The permit authorized the construction and operation of a new facility to produce unfinished wood furniture parts. The permit included nine drying kilns and two Wicks package boilers burning wood waste. Wood particulate emissions from manufacturing process were controlled by two fabric filters. Emissions from boilers and kilns were not quantified.
- 427-AR-1 Issued to Robbins, Inc. in November, 1988. The permit reflected the acquisition of the facility by Robbins, Inc. Visible emissions from boilers were limited to 20% opacity. No other emission limits were included in the permit.
- 427-AR-2 Issued in July, 1989. The permit modification allowed installation and operation of a finishing line. Only VOC emissions from the finishing line were quantified in the permit. Individual sources were combined into two groups.
- 427-AR-3 Issued in June, 1992. The permit modification allowed an increase in VOC emissions from the finishing line. The permit contains estimations of VOC emissions from each individual source. The operation of the finishing line was limited to 8 hours per day, 5 days per week, and 2000 hours per year. Boilers and kilns were not included in the permit.
- 427-AR-3 Amended in June, 1997 to reflect the acquisition of the facility by Robbins Hardwood Flooring, Inc.
- 427-AOP-R0 Issued on July 31, 1998. This was the initial Title V permit for this facility. It allowed the construction of two (2) 28.08 MMBtu/hr wood waste fueled boilers, construction of twelve (12) drying kilns, removal of limit of hours of operation and increase of production rate at the finishing line, and permitting of the existing previously un-permitted sources. Carbon Monoxide emission rates listed in this permit exceeded the 250 tpy level of significance for PSD.
- 427-AOP-R1 Issued on September 12, 2000. First, this modification permitted the installation of a larger (53.5 MMBtu/hr) boiler (SN-41) in place of the 28.08 MMBtu/hr boiler (SN-36). The larger boiler lowered CO emissions so that the facility fell below the threshold for a major stationary source under PSD regulations. Second, the modification also added the chemicals used in the cleaning processes to the permit and emissions from the hammer mills. Finally, it allowed the installation of 16 new drying kilns (SN-15 through SN-26 and SN-39, SN-40, and SN-42) and an insignificant diesel storage tank.
- 427-AOP-R2 Issued on April 25, 2002. Facility installed an emission control system for the truck loadout station associated with the milling process. The system will consist of new piping and a new material handling fan to collect the dust.

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- 427-AOP-R3 Issued on November 10, 2003. The facility went through a renewal of their Title V air permit, which included the addition of CAM requirements for SN-37 (Milling Process baghouses) and SN-41 (wood-fired boiler). SN-36, a 28.08 MMBTU/hr wood-fired boiler, was removed from the permit. There were no changes to the method of operation associated with the renewal.
- 427-AOP-R4 Issued on June 24, 2004. This minor modification incorporated a change to the CAM requirements for the ESP which controls particulate from a wood-fired boiler, SN-41. Information obtained through the manufacturer recommended the change in monitored parameters of the ESP. There was no emission change associated with this modification. The facility also submitted a minor modification in order to increase the allowable unloading limit at SN-43 from 173 trucks per month to 350. There was a 2.4 ton/yr emission increase of particulate from this change.
- 427-AOP-R5 Issued on July 6, 2006. This minor modification limited the facility's total plantwide HAP emissions to 9.5 ton/yr single HAP and 23.75 tpy of total HAPs. By lowering plantwide totals of HAP emissions, the facility is not subject to NESHAP QQQQ – *National Emission Standards for Hazardous Air Pollutants for Surface Coating of Wood Building Products*. In addition to a plantwide HAP limit, HAPs from SN-13 and SN-41 were reviewed and several additional HAPs were added to the permit. There were no actual criteria pollutant changes but because of the HAP review, 0.03 tpy of Pb were added to the permit.
- 427-AOP-R6 Issued on December 17, 2008. This permit action was a renewal of permit 0427-AOP-R5. The facility proposed to install a conveying system which feeds general plant wood waste directly into the hog system (SN-38) in order to improve plant wood recycling; modify the Finishing line rail system and sander to improve efficiency; and install a baghouse at the Finishing Line (SN-01 to SN-12) to provide additional emissions control. These modifications did not result in an increase of VOC or HAP emissions.

Nine kilns (SN-30 through SN-35, SN-39, SN-40, and SN-42) were permanently retired. One small (11 gallon) solvent distillation unit, drums and containers for coating and cleanup solvent storage, one diesel-fired fire pump, and one 150-kW natural gas fired emergency generator were added to the insignificant activities list.

The facility proposed to revise the CO emission limit for the large wood-fired boiler (SN-41) based on recently revised CO emission test data; adjust PM emissions to include the PM condensable fraction, use at uniform safety factor of 30%, and to apply a ratio of 1.3 to the average stack test data.

The permitted emissions changes were: 29.2 tpy increase in PM/PM₁₀, 33.8 tpy increase in CO, and 0.1 tpy decrease in VOC.

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- 427-AOP-R7 Issued on March 26, 2009. This permit action added a green lumber planer to the insignificant activities list. The process description was updated and no other changes were made to the permit.
- 427-AOP-R8 Issued on February 11, 2010. This permit activity combined a minor modification and an administrative amendment and corrected some typographical errors in the previous permit. A third rip saw was added to SN-37, milling operations. The administrative amendment removed a permitted dust collector for SN-01 through SN-12, finishing operations, because the collector was never installed. Dust from the finishing line will be collected from the three dust collectors controlling dust from SN-37 and SN-38, as they were prior to the new, never installed, dust collector being permitted. The administrative request also corrects an error and changes the word “fourth” to “fifth” on page 6, paragraph 4 of the permit. Two other typographical errors were also corrected. Permit 0427-AOP-R7 lists PM and PM₁₀ emissions at 27.3 tpy each for bubbled sources SN-37/SN-38. The correct value is 27.6 tpy each. This revision also corrects an error which omits SN-14 from the list of 16 drying kilns. These activities reduced permitted emissions of PM and PM₁₀ by 8.2 tpy each.
- 427-AOP-R9 Issued on June 10, 2011. This permit activity combined a minor modification and an administrative amendment. Armstrong proposed to use a new UV cured finishing coating, PPG Primer R2061Z74, containing 0.03 lb/gallon Toluene and up to 0.5 lb./gallon Ethoxyethoxyethylacrylate (CAS #7328-17-8) in the finishing lines (SN-01 through SN-12). Per the manufacturer’s letter dated January 26, 2011, the Ethoxyethoxyethylacrylate, while a HAP, was treated as a non-volatile material in this application. Permitted emissions were unchanged with this minor modification. The administrative amendment corrected the valid dates for the permit. The active permit, R8, showed the valid dates as 1/31/2007 through 1/30/2012, which were incorrect. The correct dates were 12/17/2008 through 12/16/2013.
- 427-AOP-R10 Issued on December 3, 2013. This was the third Title V permit renewal for this facility. With this renewal, the facility made the following changes: moved the 150-kW Natural Gas Fired Emergency Generator from the insignificant activity list into a permitted source subject to 40 CFR Part 63, Subpart ZZZZ; changed the process in the material handling dust collection system (SN-37 and SN-38) and replaced an existing baghouse fan with a new similar unit (no increase in emissions); replaced UV curing ovens on the finishing line (SN-01 through SN-12); incorporate provisions of 40 CFR Part 63, Subpart JJJJJ for the two wood-fired boilers (SN-13 and SN-41). The facility’s permitted annual emissions increased by 23.2 tpy, 0.3 tpy, 0.4 tpy, 0.9 tpy, and 5.2 tpy for PM/PM₁₀, SO₂, VOC, CO, and NO_x respectively.
- 427-AOP-R11 Issued on July 16, 2014. With this minor modification, the facility installed five (5) new hardwood lumber drying kilns (SN-46 through SN-50). There was no

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increase in the annual hardwood lumber dried limit. As such, no increase in permitted emission was requested.

427-AOP-R12 Issued November 7, 2018. It was the fourth Title V renewal for this facility. The facility removed the 254 HP natural gas-fired emergency generator (SN-45) and replaced it with a new emergency generator (SN-51), which is subject to the provisions of 40 C.F.R. Part 60, subpart JJJJ. The permitted annual emissions increased by 0.3 tpy CO, and decreased by 1.9 tpy NO_x.

427-AOP-R13 Issued July 13, 2023. This modification adds metal HAP emissions (chromium, cobalt, and manganese) to the Finishing Line (SN-01 to 12), adding 1.01 lb/hr Total HAPs. Because the facility has a HAP combined annual limit, permitted annual emissions are unchanged.

SECTION IV: SPECIFIC CONDITIONS

SN-01 through SN-12
 Finishing Line

Source Description

The flooring, banded in pallets, is routed at the front of the Finishing Line. The Finishing Line consists of a number of sanding operations, spray application of wood stains and sealers, gas-fired oven drying, ultraviolet and infrared oven drying including stain roll coater (SN-01), high mass oven (SN-02), UV reactor (SN-03), sealer spray booth (SN-04), first coat sealer (SN-05), UV reactor (SN-06), second coat sealer (SN-07), IR oven and UV reactor (SN-08), first coat – top coat roll coater (SN-09), UV reactor (SN-10), 2nd and 3rd top coat roll coaters (SN-11), and IR oven & UV reactor (SN-12).

Particulate matter from the enclosed sanding machines within the Finishing Line is collected and routed to the older dust collection system. VOC emissions from Finishing Line units are combined and routed to the atmosphere. All VOC emissions from the Finishing Line are bubbled. The facility uses different chemicals at the Finishing Line which may contain toxic components or HAPs. HAPs emitted from the Finishing Line are also bubbled.

Specific Conditions

1. The permittee shall not exceed the emission rates set forth in the following table. The permittee shall demonstrate compliance with this condition by Specific Conditions #3 and #4. [Rule 19, §19.501 et seq., and 40 CFR Part 52, Subpart E]

SN	Description	Pollutant	lb/hr	tpy
01-12	Finishing Line	VOC	133.0	189.9

2. The permittee shall not exceed the emission rates set forth in the following table. The permittee shall demonstrate compliance with this condition by Specific Conditions #5. [Rule 18, §18.801, and A.C.A. §8-4-203 as referenced by A.C.A. §8-4-304 and §8-4-311]

SN	Description	Pollutant	lb/hr	tpy
01-12	Finishing Line	Chromium (II)	1.61	*
		Cobalt	0.27	
		Manganese	0.12	
		Total HAPs	*	

*Subject to facility wide HAP limit of 9.5 tpy Single HAP or 23.75 tpy total HAP.

3. The VOC content of all coating compounds used at the facility shall not exceed 7.0 lb/gal. [§19.705 of Rule 19 and A.C.A. §8-4-203 as referenced by §8-4-304 and §8-4-311]

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4. The permittee shall maintain monthly totals of VOC emissions, in tons, which demonstrate compliance with the limits of Specific Conditions #1 and #3. The VOC usage records shall indicate the lb VOC per gallon of each compound. These records shall be maintained on a monthly basis and updated by the fifteenth day of the month following the month to which the records pertain. These records and associated MSDS, a manufacturer's specification, or equivalent documentation data shall be kept on site, and shall be made available to Department personnel upon request. A 12-month rolling total and each individual month's data shall be submitted in accordance with General Provision 7. [Rule 18.1004 of Rule 18 and A.C.A. §8-4-203 as referenced by A.C.A. §8-4-304 and §8-4-311]
5. The permittee shall not exceed the following HAP content limits in any coating, solvent, or adhesive material used at the facility. Other HAPs with a TLV > 1 mg/m3 are limited by the 7.0 lb/gal limit in Specific Condition #3. To demonstrate compliance with this condition, MSDS, a manufacturer's specification, or equivalent documentation shall be maintained on site for all coatings and solvent materials used at the facility which proves the HAP content is at or below the permitted limit. This documentation will be made available to Department personnel upon request. [§18.1004 of Rule 18 and A.C.A. §8-4-203 as referenced by §8-4-304 and §8-4-311]

Hazardous Pollutant	Maximum Allowable Content (lb/gal)
Chromium	0.85
Cobalt	0.14
Manganese	0.06

6. The permittee shall maintain monthly totals of individual HAP emissions, in tons, to demonstrate compliance with Specific Condition #2. These records shall be maintained on a monthly basis and updated by the fifteenth day of the month following the month to which the records pertain. These records shall be kept on site, and shall be made available to Department personnel upon request. A 12-month rolling total and each individual month's data shall be submitted in accordance with General Provision 7. [Rule No. §18.1004 of Rule 18 and A.C.A. §8-4-203 as referenced by A.C.A. §8-4-304 and §8-4-311]

SN-13 and SN-41
 Wood Fired Boilers

Source Description

Steam generated by two boilers is used in the drying kilns. The boilers are subject to the provisions of 40 CFR 60, Subpart Dc – Standards of Performance for Small Industrial-Commercial-Institutional Steam Generating Units.

SN-13--The boiler has a maximum design heat input capacity of 28.08 MMBtu/hr. It is a wood waste fired boiler with a stoker firing configuration. The boiler was constructed in 1997. Particulate emissions from the boiler are controlled by a cyclone with fly-ash re-injection.

SN-41--The boiler has a maximum design heat input capacity of 53.5 MMBtu/hr. It is a wood waste fired boiler with a stoker firing configuration. Particulate emissions from the boiler are controlled by fly-ash reinjection and an electrostatic precipitator.

Specific Conditions

7. The permittee shall not exceed the emission rates set forth in the following table. The permittee shall demonstrate compliance with this condition by Specific Conditions #13, 14, 15, 18, and 19. [Rule 19, §19.501 et seq. and 40 CFR Part 52, Subpart E]

SN	Description	Pollutant	lb/hr	tpy
SN-13	Wood-fired Boiler (28.08 MMBTU/hr)	PM ₁₀	19.8	86.8
		SO ₂	0.7	3.1
		VOC	1.1	4.8
		CO	9.3	40.7
		NO _x	13.8	60.3
		Lead	0.01	0.01
SN-41	Wood-fired Boiler (53.5 MMBTU/hr)	PM ₁₀	1.9	8.3
		SO ₂	1.4	5.9
		VOC	2.1	9.1
		CO	13.8	60.5
		NO _x	26.2	114.8
		Lead	0.01	0.02

8. The permittee shall not exceed the emission rates set forth in the following table. The permittee shall demonstrate compliance with this condition by Specific Conditions #13, 14, 15, 18 and 19. [Rule 18, §18.801 and A.C.A. §8-4-203 as referenced by A.C.A. §8-4-304 and §8-4-311]

SN	Description	Pollutant	lb/hr	tpy
SN-13	Wood-fired Boiler (28.08 MMBTU/hr)	PM	19.8	86.8
		Hydrochloric Acid	0.54	*
		Manganese	0.05	*
		Acrolein	0.12	*
		Total HAPs	*	23.75*
SN-41	Wood-fired Boiler (53.5 MMBTU/hr)	PM	1.9	8.3
		Hydrochloric Acid	1.02	*
		Manganese	0.09	*
		Acrolein	0.23	*
		Total HAPs	*	23.75*

*Subject to facility wide HAP limit of 9.5 tpy Single HAP or 23.75 tpy total HAP.

9. Visible emissions may not exceed the limits specified in the following table of this permit as measured by EPA Reference Method 9.

SN	Limit	Regulatory Citation
SN-13 & SN-41	20%	Reg. 19.304 and 40 C.F.R. § 60.43c

10. The permittee shall conduct daily observations of the opacity from SN-13 and SN-41 by a person trained but not necessarily certified in EPA Reference Method 9. If visible emissions in excess of the permitted levels are detected, the permittee shall immediately take action to identify the cause of the visible emissions in excess of the permit limit, implement corrective action, and document that visible emissions did not appear to be in excess of the permitted opacity following the corrective action. The permittee shall maintain records which contain the following items in order to demonstrate compliance with this specific condition. These records shall be updated daily, kept on site, and made available to Department personnel upon request. [§19.702 of Rule 19 and 40 CFR 52, Subpart E]
- The date and time of the observation.
 - If visible emissions which appeared to be above the permitted limit were detected.
 - If visible emissions which appeared to be above the permitted limit were detected, the cause of the exceedance of the opacity limit, the corrective action taken, and if the visible emissions appeared to be below the permitted limit after the corrective action was taken.
 - The name of the person conducting the opacity observations.

NSPS Conditions

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11. The permittee shall record and maintain daily records of the amounts of wood combusted in each of the boilers, SN-13 and SN-41. [Rule 19, §19.304 and 40 CFR §60.48c(g)]
12. The permittee shall maintain records required under Specific Condition #11 for a period of two years following the date of such records. [Rule 19, §19.304 and 40 CFR §60.48c(i)]

Throughput Limits

13. The permittee shall not use more than 15,600 tons of wood as a fuel at SN-13 for any rolling twelve month period. [Rule 19, §19.705 A.C.A. §8-4-203 as referenced by §8-4-304 and §8-4-311 and 40 CFR 70.6]
14. The permittee shall not use more than 31,300 tons of wood as a fuel at SN-41 for any rolling twelve month period. [Rule 19, §19.705 A.C.A. §8-4-203 as referenced by §8-4-304 and §8-4-311 and 40 CFR 70.6]
15. The permittee shall maintain records which demonstrate compliance with the limits set in Specific Conditions #13 and #14. These records shall be maintained on a monthly basis and updated by the fifteenth day of the month following the month to which the records pertain. These records shall be kept on site for five years in accordance with General Provision #6, and shall be provided to Department personnel upon request. An annual total and each individual month's data shall be submitted in accordance with General Provision #7. [Rule 19, §19.705 and 40 CFR Part 52, Subpart E]

Testing Requirements

16. The permittee shall perform stack testing of SN-13 and SN-41 for particulate matter (PM) and carbon monoxide (CO) emissions. Testing shall be performed in accordance with Plantwide Conditions #3 and #4 and Referenced Methods 1 through 5 and 10, respectively. Stack testing of SN-13 and SN-41 for these pollutants shall be repeated every five (5) years. SN-41 was last tested in June 2023. SN-13 was last tested January 2021. [Rule 19, §19.702 and 40 CFR 52, Subpart E]

CAM Requirements for SN-13 Cyclone with Fly-Ash Reinjection

17. The permittee shall:
 - a. Conduct daily observations of the opacity from SN-13's Cyclone exhaust for visible emissions (above 20% opacity). [40 CFR Part §64.6(c)(1)]
 - b. Record the presence of any visible emissions and the subsequent actions taken to reduce visible emissions. [40 CFR Part §64.6(c)(1)]

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- c. If visible emissions are observed, opacity measurements will be taken at the cyclone exhausts using EPA Method 9. The observer will be trained in EPA Method 9 but not necessarily certified. [40 CFR Part 64.6(c)(2)]
- d. Visible emissions will be logged for a total of six (6) minutes and opacity readings logged every fifteen (15) seconds during this time period, in accordance with EPA Method 9. It will include:
 - i. The date and time of the observation
 - ii. If visible emissions were detected;
 - iii. If visible emissions were detected, the cause of the exceedance of the opacity limit, the corrective action take, and if the visible emissions were still visible after the corrective action was taken;
 - iv. The name of the person conducting the opacity observations.[40 CFR Part 64.6(c)(2)]
- e. The data shall be updated daily, kept on site, and made available for Division personnel upon request. The data will be retained for a minimum of five (5) years. [40 CFR Part 64.6(c)(3)-(4)]

CAM QIP (Compliance Assurance Monitoring Quality Improvement Plan) Provisions

- a. Maintain records that summarize the number, duration, and cause of visible emissions or exceedances of limits as well as corrective action taken. [40 CFR §64.9(a)(2)(i) and §64.9(b)]
- b. Maintain a QIP (quality improvement plan) threshold of no more than one excursion per six-month reporting period. Upon exceedance of this threshold, the permittee shall then develop a QIP. [40 CFR §64.9(a)(2)(iii) and §64.9(b)]
- c. Maintain records that describe the actions taken to implement a quality improvement plan (QIP), and upon completion of the QIP, documentation shall be maintained to confirm that the plan was completed and reduced the likelihood of similar excursions or exceedances. [40 CFR §64.9(a)(2)(iii) and §64.9(b)]
- d. Submit information pertaining to exceedances or excursions from permitted values in semi-annual reports in accordance with General Provision #7. [40 CFR §70.6(a)(3)(iii)(A)]

CAM Requirements for SN-41 ESP

- 18. The permittee shall:
 - a. Install, operate, calibrate, and maintain a device to monitor the secondary current. The secondary current shall be maintained at a minimum of 10 mADC. [40 CFR Part §64.6(c)(1)]
 - b. Install, operate, calibrate, and maintain a device to monitor the secondary voltage. The secondary voltage shall be maintained at a minimum of 20 kV. [40 CFR Part §64.6(c) (1)]

- c. Monitor and record measurements for the secondary current and secondary voltage on a daily basis. These records shall be maintained on site and be made available to Department personnel upon request. [40 CFR Part §64.6(c) (3)]
- d. Confirm secondary current and secondary voltage is zero when the unit is not operating. [40 CFR Part §64.6(c) (1)]
- e. Maintain documentation of routine inspections and any maintenance activities performed. [40 CFR Part §64.9(b)]

19. The permittee shall:

- a. Maintain records that summarize the number, duration, and cause of excursions or exceedances of limits as well as corrective action taken. [40 CFR §64.9(a)(2)(i) and §64.9(b)]
- b. Maintain records that summarize the number, duration, and cause of monitoring equipment downtime incidents, other than routine downtime for calibration checks. [40 CFR §64.9(a)(2)(ii) and §64.9(b)]
- c. Maintain a QIP (quality improvement plan) threshold of no more than nine excursions per six-month reporting period. Upon exceedance of this threshold, the permittee shall then develop a QIP. [40 CFR §64.9(a)(2)(iii) and §64.9(b)]
- d. Maintain records that describe the actions taken to implement a quality improvement plan (QIP), and upon completion of the QIP, documentation shall be maintained to confirm that the plan was completed and reduced the likelihood of similar excursions or exceedances. [40 CFR §64.9(a)(2)(iii) and §64.9(b)]
- e. Submit information pertaining to exceedances or excursions from permitted values in semi-annual reports in accordance with General Provision #7. [40 CFR §70.6(a)(3)(iii)(A)]

NESHAP Conditions

- 20. SN-13 and SN-41 are subject to provisions of 40 CFR Part 63, Subpart JJJJJ – *National Emission Standards for Hazardous Air Pollutants for Industrial, Commercial, and Institutional Boilers Area Sources*. A copy of Subpart JJJJJ is provided in Appendix B of this permit. [Rule 19 §19.304 and 40 CFR §63.11193]
- 21. The permittee shall conduct a tune-up of SN-13 and SN-41 biennially as specified in the following section. Each biennial tune-up must be conducted no more than 25 months after the previous tune-up. [Rule 19 §19.304, 40 CFR §63.11201, 40 CFR §63.11214, and 40 CFR §63.11223]
 - a. As applicable, inspect the burner, and clean or replace any components of the burner as necessary (you may delay the burner inspection until the next scheduled unit shutdown, not to exceed 36 months from the previous inspection). Units that produce electricity for sale may delay the burner inspection until the first outage, not to exceed 36 months from the previous inspection.

- b. Inspect the flame pattern, as applicable, and adjust the burner as necessary to optimize the flame pattern. The adjustment should be consistent with the manufacturer's specifications, if available.
 - c. Inspect the system controlling the air-to-fuel ratio, as applicable, and ensure that it is correctly calibrated and functioning properly (you may delay the inspection until the next scheduled unit shutdown, not to exceed 36 months from the previous inspection). Units that produce electricity for sale may delay the inspection until the first outage, not to exceed 36 months from the previous inspection.
 - d. Optimize total emissions of CO. This optimization should be consistent with the manufacturer's specifications, if available, and with any nitrogen oxide requirement to which the unit is subject.
 - e. Measure the concentrations in the effluent stream of CO in parts per million, by volume, and oxygen in volume percent, before and after the adjustments are made (measurements may be either on a dry or wet basis, as long as it is the same basis before and after the adjustments are made). Measurements may be taken using a portable CO analyzer.
 - f. Maintain on-site and submit, if requested by the Department, a report containing the following information:
 - i. The concentrations of CO in the effluent stream in parts per million, by volume, and oxygen in volume percent, measured at high fire or typical operating load, before and after the tune-up of the boiler.
 - ii. A description of any corrective actions taken as a part of the tune-up of the boiler.
 - iii. The type and amount of fuel used over the 12 months prior to the tune-up of the boiler, but only if the unit was physically and legally capable of using more than one type of fuel during that period. Units sharing a fuel meter may estimate the fuel use by each unit.
 - g. If the unit is not operating on the required date for a tune-up, the tune-up must be conducted within 30 days of startup.
22. The permittee shall have a one-time energy assessment performed by a qualified energy assessor done on SN-13 and SN-41 (Assessment was performed in March 2014 on SN-41 and January 2015 for SN-13). An energy assessment completed on or after January 1, 2008, that meets or is amended to meet the energy assessment requirements in this table satisfies the energy assessment requirement. Energy assessor approval and qualification requirements are waived in instances where past or amended energy assessments are used to meet the energy assessment requirements. A facility that operates under an energy management program compatible with ISO 50001 that includes the affected units also satisfies the energy assessment requirement. The energy assessment must include the following items: [Rule 19 §19.304 and 40 CFR §63.11201]
- a. A visual inspection of the boiler system,

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- b. An evaluation of operating characteristics of the affected boiler systems, specifications of energy use systems, operating and maintenance procedures, and unusual operating constraints,
- c. An inventory of major energy use systems consuming energy from affected boiler(s) and which are under control of the boiler owner or operator,
- d. A review of available architectural and engineering plans, facility operation and maintenance procedures and logs, and fuel usage,
- e. A list of major energy conservation measures that are within the facility's control,
- f. A list of the energy savings potential of the energy conservation measures identified, and
- g. A comprehensive report detailing the ways to improve efficiency, the cost of specific improvements, benefits, and the time frame for recouping those investments.

SN-14 through SN-29 and SN-46 through SN-50
 Drying Kilns

Source Description

Twenty-one (21) Drying Kilns (SN-14 through SN-29 and SN-46 through SN-50) are used to reduce the lumber's moisture content to a typical range of 5-8%. The typical lumber is 1'x1" hardwood (oak) boards. The steam used in the Drying Kilns is supplied by the boilers (SN-13 and SN-41).

Specific Conditions

23. The permittee shall not exceed the emission rates set forth in the following table. The permittee shall demonstrate compliance with this condition through Specific Condition 27. [Rule 19, §19.501 et seq. and 40 CFR Part 52, Subpart E]

SN	Description	Pollutant	lb/hr	tpy
SN-14 through SN-29 and SN-46 through SN-50	Drying Kilns	PM ₁₀ VOC	1.9 8.9	8.1 39.0

24. The permittee shall not exceed the emission rates set forth in the following table. The permittee shall demonstrate compliance with this condition through Specific Condition 27. [Rule 18, §18.801, and A.C.A. §8-4-203 as referenced by A.C.A. §8-4-304 and §8-4-311]

SN	Description	Pollutant	lb/hr	tpy
SN-14 through SN-29 and SN-46 through SN-50	Drying Kilns	PM	1.9	8.1

25. Visible emissions may not exceed the limits specified in the following table of this permit as measured by EPA Reference Method 9.

SN	Limit	Regulatory Citation
SN-14 through SN-29 and SN-46 through SN-50	10%	Reg.18.501

26. The permittee shall conduct weekly observations of the opacity from SN-14 through SN-29 and SN-46 through SN-50 by a person trained but not necessarily certified in EPA Reference Method 9. If visible emissions in excess of the permitted levels are detected, the permittee shall immediately take action to identify the cause of the visible emissions in excess of the permit limit, implement corrective action, and document that visible

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emissions did not appear to be in excess of the permitted opacity following the corrective action. The permittee shall maintain records which contain the following items in order to demonstrate compliance with this specific condition. These records shall be updated weekly, kept on site, and made available to Department personnel upon request. [Rule 19, §19.702 and 40 CFR 52, Subpart E]

- a. The date and time of the observation.
 - b. If visible emissions which appeared to be above the permitted limit were detected.
 - c. If visible emissions which appeared to be above the permitted limit were detected, the cause of the exceedance of the opacity limit, the corrective action taken, and if the visible emissions appeared to be below the permitted limit after the corrective action was taken.
 - d. The name of the person conducting the opacity observations.
27. The permittee shall not dry more than 76,470,000 board feet of hardwood lumber for any rolling twelve month period. [Rule 19, §19.705 A.C.A. §8-4-203 as referenced by §8-4-304 and §8-4-311 and 40 CFR 70.6]
28. The permittee shall maintain records which demonstrate compliance with the limit set in Specific Condition #27. These records shall be maintained on a monthly basis and updated by the fifteenth day of the month following the month to which the records pertain. These records shall be kept on site for five years in accordance with General Provision 6, and shall be provided to Department personnel upon request. An annual total and each individual month's data shall be submitted in accordance with General Provision 7. [Rule 19, §19.705 and 40 CFR 52, Subpart E]

SN-37/SN-38
 Material Handling

Source Description

SN-37 (Milling Process)--The kiln dried lumber is routed to the milling section for unfinished hardwood flooring manufacturing. The sawdust emissions from the saws, side matchers, end matchers, and planers are picked up by suction fans and routed to the milling process dust collection baghouses. There are three (3) baghouses. The clean air from the baghouse is routed to the atmosphere. The collected sawdust is fed pneumatically into the silo. There is a cyclone on the top of the silo. The exhaust air from the cyclone is routed back to the baghouses. The sawdust from the silo is fed pneumatically into the boilers. Some excess sawdust is loaded and shipped off site by trucks.

SN-38 (Hammer Mills)--Wood waste generated at the milling section or the finishing line is conveyed to the hogs. The large hog on the west side of the building goes to cyclone #1. The smaller hog on the east side of the building goes to cyclone #4. The clean air from these two cyclones is routed to the baghouses. Particulate emissions from SN-37 and SN-38 are bubbled and based on the baghouse control.

The three baghouses collect dust from SN-37 and SN-38 and also from the finishing line, SN-01 through SN-12.

Specific Conditions

29. The permittee shall not exceed the emission rates set forth in the following table. [Rule 19, §19.501 et seq. and 40 CFR Part 52, Subpart E]

SN	Description	Pollutant	lb/hr	tpy
SN-37/38	Material Handling	PM ₁₀	6.3	27.6

30. The permittee shall not exceed the emission rates set forth in the following table. [Rule 18, §18.801, and A.C.A. §8-4-203 as referenced by A.C.A. §8-4-304 and §8-4-311]

SN	Description	Pollutant	lb/hr	tpy
SN-37/38	Material Handling	PM	6.3	27.6

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31. Visible emissions may not exceed the limits specified in the following table of this permit as measured by EPA Reference Method 9.

SN	Limit	Regulatory Citation
SN-37/38	5%	Reg.18.501

32. The permittee shall only process untreated wood-waste in the hogs of the Hammer Mill (SN-38). Untreated wood refers to wood which is not pressure treated. [Rule 18, §18.1004 and A.C.A. §8-4-203 as referenced by §8-4-304 and §8-4-311]

CAM Requirements Baghouses

33. The permittee shall:
- Conduct daily visual inspections, when the plant is in operation, of the duct system and the silo for possible sawdust emissions. [40 CFR Part §64.6(c)(1)]
 - Record the presence of any visible emissions and the subsequent actions taken to reduce visible emissions. [40 CFR Part §64.6(c)(1)]
34. The permittee shall:
- Maintain records that summarize the number, duration, and cause of excursions or exceedances of limits as well as corrective action taken. [40 CFR §64.9(a)(2)(i) and §64.9(b)]
 - Maintain a QIP (quality improvement plan) threshold of no more than one excursion per six-month reporting period. Upon exceedance of this threshold, the permittee shall then develop a QIP. [40 CFR §64.9(a)(2)(iii) and §64.9(b)]
 - Maintain records that describe the actions taken to implement a quality improvement plan (QIP), and upon completion of the QIP, documentation shall be maintained to confirm that the plan was completed and reduced the likelihood of similar excursions or exceedances. [40 CFR §64.9(a)(2)(iii) and §64.9(b)]
 - Submit information pertaining to exceedances or excursions from permitted values in semi-annual reports in accordance with General Provision #7. [40 CFR§70.6(a)(3)(iii)(A)]

SN-43
 Truck Loadout Station

Source Description

With the installation of a dust collection system, which will be operated during truck loading, this source has been quantified. The system consists of new piping and a new material handling fan to collect the dust. The collected material will be rerouted into the existing dust collection system from the material handling.

Specific Conditions

35. The permittee shall not exceed the emission rates set forth in the following table. The permittee shall demonstrate compliance with this condition through Specific Condition 39. [Rule 19, §19.501 et seq. and 40 CFR Part 52, Subpart E]

SN	Description	Pollutant	lb/hr	tpy
SN-43	Truck Loadout Station	PM ₁₀	4.5	4.8

36. The permittee shall not exceed the emission rates set forth in the following table. The permittee shall demonstrate compliance with this condition through Specific Condition 39. [Rule 18, §18.801, and A.C.A. §8-4-203 as referenced by A.C.A. §8-4-304 and §8-4-311]

SN	Description	Pollutant	lb/hr	tpy
SN-43	Truck Loadout Station	PM	4.5	4.8

37. Visible emissions may not exceed the limits specified in the following table of this permit as measured by EPA Reference Method 9.

SN	Limit	Regulatory Citation
SN-43	10%	Reg.18.501

38. The permittee shall conduct opacity readings during truck loading operations at SN-43 by a person trained but not necessarily certified in EPA Reference Method 9. If visible emissions in excess of the permitted levels are detected, the permittee shall immediately take action to identify the cause of the visible emissions in excess of the permit limit, implement corrective action, and document that visible emissions did not appear to be in excess of the permitted opacity following the corrective action. The permittee shall maintain records which contain the following items in order to demonstrate compliance with this specific condition. These records shall be updated as necessary, kept on site,

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and made available to Department personnel upon request. [Rule 19, §19.702 and 40 CFR 52, Subpart E]

- a. The date and time of the observation.
 - b. If visible emissions which appeared to be above the permitted limit were detected.
 - c. If visible emissions which appeared to be above the permitted limit were detected, the cause of the exceedance of the opacity limit, the corrective action taken, and if the visible emissions appeared to be below the permitted limit after the corrective action was taken.
 - d. The name of the person conducting the opacity observations.
39. The permittee shall not load more than 350 trucks a month. The permittee shall maintain records of the number of trucks loaded at this source. These records shall be maintained on a monthly basis and updated by the fifteenth day of the month following the month to which the records pertain. These records shall be maintained on site and made available to Department personnel upon request. [Rule 19, §19.705 A.C.A. §8-4-203 as referenced by §8-4-304 and §8-4-311, and 40 CFR Part 70.6]

SN-44
 Diesel-Fired Fire Pump (345 hp)

Source Description

The diesel fueled fire pump is used to provide water for fire suppression in the event of a fire at the facility. It is powered by a Cummins engine, model NT855F2, 4-stroke compression ignition engine, manufactured in approximately 1975. It has a maximum rated output of 345 bhp and an engine displacement of 14 liters.

Specific Conditions

40. The permittee shall not exceed the emission rates set forth in the following table. The permittee shall demonstrate compliance with this condition through Specific Condition 46. [Rule 19 §19.501 et seq. and 40 CFR Part 52, Subpart E]

SN	Description	Pollutant	lb/hr	tpy
SN-44	Diesel-Fired Fire Pump (345 hp)	PM ₁₀	0.9	0.2
		SO ₂	0.8	0.2
		VOC	1.0	0.3
		CO	2.6	0.7
		NO _x	11.8	3.0

41. The permittee shall not exceed the emission rates set forth in the following table. The permittee shall demonstrate compliance with this condition through Specific Condition 46. [Rule 18 §18.801 and A.C.A. §8-4-203 as referenced by §8-4-304 and §8-4-311]

SN	Description	Pollutant	lb/hr	tpy
SN-44	Diesel-Fired Fire Pump (345 hp)	PM Total HAPs	0.9 *	0.2 23.75*

*Subject to a Plantwide Limit of 9.5 tpy of a single HAP/NCAC and 23.75 tpy of combined HAPs for SN-1 through SN-12, SN-13, SN-41, SN-44 and SN-51

42. Visible emissions may not exceed the limits specified in the following table of this permit as measured by EPA Reference Method 9.

SN	Limit	Regulatory Citation
SN-44	20%	Reg.18.501

43. Visible emissions may not exceed the limits specified in the following table of this permit as measured by EPA Reference Method 9.

44. An initial observation of the opacity from SN-44 shall be conducted by a person trained but not necessarily certified in EPA Reference Method 9. The permittee shall maintain records which contain the following items in order to demonstrate compliance with Specific Condition #43. These records shall be kept on site and made available to Division of Environmental Quality personnel upon request.
 - a. The date and time of the observation.
 - b. If visible emissions which appeared to be above the permitted limit were detected.
 - c. If visible emissions which appeared to be above the permitted limit were detected, the cause of the exceedance of the opacity limit, the corrective action taken, and if the visible emissions appeared to be below the permitted limit after the corrective action was taken.
 - d. The name of the person conducting the opacity observations.
45. SN-44 is subject to provisions of 40 CFR Part 63, Subpart ZZZZ – *National Emission Standards for Hazardous Air Pollutants for Stationary Reciprocating Internal Combustion Engines*. A copy of Subpart ZZZZ is provided in Appendix C of this permit. [Rule 19 §19.304, 40 CFR §63.6585, and 40 CFR §63.6590]
46. The permittee shall operate SN-44 only in the event of an emergency operation, except that the permittee may operate SN-44 for maintenance checks and readiness testing for up to 100 hours per year and for non-emergency situations for up to 50 hours per year with non-emergency operation counting towards the 100 hours per year for maintenance and testing. The total operational time for SN-44 shall not exceed 500 hours per calendar year. Compliance with this condition shall be demonstrated by keeping records of the operational time of SN-44. The permittee shall keep these records until the installation of the non-resettable hour meter. [§19.705 of Rule 19, §8-4-203 as referenced by §8-4-304 and §8-4-311, and 40 CFR §70.6]
47. The permittee shall install a non-resettable hour meter and record the hours of operation of SN-44. The permittee must document how many hours are spent for emergency operation, including what classified the operation as emergency, and how many hours are spent for non-emergency operation. If the engines are used for demand response operation, the facility must keep records of the notification of the emergency situation, and the time the engine was operated as part of demand response. The permittee shall be in compliance with this Specific Condition by October 19, 2013. [§19.304 of Rule 19, 40 CFR §63.6640, 40 CFR §63.6655, and 40 CFR §63.6595]
48. The permittee shall follow the following work/management practices for the operation of SN-44 by October 19, 2013: [§19.304 of Rule 19, 40 CFR §63.6603, 40 CFR §63.6640, and 40 CFR §63.6595]
 - a. Change oil and filter every 500 hours of operation or annually, whichever comes first.

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- b. Inspect air cleaner every 1,000 hours of operation or annually, whichever comes first, and replace as necessary; and
 - c. Inspect all hoses and belts every 500 hours of operation or annually, whichever comes first, and replace as necessary.
49. The permittee shall develop a maintenance plan that specifies how the management practices will be met and provides, to the extent practicable, for the maintenance and operation of the engine in a manner consistent with good air pollution control practices for minimizing emissions by October 19, 2013. The maintenance plan must include records of all maintenance performed on the engine and its controls. Records of these maintenance, as well as records of any parts replacements, shall be maintained on-site, and shall be made available to Department personnel upon request. [§19.304 of Rule 19, 40 CFR §63.6625, 40 CFR §63.6655, and 40 CFR §63.6595]
50. The permittee shall minimize the engine's time spent at idle during startup and minimize the engine's startup time to a period needed for appropriate and safe loading of the engine, not to exceed 30 minutes, after which time the emission standards become applicable. The permittee shall be in compliance with this Specific Condition by October 19, 2013. [§19.304 of Rule 19, 40 CFR §63.6625, and 40 CFR §63.6595]

SN-51
 Natural Gas-Fired Emergency Generator (231 hp)

Source Description

The natural gas-fueled emergency generator is used to provide electrical power to the office facilities in the event of power interruption. It is powered by a Generac engine, model V-type, 4-stroke lean burn spark ignition engine, manufactured in 2017. It has a maximum rated output of 231 bhp and an engine displacement of 6.8 liters.

Specific Conditions

51. The permittee shall not exceed the emission rates set forth in the following table. The permittee shall demonstrate compliance with this condition through Specific Condition #54. [Rule 19 §19.501 et seq. and 40 CFR Part 52, Subpart E]

SN	Description	Pollutant	lb/hr	tpy
SN-51	Natural Gas-Fired Emergency Generator (231 hp)	PM ₁₀	0.1	0.1
		SO ₂	0.1	0.1
		VOC	0.5	0.1
		CO	2.1	0.5
		NO _x	1.0	0.3

52. The permittee shall not exceed the emission rates set forth in the following table. The permittee shall demonstrate compliance with this condition through Specific Condition #54. [Rule 18 §18.801 and A.C.A. §8-4-203 as referenced by §8-4-304 and §8-4-311]

SN	Description	Pollutant	lb/hr	tpy
SN-51	Natural Gas-Fired Emergency Generator (231 hp)	PM Total HAPs	0.1 0.13*	0.1 23.75*

*Subject to a Plantwide Limit of 9.5 tpy of a single HAP/NCAC and 23.75 tpy of combined HAPs for SN-1 through SN-12, SN-13, SN-41, SN-44 and SN-51

53. Visible emissions may not exceed the limits specified in the following table of this permit as measured by EPA Reference Method 9.

SN	Limit	Regulatory Citation
SN-51	5%	Reg.18.501

54. The permittee shall not operate the emergency generator SN-51 in excess of 500 total hours (emergency and non-emergency) per calendar year in order to demonstrate compliance with the annual emission rate limits. Emergency operation in excess of these hours may be allowable but shall be reported and will be evaluated in accordance with Reg.19.602 and other applicable regulations. [Reg.19.705, Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 70.6]
55. The permittee shall maintain monthly records to demonstrate compliance with Specific Condition #54. The permittee shall update these records by the fifteenth day of the month following the month to which the records pertain. The calendar year totals and each individual month’s data shall be maintained on-site, made available to Department personnel upon request, and submitted in accordance with General Provision #7. [Reg.19.705 and 40 C.F.R. § 52 Subpart E]

NSPS JJJJ Conditions

56. The 231 hp natural gas fired emergency generator (SN-51) is subject to provisions of 40 C.F.R. Part 60, Subpart JJJJ—*Standards of Performance for Stationary Spark Ignition Internal Combustion Engines* and 40 CFR Part 63, Subpart ZZZZ—*National Emissions Standards for Hazardous Air Pollutants for Stationary Reciprocating Internal Combustion Engines*. SN-51 meets the requirements of NESHAP ZZZZ by compliance with the requirements of NSPS JJJJ. A copy of NSPS JJJJ is attached as Appendix D of this permit. [Reg.19.304, 40 C.F.R. §§ 60.4230(a) and 63.6590(c)]
57. SN-51 shall meet the following emission standards in Table 1 to 40 C.F.R. Part 60, Subpart JJJJ. The permittee may choose to comply with the emission standards in units of either g/HP-hr or ppmvd at 15 percent O₂. For purposes of Subpart JJJJ, when calculating emissions of volatile organic compounds, emissions of formaldehyde should not be included. [Reg.19.304, 40 C.F.R. §§ 60.4231(e) and 60.4233(e)]

Pollutant	Emission Standards	
	(g/HP-hr)	(ppmvd at 15% O ₂)
NO _x	2.0	160
CO	4.0	540
VOC	1.0	86

58. The permittee shall operate and maintain SN-51 to achieve the emission standards as required in 40 C.F.R. § 60.4233 over the entire life of the engine. [Reg. 19.304 and 40 C.F.R. § 60.4234]

59. The permittee shall install a non-resettable hour meter upon startup of SN-51. [Reg.19.304 and 40 C.F.R. § 60.4237(b)]
60. The permittee shall comply with the emission standards for SN-51 by purchasing an engine certified to the emission standards in 40 C.F.R. § 60.4231(e) for the same engine class and maximum engine power. If you operate and maintain the certified stationary SI internal combustion engine and control device according to the manufacturer's emission-related written instructions, you must keep records of conducted maintenance to demonstrate compliance, but no performance testing is required if you are an owner or operator. You must also meet the requirements as specified in 40 C.F.R. part 1068, subparts A through D, as they apply to you. If you adjust engine settings according to and consistent with the manufacturer's instructions, your stationary SI internal combustion engine will not be considered out of compliance. [Reg.19.304 and 40 C.F.R. § 60.4243(b)]
61. As an emergency stationary internal combustion engine, the permittee shall operate SN-51 according to the following requirements of 40 C.F.R § 60.4243(d). In order for the engine to be considered an emergency stationary ICE under this 40 C.F.R. Subpart JJJJ, any operation other than emergency operation, maintenance and testing, emergency demand response, and operation in non-emergency situations for 50 hours per year, as described below, is prohibited. If the permittee does not operate the SN-51 according to the requirements below, the engine will not be considered an emergency engine under this subpart and shall meet all requirements for non-emergency engines. [Reg. 19.304 and 40 C.F.R. § 60.4243(d)]
 - a. There is no time limit on the use of SN-51 in emergency situations. [40 C.F.R. § 60.4243(d)(1)]
 - b. SN-51 may be operated for any combination of the purposes specified in the following paragraphs for a maximum of 100 hours per calendar year. Any operation for non-emergency situations as allowed by 40 C.F.R. § 60.4243(d)(3) counts as part of the 100 hours per calendar year allowed by this paragraph. [40 C.F.R. § 60.4243(d)(2)]
 - i. SN-51 may be operated for maintenance checks and readiness testing, provided that the tests are recommended by federal, state or local government, the manufacturer, the vendor, the regional transmission organization or equivalent balancing authority and transmission operator, or the insurance company associated with the engine. The owner or operator may petition the Department for approval of additional hours to be used for maintenance checks and readiness testing, but a petition is not required if the owner or operator maintains records indicating that federal, state, or local standards require maintenance and testing of emergency ICE beyond 100 hours per calendar year.
 - ii. SN-51 may be operated for emergency demand response for periods in which the Reliability Coordinator under the North American Electric

Reliability Corporation (NERC) Reliability Standard EOP-002-3, Capacity and Energy Emergencies (incorporated by reference, see §60.17), or other authorized entity as determined by the Reliability Coordinator, has declared an Energy Emergency Alert Level 2 as defined in the NERC Reliability Standard EOP-002-3.

- iii. SN-51 may be operated for periods where there is a deviation of voltage or frequency of 5 percent or greater below standard voltage or frequency.
- c. SN-51 may be operated for up to 50 hours per calendar year in non-emergency situations. The 50 hours of operation in non-emergency situations are counted as part of the 100 hours per calendar year for maintenance and testing and emergency demand response provided in 40 C.F.R. § 60.4243(d)(2). Except as provided in the following paragraphs, the 50 hours per year for non-emergency situations cannot be used for peak shaving or non-emergency demand response, or to generate income for a facility to an electric grid or otherwise supply power as part of a financial arrangement with another entity. [40 C.F.R. § 60.4243(d)(3)]
- i. The 50 hours per year for non-emergency situations can be used to supply power as part of a financial arrangement with another entity if all of the following conditions are met:
 - (A) The engine is dispatched by the local balancing authority or local transmission and distribution system operator;
 - (B) The dispatch is intended to mitigate local transmission and/or distribution limitations so as to avert potential voltage collapse or line overloads that could lead to the interruption of power supply in a local area or region.
 - (C) The dispatch follows reliability, emergency operation or similar protocols that follow specific NERC, regional, state, public utility commission or local standards or guidelines.
 - (D) The power is provided only to the facility itself or to support the local transmission and distribution system.
 - (E) The owner or operator identifies and records the entity that dispatches the engine and the specific NERC, regional, state, public utility commission or local standards or guidelines that are being followed for dispatching the engine. The local balancing authority or local transmission and distribution system operator may keep these records on behalf of the engine owner or operator.
62. The permittee shall keep the following records: [Reg. 19.304 and 40 C.F.R. § 60.4245(a)]
- a. All notifications submitted to comply with 40 C.F.R. Part 60, Subpart JJJJ and all documentation supporting any notification.
 - b. Maintenance conducted on the engine.

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- c. If SN-51 is a certified engine, documentation from the manufacturer that SN-51 is certified to meet the emission standards and information as required in 40 C.F.R. parts 90, 1048, 1054, and 1060, as applicable.
 - d. If SN-51 is not a certified engine or is a certified engine operating in a non-certified manner and subject to 40 C.F.R. § 60.4243(a)(2), documentation that the engine meets the emission standards.
63. The permittee shall keep records of the hours of operation of SN-51 that is recorded through the non-resettable hour meter. The owner or operator shall document how many hours are spent for emergency operation, including what classified the operation as emergency and how many hours are spent for non-emergency operation. [Reg. 19.304 and 40 C.F.R. § 60.4245(b)]
64. The permittee shall comply with the applicable general provisions of 40 C.F.R. Part 60 for SN-51 as outlined by Table 3 to 40 C.F.R. Part 60, Subpart JJJJ. [Reg. 19.304 and 40 C.F.R. § 60.4246]

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SECTION V: COMPLIANCE PLAN AND SCHEDULE

AHF, LLC d/b/a AHF Products will continue to operate in compliance with those identified regulatory provisions. The facility will examine and analyze future rules and regulations that may apply and determine their applicability with any necessary action taken on a timely basis.

SECTION VI: PLANTWIDE CONDITIONS

65. The permittee shall notify the Director in writing within thirty (30) days after commencing construction, completing construction, first placing the equipment and/or facility in operation, and reaching the equipment and/or facility target production rate. [Rule 19.704, 40 C.F.R. § 52 Subpart E, and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]
66. If the permittee fails to start construction within eighteen months or suspends construction for eighteen months or more, the Director may cancel all or part of this permit. [Rule 19.410(B) and 40 C.F.R. § 52 Subpart E]
67. The permittee must test any equipment scheduled for testing, unless otherwise stated in the Specific Conditions of this permit or by any federally regulated requirements, within the following time frames: (1) new equipment or newly modified equipment within sixty (60) days of achieving the maximum production rate, but no later than 180 days after initial start up of the permitted source or (2) operating equipment according to the time frames set forth by the Division of Environmental Quality or within 180 days of permit issuance if no date is specified. The permittee must notify the Division of Environmental Quality of the scheduled date of compliance testing at least fifteen (15) business days in advance of such test. The permittee shall submit the compliance test results to the Division of Environmental Quality within sixty (60) calendar days after completing the testing. [Rule 19.702 and/or Rule 18.1002 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]
68. The permittee must provide:
- a. Sampling ports adequate for applicable test methods;
 - b. Safe sampling platforms;
 - c. Safe access to sampling platforms; and
 - d. Utilities for sampling and testing equipment.
- [Rule 19.702 and/or Rule 18.1002 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]
69. The permittee must operate the equipment, control apparatus and emission monitoring equipment within the design limitations. The permittee shall maintain the equipment in good condition at all times. [Rule 19.303 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]
70. This permit subsumes and incorporates all previously issued air permits for this facility. [Rule 26 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]

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71. Unless otherwise specified in the permit, approval to construct any new major stationary source or a major modification subject to 40 C.F.R. § 52.21 shall become invalid if construction is not commenced within 18 months after receipt of such approval, if construction is discontinued for a period of 18 months or more, or if construction is not completed within a reasonable time. The Division of Environmental Quality may extend the 18-month period upon a satisfactory showing that an extension is justified. [Rule 19.901 *et seq.* and 40 C.F.R. § 52 Subpart E]

Plantwide HAP Limits

72. The permittee shall emit no more than 9.50 tons of a single hazardous air pollutant (HAP) or 23.75 tpy of total hazardous air pollutants from The Finishing Line (SN-01 through SN-12), the Wood-Fired Boilers (SN-13 and SN-41), the Diesel-Fired Fire Pump (SN-44), and the Natural Gas-Fired Emergency Generator (SN-51) at the facility during any rolling 12-month period. [§18.1004 of Rule 18 and A.C.A. §8-4-203 as referenced by §8-4-304 and §8-4-311]
73. The permittee shall maintain monthly records which demonstrate compliance with Plantwide Condition #8. These records shall be maintained in a spreadsheet, database, or other well-organized format. These records shall indicate the total and individual HAPs emitted from The Finishing Line (SN-01 through SN-12) and from the permitted limits of Wood-Fired Boilers (SN-13 and SN-41), Diesel-Fired Fire Pump (SN-41), and Natural Gas-Fired Emergency Generator (SN-51). [§18.1004 of Rule 18 and A.C.A. §8-4-203 as referenced by §8-4-304 and §8-4-311]

SECTION VII: INSIGNIFICANT ACTIVITIES

The Division of Environmental Quality deems the following types of activities or emissions as insignificant on the basis of size, emission rate, production rate, or activity in accordance with Group A of the Insignificant Activities list found in Rule 18 and Rule 19 Appendix A. Group B insignificant activities may be listed but are not required to be listed in permits. Insignificant activity emission determinations rely upon the information submitted by the permittee in an application dated May 3, 2023. [Rule 26.304 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]

Description	Category
High Mass Oven	A-1
Drums and small containers for coating and cleanup solvent storage and handling	A-2
Drums and Small Containers of Oil	A-2
Diesel Tank (1000 gal)	A-3
DEF Fluid Tank (330 gal)	A-3
Motor Oil (15w-40)	A-3
Lico Hydraulic Fluid Tank (150 gal)	A-3
Tilt Hydraulic Fluid Tank (100 gal)	A-3
Tilt Hydraulic Fluid Tank (100 gal)	A-3
Searcy Stacker Hydraulic Fluid Tank (150 gal)	A-3
Truck Bin Hydraulic Fluid Tank (100 gal)	A-3
Propane Tank (800 gal)	A-3
Gasoline Tanks (5 gal)	A-4
Coating and Stain Empty Drums	A-8
Small (11 gallon) Solvent Distillation Unit	A-11
Chemical Foam System	A-12
Chemical storage room and exhaust fan	A-13
Stick Planner	A-13
Rubber Roll Turning	A-13
Green Planer	A-13

SECTION VIII: GENERAL PROVISIONS

1. Any terms or conditions included in this permit which specify and reference Arkansas Pollution Control & Ecology Commission Rule 18 or the Arkansas Water and Air Pollution Control Act (Ark. Code Ann. § 8-4-101 *et seq.*) as the sole origin of and authority for the terms or conditions are not required under the Clean Air Act or any of its applicable requirements, and are not federally enforceable under the Clean Air Act. Arkansas Pollution Control & Ecology Commission Rule 18 was adopted pursuant to the Arkansas Water and Air Pollution Control Act (Ark. Code Ann. § 8-4-101 *et seq.*). Any terms or conditions included in this permit which specify and reference Arkansas Pollution Control & Ecology Commission Rule 18 or the Arkansas Water and Air Pollution Control Act (Ark. Code Ann. § 8-4-101 *et seq.*) as the origin of and authority for the terms or conditions are enforceable under this Arkansas statute. [40 C.F.R. § 70.6(b)(2)]
2. This permit shall be valid for a period of five (5) years beginning on the date this permit becomes effective and ending five (5) years later. [40 C.F.R. § 70.6(a)(2) and Rule 26.701(B)]
3. The permittee must submit a complete application for permit renewal at least six (6) months before permit expiration. Permit expiration terminates the permittee's right to operate unless the permittee submitted a complete renewal application at least six (6) months before permit expiration. If the permittee submits a complete application, the existing permit will remain in effect until the Division of Environmental Quality takes final action on the renewal application. The Division of Environmental Quality will not necessarily notify the permittee when the permit renewal application is due. [Rule 26.406]
4. Where an applicable requirement of the Clean Air Act, as amended, 42 U.S.C. 7401, *et seq.* (Act) is more stringent than an applicable requirement of regulations promulgated under Title IV of the Act, the permit incorporates both provisions into the permit, and the Director or the Administrator can enforce both provisions. [40 C.F.R. § 70.6(a)(1)(ii) and Rule 26.701(A)(2)]
5. The permittee must maintain the following records of monitoring information as required by this permit.
 - a. The date, place as defined in this permit, and time of sampling or measurements;
 - b. The date(s) analyses performed;
 - c. The company or entity performing the analyses;
 - d. The analytical techniques or methods used;
 - e. The results of such analyses; and
 - f. The operating conditions existing at the time of sampling or measurement.

[40 C.F.R. § 70.6(a)(3)(ii)(A) and Rule 26.701(C)(2)]

6. The permittee must retain the records of all required monitoring data and support information for at least five (5) years from the date of the monitoring sample, measurement, report, or application. Support information includes all calibration and maintenance records and all original strip chart recordings for continuous monitoring instrumentation, and copies of all reports required by this permit. [40 C.F.R. § 70.6(a)(3)(ii)(B) and Rule 26.701(C)(2)(b)]
7. The permittee must submit reports of all required monitoring every six (6) months. If the permit establishes no other reporting period, the reporting period shall end on the last day of the month six months after the issuance of the initial Title V permit and every six months thereafter. The report is due on the first day of the second month after the end of the reporting period. The first report due after issuance of the initial Title V permit shall contain six months of data and each report thereafter shall contain 12 months of data. The report shall contain data for all monitoring requirements in effect during the reporting period. If a monitoring requirement is not in effect for the entire reporting period, only those months of data in which the monitoring requirement was in effect are required to be reported. The report must clearly identify all instances of deviations from permit requirements. A responsible official as defined in Rule 26.2 must certify all required reports. The permittee will send the reports electronically using <https://portal.adeq.state.ar.us> or mail them to the address below:

Division of Environmental Quality
Office of Air Quality
ATTN: Compliance Inspector Supervisor
5301 Northshore Drive
North Little Rock, AR 72118-5317

[40 C.F.R. § 70.6(a)(3)(iii)(A) and Rule 26.701(C)(3)(a)]

8. The permittee shall report to the Division of Environmental Quality all deviations from permit requirements, including those attributable to upset conditions as defined in the permit.
 - a. For all upset conditions (as defined in Rule 19.601), the permittee will make an initial report to the Division of Environmental Quality by the next business day after the discovery of the occurrence. The initial report may be made by telephone and shall include:
 - i. The facility name and location;
 - ii. The process unit or emission source deviating from the permit limit;
 - iii. The permit limit, including the identification of pollutants, from which deviation occurs;
 - iv. The date and time the deviation started;
 - v. The duration of the deviation;

- vi. The emissions during the deviation;
- vii. The probable cause of such deviations;
- viii. Any corrective actions or preventive measures taken or being taken to prevent such deviations in the future; and
- ix. The name of the person submitting the report.

The permittee shall make a full report in writing to the Division of Environmental Quality within five (5) business days of discovery of the occurrence. The report must include, in addition to the information required by the initial report, a schedule of actions taken or planned to eliminate future occurrences and/or to minimize the amount the permit's limits were exceeded and to reduce the length of time the limits were exceeded. The permittee may submit a full report in writing (by facsimile, overnight courier, or other means) by the next business day after discovery of the occurrence, and the report will serve as both the initial report and full report.

- b. For all deviations, the permittee shall report such events in semi-annual reporting and annual certifications required in this permit. This includes all upset conditions reported in 8a above. The semi-annual report must include all the information as required by the initial and full reports required in 8a.

[Rule 19.601, Rule 19.602, Rule 26.701(C)(3)(b), and 40 C.F.R. § 70.6(a)(3)(iii)(B)]

9. If any provision of the permit or the application thereof to any person or circumstance is held invalid, such invalidity will not affect other provisions or applications hereof which can be given effect without the invalid provision or application, and to this end, provisions of this Rule are declared to be separable and severable. [40 C.F.R. § 70.6(a)(5), Rule 26.701(E), and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]
10. The permittee must comply with all conditions of this Part 70 permit. Any permit noncompliance with applicable requirements as defined in Rule 26 constitutes a violation of the Clean Air Act, as amended, 42 U.S.C. § 7401, *et seq.* and is grounds for enforcement action; for permit termination, revocation and reissuance, for permit modification; or for denial of a permit renewal application. [40 C.F.R. § 70.6(a)(6)(i) and Rule 26.701(F)(1)]
11. It shall not be a defense for a permittee in an enforcement action that it would have been necessary to halt or reduce the permitted activity to maintain compliance with the conditions of this permit. [40 C.F.R. § 70.6(a)(6)(ii) and Rule 26.701(F)(2)]
12. The Division of Environmental Quality may modify, revoke, reopen and reissue the permit or terminate the permit for cause. The filing of a request by the permittee for a permit modification, revocation and reissuance, termination, or of a notification of planned changes or anticipated noncompliance does not stay any permit condition. [40 C.F.R. § 70.6(a)(6)(iii) and Rule 26.701(F)(3)]

13. This permit does not convey any property rights of any sort, or any exclusive privilege. [40 C.F.R. § 70.6(a)(6)(iv) and Rule 26.701(F)(4)]
14. The permittee must furnish to the Director, within the time specified by the Director, any information that the Director may request in writing to determine whether cause exists for modifying, revoking and reissuing, or terminating the permit or to determine compliance with the permit. Upon request, the permittee must also furnish to the Director copies of records required by the permit. For information the permittee claims confidentiality, the Division of Environmental Quality may require the permittee to furnish such records directly to the Director along with a claim of confidentiality. [40 C.F.R. § 70.6(a)(6)(v) and Rule 26.701(F)(5)]
15. The permittee must pay all permit fees in accordance with the procedures established in Rule 9. [40 C.F.R. § 70.6(a)(7) and Rule 26.701(G)]
16. No permit revision shall be required, under any approved economic incentives, marketable permits, emissions trading and other similar programs or processes for changes provided for elsewhere in this permit. [40 C.F.R. § 70.6(a)(8) and Rule 26.701(H)]
17. If the permit allows different operating scenarios, the permittee shall, contemporaneously with making a change from one operating scenario to another, record in a log at the permitted facility a record of the operational scenario. [40 C.F.R. § 70.6(a)(9)(i) and Rule 26.701(I)(1)]
18. The Administrator and citizens may enforce under the Act all terms and conditions in this permit, including any provisions designed to limit a source's potential to emit, unless the Division of Environmental Quality specifically designates terms and conditions of the permit as being federally unenforceable under the Act or under any of its applicable requirements. [40 C.F.R. § 70.6(b) and Rule 26.702(A) and (B)]
19. Any document (including reports) required by this permit pursuant to 40 C.F.R. § 70 must contain a certification by a responsible official as defined in Rule 26.2. [40 C.F.R. § 70.6(c)(1) and Rule 26.703(A)]
20. The permittee must allow an authorized representative of the Division of Environmental Quality, upon presentation of credentials, to perform the following: [40 C.F.R. § 70.6(c)(2) and Rule 26.703(B)]
 - a. Enter upon the permittee's premises where the permitted source is located or emissions related activity is conducted, or where records must be kept under the conditions of this permit;
 - b. Have access to and copy, at reasonable times, any records required under the conditions of this permit;

- c. Inspect at reasonable times any facilities, equipment (including monitoring and air pollution control equipment), practices, or operations regulated or required under this permit; and
 - d. As authorized by the Act, sample or monitor at reasonable times substances or parameters for assuring compliance with this permit or applicable requirements.
21. The permittee shall submit a compliance certification with the terms and conditions contained in the permit, including emission limitations, standards, or work practices. The permittee must submit the compliance certification annually. If the permit establishes no other reporting period, the reporting period shall end on the last day of the anniversary month of the initial Title V permit. The report is due on the first day of the second month after the end of the reporting period. The permittee must also submit the compliance certification to the Administrator as well as to the Division of Environmental Quality. All compliance certifications required by this permit must include the following: [40 C.F.R. § 70.6(c)(5) and Rule 26.703(E)(3)]
 - a. The identification of each term or condition of the permit that is the basis of the certification;
 - b. The compliance status;
 - c. Whether compliance was continuous or intermittent;
 - d. The method(s) used for determining the compliance status of the source, currently and over the reporting period established by the monitoring requirements of this permit; and
 - e. Such other facts as the Division of Environmental Quality may require elsewhere in this permit or by § 114(a)(3) and § 504(b) of the Act.
22. Nothing in this permit will alter or affect the following: [Rule 26.704(C)]
 - a. The provisions of Section 303 of the Act (emergency orders), including the authority of the Administrator under that section;
 - b. The liability of the permittee for any violation of applicable requirements prior to or at the time of permit issuance;
 - c. The applicable requirements of the acid rain program, consistent with § 408(a) of the Act; or
 - d. The ability of EPA to obtain information from a source pursuant to § 114 of the Act.
23. This permit authorizes only those pollutant emitting activities addressed in this permit. [Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]
24. The permittee may request in writing and at least 15 days in advance of the deadline, an extension to any testing, compliance or other dates in this permit. No such extensions are authorized until the permittee receives written Division of Environmental Quality approval. The Division of Environmental Quality may grant such a request, at its discretion in the following circumstances:

- a. Such an extension does not violate a federal requirement;
- b. The permittee demonstrates the need for the extension; and
- c. The permittee documents that all reasonable measures have been taken to meet the current deadline and documents reasons it cannot be met.

[Rule 18.314(A), Rule 19.416(A), Rule 26.1013(A), Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 52 Subpart E]

25. The permittee may request in writing and at least 30 days in advance, temporary emissions and/or testing that would otherwise exceed an emission rate, throughput requirement, or other limit in this permit. No such activities are authorized until the permittee receives written Division of Environmental Quality approval. Any such emissions shall be included in the facility's total emissions and reported as such. The Division of Environmental Quality may grant such a request, at its discretion under the following conditions:

- a. Such a request does not violate a federal requirement;
- b. Such a request is temporary in nature;
- c. Such a request will not result in a condition of air pollution;
- d. The request contains such information necessary for the Division of Environmental Quality to evaluate the request, including but not limited to, quantification of such emissions and the date/time such emission will occur;
- e. Such a request will result in increased emissions less than five tons of any individual criteria pollutant, one ton of any single HAP and 2.5 tons of total HAPs; and
- f. The permittee maintains records of the dates and results of such temporary emissions/testing.

[Rule 18.314(B), Rule 19.416(B), Rule 26.1013(B), Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 52 Subpart E]

26. The permittee may request in writing and at least 30 days in advance, an alternative to the specified monitoring in this permit. No such alternatives are authorized until the permittee receives written Division of Environmental Quality approval. The Division of Environmental Quality may grant such a request, at its discretion under the following conditions:

- a. The request does not violate a federal requirement;
- b. The request provides an equivalent or greater degree of actual monitoring to the current requirements; and
- c. Any such request, if approved, is incorporated in the next permit modification application by the permittee.

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[Rule 18.314(C), Rule 19.416(C), Rule 26.1013(C), Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 52 Subpart E]

27. Any credible evidence based on sampling, monitoring, and reporting may be used to determine violations of applicable emission limitations. [Rule 18.1001, Rule 19.701, Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 52 Subpart E]

Appendix A

Subpart Dc

*Standards of Performance for Small Industrial-Commercial-
Institutional Steam Generating Units*

Subpart Dc—Standards of Performance for Small Industrial-Commercial-Institutional Steam Generating Units

Source: [72 FR 32759](#), June 13, 2007, unless otherwise noted.

§ 60.40c Applicability and delegation of authority.

- (a) Except as provided in [paragraphs \(d\), \(e\), \(f\), and \(g\)](#) of this section, the affected facility to which this subpart applies is each steam generating unit for which construction, modification, or reconstruction is commenced after June 9, 1989 and that has a maximum design heat input capacity of 29 megawatts (MW) (100 million British thermal units per hour (MMBtu/h)) or less, but greater than or equal to 2.9 MW (10 MMBtu/h).
- (b) In delegating implementation and enforcement authority to a State under section 111(c) of the Clean Air Act, [§ 60.48c\(a\)\(4\)](#) shall be retained by the Administrator and not transferred to a State.
- (c) Steam generating units that meet the applicability requirements in [paragraph \(a\)](#) of this section are not subject to the sulfur dioxide (SO₂) or particulate matter (PM) emission limits, performance testing requirements, or monitoring requirements under this subpart ([§ 60.42c](#), [§ 60.43c](#), [§ 60.44c](#), [§ 60.45c](#), [§ 60.46c](#), or [§ 60.47c](#)) during periods of combustion research, as defined in [§ 60.41c](#).
- (d) Any temporary change to an existing steam generating unit for the purpose of conducting combustion research is not considered a modification under [§ 60.14](#).
- (e) Affected facilities (*i.e.* heat recovery steam generators and fuel heaters) that are associated with stationary combustion turbines and meet the applicability requirements of [subpart KKKK of this part](#) are not subject to this subpart. This subpart will continue to apply to all other heat recovery steam generators, fuel heaters, and other affected facilities that are capable of combusting more than or equal to 2.9 MW (10 MMBtu/h) heat input of fossil fuel but less than or equal to 29 MW (100 MMBtu/h) heat input of fossil fuel. If the heat recovery steam generator, fuel heater, or other affected facility is subject to this subpart, only emissions resulting from combustion of fuels in the steam generating unit are subject to this subpart. (The stationary combustion turbine emissions are subject to subpart GG or KKKK, as applicable, of this part.)
- (f) Any affected facility that meets the applicability requirements of and is subject to subpart AAAA or [subpart CCCC of this part](#) is not subject to this subpart.
- (g) Any facility that meets the applicability requirements and is subject to an EPA approved State or Federal section 111(d)/129 plan implementing [subpart BBBB of this part](#) is not subject to this subpart.

(h) Affected facilities that also meet the applicability requirements under subpart J or [subpart Ja of this part](#) are subject to the PM and NO_x standards under this subpart and the SO₂ standards under subpart J or [subpart Ja of this part](#), as applicable.

(i) Temporary boilers are not subject to this subpart.

[[72 FR 32759](#), June 13, 2007, as amended at [74 FR 5090](#), Jan. 28, 2009; [77 FR 9461](#), Feb. 16, 2012]

§ 60.41c Definitions.

As used in this subpart, all terms not defined herein shall have the meaning given them in the Clean Air Act and in [subpart A of this part](#).

Annual capacity factor means the ratio between the actual heat input to a steam generating unit from an individual fuel or combination of fuels during a period of 12 consecutive calendar months and the potential heat input to the steam generating unit from all fuels had the steam generating unit been operated for 8,760 hours during that 12-month period at the maximum design heat input capacity. In the case of steam generating units that are rented or leased, the actual heat input shall be determined based on the combined heat input from all operations of the affected facility during a period of 12 consecutive calendar months.

Coal means all solid fuels classified as anthracite, bituminous, subbituminous, or lignite by the American Society of Testing and Materials in ASTM D388 (incorporated by reference, see [§ 60.17](#)), coal refuse, and petroleum coke. Coal-derived synthetic fuels derived from coal for the purposes of creating useful heat, including but not limited to solvent refined coal, gasified coal not meeting the definition of natural gas, coal-oil mixtures, and coal-water mixtures, are also included in this definition for the purposes of this subpart.

Coal refuse means any by-product of coal mining or coal cleaning operations with an ash content greater than 50 percent (by weight) and a heating value less than 13,900 kilojoules per kilogram (kJ/kg) (6,000 Btu per pound (Btu/lb) on a dry basis.

Combined cycle system means a system in which a separate source (such as a stationary gas turbine, internal combustion engine, or kiln) provides exhaust gas to a steam generating unit.

Combustion research means the experimental firing of any fuel or combination of fuels in a steam generating unit for the purpose of conducting research and development of more efficient combustion or more effective prevention or control of air pollutant emissions from combustion, provided that, during these periods of research and development, the heat generated is not used for any purpose other than preheating combustion air for use by that steam generating unit (*i.e.*, the heat generated is released to the atmosphere without being used for space heating, process heating, driving pumps, preheating combustion air for other units, generating electricity, or any other purpose).

Conventional technology means wet flue gas desulfurization technology, dry flue gas desulfurization technology, atmospheric fluidized bed combustion technology, and oil hydrodesulfurization technology.

Distillate oil means fuel oil that complies with the specifications for fuel oil numbers 1 or 2, as defined by the American Society for Testing and Materials in ASTM D396 (incorporated by reference, see [§ 60.17](#)), diesel fuel oil numbers 1 or 2, as defined by the American Society for Testing and Materials in ASTM D975 (incorporated by reference, see [§ 60.17](#)), kerosine, as defined by the American Society of Testing and Materials in ASTM D3699 (incorporated by reference, see [§ 60.17](#)), biodiesel as defined by the American Society of Testing and Materials in ASTM D6751 (incorporated by reference, see [§ 60.17](#)), or biodiesel blends as defined by the American Society of Testing and Materials in ASTM D7467 (incorporated by reference, see [§ 60.17](#)).

Dry flue gas desulfurization technology means a SO₂ control system that is located between the steam generating unit and the exhaust vent or stack, and that removes sulfur oxides from the combustion gases of the steam generating unit by contacting the combustion gases with an alkaline reagent and water, whether introduced separately or as a premixed slurry or solution and forming a dry powder material. This definition includes devices where the dry powder material is subsequently converted to another form. Alkaline reagents used in dry flue gas desulfurization systems include, but are not limited to, lime and sodium compounds.

Duct burner means a device that combusts fuel and that is placed in the exhaust duct from another source (such as a stationary gas turbine, internal combustion engine, kiln, etc.) to allow the firing of additional fuel to heat the exhaust gases before the exhaust gases enter a steam generating unit.

Emerging technology means any SO₂ control system that is not defined as a conventional technology under this section, and for which the owner or operator of the affected facility has received approval from the Administrator to operate as an emerging technology under [§ 60.48c\(a\)\(4\)](#).

Federally enforceable means all limitations and conditions that are enforceable by the Administrator, including the requirements of [40 CFR parts 60](#) and [61](#), requirements within any applicable State implementation plan, and any permit requirements established under [40 CFR 52.21](#) or under [40 CFR 51.18](#) and [51.24](#).

Fluidized bed combustion technology means a device wherein fuel is distributed onto a bed (or series of beds) of limestone aggregate (or other sorbent materials) for combustion; and these materials are forced upward in the device by the flow of combustion air and the gaseous products of combustion. Fluidized bed combustion technology includes, but is not limited to, bubbling bed units and circulating bed units.

Fuel pretreatment means a process that removes a portion of the sulfur in a fuel before combustion of the fuel in a steam generating unit.

Heat input means heat derived from combustion of fuel in a steam generating unit and does not include the heat derived from preheated combustion air, recirculated flue gases, or exhaust gases from other sources (such as stationary gas turbines, internal combustion engines, and kilns).

Heat transfer medium means any material that is used to transfer heat from one point to another point.

Maximum design heat input capacity means the ability of a steam generating unit to combust a stated maximum amount of fuel (or combination of fuels) on a steady state basis as determined by the physical design and characteristics of the steam generating unit.

Natural gas means:

- (1) A naturally occurring mixture of hydrocarbon and nonhydrocarbon gases found in geologic formations beneath the earth's surface, of which the principal constituent is methane; or
- (2) Liquefied petroleum (LP) gas, as defined by the American Society for Testing and Materials in ASTM D1835 (incorporated by reference, see [§ 60.17](#)); or
- (3) A mixture of hydrocarbons that maintains a gaseous state at ISO conditions. Additionally, natural gas must either be composed of at least 70 percent methane by volume or have a gross calorific value between 34 and 43 megajoules (MJ) per dry standard cubic meter (910 and 1,150 Btu per dry standard cubic foot).

Noncontinental area means the State of Hawaii, the Virgin Islands, Guam, American Samoa, the Commonwealth of Puerto Rico, or the Northern Mariana Islands.

Oil means crude oil or petroleum, or a liquid fuel derived from crude oil or petroleum, including distillate oil and residual oil.

Potential sulfur dioxide emission rate means the theoretical SO₂ emissions (nanograms per joule (ng/J) or lb/MMBtu heat input) that would result from combusting fuel in an uncleaned state and without using emission control systems.

Process heater means a device that is primarily used to heat a material to initiate or promote a chemical reaction in which the material participates as a reactant or catalyst.

Residual oil means crude oil, fuel oil that does not comply with the specifications under the definition of distillate oil, and all fuel oil numbers 4, 5, and 6, as defined by the American Society for Testing and Materials in ASTM D396 (incorporated by reference, see [§ 60.17](#)).

Steam generating unit means a device that combusts any fuel and produces steam or heats water or heats any heat transfer medium. This term includes any duct burner that combusts fuel

and is part of a combined cycle system. This term does not include process heaters as defined in this subpart.

Steam generating unit operating day means a 24-hour period between 12:00 midnight and the following midnight during which any fuel is combusted at any time in the steam generating unit. It is not necessary for fuel to be combusted continuously for the entire 24-hour period.

Temporary boiler means a steam generating unit that combusts natural gas or distillate oil with a potential SO₂ emissions rate no greater than 26 ng/J (0.060 lb/MMBtu), and the unit is designed to, and is capable of, being carried or moved from one location to another by means of, for example, wheels, skids, carrying handles, dollies, trailers, or platforms. A steam generating unit is not a temporary boiler if any one of the following conditions exists:

- (1) The equipment is attached to a foundation.
- (2) The steam generating unit or a replacement remains at a location for more than 180 consecutive days. Any temporary boiler that replaces a temporary boiler at a location and performs the same or similar function will be included in calculating the consecutive time period.
- (3) The equipment is located at a seasonal facility and operates during the full annual operating period of the seasonal facility, remains at the facility for at least 2 years, and operates at that facility for at least 3 months each year.
- (4) The equipment is moved from one location to another in an attempt to circumvent the residence time requirements of this definition.

Wet flue gas desulfurization technology means an SO₂ control system that is located between the steam generating unit and the exhaust vent or stack, and that removes sulfur oxides from the combustion gases of the steam generating unit by contacting the combustion gases with an alkaline slurry or solution and forming a liquid material. This definition includes devices where the liquid material is subsequently converted to another form. Alkaline reagents used in wet flue gas desulfurization systems include, but are not limited to, lime, limestone, and sodium compounds.

Wet scrubber system means any emission control device that mixes an aqueous stream or slurry with the exhaust gases from a steam generating unit to control emissions of PM or SO₂.

Wood means wood, wood residue, bark, or any derivative fuel or residue thereof, in any form, including but not limited to sawdust, sanderdust, wood chips, scraps, slabs, millings, shavings, and processed pellets made from wood or other forest residues.

[[72 FR 32759](#), June 13, 2007, as amended at [74 FR 5090](#), Jan. 28, 2009; [77 FR 9461](#), Feb. 16, 2012]

§ 60.42c Standard for sulfur dioxide (SO₂).

(a) Except as provided in [paragraphs \(b\), \(c\), and \(e\)](#) of this section, on and after the date on which the performance test is completed or required to be completed under [§ 60.8](#), whichever date comes first, the owner or operator of an affected facility that combusts only coal shall neither: cause to be discharged into the atmosphere from the affected facility any gases that contain SO₂ in excess of 87 ng/J (0.20 lb/MMBtu) heat input or 10 percent (0.10) of the potential SO₂ emission rate (90 percent reduction), nor cause to be discharged into the atmosphere from the affected facility any gases that contain SO₂ in excess of 520 ng/J (1.2 lb/MMBtu) heat input. If coal is combusted with other fuels, the affected facility shall neither: cause to be discharged into the atmosphere from the affected facility any gases that contain SO₂ in excess of 87 ng/J (0.20 lb/MMBtu) heat input or 10 percent (0.10) of the potential SO₂ emission rate (90 percent reduction), nor cause to be discharged into the atmosphere from the affected facility any gases that contain SO₂ in excess of the emission limit is determined pursuant to [paragraph \(e\)\(2\)](#) of this section.

(b) Except as provided in [paragraphs \(c\) and \(e\)](#) of this section, on and after the date on which the performance test is completed or required to be completed under [§ 60.8](#), whichever date comes first, the owner or operator of an affected facility that:

(1) Combusts only coal refuse alone in a fluidized bed combustion steam generating unit shall neither:

(i) Cause to be discharged into the atmosphere from that affected facility any gases that contain SO₂ in excess of 87 ng/J (0.20 lb/MMBtu) heat input or 20 percent (0.20) of the potential SO₂ emission rate (80 percent reduction); nor

(ii) Cause to be discharged into the atmosphere from that affected facility any gases that contain SO₂ in excess of SO₂ in excess of 520 ng/J (1.2 lb/MMBtu) heat input. If coal is fired with coal refuse, the affected facility subject to [paragraph \(a\)](#) of this section. If oil or any other fuel (except coal) is fired with coal refuse, the affected facility is subject to the 87 ng/J (0.20 lb/MMBtu) heat input SO₂ emissions limit or the 90 percent SO₂ reduction requirement specified in [paragraph \(a\)](#) of this section and the emission limit is determined pursuant to [paragraph \(e\)\(2\)](#) of this section.

(2) Combusts only coal and that uses an emerging technology for the control of SO₂ emissions shall neither:

(i) Cause to be discharged into the atmosphere from that affected facility any gases that contain SO₂ in excess of 50 percent (0.50) of the potential SO₂ emission rate (50 percent reduction); nor

(ii) Cause to be discharged into the atmosphere from that affected facility any gases that contain SO₂ in excess of 260 ng/J (0.60 lb/MMBtu) heat input. If coal is combusted with other fuels, the affected facility is subject to the 50 percent SO₂ reduction requirement specified in this paragraph and the emission limit determined pursuant to [paragraph \(e\)\(2\)](#) of this section.

(c) On and after the date on which the initial performance test is completed or required to be completed under [§ 60.8](#), whichever date comes first, no owner or operator of an affected facility that combusts coal, alone or in combination with any other fuel, and is listed in [paragraphs \(c\)\(1\), \(2\), \(3\), or \(4\)](#) of this section shall cause to be discharged into the atmosphere from that affected facility any gases that contain SO₂ in excess of the emission limit determined pursuant to [paragraph \(e\)\(2\)](#) of this section. Percent reduction requirements are not applicable to affected facilities under paragraphs (c)(1), (2), (3), or (4).

- (1) Affected facilities that have a heat input capacity of 22 MW (75 MMBtu/h) or less;
- (2) Affected facilities that have an annual capacity for coal of 55 percent (0.55) or less and are subject to a federally enforceable requirement limiting operation of the affected facility to an annual capacity factor for coal of 55 percent (0.55) or less.
- (3) Affected facilities located in a noncontinental area; or
- (4) Affected facilities that combust coal in a duct burner as part of a combined cycle system where 30 percent (0.30) or less of the heat entering the steam generating unit is from combustion of coal in the duct burner and 70 percent (0.70) or more of the heat entering the steam generating unit is from exhaust gases entering the duct burner.

(d) On and after the date on which the initial performance test is completed or required to be completed under [§ 60.8](#), whichever date comes first, no owner or operator of an affected facility that combusts oil shall cause to be discharged into the atmosphere from that affected facility any gases that contain SO₂ in excess of 215 ng/J (0.50 lb/MMBtu) heat input from oil; or, as an alternative, no owner or operator of an affected facility that combusts oil shall combust oil in the affected facility that contains greater than 0.5 weight percent sulfur. The percent reduction requirements are not applicable to affected facilities under this paragraph.

(e) On and after the date on which the initial performance test is completed or required to be completed under [§ 60.8](#), whichever date comes first, no owner or operator of an affected facility that combusts coal, oil, or coal and oil with any other fuel shall cause to be discharged into the atmosphere from that affected facility any gases that contain SO₂ in excess of the following:

- (1) The percent of potential SO₂ emission rate or numerical SO₂ emission rate required under [paragraph \(a\)](#) or [\(b\)\(2\)](#) of this section, as applicable, for any affected facility that
 - (i) Combusts coal in combination with any other fuel;
 - (ii) Has a heat input capacity greater than 22 MW (75 MMBtu/h); and
 - (iii) Has an annual capacity factor for coal greater than 55 percent (0.55); and
- (2) The emission limit determined according to the following formula for any affected facility that combusts coal, oil, or coal and oil with any other fuel:

$$E_s = \frac{(K_a H_a + K_b H_b + K_c H_c)}{(H_a + H_b + H_c)}$$

Where:

E_s = SO₂ emission limit, expressed in ng/J or lb/MMBtu heat input;

K_a = 520 ng/J (1.2 lb/MMBtu);

K_b = 260 ng/J (0.60 lb/MMBtu);

K_c = 215 ng/J (0.50 lb/MMBtu);

H_a = Heat input from the combustion of coal, except coal combusted in an affected facility subject to [paragraph \(b\)\(2\)](#) of this section, in Joules (J) [MMBtu];

H_b = Heat input from the combustion of coal in an affected facility subject to [paragraph \(b\)\(2\)](#) of this section, in J (MMBtu); and

H_c = Heat input from the combustion of oil, in J (MMBtu).

(f) Reduction in the potential SO₂ emission rate through fuel pretreatment is not credited toward the percent reduction requirement under [paragraph \(b\)\(2\)](#) of this section unless:

(1) Fuel pretreatment results in a 50 percent (0.50) or greater reduction in the potential SO₂ emission rate; and

(2) Emissions from the pretreated fuel (without either combustion or post-combustion SO₂ control) are equal to or less than the emission limits specified under [paragraph \(b\)\(2\)](#) of this section.

(g) Except as provided in [paragraph \(h\)](#) of this section, compliance with the percent reduction requirements, fuel oil sulfur limits, and emission limits of this section shall be determined on a 30-day rolling average basis.

(h) For affected facilities listed under [paragraphs \(h\)\(1\), \(2\), \(3\), or \(4\)](#) of this section, compliance with the emission limits or fuel oil sulfur limits under this section may be determined based on a certification from the fuel supplier, as described under [§ 60.48c\(f\)](#), as applicable.

(1) Distillate oil-fired affected facilities with heat input capacities between 2.9 and 29 MW (10 and 100 MMBtu/hr).

(2) Residual oil-fired affected facilities with heat input capacities between 2.9 and 8.7 MW (10 and 30 MMBtu/hr).

(3) Coal-fired affected facilities with heat input capacities between 2.9 and 8.7 MW (10 and 30 MMBtu/h).

(4) Other fuels-fired affected facilities with heat input capacities between 2.9 and 8.7 MW (10 and 30 MMBtu/h).

(i) The SO₂ emission limits, fuel oil sulfur limits, and percent reduction requirements under this section apply at all times, including periods of startup, shutdown, and malfunction.

(j) For affected facilities located in noncontinental areas and affected facilities complying with the percent reduction standard, only the heat input supplied to the affected facility from the combustion of coal and oil is counted under this section. No credit is provided for the heat input to the affected facility from wood or other fuels or for heat derived from exhaust gases from other sources, such as stationary gas turbines, internal combustion engines, and kilns.

[[72 FR 32759](#), June 13, 2007, as amended at [74 FR 5090](#), Jan. 28, 2009; [77 FR 9462](#), Feb. 16, 2012]

§ 60.43c Standard for particulate matter (PM).

(a) On and after the date on which the initial performance test is completed or required to be completed under [§ 60.8](#), whichever date comes first, no owner or operator of an affected facility that commenced construction, reconstruction, or modification on or before February 28, 2005, that combusts coal or combusts mixtures of coal with other fuels and has a heat input capacity of 8.7 MW (30 MMBtu/h) or greater, shall cause to be discharged into the atmosphere from that affected facility any gases that contain PM in excess of the following emission limits:

(1) 22 ng/J (0.051 lb/MMBtu) heat input if the affected facility combusts only coal, or combusts coal with other fuels and has an annual capacity factor for the other fuels of 10 percent (0.10) or less.

(2) 43 ng/J (0.10 lb/MMBtu) heat input if the affected facility combusts coal with other fuels, has an annual capacity factor for the other fuels greater than 10 percent (0.10), and is subject to a federally enforceable requirement limiting operation of the affected facility to an annual capacity factor greater than 10 percent (0.10) for fuels other than coal.

(b) On and after the date on which the initial performance test is completed or required to be completed under [§ 60.8](#), whichever date comes first, no owner or operator of an affected facility that commenced construction, reconstruction, or modification on or before February 28, 2005, that combusts wood or combusts mixtures of wood with other fuels (except coal) and has a heat input capacity of 8.7 MW (30 MMBtu/h) or greater, shall cause to be discharged into the atmosphere from that affected facility any gases that contain PM in excess of the following emissions limits:

(1) 43 ng/J (0.10 lb/MMBtu) heat input if the affected facility has an annual capacity factor for wood greater than 30 percent (0.30); or

(2) 130 ng/J (0.30 lb/MMBtu) heat input if the affected facility has an annual capacity factor for wood of 30 percent (0.30) or less and is subject to a federally enforceable requirement limiting operation of the affected facility to an annual capacity factor for wood of 30 percent (0.30) or less.

(c) On and after the date on which the initial performance test is completed or required to be completed under [§ 60.8](#), whichever date comes first, no owner or operator of an affected facility that combusts coal, wood, or oil and has a heat input capacity of 8.7 MW (30 MMBtu/h) or greater shall cause to be discharged into the atmosphere from that affected facility any gases that exhibit greater than 20 percent opacity (6-minute average), except for one 6-minute period per hour of not more than 27 percent opacity. Owners and operators of an affected facility that elect to install, calibrate, maintain, and operate a continuous emissions monitoring system (CEMS) for measuring PM emissions according to the requirements of this subpart and are subject to a federally enforceable PM limit of 0.030 lb/MMBtu or less are exempt from the opacity standard specified in this [paragraph \(c\)](#).

(d) The PM and opacity standards under this section apply at all times, except during periods of startup, shutdown, or malfunction.

(e)

(1) On and after the date on which the initial performance test is completed or is required to be completed under [§ 60.8](#), whichever date comes first, no owner or operator of an affected facility that commences construction, reconstruction, or modification after February 28, 2005, and that combusts coal, oil, wood, a mixture of these fuels, or a mixture of these fuels with any other fuels and has a heat input capacity of 8.7 MW (30 MMBtu/h) or greater shall cause to be discharged into the atmosphere from that affected facility any gases that contain PM in excess of 13 ng/J (0.030 lb/MMBtu) heat input, except as provided in [paragraphs \(e\)\(2\), \(e\)\(3\), and \(e\)\(4\)](#) of this section.

(2) As an alternative to meeting the requirements of [paragraph \(e\)\(1\)](#) of this section, the owner or operator of an affected facility for which modification commenced after February 28, 2005, may elect to meet the requirements of this paragraph. On and after the date on which the initial performance test is completed or required to be completed under [§ 60.8](#), whichever date comes first, no owner or operator of an affected facility that commences modification after February 28, 2005 shall cause to be discharged into the atmosphere from that affected facility any gases that contain PM in excess of both:

(i) 22 ng/J (0.051 lb/MMBtu) heat input derived from the combustion of coal, oil, wood, a mixture of these fuels, or a mixture of these fuels with any other fuels; and

(ii) 0.2 percent of the combustion concentration (99.8 percent reduction) when combusting coal, oil, wood, a mixture of these fuels, or a mixture of these fuels with any other fuels.

(3) On and after the date on which the initial performance test is completed or is required to be completed under [§ 60.8](#), whichever date comes first, no owner or operator of an affected facility that commences modification after February 28, 2005, and that combusts over 30 percent wood (by heat input) on an annual basis and has a heat input capacity of 8.7 MW (30 MMBtu/h) or greater shall cause to be discharged into the atmosphere from that affected facility any gases that contain PM in excess of 43 ng/J (0.10 lb/MMBtu) heat input.

(4) An owner or operator of an affected facility that commences construction, reconstruction, or modification after February 28, 2005, and that combusts only oil that contains no more than 0.50 weight percent sulfur or a mixture of 0.50 weight percent sulfur oil with other fuels not subject to a PM standard under [§ 60.43c](#) and not using a post-combustion technology (except a wet scrubber) to reduce PM or SO₂ emissions is not subject to the PM limit in this section.

[[72 FR 32759](#), June 13, 2007, as amended at [74 FR 5091](#), Jan. 28, 2009; [77 FR 9462](#), Feb. 16, 2012]

§ 60.44c Compliance and performance test methods and procedures for sulfur dioxide.

(a) Except as provided in [paragraphs \(g\) and \(h\)](#) of this section and [§ 60.8\(b\)](#), performance tests required under [§ 60.8](#) shall be conducted following the procedures specified in [paragraphs \(b\), \(c\), \(d\), \(e\), and \(f\)](#) of this section, as applicable. [Section 60.8\(f\)](#) does not apply to this section. The 30-day notice required in [§ 60.8\(d\)](#) applies only to the initial performance test unless otherwise specified by the Administrator.

(b) The initial performance test required under [§ 60.8](#) shall be conducted over 30 consecutive operating days of the steam generating unit. Compliance with the percent reduction requirements and SO₂ emission limits under [§ 60.42c](#) shall be determined using a 30-day average. The first operating day included in the initial performance test shall be scheduled within 30 days after achieving the maximum production rate at which the affected facility will be operated, but not later than 180 days after the initial startup of the facility. The steam generating unit load during the 30-day period does not have to be the maximum design heat input capacity, but must be representative of future operating conditions.

(c) After the initial performance test required under [paragraph \(b\)](#) of this section and [§ 60.8](#), compliance with the percent reduction requirements and SO₂ emission limits under [§ 60.42c](#) is based on the average percent reduction and the average SO₂ emission rates for 30 consecutive steam generating unit operating days. A separate performance test is completed at the end of each steam generating unit operating day, and a new 30-day average percent reduction and SO₂ emission rate are calculated to show compliance with the standard.

(d) If only coal, only oil, or a mixture of coal and oil is combusted in an affected facility, the procedures in Method 19 of [appendix A of this part](#) are used to determine the hourly SO₂ emission rate (E_{ho}) and the 30-day average SO₂ emission rate (E_{ao}). The hourly averages used

to compute the 30-day averages are obtained from the CEMS. Method 19 of [appendix A of this part](#) shall be used to calculate E_{ao} when using daily fuel sampling or Method 6B of [appendix A of this part](#).

(e) If coal, oil, or coal and oil are combusted with other fuels:

(1) An adjusted E_{ho} (E_{hoO}) is used in Equation 19–19 of Method 19 of [appendix A of this part](#) to compute the adjusted E_{ao} (E_{aoO}). The E_{hoO} is computed using the following formula:

$$E_{hoO} = \frac{E_{ho} - E_w(1 - X_k)}{X_k}$$

Where:

E_{hoO} = Adjusted E_{ho} , ng/J (lb/MMBtu);

E_{ho} = Hourly SO_2 emission rate, ng/J (lb/MMBtu);

E_w = SO_2 concentration in fuels other than coal and oil combusted in the affected facility, as determined by fuel sampling and analysis procedures in Method 9 of [appendix A of this part](#), ng/J (lb/MMBtu). The value E_w for each fuel lot is used for each hourly average during the time that the lot is being combusted. The owner or operator does not have to measure E_w if the owner or operator elects to assume $E_w = 0$.

X_k = Fraction of the total heat input from fuel combustion derived from coal and oil, as determined by applicable procedures in Method 19 of [appendix A of this part](#).

(2) The owner or operator of an affected facility that qualifies under the provisions of [§ 60.42c\(c\)](#) or [\(d\)](#) (where percent reduction is not required) does not have to measure the parameters E_w or X_k if the owner or operator of the affected facility elects to measure emission rates of the coal or oil using the fuel sampling and analysis procedures under Method 19 of [appendix A of this part](#).

(f) Affected facilities subject to the percent reduction requirements under [§ 60.42c\(a\)](#) or [\(b\)](#) shall determine compliance with the SO_2 emission limits under [§ 60.42c](#) pursuant to [paragraphs \(d\)](#) or [\(e\)](#) of this section, and shall determine compliance with the percent reduction requirements using the following procedures:

(1) If only coal is combusted, the percent of potential SO_2 emission rate is computed using the following formula:

$$\%P_s = 100 \left(1 - \frac{\%R_g}{100} \right) \left(1 - \frac{\%R_f}{100} \right)$$

Where:

$\%P_s$ = Potential SO₂ emission rate, in percent;

$\%R_g$ = SO₂ removal efficiency of the control device as determined by Method 19 of [appendix A of this part](#), in percent; and

$\%R_f$ = SO₂ removal efficiency of fuel pretreatment as determined by Method 19 of [appendix A of this part](#), in percent.

(2) If coal, oil, or coal and oil are combusted with other fuels, the same procedures required in [paragraph \(f\)\(1\)](#) of this section are used, except as provided for in the following:

(i) To compute the $\%P_s$, an adjusted $\%R_g$ ($\%R_{gO}$) is computed from E_{aoO} from [paragraph \(e\)\(1\)](#) of this section and an adjusted average SO₂ inlet rate (E_{aiO}) using the following formula:

$$\%R_{gO} = 100 \left(1 - \frac{E_{aoO}}{E_{aiO}} \right)$$

Where:

$\%R_{gO}$ = Adjusted $\%R_g$, in percent;

E_{aoO} = Adjusted E_{ao} , ng/J (lb/MMBtu); and

E_{aiO} = Adjusted average SO₂ inlet rate, ng/J (lb/MMBtu).

(ii) To compute E_{aiO} , an adjusted hourly SO₂ inlet rate (E_{hiO}) is used. The E_{hiO} is computed using the following formula:

$$E_{hiO} = \frac{E_{hi} - E_{vo} (1 - X_v)}{X_v}$$

Where:

E_{hiO} = Adjusted E_{hi} , ng/J (lb/MMBtu);

E_{hi} = Hourly SO₂ inlet rate, ng/J (lb/MMBtu);

E_w = SO₂ concentration in fuels other than coal and oil combusted in the affected facility, as determined by fuel sampling and analysis procedures in Method 19 of [appendix A of this part](#), ng/J (lb/MMBtu). The value E_w for each fuel lot is used for each hourly average during the time that the lot is being combusted. The owner or operator does not have to measure E_w if the owner or operator elects to assume $E_w = 0$; and

X_k = Fraction of the total heat input from fuel combustion derived from coal and oil, as determined by applicable procedures in Method 19 of [appendix A of this part](#).

(g) For oil-fired affected facilities where the owner or operator seeks to demonstrate compliance with the fuel oil sulfur limits under [§ 60.42c](#) based on shipment fuel sampling, the initial performance test shall consist of sampling and analyzing the oil in the initial tank of oil to be fired in the steam generating unit to demonstrate that the oil contains 0.5 weight percent sulfur or less. Thereafter, the owner or operator of the affected facility shall sample the oil in the fuel tank after each new shipment of oil is received, as described under [§ 60.46c\(d\)\(2\)](#).

(h) For affected facilities subject to [§ 60.42c\(h\)\(1\)](#), [\(2\)](#), or [\(3\)](#) where the owner or operator seeks to demonstrate compliance with the SO₂ standards based on fuel supplier certification, the performance test shall consist of the certification from the fuel supplier, as described in [§ 60.48c\(f\)](#), as applicable.

(i) The owner or operator of an affected facility seeking to demonstrate compliance with the SO₂ standards under [§ 60.42c\(c\)\(2\)](#) shall demonstrate the maximum design heat input capacity of the steam generating unit by operating the steam generating unit at this capacity for 24 hours. This demonstration shall be made during the initial performance test, and a subsequent demonstration may be requested at any other time. If the demonstrated 24-hour average firing rate for the affected facility is less than the maximum design heat input capacity stated by the manufacturer of the affected facility, the demonstrated 24-hour average firing rate shall be used to determine the annual capacity factor for the affected facility; otherwise, the maximum design heat input capacity provided by the manufacturer shall be used.

(j) The owner or operator of an affected facility shall use all valid SO₂ emissions data in calculating %P_s and E_{ho} under [paragraphs \(d\)](#), [\(e\)](#), or [\(f\)](#) of this section, as applicable, whether or not the minimum emissions data requirements under [§ 60.46c\(f\)](#) are achieved. All valid emissions data, including valid data collected during periods of startup, shutdown, and malfunction, shall be used in calculating %P_s or E_{ho} pursuant to [paragraphs \(d\)](#), [\(e\)](#), or [\(f\)](#) of this section, as applicable.

[[72 FR 32759](#), June 13, 2007, as amended at [74 FR 5091](#), Jan. 28, 2009]

§ 60.45c Compliance and performance test methods and procedures for particulate matter.

(a) The owner or operator of an affected facility subject to the PM and/or opacity standards under [§ 60.43c](#) shall conduct an initial performance test as required under [§ 60.8](#), and shall conduct subsequent performance tests as requested by the Administrator, to determine compliance with the standards using the following procedures and reference methods, except as specified in [paragraph \(c\)](#) of this section.

(1) Method 1 of [appendix A of this part](#) shall be used to select the sampling site and the number of traverse sampling points.

(2) Method 3A or 3B of appendix A–2 of this part shall be used for gas analysis when applying Method 5 or 5B of appendix A–3 of this part or 17 of appendix A–6 of this part.

(3) Method 5, 5B, or 17 of [appendix A of this part](#) shall be used to measure the concentration of PM as follows:

(i) Method 5 of [appendix A of this part](#) may be used only at affected facilities without wet scrubber systems.

(ii) Method 17 of [appendix A of this part](#) may be used at affected facilities with or without wet scrubber systems provided the stack gas temperature does not exceed a temperature of 160 °C (320 °F). The procedures of Sections 8.1 and 11.1 of Method 5B of [appendix A of this part](#) may be used in Method 17 of [appendix A of this part](#) only if Method 17 of [appendix A of this part](#) is used in conjunction with a wet scrubber system. Method 17 of [appendix A of this part](#) shall not be used in conjunction with a wet scrubber system if the effluent is saturated or laden with water droplets.

(iii) Method 5B of [appendix A of this part](#) may be used in conjunction with a wet scrubber system.

(4) The sampling time for each run shall be at least 120 minutes and the minimum sampling volume shall be 1.7 dry standard cubic meters (dscm) [60 dry standard cubic feet (dscf)] except that smaller sampling times or volumes may be approved by the Administrator when necessitated by process variables or other factors.

(5) For Method 5 or 5B of [appendix A of this part](#), the temperature of the sample gas in the probe and filter holder shall be monitored and maintained at 160 ±14 °C (320±25 °F).

(6) For determination of PM emissions, an oxygen (O₂) or carbon dioxide (CO₂) measurement shall be obtained simultaneously with each run of Method 5, 5B, or 17 of [appendix A of this part](#) by traversing the duct at the same sampling location.

(7) For each run using Method 5, 5B, or 17 of [appendix A of this part](#), the emission rates expressed in ng/J (lb/MMBtu) heat input shall be determined using:

(i) The O₂ or CO₂ measurements and PM measurements obtained under this section,

(ii) The dry basis F factor, and

(iii) The dry basis emission rate calculation procedure contained in Method 19 of [appendix A of this part](#).

(8) Method 9 of appendix A-4 of this part shall be used for determining the opacity of stack emissions.

(b) The owner or operator of an affected facility seeking to demonstrate compliance with the PM standards under [§ 60.43c\(b\)\(2\)](#) shall demonstrate the maximum design heat input capacity of the steam generating unit by operating the steam generating unit at this capacity for 24 hours. This demonstration shall be made during the initial performance test, and a subsequent demonstration may be requested at any other time. If the demonstrated 24-hour average firing rate for the affected facility is less than the maximum design heat input capacity stated by the manufacturer of the affected facility, the demonstrated 24-hour average firing rate shall be used to determine the annual capacity factor for the affected facility; otherwise, the maximum design heat input capacity provided by the manufacturer shall be used.

(c) In place of PM testing with Method 5 or 5B of appendix A-3 of this part or Method 17 of appendix A-6 of this part, an owner or operator may elect to install, calibrate, maintain, and operate a CEMS for monitoring PM emissions discharged to the atmosphere and record the output of the system. The owner or operator of an affected facility who elects to continuously monitor PM emissions instead of conducting performance testing using Method 5 or 5B of appendix A-3 of this part or Method 17 of appendix A-6 of this part shall install, calibrate, maintain, and operate a CEMS and shall comply with the requirements specified in [paragraphs \(c\)\(1\) through \(c\)\(14\)](#) of this section.

(1) Notify the Administrator 1 month before starting use of the system.

(2) Notify the Administrator 1 month before stopping use of the system.

(3) The monitor shall be installed, evaluated, and operated in accordance with [§ 60.13](#) of [subpart A of this part](#).

(4) The initial performance evaluation shall be completed no later than 180 days after the date of initial startup of the affected facility, as specified under [§ 60.8](#) of [subpart A of this part](#) or within 180 days of notification to the Administrator of use of CEMS if the owner or operator was previously determining compliance by Method 5, 5B, or 17 of [appendix A of this part](#) performance tests, whichever is later.

(5) The owner or operator of an affected facility shall conduct an initial performance test for PM emissions as required under [§ 60.8](#) of [subpart A of this part](#). Compliance with the PM emission limit shall be determined by using the CEMS specified in [paragraph \(d\)](#) of this section to measure PM and calculating a 24-hour block arithmetic average emission concentration using EPA Reference Method 19 of [appendix A of this part, section 4.1](#).

(6) Compliance with the PM emission limit shall be determined based on the 24-hour daily (block) average of the hourly arithmetic average emission concentrations using CEMS outlet data.

(7) At a minimum, valid CEMS hourly averages shall be obtained as specified in [paragraph \(c\)\(7\)\(i\)](#) of this section for 75 percent of the total operating hours per 30-day rolling average.

(i) At least two data points per hour shall be used to calculate each 1-hour arithmetic average.

(ii) [Reserved]

(8) The 1-hour arithmetic averages required under [paragraph \(c\)\(7\)](#) of this section shall be expressed in ng/J or lb/MMBtu heat input and shall be used to calculate the boiler operating day daily arithmetic average emission concentrations. The 1-hour arithmetic averages shall be calculated using the data points required under [§ 60.13\(e\)\(2\)](#) of [subpart A of this part](#).

(9) All valid CEMS data shall be used in calculating average emission concentrations even if the minimum CEMS data requirements of [paragraph \(c\)\(7\)](#) of this section are not met.

(10) The CEMS shall be operated according to Performance Specification 11 in [appendix B of this part](#).

(11) During the correlation testing runs of the CEMS required by Performance Specification 11 in [appendix B of this part](#), PM and O₂ (or CO₂) data shall be collected concurrently (or within a 30- to 60-minute period) by both the continuous emission monitors and performance tests conducted using the following test methods.

(i) For PM, Method 5 or 5B of appendix A–3 of this part or Method 17 of appendix A–6 of this part shall be used; and

(ii) For O₂ (or CO₂), Method 3A or 3B of appendix A–2 of this part, as applicable shall be used.

(12) Quarterly accuracy determinations and daily calibration drift tests shall be performed in accordance with procedure 2 in [appendix F of this part](#). Relative Response Audit's must be performed annually and Response Correlation Audits must be performed every 3 years.

(13) When PM emissions data are not obtained because of CEMS breakdowns, repairs, calibration checks, and zero and span adjustments, emissions data shall be obtained by using other monitoring systems as approved by the Administrator or EPA Reference Method 19 of [appendix A of this part](#) to provide, as necessary, valid emissions data for a minimum of 75 percent of total operating hours on a 30-day rolling average.

(14) As of January 1, 2012, and within 90 days after the date of completing each performance test, as defined in [§ 60.8](#), conducted to demonstrate compliance with this subpart, you must

submit relative accuracy test audit (*i.e.*, reference method) data and performance test (*i.e.*, compliance test) data, except opacity data, electronically to EPA's Central Data Exchange (CDX) by using the Electronic Reporting Tool (ERT) (see http://www.epa.gov/ttn/chief/ert/ert_tool.html/) or other compatible electronic spreadsheet. Only data collected using test methods compatible with ERT are subject to this requirement to be submitted electronically into EPA's WebFIRE database.

(d) The owner or operator of an affected facility seeking to demonstrate compliance under [§ 60.43c\(e\)\(4\)](#) shall follow the applicable procedures under [§ 60.48c\(f\)](#). For residual oil-fired affected facilities, fuel supplier certifications are only allowed for facilities with heat input capacities between 2.9 and 8.7 MW (10 to 30 MMBtu/h).

[[72 FR 32759](#), June 13, 2007, as amended at [74 FR 5091](#), Jan. 28, 2009; [76 FR 3523](#), Jan. 20, 2011; [77 FR 9463](#), Feb. 16, 2012]

§ 60.46c Emission monitoring for sulfur dioxide.

(a) Except as provided in [paragraphs \(d\) and \(e\)](#) of this section, the owner or operator of an affected facility subject to the SO₂ emission limits under [§ 60.42c](#) shall install, calibrate, maintain, and operate a CEMS for measuring SO₂ concentrations and either O₂ or CO₂ concentrations at the outlet of the SO₂ control device (or the outlet of the steam generating unit if no SO₂ control device is used), and shall record the output of the system. The owner or operator of an affected facility subject to the percent reduction requirements under [§ 60.42c](#) shall measure SO₂ concentrations and either O₂ or CO₂ concentrations at both the inlet and outlet of the SO₂ control device.

(b) The 1-hour average SO₂ emission rates measured by a CEMS shall be expressed in ng/J or lb/MMBtu heat input and shall be used to calculate the average emission rates under [§ 60.42c](#). Each 1-hour average SO₂ emission rate must be based on at least 30 minutes of operation, and shall be calculated using the data points required under [§ 60.13\(h\)\(2\)](#). Hourly SO₂ emission rates are not calculated if the affected facility is operated less than 30 minutes in a 1-hour period and are not counted toward determination of a steam generating unit operating day.

(c) The procedures under [§ 60.13](#) shall be followed for installation, evaluation, and operation of the CEMS.

(1) All CEMS shall be operated in accordance with the applicable procedures under Performance Specifications 1, 2, and 3 of [appendix B of this part](#).

(2) Quarterly accuracy determinations and daily calibration drift tests shall be performed in accordance with Procedure 1 of [appendix F of this part](#).

(3) For affected facilities subject to the percent reduction requirements under [§ 60.42c](#), the span value of the SO₂ CEMS at the inlet to the SO₂ control device shall be 125 percent of the maximum estimated hourly potential SO₂ emission rate of the fuel combusted, and the span

value of the SO₂ CEMS at the outlet from the SO₂ control device shall be 50 percent of the maximum estimated hourly potential SO₂ emission rate of the fuel combusted.

(4) For affected facilities that are not subject to the percent reduction requirements of [§ 60.42c](#), the span value of the SO₂ CEMS at the outlet from the SO₂ control device (or outlet of the steam generating unit if no SO₂ control device is used) shall be 125 percent of the maximum estimated hourly potential SO₂ emission rate of the fuel combusted.

(d) As an alternative to operating a CEMS at the inlet to the SO₂ control device (or outlet of the steam generating unit if no SO₂ control device is used) as required under [paragraph \(a\)](#) of this section, an owner or operator may elect to determine the average SO₂ emission rate by sampling the fuel prior to combustion. As an alternative to operating a CEMS at the outlet from the SO₂ control device (or outlet of the steam generating unit if no SO₂ control device is used) as required under [paragraph \(a\)](#) of this section, an owner or operator may elect to determine the average SO₂ emission rate by using Method 6B of [appendix A of this part](#). Fuel sampling shall be conducted pursuant to either [paragraph \(d\)\(1\)](#) or [\(d\)\(2\)](#) of this section. Method 6B of [appendix A of this part](#) shall be conducted pursuant to [paragraph \(d\)\(3\)](#) of this section.

(1) For affected facilities combusting coal or oil, coal or oil samples shall be collected daily in an as-fired condition at the inlet to the steam generating unit and analyzed for sulfur content and heat content according to the Method 19 of [appendix A of this part](#). Method 19 of [appendix A of this part](#) provides procedures for converting these measurements into the format to be used in calculating the average SO₂ input rate.

(2) As an alternative fuel sampling procedure for affected facilities combusting oil, oil samples may be collected from the fuel tank for each steam generating unit immediately after the fuel tank is filled and before any oil is combusted. The owner or operator of the affected facility shall analyze the oil sample to determine the sulfur content of the oil. If a partially empty fuel tank is refilled, a new sample and analysis of the fuel in the tank would be required upon filling. Results of the fuel analysis taken after each new shipment of oil is received shall be used as the daily value when calculating the 30-day rolling average until the next shipment is received. If the fuel analysis shows that the sulfur content in the fuel tank is greater than 0.5 weight percent sulfur, the owner or operator shall ensure that the sulfur content of subsequent oil shipments is low enough to cause the 30-day rolling average sulfur content to be 0.5 weight percent sulfur or less.

(3) Method 6B of [appendix A of this part](#) may be used in lieu of CEMS to measure SO₂ at the inlet or outlet of the SO₂ control system. An initial stratification test is required to verify the adequacy of the Method 6B of [appendix A of this part](#) sampling location. The stratification test shall consist of three paired runs of a suitable SO₂ and CO₂ measurement train operated at the candidate location and a second similar train operated according to the procedures in [§ 3.2](#) and the applicable procedures in section 7 of Performance Specification 2 of [appendix B of this part](#). Method 6B of [appendix A of this part](#), Method 6A of [appendix A of this part](#), or a combination of Methods 6 and 3 of [appendix A of this part](#) or Methods 6C and 3A of [appendix A of this part](#) are suitable measurement techniques. If Method 6B of [appendix A of this part](#) is used for the second train, sampling time and timer operation may be adjusted for

the stratification test as long as an adequate sample volume is collected; however, both sampling trains are to be operated similarly. For the location to be adequate for Method 6B of [appendix A of this part](#) 24-hour tests, the mean of the absolute difference between the three paired runs must be less than 10 percent (0.10).

(e) The monitoring requirements of [paragraphs \(a\)](#) and [\(d\)](#) of this section shall not apply to affected facilities subject to [§ 60.42c\(h\) \(1\), \(2\), or \(3\)](#) where the owner or operator of the affected facility seeks to demonstrate compliance with the SO₂ standards based on fuel supplier certification, as described under [§ 60.48c\(f\)](#), as applicable.

(f) The owner or operator of an affected facility operating a CEMS pursuant to [paragraph \(a\)](#) of this section, or conducting as-fired fuel sampling pursuant to [paragraph \(d\)\(1\)](#) of this section, shall obtain emission data for at least 75 percent of the operating hours in at least 22 out of 30 successive steam generating unit operating days. If this minimum data requirement is not met with a single monitoring system, the owner or operator of the affected facility shall supplement the emission data with data collected with other monitoring systems as approved by the Administrator.

§ 60.47c Emission monitoring for particulate matter.

(a) Except as provided in [paragraphs \(c\), \(d\), \(e\), and \(f\)](#) of this section, the owner or operator of an affected facility combusting coal, oil, or wood that is subject to the opacity standards under [§ 60.43c](#) shall install, calibrate, maintain, and operate a continuous opacity monitoring system (COMS) for measuring the opacity of the emissions discharged to the atmosphere and record the output of the system. The owner or operator of an affected facility subject to an opacity standard in [§ 60.43c\(c\)](#) that is not required to use a COMS due to [paragraphs \(c\), \(d\), \(e\), or \(f\)](#) of this section that elects not to use a COMS shall conduct a performance test using Method 9 of appendix A–4 of this part and the procedures in [§ 60.11](#) to demonstrate compliance with the applicable limit in [§ 60.43c](#) by April 29, 2011, within 45 days of stopping use of an existing COMS, or within 180 days after initial startup of the facility, whichever is later, and shall comply with either [paragraphs \(a\)\(1\), \(a\)\(2\), or \(a\)\(3\)](#) of this section. The observation period for Method 9 of appendix A–4 of this part performance tests may be reduced from 3 hours to 60 minutes if all 6-minute averages are less than 10 percent and all individual 15-second observations are less than or equal to 20 percent during the initial 60 minutes of observation.

(1) Except as provided in [paragraph \(a\)\(2\)](#) and [\(a\)\(3\)](#) of this section, the owner or operator shall conduct subsequent Method 9 of appendix A–4 of this part performance tests using the procedures in [paragraph \(a\)](#) of this section according to the applicable schedule in [paragraphs \(a\)\(1\)\(i\) through \(a\)\(1\)\(iv\)](#) of this section, as determined by the most recent Method 9 of appendix A–4 of this part performance test results.

(i) If no visible emissions are observed, a subsequent Method 9 of appendix A–4 of this part performance test must be completed within 12 calendar months from the date that the most recent performance test was conducted or within 45 days of the next day that fuel with an opacity standard is combusted, whichever is later;

(ii) If visible emissions are observed but the maximum 6-minute average opacity is less than or equal to 5 percent, a subsequent Method 9 of appendix A–4 of this part performance test must be completed within 6 calendar months from the date that the most recent performance test was conducted or within 45 days of the next day that fuel with an opacity standard is combusted, whichever is later;

(iii) If the maximum 6-minute average opacity is greater than 5 percent but less than or equal to 10 percent, a subsequent Method 9 of appendix A–4 of this part performance test must be completed within 3 calendar months from the date that the most recent performance test was conducted or within 45 days of the next day that fuel with an opacity standard is combusted, whichever is later; or

(iv) If the maximum 6-minute average opacity is greater than 10 percent, a subsequent Method 9 of appendix A–4 of this part performance test must be completed within 45 calendar days from the date that the most recent performance test was conducted.

(2) If the maximum 6-minute opacity is less than 10 percent during the most recent Method 9 of appendix A–4 of this part performance test, the owner or operator may, as an alternative to performing subsequent Method 9 of appendix A–4 of this part performance tests, elect to perform subsequent monitoring using Method 22 of appendix A–7 of this part according to the procedures specified in [paragraphs \(a\)\(2\)\(i\) and \(ii\)](#) of this section.

(i) The owner or operator shall conduct 10 minute observations (during normal operation) each operating day the affected facility fires fuel for which an opacity standard is applicable using Method 22 of appendix A–7 of this part and demonstrate that the sum of the occurrences of any visible emissions is not in excess of 5 percent of the observation period (*i.e.*, 30 seconds per 10 minute period). If the sum of the occurrence of any visible emissions is greater than 30 seconds during the initial 10 minute observation, immediately conduct a 30 minute observation. If the sum of the occurrence of visible emissions is greater than 5 percent of the observation period (*i.e.*, 90 seconds per 30 minute period), the owner or operator shall either document and adjust the operation of the facility and demonstrate within 24 hours that the sum of the occurrence of visible emissions is equal to or less than 5 percent during a 30 minute observation (*i.e.*, 90 seconds) or conduct a new Method 9 of appendix A–4 of this part performance test using the procedures in [paragraph \(a\)](#) of this section within 45 calendar days according to the requirements in [§ 60.45c\(a\)\(8\)](#).

(ii) If no visible emissions are observed for 10 operating days during which an opacity standard is applicable, observations can be reduced to once every 7 operating days during which an opacity standard is applicable. If any visible emissions are observed, daily observations shall be resumed.

(3) If the maximum 6-minute opacity is less than 10 percent during the most recent Method 9 of appendix A–4 of this part performance test, the owner or operator may, as an alternative to performing subsequent Method 9 of appendix A–4 performance tests, elect to perform subsequent monitoring using a digital opacity compliance system according to a site-specific monitoring plan approved by the Administrator. The observations shall be similar, but not

necessarily identical, to the requirements in [paragraph \(a\)\(2\)](#) of this section. For reference purposes in preparing the monitoring plan, see OAQPS “Determination of Visible Emission Opacity from Stationary Sources Using Computer-Based Photographic Analysis Systems.” This document is available from the U.S. Environmental Protection Agency (U.S. EPA); Office of Air Quality and Planning Standards; Sector Policies and Programs Division; Measurement Policy Group (D243–02), Research Triangle Park, NC 27711. This document is also available on the Technology Transfer Network (TTN) under Emission Measurement Center Preliminary Methods.

(b) All COMS shall be operated in accordance with the applicable procedures under Performance Specification 1 of [appendix B of this part](#). The span value of the opacity COMS shall be between 60 and 80 percent.

(c) Owners and operators of an affected facilities that burn only distillate oil that contains no more than 0.5 weight percent sulfur and/or liquid or gaseous fuels with potential sulfur dioxide emission rates of 26 ng/J (0.060 lb/MMBtu) heat input or less and that do not use a post-combustion technology to reduce SO₂ or PM emissions and that are subject to an opacity standard in [§ 60.43c\(c\)](#) are not required to operate a COMS if they follow the applicable procedures in [§ 60.48c\(f\)](#).

(d) Owners or operators complying with the PM emission limit by using a PM CEMS must calibrate, maintain, operate, and record the output of the system for PM emissions discharged to the atmosphere as specified in [§ 60.45c\(c\)](#). The CEMS specified in paragraph [§ 60.45c\(c\)](#) shall be operated and data recorded during all periods of operation of the affected facility except for CEMS breakdowns and repairs. Data is recorded during calibration checks, and zero and span adjustments.

(e) Owners and operators of an affected facility that is subject to an opacity standard in [§ 60.43c\(c\)](#) and that does not use post-combustion technology (except a wet scrubber) for reducing PM, SO₂, or carbon monoxide (CO) emissions, burns only gaseous fuels or fuel oils that contain less than or equal to 0.5 weight percent sulfur, and is operated such that emissions of CO discharged to the atmosphere from the affected facility are maintained at levels less than or equal to 0.15 lb/MMBtu on a boiler operating day average basis is not required to operate a COMS. Owners and operators of affected facilities electing to comply with this paragraph must demonstrate compliance according to the procedures specified in [paragraphs \(e\)\(1\)](#) through [\(4\)](#) of this section; or

(1) You must monitor CO emissions using a CEMS according to the procedures specified in [paragraphs \(e\)\(1\)\(i\)](#) through [\(iv\)](#) of this section.

(i) The CO CEMS must be installed, certified, maintained, and operated according to the provisions in [§ 60.58b\(i\)\(3\)](#) of [subpart Eb of this part](#).

(ii) Each 1-hour CO emissions average is calculated using the data points generated by the CO CEMS expressed in parts per million by volume corrected to 3 percent oxygen (dry basis).

(iii) At a minimum, valid 1-hour CO emissions averages must be obtained for at least 90 percent of the operating hours on a 30-day rolling average basis. The 1-hour averages are calculated using the data points required in [§ 60.13\(h\)\(2\)](#).

(iv) Quarterly accuracy determinations and daily calibration drift tests for the CO CEMS must be performed in accordance with procedure 1 in [appendix F of this part](#).

(2) You must calculate the 1-hour average CO emissions levels for each steam generating unit operating day by multiplying the average hourly CO output concentration measured by the CO CEMS times the corresponding average hourly flue gas flow rate and divided by the corresponding average hourly heat input to the affected source. The 24-hour average CO emission level is determined by calculating the arithmetic average of the hourly CO emission levels computed for each steam generating unit operating day.

(3) You must evaluate the preceding 24-hour average CO emission level each steam generating unit operating day excluding periods of affected source startup, shutdown, or malfunction. If the 24-hour average CO emission level is greater than 0.15 lb/MMBtu, you must initiate investigation of the relevant equipment and control systems within 24 hours of the first discovery of the high emission incident and, take the appropriate corrective action as soon as practicable to adjust control settings or repair equipment to reduce the 24-hour average CO emission level to 0.15 lb/MMBtu or less.

(4) You must record the CO measurements and calculations performed according to [paragraph \(e\)](#) of this section and any corrective actions taken. The record of corrective action taken must include the date and time during which the 24-hour average CO emission level was greater than 0.15 lb/MMBtu, and the date, time, and description of the corrective action.

(f) An owner or operator of an affected facility that is subject to an opacity standard in [§ 60.43c\(c\)](#) is not required to operate a COMS provided that the affected facility meets the conditions in either [paragraphs \(f\)\(1\), \(2\), or \(3\)](#) of this section.

(1) The affected facility uses a fabric filter (baghouse) as the primary PM control device and, the owner or operator operates a bag leak detection system to monitor the performance of the fabric filter according to the requirements in section [§ 60.48Da of this part](#).

(2) The affected facility uses an ESP as the primary PM control device, and the owner or operator uses an ESP predictive model to monitor the performance of the ESP developed in accordance and operated according to the requirements in section [§ 60.48Da of this part](#).

(3) The affected facility burns only gaseous fuels and/or fuel oils that contain no greater than 0.5 weight percent sulfur, and the owner or operator operates the unit according to a written site-specific monitoring plan approved by the permitting authority. This monitoring plan must include procedures and criteria for establishing and monitoring specific parameters for the affected facility indicative of compliance with the opacity standard. For testing performed as part of this site-specific monitoring plan, the permitting authority may require as an alternative to the notification and reporting requirements specified in [§§ 60.8 and 60.11](#) that

the owner or operator submit any deviations with the excess emissions report required under [§ 60.48c\(c\)](#).

[[72 FR 32759](#), June 13, 2007, as amended at [74 FR 5091](#), Jan. 28, 2009; [76 FR 3523](#), Jan. 20, 2011; [77 FR 9463](#), Feb. 16, 2012]

§ 60.48c Reporting and recordkeeping requirements.

(a) The owner or operator of each affected facility shall submit notification of the date of construction or reconstruction and actual startup, as provided by [§ 60.7 of this part](#). This notification shall include:

(1) The design heat input capacity of the affected facility and identification of fuels to be combusted in the affected facility.

(2) If applicable, a copy of any federally enforceable requirement that limits the annual capacity factor for any fuel or mixture of fuels under [§ 60.42c](#), or [§ 60.43c](#).

(3) The annual capacity factor at which the owner or operator anticipates operating the affected facility based on all fuels fired and based on each individual fuel fired.

(4) Notification if an emerging technology will be used for controlling SO₂ emissions. The Administrator will examine the description of the control device and will determine whether the technology qualifies as an emerging technology. In making this determination, the Administrator may require the owner or operator of the affected facility to submit additional information concerning the control device. The affected facility is subject to the provisions of [§ 60.42c\(a\)](#) or [\(b\)\(1\)](#), unless and until this determination is made by the Administrator.

(b) The owner or operator of each affected facility subject to the SO₂ emission limits of [§ 60.42c](#), or the PM or opacity limits of [§ 60.43c](#), shall submit to the Administrator the performance test data from the initial and any subsequent performance tests and, if applicable, the performance evaluation of the CEMS and/or COMS using the applicable performance specifications in [appendix B of this part](#).

(c) In addition to the applicable requirements in [§ 60.7](#), the owner or operator of an affected facility subject to the opacity limits in [§ 60.43c\(c\)](#) shall submit excess emission reports for any excess emissions from the affected facility that occur during the reporting period and maintain records according to the requirements specified in [paragraphs \(c\)\(1\)](#) through [\(3\)](#) of this section, as applicable to the visible emissions monitoring method used.

(1) For each performance test conducted using Method 9 of appendix A-4 of this part, the owner or operator shall keep the records including the information specified in [paragraphs \(c\)\(1\)\(i\)](#) through [\(iii\)](#) of this section.

(i) Dates and time intervals of all opacity observation periods;

(ii) Name, affiliation, and copy of current visible emission reading certification for each visible emission observer participating in the performance test; and

(iii) Copies of all visible emission observer opacity field data sheets;

(2) For each performance test conducted using Method 22 of appendix A-4 of this part, the owner or operator shall keep the records including the information specified in [paragraphs \(c\)\(2\)\(i\) through \(iv\)](#) of this section.

(i) Dates and time intervals of all visible emissions observation periods;

(ii) Name and affiliation for each visible emission observer participating in the performance test;

(iii) Copies of all visible emission observer opacity field data sheets; and

(iv) Documentation of any adjustments made and the time the adjustments were completed to the affected facility operation by the owner or operator to demonstrate compliance with the applicable monitoring requirements.

(3) For each digital opacity compliance system, the owner or operator shall maintain records and submit reports according to the requirements specified in the site-specific monitoring plan approved by the Administrator

(d) The owner or operator of each affected facility subject to the SO₂ emission limits, fuel oil sulfur limits, or percent reduction requirements under [§ 60.42c](#) shall submit reports to the Administrator.

(e) The owner or operator of each affected facility subject to the SO₂ emission limits, fuel oil sulfur limits, or percent reduction requirements under [§ 60.42c](#) shall keep records and submit reports as required under [paragraph \(d\)](#) of this section, including the following information, as applicable.

(1) Calendar dates covered in the reporting period.

(2) Each 30-day average SO₂ emission rate (ng/J or lb/MMBtu), or 30-day average sulfur content (weight percent), calculated during the reporting period, ending with the last 30-day period; reasons for any noncompliance with the emission standards; and a description of corrective actions taken.

(3) Each 30-day average percent of potential SO₂ emission rate calculated during the reporting period, ending with the last 30-day period; reasons for any noncompliance with the emission standards; and a description of the corrective actions taken.

(4) Identification of any steam generating unit operating days for which SO₂ or diluent (O₂ or CO₂) data have not been obtained by an approved method for at least 75 percent of the

operating hours; justification for not obtaining sufficient data; and a description of corrective actions taken.

(5) Identification of any times when emissions data have been excluded from the calculation of average emission rates; justification for excluding data; and a description of corrective actions taken if data have been excluded for periods other than those during which coal or oil were not combusted in the steam generating unit.

(6) Identification of the F factor used in calculations, method of determination, and type of fuel combusted.

(7) Identification of whether averages have been obtained based on CEMS rather than manual sampling methods.

(8) If a CEMS is used, identification of any times when the pollutant concentration exceeded the full span of the CEMS.

(9) If a CEMS is used, description of any modifications to the CEMS that could affect the ability of the CEMS to comply with Performance Specifications 2 or 3 of [appendix B of this part](#).

(10) If a CEMS is used, results of daily CEMS drift tests and quarterly accuracy assessments as required under appendix F, Procedure 1 of this part.

(11) If fuel supplier certification is used to demonstrate compliance, records of fuel supplier certification as described under [paragraph \(f\)\(1\), \(2\), \(3\), or \(4\)](#) of this section, as applicable. In addition to records of fuel supplier certifications, the report shall include a certified statement signed by the owner or operator of the affected facility that the records of fuel supplier certifications submitted represent all of the fuel combusted during the reporting period.

(f) Fuel supplier certification shall include the following information:

(1) For distillate oil:

(i) The name of the oil supplier;

(ii) A statement from the oil supplier that the oil complies with the specifications under the definition of distillate oil in [§ 60.41c](#); and

(iii) The sulfur content or maximum sulfur content of the oil.

(2) For residual oil:

(i) The name of the oil supplier;

(ii) The location of the oil when the sample was drawn for analysis to determine the sulfur content of the oil, specifically including whether the oil was sampled as delivered to the affected facility, or whether the sample was drawn from oil in storage at the oil supplier's or oil refiner's facility, or other location;

(iii) The sulfur content of the oil from which the shipment came (or of the shipment itself); and

(iv) The method used to determine the sulfur content of the oil.

(3) For coal:

(i) The name of the coal supplier;

(ii) The location of the coal when the sample was collected for analysis to determine the properties of the coal, specifically including whether the coal was sampled as delivered to the affected facility or whether the sample was collected from coal in storage at the mine, at a coal preparation plant, at a coal supplier's facility, or at another location. The certification shall include the name of the coal mine (and coal seam), coal storage facility, or coal preparation plant (where the sample was collected);

(iii) The results of the analysis of the coal from which the shipment came (or of the shipment itself) including the sulfur content, moisture content, ash content, and heat content; and

(iv) The methods used to determine the properties of the coal.

(4) For other fuels:

(i) The name of the supplier of the fuel;

(ii) The potential sulfur emissions rate or maximum potential sulfur emissions rate of the fuel in ng/J heat input; and

(iii) The method used to determine the potential sulfur emissions rate of the fuel.

(g)

(1) Except as provided under [paragraphs \(g\)\(2\)](#) and [\(g\)\(3\)](#) of this section, the owner or operator of each affected facility shall record and maintain records of the amount of each fuel combusted during each operating day.

(2) As an alternative to meeting the requirements of [paragraph \(g\)\(1\)](#) of this section, the owner or operator of an affected facility that combusts only natural gas, wood, fuels using fuel certification in [§ 60.48c\(f\)](#) to demonstrate compliance with the SO₂ standard, fuels not subject to an emissions standard (excluding opacity), or a mixture of these fuels may elect to

record and maintain records of the amount of each fuel combusted during each calendar month.

(3) As an alternative to meeting the requirements of [paragraph \(g\)\(1\)](#) of this section, the owner or operator of an affected facility or multiple affected facilities located on a contiguous property unit where the only fuels combusted in any steam generating unit (including steam generating units not subject to this subpart) at that property are natural gas, wood, distillate oil meeting the most current requirements in [§ 60.42C](#) to use fuel certification to demonstrate compliance with the SO₂ standard, and/or fuels, excluding coal and residual oil, not subject to an emissions standard (excluding opacity) may elect to record and maintain records of the total amount of each steam generating unit fuel delivered to that property during each calendar month.

(h) The owner or operator of each affected facility subject to a federally enforceable requirement limiting the annual capacity factor for any fuel or mixture of fuels under [§ 60.42c](#) or [§ 60.43c](#) shall calculate the annual capacity factor individually for each fuel combusted. The annual capacity factor is determined on a 12-month rolling average basis with a new annual capacity factor calculated at the end of the calendar month.

(i) All records required under this section shall be maintained by the owner or operator of the affected facility for a period of two years following the date of such record.

(j) The reporting period for the reports required under this subpart is each six-month period. All reports shall be submitted to the Administrator and shall be postmarked by the 30th day following the end of the reporting period.

[[72 FR 32759](#), June 13, 2007, as amended at [74 FR 5091](#), Jan. 28, 2009]

Appendix B

Subpart JJJJJ

*National Emission Standards for Hazardous Air Pollutants for
Industrial, Commercial, and Institutional Boilers Area Sources*

Subpart JJJJJ—National Emission Standards for Hazardous Air Pollutants for Industrial, Commercial, and Institutional Boilers Area Sources

Source: [76 FR 15591](#), Mar. 21, 2011, unless otherwise noted.

What This Subpart Covers

§ 63.11193 Am I subject to this subpart?

You are subject to this subpart if you own or operate an industrial, commercial, or institutional boiler as defined in [§ 63.11237](#) that is located at, or is part of, an area source of hazardous air pollutants (HAP), as defined in [§ 63.2](#), except as specified in [§ 63.11195](#).

§ 63.11194 What is the affected source of this subpart?

(a) This subpart applies to each new, reconstructed, or existing affected source as defined in [paragraphs \(a\)\(1\)](#) and [\(2\)](#) of this section.

(1) The affected source of this subpart is the collection of all existing industrial, commercial, and institutional boilers within a subcategory, as listed in [§ 63.11200](#) and defined in [§ 63.11237](#), located at an area source.

(2) The affected source of this subpart is each new or reconstructed industrial, commercial, or institutional boiler within a subcategory, as listed in [§ 63.11200](#) and as defined in [§ 63.11237](#), located at an area source.

(b) An affected source is an existing source if you commenced construction or reconstruction of the affected source on or before June 4, 2010.

(c) An affected source is a new source if you commenced construction of the affected source after June 4, 2010, and the boiler meets the applicability criteria at the time you commence construction.

(d) An affected source is a reconstructed source if the boiler meets the reconstruction criteria as defined in [§ 63.2](#), you commenced reconstruction after June 4, 2010, and the boiler meets the applicability criteria at the time you commence reconstruction.

(e) An existing dual-fuel fired boiler meeting the definition of gas-fired boiler, as defined in [§ 63.11237](#), that meets the applicability requirements of this subpart after June 4, 2010 due to a fuel switch from gaseous fuel to solid fossil fuel, biomass, or liquid fuel is considered to be an existing source under this subpart as long as the boiler was designed to accommodate the alternate fuel.

(f) If you are an owner or operator of an area source subject to this subpart, you are exempt from the obligation to obtain a permit under [40 CFR part 70](#) or part 71 as a result of this subpart. You may, however, be required to obtain a title V permit due to another reason or reasons. See [40 CFR 70.3\(a\)](#) and [\(b\)](#) or [71.3\(a\)](#) and [\(b\)](#). Notwithstanding the exemption from title V permitting for area sources under this subpart, you must continue to comply with the provisions of this subpart.

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7506](#), Feb. 1, 2013]

§ 63.11195 Are any boilers not subject to this subpart?

The types of boilers listed in [paragraphs \(a\)](#) through [\(k\)](#) of this section are not subject to this subpart and to any requirements in this subpart.

(a) Any boiler specifically listed as, or included in the definition of, an affected source in another standard(s) under this part.

(b) Any boiler specifically listed as an affected source in another standard(s) established under section 129 of the Clean Air Act.

(c) A boiler required to have a permit under section 3005 of the Solid Waste Disposal Act or covered by [subpart EEE of this part](#) (e.g., hazardous waste boilers).

(d) A boiler that is used specifically for research and development. This exemption does not include boilers that solely or primarily provide steam (or heat) to a process or for heating at a research and development facility. This exemption does not prohibit the use of the steam (or heat) generated from the boiler during research and development, however, the boiler must be concurrently and primarily engaged in research and development for the exemption to apply.

(e) A gas-fired boiler as defined in this subpart.

(f) A hot water heater as defined in this subpart.

(g) Any boiler that is used as a control device to comply with another subpart of this [part, or part 60, part 61, or part 65 of this chapter](#) provided that at least 50 percent of the average annual heat input during any 3 consecutive calendar years to the boiler is provided by regulated gas streams that are subject to another standard.

(h) Temporary boilers as defined in this subpart.

(i) Residential boilers as defined in this subpart.

(j) Electric boilers as defined in this subpart.

(k) An electric utility steam generating unit (EGU) as defined in this subpart.

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7506](#), Feb. 1, 2013; [81 FR 63125](#), Sept. 14, 2016]

§ 63.11196 What are my compliance dates?

(a) If you own or operate an existing affected boiler, you must achieve compliance with the applicable provisions in this subpart as specified in [paragraphs \(a\)\(1\)](#) through [\(3\)](#) of this section.

(1) If the existing affected boiler is subject to a work practice or management practice standard of a tune-up, you must achieve compliance with the work practice or management practice standard no later than March 21, 2014.

(2) If the existing affected boiler is subject to emission limits, you must achieve compliance with the emission limits no later than March 21, 2014.

(3) If the existing affected boiler is subject to the energy assessment requirement, you must achieve compliance with the energy assessment requirement no later than March 21, 2014.

(b) If you start up a new affected source on or before May 20, 2011, you must achieve compliance with the provisions of this subpart no later than May 20, 2011.

(c) If you start up a new affected source after May 20, 2011, you must achieve compliance with the provisions of this subpart upon startup of your affected source.

(d) If you own or operate an industrial, commercial, or institutional boiler and would be subject to this subpart except for the exemption in [§ 63.11195\(b\)](#) for commercial and industrial solid waste incineration units covered by [40 CFR part 60, subpart CCCC](#) or subpart DDDD, and you cease combusting solid waste, you must be in compliance with this subpart on the effective date of the waste to fuel switch as specified in [§ 60.2145\(a\)\(2\)](#) and [\(3\)](#) of subpart CCCC or [§ 60.2710\(a\)\(2\)](#) and [\(3\)](#) of subpart DDDD.

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7506](#), Feb. 1, 2013]

Emission Limits, Work Practice Standards, Emission Reduction Measures, and Management Practices

§ 63.11200 What are the subcategories of boilers?

The subcategories of boilers, as defined in [§ 63.11237](#) are:

(a) Coal.

(b) Biomass.

(c) Oil.

(d) Seasonal boilers.

(e) Oil-fired boilers with heat input capacity of equal to or less than 5 million British thermal units (Btu) per hour.

(f) Boilers with an oxygen trim system that maintains an optimum air-to-fuel ratio that would otherwise be subject to a biennial tune-up.

(g) Limited-use boilers.

[[78 FR 7506](#), Feb. 1, 2013]

§ 63.11201 What standards must I meet?

(a) You must comply with each emission limit specified in Table 1 to this subpart that applies to your boiler.

(b) You must comply with each work practice standard, emission reduction measure, and management practice specified in Table 2 to this subpart that applies to your boiler. An energy assessment completed on or after January 1, 2008 that meets or is amended to meet the energy assessment requirements in Table 2 to this subpart satisfies the energy assessment requirement. A facility that operates under an energy management program established through energy management systems compatible with ISO 50001, that includes the affected units, also satisfies the energy assessment requirement.

(c) You must comply with each operating limit specified in Table 3 to this subpart that applies to your boiler.

(d) These standards apply at all times the affected boiler is operating, except during periods of startup and shutdown as defined in [§ 63.11237](#), during which time you must comply only with Table 2 to this subpart.

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7506](#), Feb. 1, 2013]

General Compliance Requirements

§ 63.11205 What are my general requirements for complying with this subpart?

(a) At all times you must operate and maintain any affected source, including associated air pollution control equipment and monitoring equipment, in a manner consistent with safety and good air pollution control practices for minimizing emissions. The general duty to minimize emissions does not require you to make any further efforts to reduce emissions if levels

required by this standard have been achieved. Determination of whether such operation and maintenance procedures are being used will be based on information available to the Administrator that may include, but is not limited to, monitoring results, review of operation and maintenance procedures, review of operation and maintenance records, and inspection of the source.

(b) You must demonstrate compliance with all applicable emission limits using performance stack testing, fuel analysis, or a continuous monitoring system (CMS), including a continuous emission monitoring system (CEMS), a continuous opacity monitoring system (COMS), or a continuous parameter monitoring system (CPMS), where applicable. You may demonstrate compliance with the applicable mercury emission limit using fuel analysis if the emission rate calculated according to [§ 63.11211\(c\)](#) is less than the applicable emission limit. Otherwise, you must demonstrate compliance using stack testing.

(c) If you demonstrate compliance with any applicable emission limit through performance stack testing and subsequent compliance with operating limits (including the use of CPMS), with a CEMS, or with a COMS, you must develop a site-specific monitoring plan according to the requirements in [paragraphs \(c\)\(1\)](#) through [\(3\)](#) of this section for the use of any CEMS, COMS, or CPMS. This requirement also applies to you if you petition the EPA Administrator for alternative monitoring parameters under [§ 63.8\(f\)](#).

(1) For each CMS required in this section (including CEMS, COMS, or CPMS), you must develop, and submit to the Administrator for approval upon request, a site-specific monitoring plan that addresses [paragraphs \(c\)\(1\)\(i\)](#) through [\(vi\)](#) of this section. You must submit this site-specific monitoring plan, if requested, at least 60 days before your initial performance evaluation of your CMS. This requirement to develop and submit a site-specific monitoring plan does not apply to affected sources with existing CEMS or COMS operated according to the performance specifications under appendix B to [part 60 of this chapter](#) and that meet the requirements of [§ 63.11224](#).

(i) Installation of the CMS sampling probe or other interface at a measurement location relative to each affected process unit such that the measurement is representative of control of the exhaust emissions (e.g., on or downstream of the last control device);

(ii) Performance and equipment specifications for the sample interface, the pollutant concentration or parametric signal analyzer, and the data collection and reduction systems; and

(iii) Performance evaluation procedures and acceptance criteria (e.g., calibrations).

(iv) Ongoing operation and maintenance procedures in accordance with the general requirements of [§ 63.8\(c\)\(1\)\(ii\)](#), [\(c\)\(3\)](#), and [\(c\)\(4\)\(ii\)](#);

(v) Ongoing data quality assurance procedures in accordance with the general requirements of [§ 63.8\(d\)](#); and

(vi) Ongoing recordkeeping and reporting procedures in accordance with the general requirements of [§ 63.10\(c\)](#) (as applicable in Table 8 to this subpart), (e)(1), and (e)(2)(i).

(2) You must conduct a performance evaluation of each CMS in accordance with your site-specific monitoring plan.

(3) You must operate and maintain the CMS in continuous operation according to the site-specific monitoring plan.

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7506](#), Feb. 1, 2013]

Initial Compliance Requirements

§ 63.11210 What are my initial compliance requirements and by what date must I conduct them?

(a) You must demonstrate initial compliance with each emission limit specified in Table 1 to this subpart that applies to you by either conducting performance (stack) tests, as applicable, according to [§ 63.11212](#) and Table 4 to this subpart or, for mercury, conducting fuel analyses, as applicable, according to [§ 63.11213](#) and Table 5 to this subpart.

(b) For existing affected boilers that have applicable emission limits, you must demonstrate initial compliance with the applicable emission limits no later than 180 days after the compliance date that is specified in [§ 63.11196](#) and according to the applicable provisions in [§ 63.7\(a\)\(2\)](#), except as provided in [paragraph \(k\)](#) of this section.

(c) For existing affected boilers that have applicable work practice standards, management practices, or emission reduction measures, you must demonstrate initial compliance no later than the compliance date that is specified in [§ 63.11196](#) and according to the applicable provisions in [§ 63.7\(a\)\(2\)](#), except as provided in [paragraph \(j\)](#) of this section.

(d) For new or reconstructed affected boilers that have applicable emission limits, you must demonstrate initial compliance with the applicable emission limits no later than 180 days after March 21, 2011 or within 180 days after startup of the source, whichever is later, according to [§ 63.7\(a\)\(2\)\(ix\)](#).

(e) For new or reconstructed oil-fired boilers that commenced construction or reconstruction on or before September 14, 2016, that combust only oil that contains no more than 0.50 weight percent sulfur or a mixture of 0.50 weight percent sulfur oil with other fuels not subject to a particulate matter (PM) emission limit under this subpart and that do not use a post-combustion technology (except a wet scrubber) to reduce PM or sulfur dioxide emissions, you are not subject to the PM emission limit in Table 1 of this subpart until September 14, 2019, providing you monitor and record on a monthly basis the type of fuel combusted. If you intend to burn a new type of fuel or fuel mixture that does not meet the requirements of this paragraph, you must conduct a performance test within 60 days of burning the new fuel. On and after

September 14, 2019, you are subject to the PM emission limit in Table 1 of this subpart and you must demonstrate compliance with the PM emission limit in Table 1 no later than March 12, 2020.

(f) For new or reconstructed boilers that combust only ultra-low-sulfur liquid fuel as defined in [§ 63.11237](#), you are not subject to the PM emission limit in Table 1 of this subpart providing you monitor and record on a monthly basis the type of fuel combusted. If you intend to burn a fuel other than ultra-low-sulfur liquid fuel or gaseous fuels as defined in [§ 63.11237](#), you must conduct a performance test within 60 days of burning the new fuel.

(g) For new or reconstructed affected boilers that have applicable work practice standards or management practices, you are not required to complete an initial performance tune-up, but you are required to complete the applicable biennial or 5-year tune-up as specified in [§ 63.11223](#) no later than 25 months or 61 months, respectively, after the initial startup of the new or reconstructed affected source.

(h) For affected boilers that ceased burning solid waste consistent with [§ 63.11196\(d\)](#) and for which your initial compliance date has passed, you must demonstrate compliance within 60 days of the effective date of the waste-to-fuel switch as specified in [§ 60.2145\(a\)\(2\)](#) and [\(3\)](#) of subpart CCCC or [§ 60.2710\(a\)\(2\)](#) and [\(3\)](#) of subpart DDDD. If you have not conducted your compliance demonstration for this subpart within the previous 12 months, you must complete all compliance demonstrations for this subpart before you commence or recommence combustion of solid waste.

(i) For affected boilers that switch fuels or make a physical change to the boiler that results in the applicability of a different subcategory within subpart JJJJJ or the boiler becoming subject to subpart JJJJJ, you must demonstrate compliance within 180 days of the effective date of the fuel switch or the physical change. Notification of such changes must be submitted according to [§ 63.11225\(g\)](#).

(j) For boilers located at existing major sources of HAP that limit their potential to emit (*e.g.*, make a physical change or take a permit limit) such that the existing major source becomes an area source, you must comply with the applicable provisions as specified in [paragraphs \(j\)\(1\) through \(3\)](#) of this section.

(1) Any such existing boiler at the existing source must demonstrate compliance with subpart JJJJJ within 180 days of the later of March 21, 2014 or upon the existing major source commencing operation as an area source.

(2) Any new or reconstructed boiler at the existing source must demonstrate compliance with subpart JJJJJ within 180 days of the later of March 21, 2011 or startup.

(3) Notification of such changes must be submitted according to [§ 63.11225\(g\)](#).

(k) For existing affected boilers that have not operated on solid fossil fuel, biomass, or liquid fuel between the effective date of the rule and the compliance date that is specified for your

source in [§ 63.11196](#), you must comply with the applicable provisions as specified in [paragraphs \(k\)\(1\)](#) through [\(3\)](#) of this section.

(1) You must complete the initial compliance demonstration, if subject to the emission limits in Table 1 to this subpart, as specified in [paragraphs \(a\)](#) and [\(b\)](#) of this section, no later than 180 days after the re-start of the affected boiler on solid fossil fuel, biomass, or liquid fuel and according to the applicable provisions in [§ 63.7\(a\)\(2\)](#).

(2) You must complete the initial performance tune-up, if subject to the tune-up requirements in [§ 63.11223](#), by following the procedures described in [§ 63.11223\(b\)](#) no later than 30 days after the re-start of the affected boiler on solid fossil fuel, biomass, or liquid fuel.

(3) You must complete the one-time energy assessment, if subject to the energy assessment requirements specified in Table 2 to this subpart, no later than the compliance date specified in [§ 63.11196](#).

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7507](#), Feb. 1, 2013; [81 FR 63125](#), Sept. 14, 2016]

§ 63.11211 How do I demonstrate initial compliance with the emission limits?

(a) For affected boilers that demonstrate compliance with any of the emission limits of this subpart through performance (stack) testing, your initial compliance requirements include conducting performance tests according to [§ 63.11212](#) and Table 4 to this subpart, conducting a fuel analysis for each type of fuel burned in your boiler according to [§ 63.11213](#) and Table 5 to this subpart, establishing operating limits according to [§ 63.11222](#), Table 6 to this subpart and [paragraph \(b\)](#) of this section, as applicable, and conducting CMS performance evaluations according to [§ 63.11224](#). For affected boilers that burn a single type of fuel, you are exempted from the compliance requirements of conducting a fuel analysis for each type of fuel burned in your boiler. For purposes of this subpart, boilers that use a supplemental fuel only for startup, unit shutdown, and transient flame stability purposes still qualify as affected boilers that burn a single type of fuel, and the supplemental fuel is not subject to the fuel analysis requirements under [§ 63.11213](#) and Table 5 to this subpart.

(b) You must establish parameter operating limits according to [paragraphs \(b\)\(1\)](#) through [\(4\)](#) of this section.

(1) For a wet scrubber, you must establish the minimum scrubber liquid flow rate and minimum scrubber pressure drop as defined in [§ 63.11237](#), as your operating limits during the three-run performance stack test. If you use a wet scrubber and you conduct separate performance stack tests for PM and mercury emissions, you must establish one set of minimum scrubber liquid flow rate and pressure drop operating limits. If you conduct multiple performance stack tests, you must set the minimum scrubber liquid flow rate and

pressure drop operating limits at the highest minimum values established during the performance stack tests.

(2) For an electrostatic precipitator operated with a wet scrubber, you must establish the minimum total secondary electric power (secondary voltage and secondary current), as defined in [§ 63.11237](#), as your operating limits during the three-run performance stack test.

(3) For activated carbon injection, you must establish the minimum activated carbon injection rate, as defined in [§ 63.11237](#), as your operating limit during the three-run performance stack test.

(4) The operating limit for boilers with fabric filters that demonstrate continuous compliance through bag leak detection systems is that a bag leak detection system be installed according to the requirements in [§ 63.11224](#), and that each fabric filter must be operated such that the bag leak detection system alarm does not sound more than 5 percent of the operating time during a 6-month period.

(c) If you elect to demonstrate compliance with an applicable mercury emission limit through fuel analysis, you must conduct fuel analyses according to [§ 63.11213](#) and Table 5 to this subpart and follow the procedures in [paragraphs \(c\)\(1\)](#) through [\(3\)](#) of this section.

(1) If you burn more than one fuel type, you must determine the fuel type, or mixture, you could burn in your boiler that would result in the maximum emission rates of mercury.

(2) You must determine the 90th percentile confidence level fuel mercury concentration of the composite samples analyzed for each fuel type using Equation 1 of this section.

$$P_{90} = \text{mean} + (\text{SD} * t) \quad (\text{Eq. 1})$$

Where:

P_{90} = 90th percentile confidence level mercury concentration, in pounds per million Btu.

mean = Arithmetic average of the fuel mercury concentration in the fuel samples analyzed according to [§ 63.11213](#), in units of pounds per million Btu.

SD = Standard deviation of the mercury concentration in the fuel samples analyzed according to [§ 63.11213](#), in units of pounds per million Btu.

t = t distribution critical value for 90th percentile (0.1) probability for the appropriate degrees of freedom (number of samples minus one) as obtained from a Distribution Critical Value Table.

(3) To demonstrate compliance with the applicable mercury emission limit, the emission rate that you calculate for your boiler using Equation 1 of this section must be less than the applicable mercury emission limit.

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7508](#), Feb. 1, 2013]

§ 63.11212 What stack tests and procedures must I use for the performance tests?

- (a) You must conduct all performance tests according to [§ 63.7\(c\)](#), [\(d\)](#), [\(f\)](#), and [\(h\)](#). You must also develop a site-specific test plan according to the requirements in [§ 63.7\(c\)](#).
- (b) You must conduct each stack test according to the requirements in Table 4 to this subpart. Boilers that use a CEMS for carbon monoxide (CO) are exempt from the initial CO performance testing in Table 4 to this subpart and the oxygen concentration operating limit requirement specified in Table 3 to this subpart.
- (c) You must conduct performance stack tests at the representative operating load conditions while burning the type of fuel or mixture of fuels that have the highest emissions potential for each regulated pollutant, and you must demonstrate initial compliance and establish your operating limits based on these performance stack tests. For subcategories with more than one emission limit, these requirements could result in the need to conduct more than one performance stack test. Following each performance stack test and until the next performance stack test, you must comply with the operating limit for operating load conditions specified in Table 3 to this subpart.
- (d) You must conduct a minimum of three separate test runs for each performance stack test required in this section, as specified in [§ 63.7\(e\)\(3\)](#) and in accordance with the provisions in Table 4 to this subpart.
- (e) To determine compliance with the emission limits, you must use the F-Factor methodology and equations in sections 12.2 and 12.3 of EPA Method 19 of appendix A-7 to [part 60 of this chapter](#) to convert the measured PM concentrations and the measured mercury concentrations that result from the performance test to pounds per million Btu heat input emission rates.

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7508](#), Feb. 1, 2013]

§ 63.11213 What fuel analyses and procedures must I use for the performance tests?

- (a) You must conduct fuel analyses according to the procedures in [paragraphs \(b\)](#) and [\(c\)](#) of this section and Table 5 to this subpart, as applicable. You are not required to conduct fuel analyses for fuels used for only startup, unit shutdown, and transient flame stability purposes. You are required to conduct fuel analyses only for fuels and units that are subject to emission limits for mercury in Table 1 of this subpart.

(b) At a minimum, you must obtain three composite fuel samples for each fuel type according to the procedures in Table 5 to this subpart. Each composite sample must consist of a minimum of three samples collected at approximately equal intervals during a test run period.

(c) Determine the concentration of mercury in the fuel in units of pounds per million Btu of each composite sample for each fuel type according to the procedures in Table 5 to this subpart.

§ 63.11214 How do I demonstrate initial compliance with the work practice standard, emission reduction measures, and management practice?

(a) If you own or operate an existing or new coal-fired boiler with a heat input capacity of less than 10 million Btu per hour, you must conduct a performance tune-up according to [§ 63.11210\(c\)](#) or [\(g\)](#), as applicable, and [§ 63.11223\(b\)](#). If you own or operate an existing coal-fired boiler with a heat input capacity of less than 10 million Btu per hour, you must submit a signed statement in the Notification of Compliance Status report that indicates that you conducted an initial tune-up of the boiler.

(b) If you own or operate an existing or new biomass-fired boiler or an existing or new oil-fired boiler, you must conduct a performance tune-up according to [§ 63.11210\(c\)](#) or [\(g\)](#), as applicable, and [§ 63.11223\(b\)](#). If you own or operate an existing biomass-fired boiler or existing oil-fired boiler, you must submit a signed statement in the Notification of Compliance Status report that indicates that you conducted an initial tune-up of the boiler.

(c) If you own or operate an existing affected boiler with a heat input capacity of 10 million Btu per hour or greater, you must submit a signed certification in the Notification of Compliance Status report that an energy assessment of the boiler and its energy use systems was completed according to Table 2 to this subpart and that the assessment is an accurate depiction of your facility at the time of the assessment or that the maximum number of on-site technical hours specified in the definition of energy assessment applicable to the facility has been expended.

(d) If you own or operate a boiler subject to emission limits in Table 1 of this subpart, you must minimize the boiler's startup and shutdown periods following the manufacturer's recommended procedures, if available. If manufacturer's recommended procedures are not available, you must follow recommended procedures for a unit of similar design for which manufacturer's recommended procedures are available. You must submit a signed statement in the Notification of Compliance Status report that indicates that you conducted startups and shutdowns according to the manufacturer's recommended procedures or procedures specified for a boiler of similar design if manufacturer's recommended procedures are not available.

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7508](#), Feb. 1, 2013; [81 FR 63126](#), Sept. 14, 2016]

Continuous Compliance Requirements

§ 63.11220 When must I conduct subsequent performance tests or fuel analyses?

(a) If your boiler has a heat input capacity of 10 million Btu per hour or greater, you must conduct all applicable performance (stack) tests according to [§ 63.11212](#) on a triennial basis, except as specified in [paragraphs \(b\)](#) through [\(e\)](#) of this section. Triennial performance tests must be completed no more than 37 months after the previous performance test.

(b) For new or reconstructed boilers that commenced construction or reconstruction on or before September 14, 2016, when demonstrating initial compliance with the PM emission limit, if your boiler's performance test results show that your PM emissions are equal to or less than half of the PM emission limit, you do not need to conduct further performance tests for PM until September 14, 2021, but must continue to comply with all applicable operating limits and monitoring requirements and must comply with the provisions as specified in [paragraphs \(b\)\(1\)](#) through [\(4\)](#) of this section.

(1) A performance test for PM must be conducted by September 14, 2021.

(2) If your performance test results show that your PM emissions are equal to or less than half of the PM emission limit, you may choose to conduct performance tests for PM every fifth year. Each such performance test must be conducted no more than 61 months after the previous performance test.

(3) If you intend to burn a new type of fuel other than ultra-low-sulfur liquid fuel or gaseous fuels as defined in [§ 63.11237](#), you must conduct a performance test within 60 days of burning the new fuel type.

(4) If your performance test results show that your PM emissions are greater than half of the PM emission limit, you must conduct subsequent performance tests on a triennial basis as specified in [paragraph \(a\)](#) of this section.

(c) For new or reconstructed boilers that commenced construction or reconstruction after September 14, 2016, when demonstrating initial compliance with the PM emission limit, if your boiler's performance test results show that your PM emissions are equal to or less than half of the PM emission limit, you may choose to conduct performance tests for PM every fifth year, but must continue to comply with all applicable operating limits and monitoring requirements and must comply with the provisions as specified in [paragraphs \(c\)\(1\)](#) through [\(3\)](#) of this section.

(1) Each such performance test must be conducted no more than 61 months after the previous performance test.

(2) If you intend to burn a new type of fuel other than ultra-low-sulfur liquid fuel or gaseous fuels as defined in [§ 63.11237](#), you must conduct a performance test within 60 days of burning the new fuel type.

(3) If your performance test results show that your PM emissions are greater than half of the PM emission limit, you must conduct subsequent performance tests on a triennial basis as specified in [paragraph \(a\)](#) of this section.

(d) If you demonstrate compliance with the mercury emission limit based on fuel analysis, you must conduct a fuel analysis according to [§ 63.11213](#) for each type of fuel burned as specified in [paragraphs \(d\)\(1\)](#) through [\(3\)](#) of this section. If you plan to burn a new type of fuel or fuel mixture, you must conduct a fuel analysis before burning the new type of fuel or mixture in your boiler. You must recalculate the mercury emission rate using Equation 1 of [§ 63.11211](#). The recalculated mercury emission rate must be less than the applicable emission limit.

(1) For existing boilers and new or reconstructed boilers that commenced construction or reconstruction on or before September 14, 2016, when demonstrating initial compliance with the mercury emission limit, if the mercury constituents in the fuel or fuel mixture are measured to be equal to or less than half of the mercury emission limit, you do not need to conduct further fuel analysis sampling until September 14, 2017, but must continue to comply with all applicable operating limits and monitoring requirements and must comply with the provisions as specified in [paragraphs \(d\)\(1\)\(i\)](#) and [\(ii\)](#) of this section.

(i) Fuel analysis sampling for mercury must be conducted by September 14, 2017.

(ii) If your fuel analysis results show that the mercury constituents in the fuel or fuel mixture are equal to or less than half of the mercury emission limit, you may choose to conduct fuel analysis sampling for mercury every 12 months.

(2) For new or reconstructed boilers that commenced construction or reconstruction after September 14, 2016, when demonstrating initial compliance with the mercury emission limit, if the mercury constituents in the fuel or fuel mixture are measured to be equal to or less than half of the mercury emission limit, you may choose to conduct fuel analysis sampling for mercury every 12 months, but must continue to comply with all applicable operating limits and monitoring requirements.

(3) When demonstrating compliance with the mercury emission limit, if the mercury constituents in the fuel or fuel mixture are greater than half of the mercury emission limit, you must conduct quarterly sampling.

(e) For existing affected boilers that have not operated on solid fossil fuel, biomass, or liquid fuel since the previous compliance demonstration and more than 3 years have passed since the previous compliance demonstration, you must complete your subsequent compliance demonstration no later than 180 days after the re-start of the affected boiler on solid fossil fuel, biomass, or liquid fuel.

[[81 FR 63127](#), Sept. 14, 2016]

§ 63.11221 Is there a minimum amount of monitoring data I must obtain?

(a) You must monitor and collect data according to this section and the site-specific monitoring plan required by [§ 63.11205\(c\)](#).

(b) You must operate the monitoring system and collect data at all required intervals at all times the affected source is operating and compliance is required, except for periods of monitoring system malfunctions or out-of-control periods (see [§ 63.8\(c\)\(7\) of this part](#)), repairs associated with monitoring system malfunctions or out-of-control periods, and required monitoring system quality assurance or quality control activities including, as applicable, calibration checks, required zero and span adjustments, and scheduled CMS maintenance as defined in your site-specific monitoring plan. A monitoring system malfunction is any sudden, infrequent, not reasonably preventable failure of the monitoring system to provide valid data. Monitoring system failures that are caused in part by poor maintenance or careless operation are not malfunctions. You are required to complete monitoring system repairs in response to monitoring system malfunctions or out-of-control periods and to return the monitoring system to operation as expeditiously as practicable.

(c) You may not use data collected during periods of startup and shutdown, monitoring system malfunctions or out-of-control periods, repairs associated with monitoring system malfunctions or out-of-control periods, or required monitoring system quality assurance or quality control activities in calculations used to report emissions or operating levels. Any such periods must be reported according to the requirements in [§ 63.11225](#). You must use all the data collected during all other periods in assessing the operation of the control device and associated control system.

(d) Except for periods of monitoring system malfunctions or monitoring system out-of-control periods, repairs associated with monitoring system malfunctions or monitoring system out-of-control periods, and required monitoring system quality assurance or quality control activities (including, as applicable, calibration checks, required zero and span adjustments, and scheduled CMS maintenance as defined in your site-specific monitoring plan), failure to collect required data is a deviation of the monitoring requirements.

[[78 FR 7508](#), Feb. 1, 2013, as amended at [81 FR 63127](#), Sept. 14, 2016]

§ 63.11222 How do I demonstrate continuous compliance with the emission limits?

(a) You must demonstrate continuous compliance with each emission limit and operating limit in Tables 1 and 3 to this subpart that applies to you according to the methods specified in Table 7 to this subpart and to [paragraphs \(a\)\(1\) through \(4\)](#) of this section.

(1) Following the date on which the initial compliance demonstration is completed or is required to be completed under §§ 63.7 and 63.11196, whichever date comes first, you must continuously monitor the operating parameters. Operation above the established maximum, below the established minimum, or outside the allowable range of the operating limits specified in paragraph (a) of this section constitutes a deviation from your operating limits established under this subpart, except during performance tests conducted to determine compliance with the emission and operating limits or to establish new operating limits. Operating limits are confirmed or reestablished during performance tests.

(2) If you have an applicable mercury or PM emission limit, you must keep records of the type and amount of all fuels burned in each boiler during the reporting period. If you have an applicable mercury emission limit, you must demonstrate that all fuel types and mixtures of fuels burned would result in lower emissions of mercury than the applicable emission limit (if you demonstrate compliance through fuel analysis), or result in lower fuel input of mercury than the maximum values calculated during the last performance stack test (if you demonstrate compliance through performance stack testing).

(3) If you have an applicable mercury emission limit and you plan to burn a new type of fuel, you must determine the mercury concentration for any new fuel type in units of pounds per million Btu, using the procedures in Equation 1 of § 63.11211 based on supplier data or your own fuel analysis, and meet the requirements in paragraphs (a)(3)(i) or (ii) of this section.

(i) The recalculated mercury emission rate must be less than the applicable emission limit.

(ii) If the mercury concentration is higher than mercury fuel input during the previous performance test, then you must conduct a new performance test within 60 days of burning the new fuel type or fuel mixture according to the procedures in § 63.11212 to demonstrate that the mercury emissions do not exceed the emission limit.

(4) If your unit is controlled with a fabric filter, and you demonstrate continuous compliance using a bag leak detection system, you must initiate corrective action within 1 hour of a bag leak detection system alarm and operate and maintain the fabric filter system such that the alarm does not sound more than 5 percent of the operating time during a 6-month period. You must also keep records of the date, time, and duration of each alarm, the time corrective action was initiated and completed, and a brief description of the cause of the alarm and the corrective action taken. You must also record the percent of the operating time during each 6-month period that the alarm sounds. In calculating this operating time percentage, if inspection of the fabric filter demonstrates that no corrective action is required, no alarm time is counted. If corrective action is required, each alarm is counted as a minimum of 1 hour. If you take longer than 1 hour to initiate corrective action, the alarm time is counted as the actual amount of time taken to initiate corrective action.

(b) You must report each instance in which you did not meet each emission limit and operating limit in Tables 1 and 3 to this subpart that apply to you. These instances are deviations from the emission limits in this subpart. These deviations must be reported according to the requirements in § 63.11225.

[[76 FR 15591](#), Mar. 21, 2011, as amended at [81 FR 63127](#), Sept. 14, 2016]

§ 63.11223 How do I demonstrate continuous compliance with the work practice and management practice standards?

(a) For affected sources subject to the work practice standard or the management practices of a tune-up, you must conduct a performance tune-up according to [paragraph \(b\)](#) of this section and keep records as required in [§ 63.11225\(c\)](#) to demonstrate continuous compliance. You must conduct the tune-up while burning the type of fuel (or fuels in the case of boilers that routinely burn two types of fuels at the same time) that provided the majority of the heat input to the boiler over the 12 months prior to the tune-up.

(b) Except as specified in [paragraphs \(c\)](#) through [\(f\)](#) of this section, you must conduct a tune-up of the boiler biennially to demonstrate continuous compliance as specified in [paragraphs \(b\)\(1\)](#) through [\(7\)](#) of this section. Each biennial tune-up must be conducted no more than 25 months after the previous tune-up. For a new or reconstructed boiler, the first biennial tune-up must be no later than 25 months after the initial startup of the new or reconstructed boiler.

(1) As applicable, inspect the burner, and clean or replace any components of the burner as necessary (you may delay the burner inspection until the next scheduled unit shutdown, not to exceed 36 months from the previous inspection). Units that produce electricity for sale may delay the burner inspection until the first outage, not to exceed 36 months from the previous inspection.

(2) Inspect the flame pattern, as applicable, and adjust the burner as necessary to optimize the flame pattern. The adjustment should be consistent with the manufacturer's specifications, if available.

(3) Inspect the system controlling the air-to-fuel ratio, as applicable, and ensure that it is correctly calibrated and functioning properly (you may delay the inspection until the next scheduled unit shutdown, not to exceed 36 months from the previous inspection). Units that produce electricity for sale may delay the inspection until the first outage, not to exceed 36 months from the previous inspection.

(4) Optimize total emissions of CO. This optimization should be consistent with the manufacturer's specifications, if available, and with any nitrogen oxide requirement to which the unit is subject.

(5) Measure the concentrations in the effluent stream of CO in parts per million, by volume, and oxygen in volume percent, before and after the adjustments are made (measurements may be either on a dry or wet basis, as long as it is the same basis before and after the adjustments are made). Measurements may be taken using a portable CO analyzer.

(6) Maintain on-site and submit, if requested by the Administrator, a report containing the information in [paragraphs \(b\)\(6\)\(i\)](#) through [\(iii\)](#) of this section.

(i) The concentrations of CO in the effluent stream in parts per million, by volume, and oxygen in volume percent, measured at high fire or typical operating load, before and after the tune-up of the boiler.

(ii) A description of any corrective actions taken as a part of the tune-up of the boiler.

(iii) The type and amount of fuel used over the 12 months prior to the tune-up of the boiler, but only if the unit was physically and legally capable of using more than one type of fuel during that period. Units sharing a fuel meter may estimate the fuel use by each unit.

(7) If the unit is not operating on the required date for a tune-up, the tune-up must be conducted within 30 days of startup.

(c) Boilers with an oxygen trim system that maintains an optimum air-to-fuel ratio that would otherwise be subject to a biennial tune-up must conduct a tune-up of the boiler every 5 years as specified in [paragraphs \(b\)\(1\) through \(7\)](#) of this section. Each 5-year tune-up must be conducted no more than 61 months after the previous tune-up. For a new or reconstructed boiler with an oxygen trim system, the first 5-year tune-up must be no later than 61 months after the initial startup. You may delay the burner inspection specified in [paragraph \(b\)\(1\)](#) of this section and inspection of the system controlling the air-to-fuel ratio specified in [paragraph \(b\)\(3\)](#) of this section until the next scheduled unit shutdown, but you must inspect each burner and system controlling the air-to-fuel ratio at least once every 72 months. If an oxygen trim system is utilized on a unit without emission standards to reduce the tune-up frequency to once every 5 years, set the oxygen level no lower than the oxygen concentration measured during the most recent tune-up.

(d) Seasonal boilers must conduct a tune-up every 5 years as specified in [paragraphs \(b\)\(1\) through \(7\)](#) of this section. Each 5-year tune-up must be conducted no more than 61 months after the previous tune-up. For a new or reconstructed seasonal boiler, the first 5-year tune-up must be no later than 61 months after the initial startup. You may delay the burner inspection specified in [paragraph \(b\)\(1\)](#) of this section and inspection of the system controlling the air-to-fuel ratio specified in [paragraph \(b\)\(3\)](#) of this section until the next scheduled unit shutdown, but you must inspect each burner and system controlling the air-to-fuel ratio at least once every 72 months. Seasonal boilers are not subject to the emission limits in Table 1 to this subpart or the operating limits in Table 3 to this subpart.

(e) Oil-fired boilers with a heat input capacity of equal to or less than 5 million Btu per hour must conduct a tune-up every 5 years as specified in [paragraphs \(b\)\(1\) through \(7\)](#) of this section. Each 5-year tune-up must be conducted no more than 61 months after the previous tune-up. For a new or reconstructed oil-fired boiler with a heat input capacity of equal to or less than 5 million Btu per hour, the first 5-year tune-up must be no later than 61 months after the initial startup. You may delay the burner inspection specified in [paragraph \(b\)\(1\)](#) of this section and inspection of the system controlling the air-to-fuel ratio specified in [paragraph \(b\)\(3\)](#) of this section until the next scheduled unit shutdown, but you must inspect each burner and system controlling the air-to-fuel ratio at least once every 72 months.

(f) Limited-use boilers must conduct a tune-up every 5 years as specified in [paragraphs \(b\)\(1\) through \(7\)](#) of this section. Each 5-year tune-up must be conducted no more than 61 months after the previous tune-up. For a new or reconstructed limited-use boiler, the first 5-year tune-up must be no later than 61 months after the initial startup. You may delay the burner inspection specified in [paragraph \(b\)\(1\)](#) of this section and inspection of the system controlling the air-to-fuel ratio specified in [paragraph \(b\)\(3\)](#) of this section until the next scheduled unit shutdown, but you must inspect each burner and system controlling the air-to-fuel ratio at least once every 72 months. Limited-use boilers are not subject to the emission limits in Table 1 to this subpart, the energy assessment requirements in Table 2 to this subpart, or the operating limits in Table 3 to this subpart.

(g) If you own or operate a boiler subject to emission limits in Table 1 of this subpart, you must minimize the boiler's startup and shutdown periods following the manufacturer's recommended procedures, if available. If manufacturer's recommended procedures are not available, you must follow recommended procedures for a unit of similar design for which manufacturer's recommended procedures are available. You must submit a signed statement in the Notification of Compliance Status report that indicates that you conducted startups and shutdowns according to the manufacturer's recommended procedures or procedures specified for a boiler of similar design if manufacturer's recommended procedures are not available.

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7509](#), Feb. 1, 2013; [81 FR 63127](#), Sept. 14, 2016]

§ 63.11224 What are my monitoring, installation, operation, and maintenance requirements?

(a) If your boiler is subject to a CO emission limit in Table 1 to this subpart, you must either install, operate, and maintain a CEMS for CO and oxygen according to the procedures in [paragraphs \(a\)\(1\) through \(6\)](#) of this section, or install, calibrate, operate, and maintain an oxygen analyzer system, as defined in [§ 63.11237](#), according to the manufacturer's recommendations and [paragraphs \(a\)\(7\) and \(d\)](#) of this section, as applicable, by the compliance date specified in [§ 63.11196](#). Where a certified CO CEMS is used, the CO level shall be monitored at the outlet of the boiler, after any add-on controls or flue gas recirculation system and before release to the atmosphere. Boilers that use a CO CEMS are exempt from the initial CO performance testing and oxygen concentration operating limit requirements specified in [§ 63.11211\(a\) of this subpart](#). Oxygen monitors and oxygen trim systems must be installed to monitor oxygen in the boiler flue gas, boiler firebox, or other appropriate intermediate location.

(1) Each CO CEMS must be installed, operated, and maintained according to the applicable procedures under Performance Specification 4, 4A, or 4B at [40 CFR part 60, appendix B](#), and each oxygen CEMS must be installed, operated, and maintained according to Performance Specification 3 at [40 CFR part 60, appendix B](#). Both the CO and oxygen CEMS must also be installed, operated, and maintained according to the site-specific monitoring plan developed according to [paragraph \(c\)](#) of this section.

(2) You must conduct a performance evaluation of each CEMS according to the requirements in [§ 63.8\(e\)](#) and according to Performance Specifications 3 and 4, 4A, or 4B at [40 CFR part 60, appendix B](#).

(3) Each CEMS must complete a minimum of one cycle of operation (sampling, analyzing, and data recording) every 15 minutes. You must have CEMS data values from a minimum of four successive cycles of operation representing each of the four 15-minute periods in an hour, or at least two 15-minute data values during an hour when CEMS calibration, quality assurance, or maintenance activities are being performed, to have a valid hour of data.

(4) The CEMS data must be reduced as specified in [§ 63.8\(g\)\(2\)](#).

(5) You must calculate hourly averages, corrected to 3 percent oxygen, from each hour of CO CEMS data in parts per million CO concentrations and determine the 10-day rolling average of all recorded readings, except as provided in [§ 63.11221\(c\)](#). Calculate a 10-day rolling average from all of the hourly averages collected for the 10-day operating period using Equation 2 of this section.

$$\text{10-day average} = \frac{\sum_{i=1}^n Hpvi}{n} \quad (\text{Eq. 2})$$

Where:

$Hpvi$ = the hourly parameter value for hour i

n = the number of valid hourly parameter values collected over 10 boiler operating days

(6) For purposes of collecting CO data, you must operate the CO CEMS as specified in [§ 63.11221\(b\)](#). For purposes of calculating data averages, you must use all the data collected during all periods in assessing compliance, except that you must exclude certain data as specified in [§ 63.11221\(c\)](#). Periods when CO data are unavailable may constitute monitoring deviations as specified in [§ 63.11221\(d\)](#).

(7) You must operate the oxygen analyzer system at or above the minimum oxygen level that is established as the operating limit according to Table 6 to this subpart when firing the fuel or fuel mixture utilized during the most recent CO performance stack test. Operation of oxygen trim systems to meet these requirements shall not be done in a manner which compromises furnace safety.

(b) If you are using a control device to comply with the emission limits specified in Table 1 to this subpart, you must maintain each operating limit in Table 3 to this subpart that applies to your boiler as specified in Table 7 to this subpart. If you use a control device not covered in Table 3 to this subpart, or you wish to establish and monitor an alternative operating limit and alternative monitoring parameters, you must apply to the United States Environmental Protection Agency (EPA) Administrator for approval of alternative monitoring under [§ 63.8\(f\)](#).

(c) If you demonstrate compliance with any applicable emission limit through stack testing and subsequent compliance with operating limits, you must develop a site-specific monitoring plan according to the requirements in [paragraphs \(c\)\(1\)](#) through [\(4\)](#) of this section. This requirement also applies to you if you petition the EPA Administrator for alternative monitoring parameters under [§ 63.8\(f\)](#).

(1) For each CMS required in this section, you must develop, and submit to the EPA Administrator for approval upon request, a site-specific monitoring plan that addresses [paragraphs \(c\)\(1\)\(i\)](#) through [\(iii\)](#) of this section. You must submit this site-specific monitoring plan (if requested) at least 60 days before your initial performance evaluation of your CMS.

(i) Installation of the CMS sampling probe or other interface at a measurement location relative to each affected unit such that the measurement is representative of control of the exhaust emissions (*e.g.*, on or downstream of the last control device).

(ii) Performance and equipment specifications for the sample interface, the pollutant concentration or parametric signal analyzer, and the data collection and reduction systems.

(iii) Performance evaluation procedures and acceptance criteria (*e.g.*, calibrations).

(2) In your site-specific monitoring plan, you must also address [paragraphs \(c\)\(2\)\(i\)](#) through [\(iii\)](#) of this section.

(i) Ongoing operation and maintenance procedures in accordance with the general requirements of [§ 63.8\(c\)\(1\)](#), [\(3\)](#), and [\(4\)\(ii\)](#).

(ii) Ongoing data quality assurance procedures in accordance with the general requirements of [§ 63.8\(d\)](#).

(iii) Ongoing recordkeeping and reporting procedures in accordance with the general requirements of [§ 63.10\(c\)](#), [\(e\)\(1\)](#), and [\(e\)\(2\)\(i\)](#).

(3) You must conduct a performance evaluation of each CMS in accordance with your site-specific monitoring plan.

(4) You must operate and maintain the CMS in continuous operation according to the site-specific monitoring plan.

(d) If you have an operating limit that requires the use of a CMS, you must install, operate, and maintain each CPMS according to the procedures in [paragraphs \(d\)\(1\)](#) through [\(4\)](#) of this section.

(1) The CPMS must complete a minimum of one cycle of operation every 15 minutes. You must have data values from a minimum of four successive cycles of operation representing each of the four 15-minute periods in an hour, or at least two 15-minute data values during an

hour when CMS calibration, quality assurance, or maintenance activities are being performed, to have a valid hour of data.

(2) You must calculate hourly arithmetic averages from each hour of CPMS data in units of the operating limit and determine the 30-day rolling average of all recorded readings, except as provided in [§ 63.11221\(c\)](#). Calculate a 30-day rolling average from all of the hourly averages collected for the 30-day operating period using Equation 3 of this section.

$$\text{30-day average} = \frac{\sum_{i=1}^n Hpvi}{n} \quad (\text{Eq. 3})$$

Where:

Hpvi = the hourly parameter value for hour i

n = the number of valid hourly parameter values collected over 30 boiler operating days

(3) For purposes of collecting data, you must operate the CPMS as specified in [§ 63.11221\(b\)](#). For purposes of calculating data averages, you must use all the data collected during all periods in assessing compliance, except that you must exclude certain data as specified in [§ 63.11221\(c\)](#). Periods when CPMS data are unavailable may constitute monitoring deviations as specified in [§ 63.11221\(d\)](#).

(4) Record the results of each inspection, calibration, and validation check.

(e) If you have an applicable opacity operating limit under this rule, you must install, operate, certify and maintain each COMS according to the procedures in [paragraphs \(e\)\(1\) through \(8\)](#) of this section by the compliance date specified in [§ 63.11196](#).

(1) Each COMS must be installed, operated, and maintained according to Performance Specification 1 of [40 CFR part 60, appendix B](#).

(2) You must conduct a performance evaluation of each COMS according to the requirements in [§ 63.8](#) and according to Performance Specification 1 of [40 CFR part 60, appendix B](#).

(3) As specified in [§ 63.8\(c\)\(4\)\(i\)](#), each COMS must complete a minimum of one cycle of sampling and analyzing for each successive 10-second period and one cycle of data recording for each successive 6-minute period.

(4) The COMS data must be reduced as specified in [§ 63.8\(g\)\(2\)](#).

(5) You must include in your site-specific monitoring plan procedures and acceptance criteria for operating and maintaining each COMS according to the requirements in [§ 63.8\(d\)](#). At a

minimum, the monitoring plan must include a daily calibration drift assessment, a quarterly performance audit, and an annual zero alignment audit of each COMS.

(6) You must operate and maintain each COMS according to the requirements in the monitoring plan and the requirements of [§ 63.8\(e\)](#). You must identify periods the COMS is out of control including any periods that the COMS fails to pass a daily calibration drift assessment, a quarterly performance audit, or an annual zero alignment audit.

(7) You must calculate and record 6-minute averages from the opacity monitoring data and determine and record the daily block average of recorded readings, except as provided in [§ 63.11221\(c\)](#).

(8) For purposes of collecting opacity data, you must operate the COMS as specified in [§ 63.11221\(b\)](#). For purposes of calculating data averages, you must use all the data collected during all periods in assessing compliance, except that you must exclude certain data as specified in [§ 63.11221\(c\)](#). Periods when COMS data are unavailable may constitute monitoring deviations as specified in [§ 63.11221\(d\)](#).

(f) If you use a fabric filter bag leak detection system to comply with the requirements of this subpart, you must install, calibrate, maintain, and continuously operate the bag leak detection system as specified in [paragraphs \(f\)\(1\) through \(8\)](#) of this section.

(1) You must install and operate a bag leak detection system for each exhaust stack of the fabric filter.

(2) Each bag leak detection system must be installed, operated, calibrated, and maintained in a manner consistent with the manufacturer's written specifications and recommendations and in accordance with EPA-454/R-98-015 (incorporated by reference, see [§ 63.14](#)).

(3) The bag leak detection system must be certified by the manufacturer to be capable of detecting particulate matter emissions at concentrations of 10 milligrams per actual cubic meter or less.

(4) The bag leak detection system sensor must provide output of relative or absolute particulate matter loadings.

(5) The bag leak detection system must be equipped with a device to continuously record the output signal from the sensor.

(6) The bag leak detection system must be equipped with an audible or visual alarm system that will activate automatically when an increase in relative particulate matter emissions over a preset level is detected. The alarm must be located where it is easily heard or seen by plant operating personnel.

(7) For positive pressure fabric filter systems that do not duct all compartments or cells to a common stack, a bag leak detection system must be installed in each baghouse compartment or cell.

(8) Where multiple bag leak detectors are required, the system's instrumentation and alarm may be shared among detectors.

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7510](#), Feb. 1, 2013]

§ 63.11225 What are my notification, reporting, and recordkeeping requirements?

(a) You must submit the notifications specified in [paragraphs \(a\)\(1\)](#) through [\(5\)](#) of this section to the administrator.

(1) You must submit all of the notifications in [§§ 63.7\(b\); 63.8\(e\)](#) and [\(f\)](#); and [63.9\(b\)](#) through [\(e\)](#), [\(g\)](#), and [\(h\)](#) that apply to you by the dates specified in those sections except as specified in [paragraphs \(a\)\(2\)](#) and [\(4\)](#) of this section.

(2) An Initial Notification must be submitted no later than January 20, 2014 or within 120 days after the source becomes subject to the standard.

(3) If you are required to conduct a performance stack test you must submit a Notification of Intent to conduct a performance test at least 60 days before the performance stack test is scheduled to begin.

(4) You must submit the Notification of Compliance Status no later than 120 days after the applicable compliance date specified in [§ 63.11196](#) unless you own or operate a new boiler subject only to a requirement to conduct a biennial or 5-year tune-up or you must conduct a performance stack test. If you own or operate a new boiler subject to a requirement to conduct a tune-up, you are not required to prepare and submit a Notification of Compliance Status for the tune-up. If you must conduct a performance stack test, you must submit the Notification of Compliance Status within 60 days of completing the performance stack test. You must submit the Notification of Compliance Status in accordance with [paragraphs \(a\)\(4\)\(i\)](#) and [\(vi\)](#) of this section. The Notification of Compliance Status must include the information and certification(s) of compliance in [paragraphs \(a\)\(4\)\(i\)](#) through [\(v\)](#) of this section, as applicable, and signed by a responsible official.

(i) You must submit the information required in [§ 63.9\(h\)\(2\)](#), except the information listed in [§ 63.9\(h\)\(2\)\(i\)\(B\), \(D\), \(E\), and \(F\)](#). If you conduct any performance tests or CMS performance evaluations, you must submit that data as specified in [paragraph \(e\)](#) of this section. If you conduct any opacity or visible emission observations, or other monitoring procedures or methods, you must submit that data to the Administrator at the appropriate address listed in [§ 63.13](#).

(ii) “This facility complies with the requirements in [§ 63.11214](#) to conduct an initial tune-up of the boiler.”

(iii) “This facility has had an energy assessment performed according to [§ 63.11214\(c\)](#).”

(iv) For units that install bag leak detection systems: “This facility complies with the requirements in [§ 63.11224\(f\)](#).”

(v) For units that do not qualify for a statutory exemption as provided in section 129(g)(1) of the Clean Air Act: “No secondary materials that are solid waste were combusted in any affected unit.”

(vi) The notification must be submitted electronically using the Compliance and Emissions Data Reporting Interface (CEDRI) that is accessed through EPA's Central Data Exchange (CDX) (www.epa.gov/cdx). However, if the reporting form specific to this subpart is not available in CEDRI at the time that the report is due, the written Notification of Compliance Status must be submitted to the Administrator at the appropriate address listed in [§ 63.13](#).

(5) If you are using data from a previously conducted emission test to serve as documentation of conformance with the emission standards and operating limits of this subpart, you must include in the Notification of Compliance Status the date of the test and a summary of the results, not a complete test report, relative to this subpart.

(b) You must prepare, by March 1 of each year, and submit to the delegated authority upon request, an annual compliance certification report for the previous calendar year containing the information specified in [paragraphs \(b\)\(1\)](#) through [\(4\)](#) of this section. You must submit the report by March 15 if you had any instance described by [paragraph \(b\)\(3\)](#) of this section. For boilers that are subject only to the energy assessment requirement and/or a requirement to conduct a biennial or 5-year tune-up according to [§ 63.11223\(a\)](#) and not subject to emission limits or operating limits, you may prepare only a biennial or 5-year compliance report as specified in [paragraphs \(b\)\(1\)](#) and [\(2\)](#) of this section.

(1) Company name and address.

(2) Statement by a responsible official, with the official's name, title, phone number, email address, and signature, certifying the truth, accuracy and completeness of the notification and a statement of whether the source has complied with all the relevant standards and other requirements of this subpart. Your notification must include the following certification(s) of compliance, as applicable, and signed by a responsible official:

(i) “This facility complies with the requirements in [§ 63.11223](#) to conduct a biennial or 5-year tune-up, as applicable, of each boiler.”

(ii) For units that do not qualify for a statutory exemption as provided in section 129(g)(1) of the Clean Air Act: “No secondary materials that are solid waste were combusted in any affected unit.”

(iii) “This facility complies with the requirement in §§ 63.11214(d) and 63.11223(g) to minimize the boiler's time spent during startup and shutdown and to conduct startups and shutdowns according to the manufacturer's recommended procedures or procedures specified for a boiler of similar design if manufacturer's recommended procedures are not available.”

(3) If the source experiences any deviations from the applicable requirements during the reporting period, include a description of deviations, the time periods during which the deviations occurred, and the corrective actions taken.

(4) The total fuel use by each affected boiler subject to an emission limit, for each calendar month within the reporting period, including, but not limited to, a description of the fuel, whether the fuel has received a non-waste determination by you or EPA through a petition process to be a non-waste under § 241.3(c), whether the fuel(s) were processed from discarded non-hazardous secondary materials within the meaning of § 241.3, and the total fuel usage amount with units of measure.

(c) You must maintain the records specified in [paragraphs \(c\)\(1\) through \(7\)](#) of this section.

(1) As required in [§ 63.10\(b\)\(2\)\(xiv\)](#), you must keep a copy of each notification and report that you submitted to comply with this subpart and all documentation supporting any Initial Notification or Notification of Compliance Status that you submitted.

(2) You must keep records to document conformance with the work practices, emission reduction measures, and management practices required by [§ 63.11214](#) and [§ 63.11223](#) as specified in [paragraphs \(c\)\(2\)\(i\) through \(vi\)](#) of this section.

(i) Records must identify each boiler, the date of tune-up, the procedures followed for tune-up, and the manufacturer's specifications to which the boiler was tuned.

(ii) For operating units that combust non-hazardous secondary materials that have been determined not to be solid waste pursuant to [§ 241.3\(b\)\(1\) of this chapter](#), you must keep a record which documents how the secondary material meets each of the legitimacy criteria under [§ 241.3\(d\)\(1\)](#). If you combust a fuel that has been processed from a discarded non-hazardous secondary material pursuant to [§ 241.3\(b\)\(4\) of this chapter](#), you must keep records as to how the operations that produced the fuel satisfies the definition of processing in [§ 241.2](#) and each of the legitimacy criteria in [§ 241.3\(d\)\(1\) of this chapter](#). If the fuel received a non-waste determination pursuant to the petition process submitted under [§ 241.3\(c\) of this chapter](#), you must keep a record that documents how the fuel satisfies the requirements of the petition process. For operating units that combust non-hazardous secondary materials as fuel per [§ 241.4](#), you must keep records documenting that the material is a listed non-waste under [§ 241.4\(a\)](#).

(iii) For each boiler required to conduct an energy assessment, you must keep a copy of the energy assessment report.

(iv) For each boiler subject to an emission limit in Table 1 to this subpart, you must keep records of monthly fuel use by each boiler, including the type(s) of fuel and amount(s) used. For each new oil-fired boiler that meets the requirements of [§ 63.11210\(e\)](#) or [\(f\)](#), you must keep records, on a monthly basis, of the type of fuel combusted.

(v) For each boiler that meets the definition of seasonal boiler, you must keep records of days of operation per year.

(vi) For each boiler that meets the definition of limited-use boiler, you must keep a copy of the federally enforceable permit that limits the annual capacity factor to less than or equal to 10 percent and records of fuel use for the days the boiler is operating.

(3) For sources that demonstrate compliance through fuel analysis, a copy of all calculations and supporting documentation that were done to demonstrate compliance with the mercury emission limits. Supporting documentation should include results of any fuel analyses. You can use the results from one fuel analysis for multiple boilers provided they are all burning the same fuel type.

(4) Records of the occurrence and duration of each malfunction of the boiler, or of the associated air pollution control and monitoring equipment.

(5) Records of actions taken during periods of malfunction to minimize emissions in accordance with the general duty to minimize emissions in [§ 63.11205\(a\)](#), including corrective actions to restore the malfunctioning boiler, air pollution control, or monitoring equipment to its normal or usual manner of operation.

(6) You must keep the records of all inspection and monitoring data required by [§§ 63.11221](#) and [63.11222](#), and the information identified in [paragraphs \(c\)\(6\)\(i\)](#) through [\(vi\)](#) of this section for each required inspection or monitoring.

(i) The date, place, and time of the monitoring event.

(ii) Person conducting the monitoring.

(iii) Technique or method used.

(iv) Operating conditions during the activity.

(v) Results, including the date, time, and duration of the period from the time the monitoring indicated a problem to the time that monitoring indicated proper operation.

(vi) Maintenance or corrective action taken (if applicable).

(7) If you use a bag leak detection system, you must keep the records specified in [paragraphs \(c\)\(7\)\(i\) through \(iii\)](#) of this section.

(i) Records of the bag leak detection system output.

(ii) Records of bag leak detection system adjustments, including the date and time of the adjustment, the initial bag leak detection system settings, and the final bag leak detection system settings.

(iii) The date and time of all bag leak detection system alarms, and for each valid alarm, the time you initiated corrective action, the corrective action taken, and the date on which corrective action was completed.

(d) Your records must be in a form suitable and readily available for expeditious review. You must keep each record for 5 years following the date of each recorded action. You must keep each record on-site or be accessible from a central location by computer or other means that instantly provide access at the site for at least 2 years after the date of each recorded action. You may keep the records off site for the remaining 3 years.

(e)

(1) Within 60 days after the date of completing each performance test (as defined in [§ 63.2](#)) required by this subpart, you must submit the results of the performance tests, including any associated fuel analyses, following the procedure specified in either [paragraph \(e\)\(1\)\(i\)](#) or [\(ii\)](#) of this section.

(i) For data collected using test methods supported by the EPA's Electronic Reporting Tool (ERT) as listed on the EPA's ERT Web site (https://www3.epa.gov/ttn/chief/ert/ert_info.html) at the time of the test, you must submit the results of the performance test to the EPA via the Compliance and Emissions Data Reporting Interface (CEDRI). (CEDRI can be accessed through the EPA's Central Data Exchange (CDX) (<https://cdx.epa.gov/>.) Performance test data must be submitted in a file format generated through the use of the EPA's ERT or an alternate electronic file format consistent with the extensible markup language (XML) schema listed on the EPA's ERT Web site. If you claim that some of the performance test information being submitted is confidential business information (CBI), you must submit a complete file generated through the use of the EPA's ERT or an alternate electronic file consistent with the XML schema listed on the EPA's ERT Web site, including information claimed to be CBI, on a compact disc, flash drive, or other commonly used electronic storage media to the EPA. The electronic media must be clearly marked as CBI and mailed to U.S. EPA/OAQPS/CORE CBI Office, Attention: Group Leader, Measurement Policy Group, MD C404-02, 4930 Old Page Rd., Durham, NC 27703. The same ERT or alternate file with the CBI omitted must be submitted to the EPA via the EPA's CDX as described earlier in this paragraph.

(ii) For data collected using test methods that are not supported by the EPA's ERT as listed on the EPA's ERT Web site at the time of the test, you must submit the results of the performance test to the Administrator at the appropriate address listed in [§ 63.13](#).

(2) Within 60 days after the date of completing each CEMS performance evaluation (as defined in [§ 63.2](#)), you must submit the results of the performance evaluation following the procedure specified in either [paragraph \(e\)\(2\)\(i\)](#) or [\(ii\)](#) of this section.

(i) For performance evaluations of continuous monitoring systems measuring relative accuracy test audit (RATA) pollutants that are supported by the EPA's ERT as listed on the EPA's ERT Web site at the time of the evaluation, you must submit the results of the performance evaluation to the EPA via the CEDRI. (CEDRI can be accessed through the EPA's CDX.) Performance evaluation data must be submitted in a file format generated through the use of the EPA's ERT or an alternate file format consistent with the XML schema listed on the EPA's ERT Web site. If you claim that some of the performance evaluation information being submitted is CBI, you must submit a complete file generated through the use of the EPA's ERT or an alternate electronic file consistent with the XML schema listed on the EPA's ERT Web site, including information claimed to be CBI, on a compact disc, flash drive, or other commonly used electronic storage media to the EPA. The electronic storage media must be clearly marked as CBI and mailed to U.S. EPA/OAQPS/CORE CBI Office, Attention: Group Leader, Measurement Policy Group, MD C404-02, 4930 Old Page Rd., Durham, NC 27703. The same ERT or alternate file with the CBI omitted must be submitted to the EPA via the EPA's CDX as described earlier in this paragraph.

(ii) For any performance evaluations of continuous monitoring systems measuring RATA pollutants that are not supported by the EPA's ERT as listed on the EPA's ERT Web site at the time of the evaluation, you must submit the results of the performance evaluation to the Administrator at the appropriate address listed in [§ 63.13](#).

(f) If you intend to commence or recommence combustion of solid waste, you must provide 30 days prior notice of the date upon which you will commence or recommence combustion of solid waste. The notification must identify:

(1) The name of the owner or operator of the affected source, the location of the source, the boiler(s) that will commence burning solid waste, and the date of the notice.

(2) The currently applicable subcategory under this subpart.

(3) The date on which you became subject to the currently applicable emission limits.

(4) The date upon which you will commence combusting solid waste.

(g) If you have switched fuels or made a physical change to the boiler and the fuel switch or change resulted in the applicability of a different subcategory within this subpart, in the boiler becoming subject to this subpart, or in the boiler switching out of this subpart due to a fuel

change that results in the boiler meeting the definition of gas-fired boiler, as defined in [§ 63.11237](#), or you have taken a permit limit that resulted in you becoming subject to this subpart or no longer being subject to this subpart, you must provide notice of the date upon which you switched fuels, made the physical change, or took a permit limit within 30 days of the change. The notification must identify:

(1) The name of the owner or operator of the affected source, the location of the source, the boiler(s) that have switched fuels, were physically changed, or took a permit limit, and the date of the notice.

(2) The date upon which the fuel switch, physical change, or permit limit occurred.

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7511](#), Feb. 1, 2013; [81 FR 63127](#), Sept. 14, 2016]

§ 63.11226 [Reserved]

Other Requirements and Information

§ 63.11235 What parts of the General Provisions apply to me?

Table 8 to this subpart shows which parts of the General Provisions in [§§ 63.1](#) through [63.15](#) apply to you.

§ 63.11236 Who implements and enforces this subpart?

(a) This subpart can be implemented and enforced by EPA or an administrator such as your state, local, or tribal agency. If the EPA Administrator has delegated authority to your state, local, or tribal agency, then that agency has the authority to implement and enforce this subpart. You should contact your EPA Regional Office to find out if implementation and enforcement of this subpart is delegated to your state, local, or tribal agency.

(b) In delegating implementation and enforcement authority of this subpart to a state, local, or tribal agency under [40 CFR part 63, subpart E](#), the authorities contained in [paragraphs \(c\)](#) of this section are retained by the EPA Administrator and are not transferred to the state, local, or tribal agency.

(c) The authorities that cannot be delegated to state, local, or tribal agencies are specified in [paragraphs \(c\)\(1\)](#) through [\(5\)](#) of this section.

(1) Approval of an alternative non-opacity emission standard and work practice standards in [§ 63.11223\(a\)](#).

(2) Approval of alternative opacity emission standard under [§ 63.6\(h\)\(9\)](#).

(3) Approval of major change to test methods under [§ 63.7\(e\)\(2\)\(ii\)](#) and [\(f\)](#). A “major change to test method” is defined in [§ 63.90](#).

(4) Approval of a major change to monitoring under [§ 63.8\(f\)](#). A “major change to monitoring” is defined in [§ 63.90](#).

(5) Approval of major change to recordkeeping and reporting under [§ 63.10\(f\)](#). A “major change to recordkeeping/reporting” is defined in [§ 63.90](#).

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7513](#), Feb. 1, 2013]

§ 63.11237 What definitions apply to this subpart?

Terms used in this subpart are defined in the Clean Air Act, in [§ 63.2](#) (the General Provisions), and in this section as follows:

10-day rolling average means the arithmetic mean of all valid hours of data from 10 successive operating days, except for periods of startup and shutdown and periods when the unit is not operating.

30-day rolling average means the arithmetic mean of all valid hours of data from 30 successive operating days, except for periods of startup and shutdown and periods when the unit is not operating.

Annual capacity factor means the ratio between the actual heat input to a boiler from the fuels burned during a calendar year and the potential heat input to the boiler had it been operated for 8,760 hours during a year at the maximum steady state design heat input capacity.

Annual heat input means the heat input for the 12 months preceding the compliance demonstration.

Bag leak detection system means a group of instruments that are capable of monitoring particulate matter loadings in the exhaust of a fabric filter (*i.e.*, baghouse) in order to detect bag failures. A bag leak detection system includes, but is not limited to, an instrument that operates on electrodynamic, triboelectric, light scattering, light transmittance, or other principle to monitor relative particulate matter loadings.

Biodiesel means a mono-alkyl ester derived from biomass and conforming to ASTM D6751–11b, Standard Specification for Biodiesel Fuel Blend Stock (B100) for Middle Distillate Fuels (incorporated by reference, see [§ 63.14](#)).

Biomass means any biomass-based solid fuel that is not a solid waste. This includes, but is not limited to, wood residue and wood products (e.g., trees, tree stumps, tree limbs, bark, lumber, sawdust, sander dust, chips, scraps, slabs, millings, and shavings); animal manure, including

litter and other bedding materials; vegetative agricultural and silvicultural materials, such as logging residues (slash), nut and grain hulls and chaff (e.g., almond, walnut, peanut, rice, and wheat), bagasse, orchard prunings, corn stalks, coffee bean hulls and grounds. This definition of biomass is not intended to suggest that these materials are or are not solid waste.

Biomass subcategory includes any boiler that burns any biomass and is not in the coal subcategory.

Boiler means an enclosed device using controlled flame combustion in which water is heated to recover thermal energy in the form of steam and/or hot water. Controlled flame combustion refers to a steady-state, or near steady-state, process wherein fuel and/or oxidizer feed rates are controlled. A device combusting solid waste, as defined in [§ 241.3 of this chapter](#), is not a boiler unless the device is exempt from the definition of a solid waste incineration unit as provided in section 129(g)(1) of the Clean Air Act. Waste heat boilers, process heaters, and autoclaves are excluded from the definition of *Boiler*.

Boiler system means the boiler and associated components, such as, feedwater systems, combustion air systems, fuel systems (including burners), blowdown systems, combustion control systems, steam systems, and condensate return systems, directly connected to and serving the energy use systems.

Calendar year means the period between January 1 and December 31, inclusive, for a given year.

Coal means all solid fuels classifiable as anthracite, bituminous, sub-bituminous, or lignite by the American Society for Testing and Materials in ASTM D388 (incorporated by reference, see [§ 63.14](#)), coal refuse, and petroleum coke. For the purposes of this subpart, this definition of “coal” includes synthetic fuels derived from coal including, but not limited to, solvent-refined coal, coal-oil mixtures, and coal-water mixtures. Coal derived gases are excluded from this definition.

Coal subcategory includes any boiler that burns any solid fossil fuel and no more than 15 percent biomass on an annual heat input basis.

Commercial boiler means a boiler used in commercial establishments such as hotels, restaurants, and laundries to provide electricity, steam, and/or hot water.

Common stack means the exhaust of emissions from two or more affected units through a single flue. Affected units with a common stack may each have separate air pollution control systems located before the common stack, or may have a single air pollution control system located after the exhausts come together in a single flue.

Daily block average means the arithmetic mean of all valid emission concentrations or parameter levels recorded when a unit is operating measured over the 24-hour period from 12 a.m. (midnight) to 12 a.m. (midnight), except for periods of startup and shutdown and periods when the unit is not operating.

Deviation

(1) Means any instance in which an affected source subject to this subpart, or an owner or operator of such a source:

(i) Fails to meet any applicable requirement or obligation established by this subpart including, but not limited to, any emission limit, operating limit, or work practice standard; or

(ii) Fails to meet any term or condition that is adopted to implement an applicable requirement in this subpart and that is included in the operating permit for any affected source required to obtain such a permit.

(2) A deviation is not always a violation.

Distillate oil means fuel oils that contain 0.05 weight percent nitrogen or less and comply with the specifications for fuel oil numbers 1 and 2, as defined by the American Society of Testing and Materials in ASTM D396 (incorporated by reference, see [§ 63.14](#)) or diesel fuel oil numbers 1 and 2, as defined by the American Society for Testing and Materials in ASTM D975 (incorporated by reference, see [§ 63.14](#)), kerosene, and biodiesel as defined by the American Society of Testing and Materials in ASTM D6751–11b (incorporated by reference, see [§ 63.14](#)).

Dry scrubber means an add-on air pollution control system that injects dry alkaline sorbent (dry injection) or sprays an alkaline sorbent (spray dryer) to react with and neutralize acid gas in the exhaust stream forming a dry powder material. Sorbent injection systems used as control devices in fluidized bed boilers are included in this definition. A dry scrubber is a dry control system.

Electric boiler means a boiler in which electric heating serves as the source of heat. Electric boilers that burn gaseous or liquid fuel during periods of electrical power curtailment or failure are included in this definition.

Electric utility steam generating unit (EGU) means a fossil fuel-fired combustion unit of more than 25 megawatts that serves a generator that produces electricity for sale. A fossil fuel-fired unit that cogenerates steam and electricity and supplies more than one-third of its potential electric output capacity and more than 25 megawatts electrical output to any utility power distribution system for sale is considered an electric utility steam generating unit. To be “capable of combusting” fossil fuels, an EGU would need to have these fuels allowed in their operating permits and have the appropriate fuel handling facilities on-site or otherwise available (*e.g.*, coal handling equipment, including coal storage area, belts and conveyers, pulverizers, etc.; oil storage facilities). In addition, fossil fuel-fired EGU means any EGU that fired fossil fuel for more than 10.0 percent of the average annual heat input in any 3 consecutive calendar years or for more than 15.0 percent of the annual heat input during any one calendar year after April 16, 2015.

Electrostatic precipitator (ESP) means an add-on air pollution control device used to capture particulate matter by charging the particles using an electrostatic field, collecting the particles using a grounded collecting surface, and transporting the particles into a hopper. An electrostatic precipitator is usually a dry control system.

Energy assessment means the following for the emission units covered by this subpart:

(1) The energy assessment for facilities with affected boilers with less than 0.3 trillion Btu per year (TBtu/year) heat input capacity will be 8 on-site technical labor hours in length maximum, but may be longer at the discretion of the owner or operator of the affected source. The boiler system(s) and any on-site energy use system(s) accounting for at least 50 percent of the affected boiler(s) energy (*e.g.*, steam, hot water, or electricity) production, as applicable, will be evaluated to identify energy savings opportunities, within the limit of performing an 8-hour energy assessment.

(2) The energy assessment for facilities with affected boilers with 0.3 to 1.0 TBtu/year heat input capacity will be 24 on-site technical labor hours in length maximum, but may be longer at the discretion of the owner or operator of the affected source. The boiler system(s) and any on-site energy use system(s) accounting for at least 33 percent of the affected boiler(s) energy (*e.g.*, steam, hot water, or electricity) production, as applicable, will be evaluated to identify energy savings opportunities, within the limit of performing a 24-hour energy assessment.

(3) The energy assessment for facilities with affected boilers with greater than 1.0 TBtu/year heat input capacity will be up to 24 on-site technical labor hours in length for the first TBtu/year plus 8 on-site technical labor hours for every additional 1.0 TBtu/year not to exceed 160 on-site technical hours, but may be longer at the discretion of the owner or operator of the affected source. The boiler system(s) and any on-site energy use system(s) accounting for at least 20 percent of the affected boiler(s) energy (*e.g.*, steam, hot water, or electricity) production, as applicable, will be evaluated to identify energy savings opportunities.

(4) The on-site energy use system(s) serving as the basis for the percent of affected boiler(s) energy production, as applicable, in paragraphs (1), (2), and (3) of this definition may be segmented by production area or energy use area as most logical and applicable to the specific facility being assessed (*e.g.*, product X manufacturing area; product Y drying area; Building Z).

Energy management program means a program that includes a set of practices and procedures designed to manage energy use that are demonstrated by the facility's energy policies, a facility energy manager and other staffing responsibilities, energy performance measurement and tracking methods, an energy saving goal, action plans, operating procedures, internal reporting requirements, and periodic review intervals used at the facility. Facilities may establish their program through energy management systems compatible with ISO 50001.

Energy use system

(1) Includes the following systems located on the site of the affected boiler that use energy provided by the boiler:

(i) Process heating; compressed air systems; machine drive (motors, pumps, fans); process cooling; facility heating, ventilation, and air conditioning systems; hot water systems; building envelop; and lighting; or

(ii) Other systems that use steam, hot water, process heat, or electricity, provided by the affected boiler.

(2) Energy use systems are only those systems using energy clearly produced by affected boilers.

Equivalent means the following only as this term is used in Table 5 to this subpart:

(1) An equivalent sample collection procedure means a published voluntary consensus standard or practice (VCS) or

EPA method that includes collection of a minimum of three composite fuel samples, with each composite consisting of a minimum of three increments collected at approximately equal intervals over the test period.

(2) An equivalent sample compositing procedure means a published VCS or EPA method to systematically mix and obtain a representative subsample (part) of the composite sample.

(3) An equivalent sample preparation procedure means a published VCS or EPA method that: Clearly states that the standard, practice or method is appropriate for the pollutant and the fuel matrix; or is cited as an appropriate sample preparation standard, practice or method for the pollutant in the chosen VCS or EPA determinative or analytical method.

(4) An equivalent procedure for determining heat content means a published VCS or EPA method to obtain gross calorific (or higher heating) value.

(5) An equivalent procedure for determining fuel moisture content means a published VCS or EPA method to obtain moisture content. If the sample analysis plan calls for determining mercury using an aliquot of the dried sample, then the drying temperature must be modified to prevent vaporizing this metal. On the other hand, if metals analysis is done on an “as received” basis, a separate aliquot can be dried to determine moisture content and the mercury concentration mathematically adjusted to a dry basis.

(6) An equivalent mercury determinative or analytical procedure means a published VCS or EPA method that clearly states that the standard, practice, or method is appropriate for mercury and the fuel matrix and has a published detection limit equal or lower than the methods listed in Table 5 to this subpart for the same purpose.

Fabric filter means an add-on air pollution control device used to capture particulate matter by filtering gas streams through filter media, also known as a baghouse. A fabric filter is a dry control system.

Federally enforceable means all limitations and conditions that are enforceable by the EPA Administrator, including, but not limited to, the requirements of [40 CFR parts 60, 61, 63, and 65](#), requirements within any applicable state implementation plan, and any permit requirements established under [40 CFR 52.21](#) or under [40 CFR 51.18](#) and [40 CFR 51.24](#).

Fluidized bed boiler means a boiler utilizing a fluidized bed combustion process that is not a pulverized coal boiler.

Fluidized bed combustion means a process where a fuel is burned in a bed of granulated particles, which are maintained in a mobile suspension by the forward flow of air and combustion products.

Fossil fuel means natural gas, oil, coal, and any form of solid, liquid, or gaseous fuel derived from such material.

Fuel type means each category of fuels that share a common name or classification. Examples include, but are not limited to, bituminous coal, sub-bituminous coal, lignite, anthracite, biomass, distillate oil, residual oil. Individual fuel types received from different suppliers are not considered new fuel types.

Gaseous fuels includes, but is not limited to, natural gas, process gas, landfill gas, coal derived gas, refinery gas, hydrogen, and biogas.

Gas-fired boiler includes any boiler that burns gaseous fuels not combined with any solid fuels and burns liquid fuel only during periods of gas curtailment, gas supply interruption, startups, or for periodic testing, maintenance, or operator training on liquid fuel. Periodic testing, maintenance, or operator training on liquid fuel shall not exceed a combined total of 48 hours during any calendar year.

Heat input means heat derived from combustion of fuel in a boiler and does not include the heat input from preheated combustion air, recirculated flue gases, returned condensate, or exhaust gases from other sources such as gas turbines, internal combustion engines, kilns.

Hot water heater means a closed vessel with a capacity of no more than 120 U.S. gallons in which water is heated by combustion of gaseous, liquid, or biomass fuel and hot water is withdrawn for use external to the vessel. Hot water boilers (*i.e.*, not generating steam) combusting gaseous, liquid, or biomass fuel with a heat input capacity of less than 1.6 million Btu per hour are included in this definition. The 120 U.S. gallon capacity threshold to be considered a hot water heater is independent of the 1.6 million Btu per hour heat input capacity threshold for hot water boilers. Hot water heater also means a tankless unit that provides on-demand hot water.

Hourly average means the arithmetic average of at least four CMS data values representing the four 15-minute periods in an hour, or at least two 15-minute data values during an hour when CMS calibration, quality assurance, or maintenance activities are being performed.

Industrial boiler means a boiler used in manufacturing, processing, mining, and refining or any other industry to provide steam, hot water, and/or electricity.

Institutional boiler means a boiler used in institutional establishments such as, but not limited to, medical centers, nursing homes, research centers, institutions of higher education, elementary and secondary schools, libraries, religious establishments, and governmental buildings to provide electricity, steam, and/or hot water.

Limited-use boiler means any boiler that burns any amount of solid or liquid fuels and has a federally enforceable annual capacity factor of no more than 10 percent.

Liquid fuel includes, but is not limited to, distillate oil, residual oil, any form of liquid fuel derived from petroleum, used oil meeting the specification in [40 CFR 279.11](#), liquid biofuels, biodiesel, and vegetable oil.

Load fraction means the actual heat input of a boiler divided by heat input during the performance test that established the minimum sorbent injection rate or minimum activated carbon injection rate, expressed as a fraction (*e.g.*, for 50 percent load the load fraction is 0.5). For boilers that co-fire natural gas with a solid or liquid fuel, the load fraction is determined by the actual heat input of the solid or liquid fuel divided by heat input of the solid or liquid fuel fired during the performance test (*e.g.*, if the performance test was conducted at 100 percent solid fuel firing, for 100 percent load firing 50 percent solid fuel and 50 percent natural gas, the load fraction is 0.5).

Minimum activated carbon injection rate means load fraction multiplied by the lowest hourly average activated carbon injection rate measured according to Table 6 to this subpart during the most recent performance stack test demonstrating compliance with the applicable emission limit.

Minimum oxygen level means the lowest hourly average oxygen level measured according to Table 6 to this subpart during the most recent performance stack test demonstrating compliance with the applicable carbon monoxide emission limit.

Minimum scrubber liquid flow rate means the lowest hourly average scrubber liquid flow rate (*e.g.*, to the particulate matter scrubber) measured according to Table 6 to this subpart during the most recent performance stack test demonstrating compliance with the applicable emission limit.

Minimum scrubber pressure drop means the lowest hourly average scrubber pressure drop measured according to Table 6 to this subpart during the most recent performance stack test demonstrating compliance with the applicable emission limit.

Minimum sorbent injection rate means:

- (1) The load fraction multiplied by the lowest hourly average sorbent injection rate for each sorbent measured according to Table 6 to this subpart during the most recent performance stack test demonstrating compliance with the applicable emission limits; or
- (2) For fluidized bed combustion, the lowest average ratio of sorbent to sulfur measured during the most recent performance test.

Minimum total secondary electric power means the lowest hourly average total secondary electric power determined from the values of secondary voltage and secondary current to the electrostatic precipitator measured according to Table 6 to this subpart during the most recent performance stack test demonstrating compliance with the applicable emission limits.

Natural gas means:

- (1) A naturally occurring mixture of hydrocarbon and nonhydrocarbon gases found in geologic formations beneath the earth's surface, of which the principal constituent is methane; or
- (2) Liquefied petroleum gas, as defined by the American Society for Testing and Materials in ASTM D1835 (incorporated by reference, see [§ 63.14](#)); or
- (3) A mixture of hydrocarbons that maintains a gaseous state at ISO conditions (*i.e.*, a temperature of 288 Kelvin, a relative humidity of 60 percent, and a pressure of 101.3 kilopascals). Additionally, natural gas must either be composed of at least 70 percent methane by volume or have a gross calorific value between 35 and 41 megajoules (MJ) per dry standard cubic meter (950 and 1,100 Btu per dry standard cubic foot); or
- (4) Propane or propane-derived synthetic natural gas. Propane means a colorless gas derived from petroleum and natural gas, with the molecular structure C₃H₈.

Oil subcategory includes any boiler that burns any liquid fuel and is not in either the biomass or coal subcategories. Gas-fired boilers that burn liquid fuel only during periods of gas curtailment, gas supply interruptions, startups, or for periodic testing are not included in this definition. Periodic testing on liquid fuel shall not exceed a combined total of 48 hours during any calendar year.

Opacity means the degree to which emissions reduce the transmission of light and obscure the view of an object in the background.

Operating day means a 24-hour period between 12 midnight and the following midnight during which any fuel is combusted at any time in the boiler unit. It is not necessary for fuel to be combusted for the entire 24-hour period.

Oxygen analyzer system means all equipment required to determine the oxygen content of a gas stream and used to monitor oxygen in the boiler flue gas, boiler firebox, or other appropriate intermediate location. This definition includes oxygen trim systems.

Oxygen trim system means a system of monitors that is used to maintain excess air at the desired level in a combustion device over its operating load range. A typical system consists of a flue gas oxygen and/or carbon monoxide monitor that automatically provides a feedback signal to the combustion air controller or draft controller.

Particulate matter (PM) means any finely divided solid or liquid material, other than uncombined water, as measured by the test methods specified under this subpart, or an approved alternative method.

Performance testing means the collection of data resulting from the execution of a test method used (either by stack testing or fuel analysis) to demonstrate compliance with a relevant emission standard.

Period of gas curtailment or supply interruption means a period of time during which the supply of gaseous fuel to an affected boiler is restricted or halted for reasons beyond the control of the facility. The act of entering into a contractual agreement with a supplier of natural gas established for curtailment purposes does not constitute a reason that is under the control of a facility for the purposes of this definition. An increase in the cost or unit price of natural gas due to normal market fluctuations not during periods of supplier delivery restriction does not constitute a period of natural gas curtailment or supply interruption. On-site gaseous fuel system emergencies or equipment failures qualify as periods of supply interruption when the emergency or failure is beyond the control of the facility.

Process heater means an enclosed device using controlled flame, and the unit's primary purpose is to transfer heat indirectly to a process material (liquid, gas, or solid) or to a heat transfer material (e.g., glycol or a mixture of glycol and water) for use in a process unit, instead of generating steam. Process heaters are devices in which the combustion gases do not come into direct contact with process materials. Process heaters include units that heat water/water mixtures for pool heating, sidewalk heating, cooling tower water heating, power washing, or oil heating.

Qualified energy assessor means:

(1) Someone who has demonstrated capabilities to evaluate energy savings opportunities for steam generation and major energy using systems, including, but not limited to:

- (i) Boiler combustion management.
- (ii) Boiler thermal energy recovery, including
 - (A) Conventional feed water economizer,

- (B) Conventional combustion air preheater, and
 - (C) Condensing economizer.
 - (iii) Boiler blowdown thermal energy recovery.
 - (iv) Primary energy resource selection, including
 - (A) Fuel (primary energy source) switching, and
 - (B) Applied steam energy versus direct-fired energy versus electricity.
 - (v) Insulation issues.
 - (vi) Steam trap and steam leak management.
 - (vii) Condensate recovery.
 - (viii) Steam end-use management.
- (2) Capabilities and knowledge includes, but is not limited to:
- (i) Background, experience, and recognized abilities to perform the assessment activities, data analysis, and report preparation.
 - (ii) Familiarity with operating and maintenance practices for steam or process heating systems.
 - (iii) Additional potential steam system improvement opportunities including improving steam turbine operations and reducing steam demand.
 - (iv) Additional process heating system opportunities including effective utilization of waste heat and use of proper process heating methods.
 - (v) Boiler-steam turbine cogeneration systems.
 - (vi) Industry specific steam end-use systems.

Regulated gas stream means an offgas stream that is routed to a boiler for the purpose of achieving compliance with a standard under another subpart of this [part or part 60](#), [part 61](#), or [part 65 of this chapter](#).

Residential boiler means a boiler used to provide heat and/or hot water and/or as part of a residential combined heat and power system. This definition includes boilers located at an institutional facility (*e.g.*, university campus, military base, church grounds) or commercial/industrial facility (*e.g.*, farm) used primarily to provide heat and/or hot water for:

(1) A dwelling containing four or fewer families, or

(2) A single unit residence dwelling that has since been converted or subdivided into condominiums or apartments.

Residual oil means crude oil, fuel oil that does not comply with the specifications under the definition of distillate oil, and all fuel oil numbers 4, 5, and 6, as defined by the American Society of Testing and Materials in ASTM D396–10 (incorporated by reference, see [§ 63.14\(b\)](#)).

Responsible official means responsible official as defined in [§ 70.2](#).

Seasonal boiler means a boiler that undergoes a shutdown for a period of at least 7 consecutive months (or 210 consecutive days) each 12-month period due to seasonal conditions, except for periodic testing. Periodic testing shall not exceed a combined total of 15 days during the 7-month shutdown. This definition only applies to boilers that would otherwise be included in the biomass subcategory or the oil subcategory.

Shutdown means the period in which cessation of operation of a boiler is initiated for any purpose. Shutdown begins when the boiler no longer supplies useful thermal energy (such as steam or hot water) for heating, cooling, or process purposes or generates electricity, or when no fuel is being fed to the boiler, whichever is earlier. Shutdown ends when the boiler no longer supplies useful thermal energy (such as steam or hot water) for heating, cooling, or process purposes or generates electricity, and no fuel is being combusted in the boiler.

Solid fossil fuel includes, but is not limited to, coal, coke, petroleum coke, and tire-derived fuel.

Solid fuel means any solid fossil fuel or biomass or bio-based solid fuel.

Startup means:

(1) Either the first-ever firing of fuel in a boiler for the purpose of supplying useful thermal energy (such as steam or hot water) for heating and/or producing electricity, or for any other purpose, or the firing of fuel in a boiler after a shutdown event for any purpose. Startup ends when any of the useful thermal energy (such as steam or hot water) from the boiler is supplied for heating and/or producing electricity, or for any other purpose, or

(2) The period in which operation of a boiler is initiated for any purpose. Startup begins with either the first-ever firing of fuel in a boiler for the purpose of supplying useful thermal energy (such as steam or hot water) for heating, cooling or process purposes or producing electricity, or the firing of fuel in a boiler for any purpose after a shutdown event. Startup ends 4 hours after when the boiler supplies useful thermal energy (such as steam or hot water) for heating, cooling, or process purposes or generates electricity, whichever is earlier.

Temporary boiler means any gaseous or liquid fuel boiler that is designed to, and is capable of, being carried or moved from one location to another by means of, for example, wheels, skids,

carrying handles, dollies, trailers, or platforms. A boiler is not a temporary boiler if any one of the following conditions exists:

- (1) The equipment is attached to a foundation.
- (2) The boiler or a replacement remains at a location within the facility and performs the same or similar function for more than 12 consecutive months, unless the regulatory agency approves an extension. An extension may be granted by the regulating agency upon petition by the owner or operator of a unit specifying the basis for such a request. Any temporary boiler that replaces a temporary boiler at a location within the facility and performs the same or similar function will be included in calculating the consecutive time period unless there is a gap in operation of 12 months or more.
- (3) The equipment is located at a seasonal facility and operates during the full annual operating period of the seasonal facility, remains at the facility for at least 2 years, and operates at that facility for at least 3 months each year.
- (4) The equipment is moved from one location to another within the facility but continues to perform the same or similar function and serve the same electricity, steam, and/or hot water system in an attempt to circumvent the residence time requirements of this definition.

Tune-up means adjustments made to a boiler in accordance with the procedures outlined in [§ 63.11223\(b\)](#).

Ultra-low-sulfur liquid fuel means a distillate oil that has less than or equal to 15 parts per million (ppm) sulfur.

Useful thermal energy means energy (*i.e.*, steam or hot water) that meets the minimum operating temperature, flow, and/or pressure required by any energy use system that uses energy provided by the affected boiler.

Vegetable oil means oils extracted from vegetation.

Voluntary Consensus Standards (VCS) mean technical standards (*e.g.*, materials specifications, test methods, sampling procedures, business practices) developed or adopted by one or more voluntary consensus bodies. EPA/Office of Air Quality Planning and Standards, by precedent, has only used VCS that are written in English. Examples of VCS bodies are: American Society of Testing and Materials (ASTM, 100 Barr Harbor Drive, P.O. Box CB700, West Conshohocken, Pennsylvania 19428–B2959, (800) 262–1373, <http://www.astm.org>), American Society of Mechanical Engineers (ASME, Three Park Avenue, New York, NY 10016–5990, (800) 843–2763, <http://www.asme.org>), International Standards Organization (ISO 1, ch. de la Voie-Creuse, Case postale 56, CH–1211 Geneva 20, Switzerland, +41 22 749 01 11, <http://www.iso.org/iso/home.htm>), Standards Australia (AS Level 10, The Exchange Centre, 20 Bridge Street, Sydney, GPO Box 476, Sydney NSW 2001, +61 2 9237 6171 <http://www.standards.org.au>), British Standards Institution (BSI, 389 Chiswick High Road, London, W4 4AL, United Kingdom, +44 (0)20 8996 9001, <http://www.bsigroup.com>),

Canadian Standards Association (CSA, 5060 Spectrum Way, Suite 100, Mississauga, Ontario L4W 5N6, Canada, 800–463–6727, <http://www.csa.ca>), European Committee for Standardization (CEN CENELEC Management Centre Avenue Marnix 17 B–1000 Brussels, Belgium +32 2 550 08 11, <http://www.cen.eu/cen>), and German Engineering Standards (VDI Guidelines Department, P.O. Box 10 11 39 40002, Duesseldorf, Germany, +49 211 6214–230, <http://www.vdi.eu>). The types of standards that are not considered VCS are standards developed by: the United States, *e.g.*, California Air Resources Board (CARB) and Texas Commission on Environmental Quality (TCEQ); industry groups, such as American Petroleum Institute (API), Gas Processors Association (GPA), and Gas Research Institute (GRI); and other branches of the U.S. Government, *e.g.*, Department of Defense (DOD) and Department of Transportation (DOT). This does not preclude EPA from using standards developed by groups that are not VCS bodies within their rule. When this occurs, EPA has done searches and reviews for VCS equivalent to these non-EPA methods.

Waste heat boiler means a device that recovers normally unused energy (*i.e.*, hot exhaust gas) and converts it to usable heat. Waste heat boilers are also referred to as heat recovery steam generators. Waste heat boilers are heat exchangers generating steam from incoming hot exhaust gas from an industrial (*e.g.*, thermal oxidizer, kiln, furnace) or power (*e.g.*, combustion turbine, engine) equipment. Duct burners are sometimes used to increase the temperature of the incoming hot exhaust gas.

Wet scrubber means any add-on air pollution control device that mixes an aqueous stream or slurry with the exhaust gases from a boiler to control emissions of particulate matter or to absorb and neutralize acid gases, such as hydrogen chloride. A wet scrubber creates an aqueous stream or slurry as a byproduct of the emissions control process.

Work practice standard means any design, equipment, work practice, or operational standard, or combination thereof, which is promulgated pursuant to section 112(h) of the Clean Air Act.

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7513](#), Feb. 1, 2013; [81 FR 63128](#), Sept. 14, 2016]

Table 1 to Subpart JJJJJ of Part 63—Emission Limits

As stated in [§ 63.11201](#), you must comply with the following applicable emission limits:

If your boiler is in this subcategory . . .	For the following pollutants . . .	You must achieve less than or equal to the following emission limits, except during periods of startup and shutdown . . .
1. New coal-fired boilers with heat input capacity of 30 million British thermal units per hour (MMBtu/hr) or greater that do not meet the definition of limited-use boiler	a. PM (Filterable) b. Mercury c. CO	3.0E–02 pounds(lb) per million British thermal units (MMBtu) of heat input. 2.2E–05 lb per MMBtu of heat input.

If your boiler is in this subcategory . . .	For the following pollutants . . .	You must achieve less than or equal to the following emission limits, except during periods of startup and shutdown . . .
2. New coal-fired boilers with heat input capacity of between 10 and 30 MMBtu/hr that do not meet the definition of limited-use boiler	a. PM (Filterable) b. Mercury c. CO	420 parts per million (ppm) by volume on a dry basis corrected to 3 percent oxygen (3-run average or 10-day rolling average). 4.2E-01 lb per MMBtu of heat input. 2.2E-05 lb per MMBtu of heat input. 420 ppm by volume on a dry basis corrected to 3 percent oxygen (3-run average or 10-day rolling average).
3. New biomass-fired boilers with heat input capacity of 30 MMBtu/hr or greater that do not meet the definition of seasonal boiler or limited-use boiler	PM (Filterable)	3.0E-02 lb per MMBtu of heat input.
4. New biomass fired boilers with heat input capacity of between 10 and 30 MMBtu/hr that do not meet the definition of seasonal boiler or limited-use boiler	PM (Filterable)	7.0E-02 lb per MMBtu of heat input.
5. New oil-fired boilers with heat input capacity of 10 MMBtu/hr or greater that do not meet the definition of seasonal boiler or limited-use boiler	PM (Filterable)	3.0E-02 lb per MMBtu of heat input.
6. Existing coal-fired boilers with heat input capacity of 10 MMBtu/hr or greater that do not meet the definition of limited-use boiler	a. Mercury b. CO	2.2E-05 lb per MMBtu of heat input. 420 ppm by volume on a dry basis corrected to 3 percent oxygen (3-run average or 10-day rolling average).

[78 FR 7517, Feb. 1, 2013, as amended at 81 FR 63130, Sept. 14, 2016]

Table 2 to Subpart JJJJJ of Part 63—Work Practice Standards, Emission Reduction Measures, and Management Practices

As stated in § 63.11201, you must comply with the following applicable work practice standards, emission reduction measures, and management practices:

If your boiler is in this subcategory . . .**You must meet the following . . .**

1. Existing or new coal-fired, new biomass-fired, or new oil-fired boilers (units with heat input capacity of 10 MMBtu/hr or greater)

Minimize the boiler's startup and shutdown periods and conduct startups and shutdowns according to the manufacturer's recommended procedures. If manufacturer's recommended procedures are not available, you must follow recommended procedures for a unit of similar design for which manufacturer's recommended procedures are available.

2. Existing coal-fired boilers with heat input capacity of less than 10 MMBtu/hr that do not meet the definition of limited-use boiler, or use an oxygen trim system that maintains an optimum air-to-fuel ratio

Conduct an initial tune-up as specified in [§ 63.11214](#), and conduct a tune-up of the boiler biennially as specified in [§ 63.11223](#).

3. New coal-fired boilers with heat input capacity of less than 10 MMBtu/hr that do not meet the definition of limited-use boiler, or use an oxygen trim system that maintains an optimum air-to-fuel ratio

Conduct a tune-up of the boiler biennially as specified in [§ 63.11223](#).

4. Existing oil-fired boilers with heat input capacity greater than 5 MMBtu/hr that do not meet the definition of seasonal boiler or limited-use boiler, or use an oxygen trim system that maintains an optimum air-to-fuel ratio

Conduct an initial tune-up as specified in [§ 63.11214](#), and conduct a tune-up of the boiler biennially as specified in [§ 63.11223](#).

5. New oil-fired boilers with heat input capacity greater than 5 MMBtu/hr that do not meet the definition of seasonal boiler or limited-use boiler, or use an oxygen trim system that maintains an optimum air-to-fuel ratio

Conduct a tune-up of the boiler biennially as specified in [§ 63.11223](#).

6. Existing biomass-fired boilers that do not meet the definition of seasonal boiler or limited-use boiler, or use an oxygen trim system that maintains an optimum air-to-fuel ratio

Conduct an initial tune-up as specified in [§ 63.11214](#), and conduct a tune-up of the boiler biennially as specified in [§ 63.11223](#).

7. New biomass-fired boilers that do not meet the definition of seasonal boiler or limited-use boiler, or use an oxygen trim system that maintains an optimum air-to-fuel ratio

Conduct a tune-up of the boiler biennially as specified in [§ 63.11223](#).

If your boiler is in this subcategory . . .	You must meet the following . . .
8. Existing seasonal boilers	Conduct an initial tune-up as specified in § 63.11214 , and conduct a tune-up of the boiler every 5 years as specified in § 63.11223 .
9. New seasonal boilers	Conduct a tune-up of the boiler every 5 years as specified in § 63.11223 .
10. Existing limited-use boilers	Conduct an initial tune-up as specified in § 63.11214 , and conduct a tune-up of the boiler every 5 years as specified in § 63.11223 .
11. New limited-use boilers	Conduct a tune-up of the boiler every 5 years as specified in § 63.11223 .
12. Existing oil-fired boilers with heat input capacity of equal to or less than 5 MMBtu/hr	Conduct an initial tune-up as specified in § 63.11214 , and conduct a tune-up of the boiler every 5 years as specified in § 63.11223 .
13. New oil-fired boilers with heat input capacity of equal to or less than 5 MMBtu/hr	Conduct a tune-up of the boiler every 5 years as specified in § 63.11223 .
14. Existing coal-fired, biomass-fired, or oil-fired boilers with an oxygen trim system that maintains an optimum air-to-fuel ratio that would otherwise be subject to a biennial tune-up	Conduct an initial tune-up as specified in § 63.11214 , and conduct a tune-up of the boiler every 5 years as specified in § 63.11223 .
15. New coal-fired, biomass-fired, or oil-fired boilers with an oxygen trim system that maintains an optimum air-to-fuel ratio that would otherwise be subject to a biennial tune-up	Conduct a tune-up of the boiler every 5 years as specified in § 63.11223 .
16. Existing coal-fired, biomass-fired, or oil-fired boilers (units with heat input capacity of 10 MMBtu/hr and greater), not including limited-use boilers	Must have a one-time energy assessment performed by a qualified energy assessor. An energy assessment completed on or after January 1, 2008, that meets or is amended to meet the energy assessment requirements in this table satisfies the energy assessment requirement. Energy assessor approval and qualification requirements are waived in instances where past or amended energy assessments are used to meet the energy assessment requirements. A facility that operated under an energy management program developed according to the ENERGY STAR guidelines for energy management or compatible with ISO 50001 for at least 1 year between January 1, 2008, and the compliance date specified in § 63.11196 that includes the affected units also satisfies the energy assessment requirement. The energy assessment must include the

If your boiler is in this subcategory . . .	You must meet the following . . .
	<p>following with extent of the evaluation for items (1) to (4) appropriate for the on-site technical hours listed in § 63.11237:</p> <ol style="list-style-type: none"> (1) A visual inspection of the boiler system, (2) An evaluation of operating characteristics of the affected boiler systems, specifications of energy use systems, operating and maintenance procedures, and unusual operating constraints, (3) An inventory of major energy use systems consuming energy from affected boiler(s) and which are under control of the boiler owner or operator, (4) A review of available architectural and engineering plans, facility operation and maintenance procedures and logs, and fuel usage, (5) A list of major energy conservation measures that are within the facility's control, (6) A list of the energy savings potential of the energy conservation measures identified, and (7) A comprehensive report detailing the ways to improve efficiency, the cost of specific improvements, benefits, and the time frame for recouping those investments.

[[78 FR 7518](#), Feb. 1, 2013, as amended at [81 FR 63129](#), Sept. 14, 2016]

Table 3 to Subpart JJJJJJ of Part 63—Operating Limits for Boilers With Emission Limits

As stated in [§ 63.11201](#), you must comply with the applicable operating limits:

If you demonstrate compliance with applicable emission limits using . . .	You must meet these operating limits except during periods of startup and shutdown . . .
1. Fabric filter control	<ol style="list-style-type: none"> a. Maintain opacity to less than or equal to 10 percent opacity (daily block average); OR b. Install and operate a bag leak detection system according to § 63.11224 and operate the fabric filter such that the bag leak detection system alarm does not sound more than 5 percent of the operating time during each 6-month period.

If you demonstrate compliance with applicable emission limits using . . .	You must meet these operating limits except during periods of startup and shutdown . . .
2. Electrostatic precipitator control	<p>a. Maintain opacity to less than or equal to 10 percent opacity (daily block average); OR</p> <p>b. Maintain the 30-day rolling average total secondary electric power of the electrostatic precipitator at or above the minimum total secondary electric power as defined in § 63.11237.</p>
3. Wet scrubber control	<p>Maintain the 30-day rolling average pressure drop across the wet scrubber at or above the minimum scrubber pressure drop as defined in § 63.11237 and the 30-day rolling average liquid flow rate at or above the minimum scrubber liquid flow rate as defined in § 63.11237.</p>
4. Dry sorbent or activated carbon injection control	<p>Maintain the 30-day rolling average sorbent or activated carbon injection rate at or above the minimum sorbent injection rate or minimum activated carbon injection rate as defined in § 63.11237. When your boiler operates at lower loads, multiply your sorbent or activated carbon injection rate by the load fraction (<i>e.g.</i>, actual heat input divided by the heat input during the performance stack test; for 50 percent load, multiply the injection rate operating limit by 0.5).</p>
5. Any other add-on air pollution control type.	<p>This option is for boilers that operate dry control systems. Boilers must maintain opacity to less than or equal to 10 percent opacity (daily block average).</p>
6. Fuel analysis	<p>Maintain the fuel type or fuel mixture (annual average) such that the mercury emission rate calculated according to § 63.11211(c) are less than the applicable emission limit for mercury.</p>
7. Performance stack testing	<p>For boilers that demonstrate compliance with a performance stack test, maintain the operating load of each unit such that it does not exceed 110 percent of the average operating load recorded during the most recent performance stack test.</p>
8. Oxygen analyzer system	<p>For boilers subject to a CO emission limit that demonstrate compliance with an oxygen analyzer system as specified in § 63.11224(a), maintain the 30-day rolling average oxygen level at or above the minimum oxygen level as defined in § 63.11237. This requirement does not apply to units that install an oxygen trim system since these units will set the trim system to the level specified in § 63.11224(a)(7).</p>

[78 FR 7519, Feb. 1, 2013]

Table 4 to Subpart JJJJJ of Part 63—Performance (Stack) Testing Requirements

As stated in [§ 63.11212](#), you must comply with the following requirements for performance (stack) test for affected sources:

To conduct a performance test for the following pollutant. . .	You must. . .	Using. . .
1. Particulate Matter	<p>a. Select sampling ports location and the number of traverse points</p> <p>b. Determine velocity and volumetric flow-rate of the stack gas</p> <p>c. Determine oxygen and carbon dioxide concentrations of the stack gas</p> <p>d. Measure the moisture content of the stack gas</p> <p>e. Measure the particulate matter emission concentration</p> <p>f. Convert emissions concentration to lb/MMBtu emission rates</p>	<p>Method 1 in appendix A-1 to part 60 of this chapter.</p> <p>Method 2, 2F, or 2G in appendix A-2 to part 60 of this chapter.</p> <p>Method 3A or 3B in appendix A-2 to part 60 of this chapter, or ASTM D6522-00 (Reapproved 2005),^a or ANSI/ASME PTC 19.10-1981.^a</p> <p>Method 4 in appendix A-3 to part 60 of this chapter.</p> <p>Method 5 or 17 (positive pressure fabric filters must use Method 5D) in appendix A-3 and A-6 to part 60 of this chapter and a minimum 1 dscm of sample volume per run.</p> <p>Method 19 F-factor methodology in appendix A-7 to part 60 of this chapter.</p>
2. Mercury	<p>a. Select sampling ports location and the number of traverse points</p> <p>b. Determine velocity and volumetric flow-rate of the stack gas</p> <p>c. Determine oxygen and carbon dioxide concentrations of the stack gas</p> <p>d. Measure the moisture content of the stack gas</p> <p>e. Measure the mercury emission concentration</p>	<p>Method 1 in appendix A-1 to part 60 of this chapter.</p> <p>Method 2, 2F, or 2G in appendix A-2 to part 60 of this chapter.</p> <p>Method 3A or 3B in appendix A-2 to part 60 of this chapter, or ASTM D6522-00 (Reapproved 2005),^a or ANSI/ASME PTC 19.10-1981.^a</p> <p>Method 4 in appendix A-3 to part 60 of this chapter.</p> <p>Method 29, 30A, or 30B in appendix A-8 to part 60 of this chapter or Method 101A in appendix B to part 61 of this chapter or ASTM Method D6784-02.^a Collect a minimum 2 dscm of sample volume</p>

To conduct a performance test for the following pollutant. . .	You must. . .	Using. . .
3. Carbon Monoxide	<ul style="list-style-type: none"> f. Convert emissions concentration to lb/MMBtu emission rates a. Select the sampling ports location and the number of traverse points b. Determine oxygen and carbon dioxide concentrations of the stack gas c. Measure the moisture content of the stack gas d. Measure the carbon monoxide emission concentration 	<p>with Method 29 of 101A per run. Use a minimum run time of 2 hours with Method 30A.</p> <p>Method 19 F-factor methodology in appendix A-7 to part 60 of this chapter.</p> <p>Method 1 in appendix A-1 to part 60 of this chapter.</p> <p>Method 3A or 3B in appendix A-2 to part 60 of this chapter, or ASTM D6522-00 (Reapproved 2005),^a or ANSI/ASME PTC 19.10-1981.^a</p> <p>Method 4 in appendix A-3 to part 60 of this chapter.</p> <p>Method 10, 10A, or 10B in appendix A-4 to part 60 of this chapter or ASTM D6522-00 (Reapproved 2005)^a and a minimum 1 hour sampling time per run.</p>

^a Incorporated by reference, see [§ 63.14](#).

Table 5 to Subpart JJJJJ of Part 63—Fuel Analysis Requirements

As stated in [§ 63.11213](#), you must comply with the following requirements for fuel analysis testing for affected sources:

To conduct a fuel analysis for the following pollutant . . .	You must. . .	Using . . .
1. Mercury	<ul style="list-style-type: none"> a. Collect fuel samples b. Compose fuel samples 	<p>Procedure in § 63.11213(b) or ASTM D2234/D2234M^a (for coal) or ASTM D6323^a (for biomass) or equivalent.</p> <p>Procedure in § 63.11213(b) or equivalent.</p>

To conduct a fuel analysis for the following pollutant ...	You must...	Using...
	c. Prepare composited fuel samples d. Determine heat content of the fuel type e. Determine moisture content of the fuel type f. Measure mercury concentration in fuel sample g. Convert concentrations into units of lb/MMBtu of heat content	EPA SW-846-3050B ^a (for solid samples) or EPA SW-846-3020A ^a (for liquid samples) or ASTM D2013/D2013M ^a (for coal) or ASTM D5198 ^a (for biomass) or equivalent. ASTM D5865 ^a (for coal) or ASTM E711 ^a (for biomass) or equivalent. ASTM D3173 ^a or ASTM E871 ^a or equivalent. ASTM D6722 ^a (for coal) or EPA SW-846-7471B ^a (for solid samples) or EPA SW-846-7470A ^a (for liquid samples) or equivalent.

^a Incorporated by reference, see [§ 63.14](#).

Table 6 to Subpart JJJJJ of Part 63—Establishing Operating Limits

As stated in [§ 63.11211](#), you must comply with the following requirements for establishing operating limits:

If you have an applicable emission limit for ...	And your operating limits are based on ...	You must ...	Using ...	According to the following requirements
1. PM or mercury	a. Wet scrubber operating parameters	Establish site-specific minimum scrubber pressure drop and minimum scrubber liquid flow rate operating limits according to § 63.11211(b)	Data from the pressure drop and liquid flow rate monitors and the PM or mercury performance stack tests	(a) You must collect pressure drop and liquid flow rate data every 15 minutes during the entire period of the performance stack tests; (b) Determine the average pressure drop

If you have an applicable emission limit for ...	And your operating limits are based on ...	You must ...	Using ...	According to the following requirements
	b. Electrostatic precipitator operating parameters	Establish a site-specific minimum total secondary electric power operating limit according to § 63.11211(b)	Data from the secondary electric power monitors and the PM or mercury performance stack tests	<p>and liquid flow rate for each individual test run in the three-run performance stack test by computing the average of all the 15-minute readings taken during each test run.</p> <p>(a) You must collect secondary electric power data every 15 minutes during the entire period of the performance stack tests;</p> <p>(b) Determine the average total secondary electric power for each individual test run in the three-run performance stack test by computing the average of all the 15-minute readings taken during each test run.</p>
2. Mercury	Dry sorbent or activated carbon injection rate operating parameters	Establish a site-specific minimum sorbent or activated carbon injection rate operating limit according to § 63.11211(b)	Data from the sorbent or activated carbon injection rate monitors and the mercury performance stack tests	<p>(a) You must collect sorbent or activated carbon injection rate data every 15 minutes during the entire period of the performance stack tests;</p> <p>(b) Determine the average sorbent or activated carbon injection rate for each individual test run in the three-run performance stack test by computing the average of all the 15-</p>

If you have an applicable emission limit for ...	And your operating limits are based on ...	You must ...	Using ...	According to the following requirements
3. CO	Oxygen	Establish a unit-specific limit for minimum oxygen level	Data from the oxygen analyzer system specified in § 63.11224(a)	<p>minute readings taken during each test run.</p> <p>(c) When your unit operates at lower loads, multiply your sorbent or activated carbon injection rate by the load fraction, as defined in § 63.11237, to determine the required injection rate.</p> <p>(a) You must collect oxygen data every 15 minutes during the entire period of the performance stack tests;</p> <p>(b) Determine the average hourly oxygen concentration for each individual test run in the three-run performance stack test by computing the average of all the 15-minute readings taken during each test run.</p>
4. Any pollutant for which compliance is demonstrated by a performance stack test	Boiler operating load	Establish a unit-specific limit for maximum operating load according to § 63.11212(c)	Data from the operating load monitors (fuel feed monitors or steam generation monitors)	<p>(a) You must collect operating load data (fuel feed rate or steam generation data) every 15 minutes during the entire period of the performance test.</p> <p>(b) Determine the average operating load by computing the hourly averages using all of the 15-minute readings taken during each performance test.</p> <p>(c) Determine the average of the three test</p>

If you have an applicable emission limit for . . .	And your operating limits are based on . . .	You must . . .	Using . . .	According to the following requirements
				run averages during the performance test, and multiply this by 1.1 (110 percent) as your operating limit.

[[78 FR 7520](#), Feb. 1, 2013, as amended at [81 FR 63130](#), Sept. 14, 2016]

Table 7 to Subpart JJJJJ of Part 63—Demonstrating Continuous Compliance

As stated in [§ 63.11222](#), you must show continuous compliance with the emission limitations for affected sources according to the following:

If you must meet the following operating limits . . .	You must demonstrate continuous compliance by . . .
1. Opacity	<ul style="list-style-type: none"> a. Collecting the opacity monitoring system data according to § 63.11224(e) and § 63.11221; and b. Reducing the opacity monitoring data to 6-minute averages; and c. Maintaining opacity to less than or equal to 10 percent (daily block average).
2. Fabric Filter Bag Leak Detection Operation	Installing and operating a bag leak detection system according to § 63.11224(f) and operating the fabric filter such that the requirements in § 63.11222(a)(4) are met.
3. Wet Scrubber Pressure Drop and Liquid Flow Rate	<ul style="list-style-type: none"> a. Collecting the pressure drop and liquid flow rate monitoring system data according to §§ 63.11224 and 63.11221; and b. Reducing the data to 30-day rolling averages; and c. Maintaining the 30-day rolling average pressure drop and liquid flow rate at or above the minimum pressure drop and minimum liquid flow rate according to § 63.11211.
4. Dry Scrubber Sorbent or Activated Carbon Injection Rate	<ul style="list-style-type: none"> a. Collecting the sorbent or activated carbon injection rate monitoring system data for the dry scrubber according to §§ 63.11224 and 63.11221; and b. Reducing the data to 30-day rolling averages; and

If you must meet the following operating limits . . .	You must demonstrate continuous compliance by . . .
	c. Maintaining the 30-day rolling average sorbent or activated carbon injection rate at or above the minimum sorbent or activated carbon injection rate according to § 63.11211 .
5. Electrostatic Precipitator Total Secondary Electric Power	<p>a. Collecting the total secondary electric power monitoring system data for the electrostatic precipitator according to §§ 63.11224 and 63.11221; and</p> <p>b. Reducing the data to 30-day rolling averages; and</p> <p>c. Maintaining the 30-day rolling average total secondary electric power at or above the minimum total secondary electric power according to § 63.11211.</p>
6. Fuel Pollutant Content	<p>a. Only burning the fuel types and fuel mixtures used to demonstrate compliance with the applicable emission limit according to § 63.11213 as applicable; and</p> <p>b. Keeping monthly records of fuel use according to §§ 63.11222(a)(2) and 63.11225(b)(4).</p>
7. Oxygen content	<p>a. Continuously monitoring the oxygen content of flue gas according to § 63.11224 (This requirement does not apply to units that install an oxygen trim system since these units will set the trim system to the level specified in § 63.11224(a)(7)); and</p> <p>b. Reducing the data to 30-day rolling averages; and</p> <p>c. Maintaining the 30-day rolling average oxygen content at or above the minimum oxygen level established during the most recent CO performance test.</p>
8. CO emissions	<p>a. Continuously monitoring the CO concentration in the combustion exhaust according to §§ 63.11224 and 63.11221; and</p> <p>b. Correcting the data to 3 percent oxygen, and reducing the data to 1-hour averages; and</p> <p>c. Reducing the data from the hourly averages to 10-day rolling averages; and</p> <p>d. Maintaining the 10-day rolling average CO concentration at or below the applicable emission limit in Table 1 to this subpart.</p>
9. Boiler operating load	<p>a. Collecting operating load data (fuel feed rate or steam generation data) every 15 minutes; and</p> <p>b. Reducing the data to 30-day rolling averages; and</p> <p>c. Maintaining the 30-day rolling average at or below the operating limit established during the performance test according to § 63.11212(c) and Table 6 to this subpart.</p>

Table 8 to Subpart JJJJJJ of Part 63—Applicability of General Provisions to Subpart JJJJJJ

As stated in [§ 63.11235](#), you must comply with the applicable General Provisions according to the following:

General provisions cite	Subject	Does it apply?
§ 63.1	Applicability	Yes.
§ 63.2	Definitions	Yes. Additional terms defined in § 63.11237 .
§ 63.3	Units and Abbreviations	Yes.
§ 63.4	Prohibited Activities and Circumvention	Yes.
§ 63.5	Preconstruction Review and Notification Requirements	No
§ 63.6(a), (b)(1)–(b)(5), (b)(7), (c), (f)(2)–(3), (g), (i), (j)	Compliance with Standards and Maintenance Requirements	Yes.
§ 63.6(e)(1)(i)	General Duty to minimize emissions	No. <i>See</i> § 63.11205 for general duty requirement.
§ 63.6(e)(1)(ii)	Requirement to correct malfunctions ASAP	No.
§ 63.6(e)(3)	SSM Plan	No.
§ 63.6(f)(1)	SSM exemption	No.
§ 63.6(h)(1)	SSM exemption	No.
§ 63.6(h)(2) to (9)	Determining compliance with opacity emission standards	Yes.
§ 63.7(a), (b), (c), (d), (e)(2)–(e)(9), (f), (g), and (h)	Performance Testing Requirements	Yes.
§ 63.7(e)(1)	Performance testing	No. <i>See</i> § 63.11210 .
§ 63.8(a), (b), (c)(1), (c)(1)(ii), (c)(2) to (c)(9), (d)(1) and (d)(2), (e),(f), and (g)	Monitoring Requirements	Yes.
§ 63.8(c)(1)(i)	General duty to minimize emissions and CMS operation	No.
§ 63.8(c)(1)(iii)	Requirement to develop SSM Plan for CMS	No.

General provisions cite	Subject	Does it apply?
§ 63.8(d)(3)	Written procedures for CMS	Yes, except for the last sentence, which refers to an SSM plan. SSM plans are not required.
§ 63.9	Notification Requirements	Yes, excluding the information required in § 63.9(h)(2)(i)(B), (D), (E) and (F) . See § 63.11225 .
§ 63.10(a) and (b)(1)	Recordkeeping and Reporting Requirements	Yes.
§ 63.10(b)(2)(i)	Recordkeeping of occurrence and duration of startups or shutdowns	No.
§ 63.10(b)(2)(ii)	Recordkeeping of malfunctions	No. See § 63.11225 for recordkeeping of (1) occurrence and duration and (2) actions taken during malfunctions.
§ 63.10(b)(2)(iii)	Maintenance records	Yes.
§ 63.10(b)(2)(iv) and (v)	Actions taken to minimize emissions during SSM	No.
§ 63.10(b)(2)(vi)	Recordkeeping for CMS malfunctions	Yes.
§ 63.10(b)(2)(vii) to (xiv)	Other CMS requirements	Yes.
§ 63.10(b)(3)	Recordkeeping requirements for applicability determinations	No.
§ 63.10(c)(1) to (9)	Recordkeeping for sources with CMS	Yes.
§ 63.10(c)(10)	Recording nature and cause of malfunctions	No. See § 63.11225 for malfunction recordkeeping requirements.
§ 63.10(c)(11)	Recording corrective actions	No. See § 63.11225 for malfunction recordkeeping requirements.
§ 63.10(c)(12) and (13)	Recordkeeping for sources with CMS	Yes.
§ 63.10(c)(15)	Allows use of SSM plan	No.
§ 63.10(d)(1) and (2)	General reporting requirements	Yes.

General provisions cite	Subject	Does it apply?
§ 63.10(d)(3)	Reporting opacity or visible emission observation results	No.
§ 63.10(d)(4)	Progress reports under an extension of compliance	Yes.
§ 63.10(d)(5)	SSM reports	No. <i>See</i> § 63.11225 for malfunction reporting requirements.
§ 63.10(e)	Additional reporting requirements for sources with CMS	Yes.
§ 63.10(f)	Waiver of recordkeeping or reporting requirements	Yes.
§ 63.11	Control Device Requirements	No.
§ 63.12	State Authority and Delegation	Yes.
§ 63.13–63.16	Addresses, Incorporation by Reference, Availability of Information, Performance Track Provisions	Yes.
§ 63.1(a)(5), (a)(7)–(a)(9), (b)(2), (c)(3)–(4), (d), 63.6(b)(6), (c)(3), (c)(4), (d), (e)(2), (e)(3)(ii), (h)(3), (h)(5)(iv), 63.8(a)(3), 63.9(b)(3), (h)(4), 63.10(c)(2)–(4), (c)(9)	Reserved	No.

[[76 FR 15591](#), Mar. 21, 2011, as amended at [78 FR 7521](#), Feb. 1, 2013]

Appendix C

Subpart ZZZZ

*National Emissions Standards for Hazardous Air Pollutants for
Stationary Reciprocating Internal Combustion Engines*

Subpart ZZZZ—National Emissions Standards for Hazardous Air Pollutants for Stationary Reciprocating Internal Combustion Engines

Source: [69 FR 33506](#), June 15, 2004, unless otherwise noted.

What This Subpart Covers

§ 63.6580 What is the purpose of subpart ZZZZ?

Subpart ZZZZ establishes national emission limitations and operating limitations for hazardous air pollutants (HAP) emitted from stationary reciprocating internal combustion engines (RICE) located at major and area sources of HAP emissions. This subpart also establishes requirements to demonstrate initial and continuous compliance with the emission limitations and operating limitations.

[[73 FR 3603](#), Jan. 18, 2008]

§ 63.6585 Am I subject to this subpart?

You are subject to this subpart if you own or operate a stationary RICE at a major or area source of HAP emissions, except if the stationary RICE is being tested at a stationary RICE test cell/stand.

- (a) A stationary RICE is any internal combustion engine which uses reciprocating motion to convert heat energy into mechanical work and which is not mobile. Stationary RICE differ from mobile RICE in that a stationary RICE is not a non-road engine as defined at [40 CFR 1068.30](#), and is not used to propel a motor vehicle or a vehicle used solely for competition.
- (b) A major source of HAP emissions is a plant site that emits or has the potential to emit any single HAP at a rate of 10 tons (9.07 megagrams) or more per year or any combination of HAP at a rate of 25 tons (22.68 megagrams) or more per year, except that for oil and gas production facilities, a major source of HAP emissions is determined for each surface site.
- (c) An area source of HAP emissions is a source that is not a major source.
- (d) If you are an owner or operator of an area source subject to this subpart, your status as an entity subject to a standard or other requirements under this subpart does not subject you to the obligation to obtain a permit under [40 CFR part 70](#) or [71](#), provided you are not required to obtain a permit under [40 CFR 70.3\(a\)](#) or [40 CFR 71.3\(a\)](#) for a reason other than your status as an area source under this subpart. Notwithstanding the previous sentence, you must continue to comply with the provisions of this subpart as applicable.

(e) If you are an owner or operator of a stationary RICE used for national security purposes, you may be eligible to request an exemption from the requirements of this subpart as described in [40 CFR part 1068, subpart C](#).

(f) The emergency stationary RICE listed in [paragraphs \(f\)\(1\) through \(3\)](#) of this section are not subject to this subpart. The stationary RICE must meet the definition of an emergency stationary RICE in [§ 63.6675](#), which includes operating according to the provisions specified in [§ 63.6640\(f\)](#).

(1) Existing residential emergency stationary RICE located at an area source of HAP emissions that do not operate for the purpose specified in [§ 63.6640\(f\)\(4\)\(ii\)](#).

(2) Existing commercial emergency stationary RICE located at an area source of HAP emissions that do not operate for the purpose specified in [§ 63.6640\(f\)\(4\)\(ii\)](#).

(3) Existing institutional emergency stationary RICE located at an area source of HAP emissions that do not operate for the purpose specified in [§ 63.6640\(f\)\(4\)\(ii\)](#).

[[69 FR 33506](#), June 15, 2004, as amended at [73 FR 3603](#), Jan. 18, 2008; [78 FR 6700](#), Jan. 30, 2013; [87 FR 48607](#), Aug. 10, 2022]

§ 63.6590 What parts of my plant does this subpart cover?

This subpart applies to each affected source.

(a) **Affected source.** An affected source is any existing, new, or reconstructed stationary RICE located at a major or area source of HAP emissions, excluding stationary RICE being tested at a stationary RICE test cell/stand.

(1) **Existing stationary RICE.**

(i) For stationary RICE with a site rating of more than 500 brake horsepower (HP) located at a major source of HAP emissions, a stationary RICE is existing if you commenced construction or reconstruction of the stationary RICE before December 19, 2002.

(ii) For stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions, a stationary RICE is existing if you commenced construction or reconstruction of the stationary RICE before June 12, 2006.

(iii) For stationary RICE located at an area source of HAP emissions, a stationary RICE is existing if you commenced construction or reconstruction of the stationary RICE before June 12, 2006.

(iv) A change in ownership of an existing stationary RICE does not make that stationary RICE a new or reconstructed stationary RICE.

(2) *New stationary RICE.*

(i) A stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions is new if you commenced construction of the stationary RICE on or after December 19, 2002.

(ii) A stationary RICE with a site rating of equal to or less than 500 brake HP located at a major source of HAP emissions is new if you commenced construction of the stationary RICE on or after June 12, 2006.

(iii) A stationary RICE located at an area source of HAP emissions is new if you commenced construction of the stationary RICE on or after June 12, 2006.

(3) *Reconstructed stationary RICE.*

(i) A stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions is reconstructed if you meet the definition of reconstruction in [§ 63.2](#) and reconstruction is commenced on or after December 19, 2002.

(ii) A stationary RICE with a site rating of equal to or less than 500 brake HP located at a major source of HAP emissions is reconstructed if you meet the definition of reconstruction in [§ 63.2](#) and reconstruction is commenced on or after June 12, 2006.

(iii) A stationary RICE located at an area source of HAP emissions is reconstructed if you meet the definition of reconstruction in [§ 63.2](#) and reconstruction is commenced on or after June 12, 2006.

(b) *Stationary RICE subject to limited requirements.*

(1) An affected source which meets either of the criteria in [paragraphs \(b\)\(1\)\(i\)](#) through [\(ii\)](#) of this section does not have to meet the requirements of this subpart and of [subpart A of this part](#) except for the initial notification requirements of [§ 63.6645\(f\)](#).

(i) The stationary RICE is a new or reconstructed emergency stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions.

(ii) The stationary RICE is a new or reconstructed limited use stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions.

(2) A new or reconstructed stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions which combusts landfill or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis must meet the initial notification requirements of [§ 63.6645\(f\)](#) and the requirements of [§§ 63.6625\(c\)](#), [63.6650\(g\)](#), and [63.6655\(c\)](#). These stationary RICE do not have to meet the emission limitations and operating limitations of this subpart.

(3) The following stationary RICE do not have to meet the requirements of this subpart and of [subpart A of this part](#), including initial notification requirements:

- (i) Existing spark ignition 2 stroke lean burn (2SLB) stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions;
- (ii) Existing spark ignition 4 stroke lean burn (4SLB) stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions;
- (iii) Existing emergency stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions.
- (iv) Existing limited use stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions;
- (v) Existing stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions that combusts landfill gas or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis;

(c) ***Stationary RICE subject to Regulations under [40 CFR Part 60](#)***. An affected source that meets any of the criteria in [paragraphs \(c\)\(1\) through \(7\)](#) of this section must meet the requirements of this part by meeting the requirements of [40 CFR part 60 subpart III](#), for compression ignition engines or [40 CFR part 60 subpart JJJJ](#), for spark ignition engines. No further requirements apply for such engines under this part.

- (1) A new or reconstructed stationary RICE located at an area source;
- (2) A new or reconstructed 2SLB stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions;
- (3) A new or reconstructed 4SLB stationary RICE with a site rating of less than 250 brake HP located at a major source of HAP emissions;
- (4) A new or reconstructed spark ignition 4 stroke rich burn (4SRB) stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions;
- (5) A new or reconstructed stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions which combusts landfill or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis;
- (6) A new or reconstructed emergency or limited use stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions;
- (7) A new or reconstructed compression ignition (CI) stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions.

[[69 FR 33506](#), June 15, 2004, as amended at [73 FR 3604](#), Jan. 18, 2008; [75 FR 9674](#), Mar. 3, 2010; [75 FR 37733](#), June 30, 2010; [75 FR 51588](#), Aug. 20, 2010; [78 FR 6700](#), Jan. 30, 2013; [87 FR 48607](#), Aug. 10, 2022]

§ 63.6595 When do I have to comply with this subpart?

(a) *Affected sources.*

(1) If you have an existing stationary RICE, excluding existing non-emergency CI stationary RICE, with a site rating of more than 500 brake HP located at a major source of HAP emissions, you must comply with the applicable emission limitations, operating limitations and other requirements no later than June 15, 2007. If you have an existing non-emergency CI stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions, an existing stationary CI RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions, or an existing stationary CI RICE located at an area source of HAP emissions, you must comply with the applicable emission limitations, operating limitations, and other requirements no later than May 3, 2013. If you have an existing stationary SI RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions, or an existing stationary SI RICE located at an area source of HAP emissions, you must comply with the applicable emission limitations, operating limitations, and other requirements no later than October 19, 2013.

(2) If you start up your new or reconstructed stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions before August 16, 2004, you must comply with the applicable emission limitations and operating limitations in this subpart no later than August 16, 2004.

(3) If you start up your new or reconstructed stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions after August 16, 2004, you must comply with the applicable emission limitations and operating limitations in this subpart upon startup of your affected source.

(4) If you start up your new or reconstructed stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions before January 18, 2008, you must comply with the applicable emission limitations and operating limitations in this subpart no later than January 18, 2008.

(5) If you start up your new or reconstructed stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions after January 18, 2008, you must comply with the applicable emission limitations and operating limitations in this subpart upon startup of your affected source.

(6) If you start up your new or reconstructed stationary RICE located at an area source of HAP emissions before January 18, 2008, you must comply with the applicable emission limitations and operating limitations in this subpart no later than January 18, 2008.

(7) If you start up your new or reconstructed stationary RICE located at an area source of HAP emissions after January 18, 2008, you must comply with the applicable emission limitations and operating limitations in this subpart upon startup of your affected source.

(b) *Area sources that become major sources.* If you have an area source that increases its emissions or its potential to emit such that it becomes a major source of HAP, the compliance dates in [paragraphs \(b\)\(1\)](#) and [\(2\)](#) of this section apply to you.

(1) Any stationary RICE for which construction or reconstruction is commenced after the date when your area source becomes a major source of HAP must be in compliance with this subpart upon startup of your affected source.

(2) Any stationary RICE for which construction or reconstruction is commenced before your area source becomes a major source of HAP must be in compliance with the provisions of this subpart that are applicable to RICE located at major sources within 3 years after your area source becomes a major source of HAP.

(c) If you own or operate an affected source, you must meet the applicable notification requirements in [§ 63.6645](#) and in [40 CFR part 63, subpart A](#).

[[69 FR 33506](#), June 15, 2004, as amended at [73 FR 3604](#), Jan. 18, 2008; [75 FR 9675](#), Mar. 3, 2010; [75 FR 51589](#), Aug. 20, 2010; [78 FR 6701](#), Jan. 30, 2013]

Emission and Operating Limitations

§ 63.6600 What emission limitations and operating limitations must I meet if I own or operate a stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions?

Compliance with the numerical emission limitations established in this subpart is based on the results of testing the average of three 1-hour runs using the testing requirements and procedures in [§ 63.6620](#) and Table 4 to this subpart.

(a) If you own or operate an existing, new, or reconstructed spark ignition 4SRB stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions, you must comply with the emission limitations in Table 1a to this subpart and the operating limitations in Table 1b to this subpart which apply to you.

(b) If you own or operate a new or reconstructed 2SLB stationary RICE with a site rating of more than 500 brake HP located at major source of HAP emissions, a new or reconstructed 4SLB stationary RICE with a site rating of more than 500 brake HP located at major source of HAP emissions, or a new or reconstructed CI stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions, you must comply with the emission

limitations in Table 2a to this subpart and the operating limitations in Table 2b to this subpart which apply to you.

(c) If you own or operate any of the following stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions, you do not need to comply with the emission limitations in Tables 1a, 2a, 2c, and 2d to this subpart or operating limitations in Tables 1b and 2b to this subpart: an existing 2SLB stationary RICE; an existing 4SLB stationary RICE; a stationary RICE that combusts landfill gas or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis; an emergency stationary RICE; or a limited use stationary RICE.

(d) If you own or operate an existing non-emergency stationary CI RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions, you must comply with the emission limitations in Table 2c to this subpart and the operating limitations in Table 2b to this subpart which apply to you.

[[73 FR 3605](#), Jan. 18, 2008, as amended at [75 FR 9675](#), Mar. 3, 2010]

§ 63.6601 What emission limitations must I meet if I own or operate a new or reconstructed 4SLB stationary RICE with a site rating of greater than or equal to 250 brake HP and less than or equal to 500 brake HP located at a major source of HAP emissions?

Compliance with the numerical emission limitations established in this subpart is based on the results of testing the average of three 1-hour runs using the testing requirements and procedures in [§ 63.6620](#) and Table 4 to this subpart. If you own or operate a new or reconstructed 4SLB stationary RICE with a site rating of greater than or equal to 250 and less than or equal to 500 brake HP located at major source of HAP emissions manufactured on or after January 1, 2008, you must comply with the emission limitations in Table 2a to this subpart and the operating limitations in Table 2b to this subpart which apply to you.

[[73 FR 3605](#), Jan. 18, 2008, as amended at [75 FR 9675](#), Mar. 3, 2010; [75 FR 51589](#), Aug. 20, 2010]

§ 63.6602 What emission limitations and other requirements must I meet if I own or operate an existing stationary RICE with a site rating of equal to or less than 500 brake HP located at a major source of HAP emissions?

If you own or operate an existing stationary RICE with a site rating of equal to or less than 500 brake HP located at a major source of HAP emissions, you must comply with the emission

limitations and other requirements in Table 2c to this subpart which apply to you. Compliance with the numerical emission limitations established in this subpart is based on the results of testing the average of three 1-hour runs using the testing requirements and procedures in [§ 63.6620](#) and Table 4 to this subpart.

[[78 FR 6701](#), Jan. 30, 2013]

§ 63.6603 What emission limitations, operating limitations, and other requirements must I meet if I own or operate an existing stationary RICE located at an area source of HAP emissions?

Compliance with the numerical emission limitations established in this subpart is based on the results of testing the average of three 1-hour runs using the testing requirements and procedures in [§ 63.6620](#) and Table 4 to this subpart.

- (a) If you own or operate an existing stationary RICE located at an area source of HAP emissions, you must comply with the requirements in Table 2d to this subpart and the operating limitations in Table 2b to this subpart that apply to you.

- (b) If you own or operate an existing stationary non-emergency CI RICE with a site rating of more than 300 HP located at an area source of HAP that meets either [paragraph \(b\)\(1\)](#) or [\(2\)](#) of this section, you do not have to meet the numerical CO emission limitations specified in Table 2d of this subpart. Existing stationary non-emergency CI RICE with a site rating of more than 300 HP located at an area source of HAP that meet either [paragraph \(b\)\(1\)](#) or [\(2\)](#) of this section must meet the management practices that are shown for stationary non-emergency CI RICE with a site rating of less than or equal to 300 HP in Table 2d of this subpart.
 - (1) The area source is located in an area of Alaska that is not accessible by the Federal Aid Highway System (FAHS).
 - (2) The stationary RICE is located at an area source that meets [paragraphs \(b\)\(2\)\(i\), \(ii\), and \(iii\)](#) of this section.
 - (i) The only connection to the FAHS is through the Alaska Marine Highway System (AMHS), or the stationary RICE operation is within an isolated grid in Alaska that is not connected to the statewide electrical grid referred to as the Alaska Railbelt Grid.
 - (ii) At least 10 percent of the power generated by the stationary RICE on an annual basis is used for residential purposes.
 - (iii) The generating capacity of the area source is less than 12 megawatts, or the stationary RICE is used exclusively for backup power for renewable energy.

(c) If you own or operate an existing stationary non-emergency CI RICE with a site rating of more than 300 HP located on an offshore vessel that is an area source of HAP and is a nonroad vehicle that is an Outer Continental Shelf (OCS) source as defined in [40 CFR 55.2](#), you do not have to meet the numerical CO emission limitations specified in Table 2d of this subpart. You must meet all of the following management practices:

(1) Change oil every 1,000 hours of operation or annually, whichever comes first. Sources have the option to utilize an oil analysis program as described in [§ 63.6625\(i\)](#) in order to extend the specified oil change requirement.

(2) Inspect and clean air filters every 750 hours of operation or annually, whichever comes first, and replace as necessary.

(3) Inspect fuel filters and belts, if installed, every 750 hours of operation or annually, whichever comes first, and replace as necessary.

(4) Inspect all flexible hoses every 1,000 hours of operation or annually, whichever comes first, and replace as necessary.

(d) If you own or operate an existing non-emergency CI RICE with a site rating of more than 300 HP located at an area source of HAP emissions that is certified to the Tier 1 or Tier 2 emission standards in Table 1 of [40 CFR 89.112](#) and that is subject to an enforceable state or local standard that requires the engine to be replaced no later than June 1, 2018, you may until January 1, 2015, or 12 years after the installation date of the engine (whichever is later), but not later than June 1, 2018, choose to comply with the management practices that are shown for stationary non-emergency CI RICE with a site rating of less than or equal to 300 HP in Table 2d of this subpart instead of the applicable emission limitations in Table 2d, operating limitations in Table 2b, and crankcase ventilation system requirements in [§ 63.6625\(g\)](#). You must comply with the emission limitations in Table 2d and operating limitations in Table 2b that apply for non-emergency CI RICE with a site rating of more than 300 HP located at an area source of HAP emissions by January 1, 2015, or 12 years after the installation date of the engine (whichever is later), but not later than June 1, 2018. You must also comply with the crankcase ventilation system requirements in [§ 63.6625\(g\)](#) by January 1, 2015, or 12 years after the installation date of the engine (whichever is later), but not later than June 1, 2018.

(e) If you own or operate an existing non-emergency CI RICE with a site rating of more than 300 HP located at an area source of HAP emissions that is certified to the Tier 3 (Tier 2 for engines above 560 kilowatt (kW)) emission standards in Table 1 of [40 CFR 89.112](#), you may comply with the requirements under this part by meeting the requirements for Tier 3 engines (Tier 2 for engines above 560 kW) in [40 CFR part 60 subpart IIII](#) instead of the emission limitations and other requirements that would otherwise apply under this part for existing non-emergency CI RICE with a site rating of more than 300 HP located at an area source of HAP emissions.

(f) An existing non-emergency SI 4SLB and 4SRB stationary RICE with a site rating of more than 500 HP located at area sources of HAP must meet the definition of remote stationary

RICE in [§ 63.6675](#) on the initial compliance date for the engine, October 19, 2013, in order to be considered a remote stationary RICE under this subpart. Owners and operators of existing non-emergency SI 4SLB and 4SRB stationary RICE with a site rating of more than 500 HP located at area sources of HAP that meet the definition of remote stationary RICE in [§ 63.6675 of this subpart](#) as of October 19, 2013 must evaluate the status of their stationary RICE every 12 months. Owners and operators must keep records of the initial and annual evaluation of the status of the engine. If the evaluation indicates that the stationary RICE no longer meets the definition of remote stationary RICE in [§ 63.6675 of this subpart](#), the owner or operator must comply with all of the requirements for existing non-emergency SI 4SLB and 4SRB stationary RICE with a site rating of more than 500 HP located at area sources of HAP that are not remote stationary RICE within 1 year of the evaluation.

[[75 FR 9675](#), Mar. 3, 2010, as amended at [75 FR 51589](#), Aug. 20, 2010; [76 FR 12866](#), Mar. 9, 2011; [78 FR 6701](#), Jan. 30, 2013]

§ 63.6604 What fuel requirements must I meet if I own or operate a stationary CI RICE?

(a) If you own or operate an existing non-emergency, non-black start CI stationary RICE with a site rating of more than 300 brake HP with a displacement of less than 30 liters per cylinder that uses diesel fuel, you must use diesel fuel that meets the requirements in [40 CFR 1090.305](#) for nonroad diesel fuel.

(b) Beginning January 1, 2015, if you own or operate an existing emergency CI stationary RICE with a site rating of more than 100 brake HP and a displacement of less than 30 liters per cylinder that uses diesel fuel and operates for the purpose specified in [§ 63.6640\(f\)\(4\)\(ii\)](#), you must use diesel fuel that meets the requirements in [40 CFR 1090.305](#) for nonroad diesel fuel, except that any existing diesel fuel purchased (or otherwise obtained) prior to January 1, 2015, may be used until depleted.

(c) [Reserved]

(d) Existing CI stationary RICE located in Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, at area sources in areas of Alaska that meet either [§ 63.6603\(b\)\(1\)](#) or [§ 63.6603\(b\)\(2\)](#), or are on offshore vessels that meet [§ 63.6603\(c\)](#) are exempt from the requirements of this section.

[[78 FR 6702](#), Jan. 30, 2013, as amended at [85 FR 78463](#), Dec. 4, 2020; [87 FR 48607](#), Aug. 10, 2022]

General Compliance Requirements

§ 63.6605 What are my general requirements for complying with this subpart?

(a) You must be in compliance with the emission limitations, operating limitations, and other requirements in this subpart that apply to you at all times.

(b) At all times you must operate and maintain any affected source, including associated air pollution control equipment and monitoring equipment, in a manner consistent with safety and good air pollution control practices for minimizing emissions. The general duty to minimize emissions does not require you to make any further efforts to reduce emissions if levels required by this standard have been achieved. Determination of whether such operation and maintenance procedures are being used will be based on information available to the Administrator which may include, but is not limited to, monitoring results, review of operation and maintenance procedures, review of operation and maintenance records, and inspection of the source.

[[75 FR 9675](#), Mar. 3, 2010, as amended at [78 FR 6702](#), Jan. 30, 2013]

Testing and Initial Compliance Requirements

§ 63.6610 By what date must I conduct the initial performance tests or other initial compliance demonstrations if I own or operate a stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions?

If you own or operate a stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions you are subject to the requirements of this section.

(a) You must conduct the initial performance test or other initial compliance demonstrations in Table 4 to this subpart that apply to you within 180 days after the compliance date that is specified for your stationary RICE in [§ 63.6595](#) and according to the provisions in [§ 63.7\(a\)\(2\)](#).

(b) If you commenced construction or reconstruction between December 19, 2002 and June 15, 2004 and own or operate stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions, you must demonstrate initial compliance with either the proposed emission limitations or the promulgated emission limitations no later than February 10, 2005 or no later than 180 days after startup of the source, whichever is later, according to [§ 63.7\(a\)\(2\)\(ix\)](#).

(c) If you commenced construction or reconstruction between December 19, 2002 and June 15, 2004 and own or operate stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions, and you chose to comply with the proposed emission limitations when demonstrating initial compliance, you must conduct a second performance test to demonstrate compliance with the promulgated emission limitations by December 13, 2007 or after startup of the source, whichever is later, according to [§ 63.7\(a\)\(2\)\(ix\)](#).

(d) An owner or operator is not required to conduct an initial performance test on units for which a performance test has been previously conducted, but the test must meet all of the conditions described in [paragraphs \(d\)\(1\)](#) through [\(5\)](#) of this section.

(1) The test must have been conducted using the same methods specified in this subpart, and these methods must have been followed correctly.

(2) The test must not be older than 2 years.

(3) The test must be reviewed and accepted by the Administrator.

(4) Either no process or equipment changes must have been made since the test was performed, or the owner or operator must be able to demonstrate that the results of the performance test, with or without adjustments, reliably demonstrate compliance despite process or equipment changes.

(5) The test must be conducted at any load condition within plus or minus 10 percent of 100 percent load.

[[69 FR 33506](#), June 15, 2004, as amended at [73 FR 3605](#), Jan. 18, 2008]

§ 63.6611 By what date must I conduct the initial performance tests or other initial compliance demonstrations if I own or operate a new or reconstructed 4SLB SI stationary RICE with a site rating of greater than or equal to 250 and less than or equal to 500 brake HP located at a major source of HAP emissions?

If you own or operate a new or reconstructed 4SLB stationary RICE with a site rating of greater than or equal to 250 and less than or equal to 500 brake HP located at a major source of HAP emissions, you must conduct an initial performance test within 240 days after the compliance date that is specified for your stationary RICE in [§ 63.6595](#) and according to the provisions specified in Table 4 to this subpart, as appropriate.

[[73 FR 3605](#), Jan. 18, 2008, as amended at [75 FR 51589](#), Aug. 20, 2010]

§ 63.6612 By what date must I conduct the initial performance tests or other initial compliance demonstrations if I own or operate an existing stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions or an existing

stationary RICE located at an area source of HAP emissions?

If you own or operate an existing stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions or an existing stationary RICE located at an area source of HAP emissions you are subject to the requirements of this section.

(a) You must conduct any initial performance test or other initial compliance demonstration according to Tables 4 and 5 to this subpart that apply to you within 180 days after the compliance date that is specified for your stationary RICE in [§ 63.6595](#) and according to the provisions in [§ 63.7\(a\)\(2\)](#).

(b) An owner or operator is not required to conduct an initial performance test on a unit for which a performance test has been previously conducted, but the test must meet all of the conditions described in [paragraphs \(b\)\(1\)](#) through [\(4\)](#) of this section.

(1) The test must have been conducted using the same methods specified in this subpart, and these methods must have been followed correctly.

(2) The test must not be older than 2 years.

(3) The test must be reviewed and accepted by the Administrator.

(4) Either no process or equipment changes must have been made since the test was performed, or the owner or operator must be able to demonstrate that the results of the performance test, with or without adjustments, reliably demonstrate compliance despite process or equipment changes.

[[75 FR 9676](#), Mar. 3, 2010, as amended at [75 FR 51589](#), Aug. 20, 2010]

§ 63.6615 When must I conduct subsequent performance tests?

If you must comply with the emission limitations and operating limitations, you must conduct subsequent performance tests as specified in Table 3 of this subpart.

§ 63.6620 What performance tests and other procedures must I use?

(a) You must conduct each performance test in Tables 3 and 4 of this subpart that applies to you.

(b) Each performance test must be conducted according to the requirements that this subpart specifies in Table 4 to this subpart. If you own or operate a non-operational stationary RICE that is subject to performance testing, you do not need to start up the engine solely to conduct the performance test. Owners and operators of a non-operational engine can conduct the performance test when the engine is started up again. The test must be conducted at any load condition within plus or minus 10 percent of 100 percent load for the stationary RICE listed in [paragraphs \(b\)\(1\) through \(4\)](#) of this section.

(1) Non-emergency 4SRB stationary RICE with a site rating of greater than 500 brake HP located at a major source of HAP emissions.

(2) New non-emergency 4SLB stationary RICE with a site rating of greater than or equal to 250 brake HP located at a major source of HAP emissions.

(3) New non-emergency 2SLB stationary RICE with a site rating of greater than 500 brake HP located at a major source of HAP emissions.

(4) New non-emergency CI stationary RICE with a site rating of greater than 500 brake HP located at a major source of HAP emissions.

(c) [Reserved]

(d) You must conduct three separate test runs for each performance test required in this section, as specified in [§ 63.7\(e\)\(3\)](#). Each test run must last at least 1 hour, unless otherwise specified in this subpart.

(e)

(1) You must use Equation 1 of this section to determine compliance with the percent reduction requirement:

$$\frac{C_i - C_o}{C_i} \times 100 = R \quad (\text{Eq. 1})$$

Where:

C_i = concentration of carbon monoxide (CO), total hydrocarbons (THC), or formaldehyde at the control device inlet,

C_o = concentration of CO, THC, or formaldehyde at the control device outlet, and

R = percent reduction of CO, THC, or formaldehyde emissions.

(2) You must normalize the CO, THC, or formaldehyde concentrations at the inlet and outlet of the control device to a dry basis and to 15 percent oxygen, or an equivalent percent carbon

dioxide (CO₂). If pollutant concentrations are to be corrected to 15 percent oxygen and CO₂ concentration is measured in lieu of oxygen concentration measurement, a CO₂ correction factor is needed. Calculate the CO₂ correction factor as described in [paragraphs \(e\)\(2\)\(i\) through \(iii\)](#) of this section.

(i) Calculate the fuel-specific F_o value for the fuel burned during the test using values obtained from Method 19, [Section 5.2](#), and the following equation:

$$F_o = \frac{0.209 F_d}{F_c} \quad (\text{Eq. 2})$$

Where:

F_o = Fuel factor based on the ratio of oxygen volume to the ultimate CO₂ volume produced by the fuel at zero percent excess air.

0.209 = Fraction of air that is oxygen, percent/100.

F_d = Ratio of the volume of dry effluent gas to the gross calorific value of the fuel from Method 19, dsm³/J (dscf/10⁶ Btu).

F_c = Ratio of the volume of CO₂ produced to the gross calorific value of the fuel from Method 19, dsm³/J (dscf/10⁶ Btu)

(ii) Calculate the CO₂ correction factor for correcting measurement data to 15 percent O₂, as follows:

$$X_{CO_2} = \frac{5.9}{F_o} \quad (\text{Eq. 3})$$

Where:

X_{CO₂} = CO₂ correction factor, percent.

5.9 = 20.9 percent O₂—15 percent O₂, the defined O₂ correction value, percent.

(iii) Calculate the CO, THC, and formaldehyde gas concentrations adjusted to 15 percent O₂ using CO₂ as follows:

$$C_{adj} = C_d \frac{X_{CO_2}}{\%CO_2} \quad (\text{Eq. 4})$$

Where:

C_{adj} = Calculated concentration of CO, THC, or formaldehyde adjusted to 15 percent O₂.

C_d = Measured concentration of CO, THC, or formaldehyde, uncorrected.

X_{CO_2} = CO₂ correction factor, percent.

%CO₂ = Measured CO₂ concentration measured, dry basis, percent.

(f) If you comply with the emission limitation to reduce CO and you are not using an oxidation catalyst, if you comply with the emission limitation to reduce formaldehyde and you are not using NSCR, or if you comply with the emission limitation to limit the concentration of formaldehyde in the stationary RICE exhaust and you are not using an oxidation catalyst or NSCR, you must petition the Administrator for operating limitations to be established during the initial performance test and continuously monitored thereafter; or for approval of no operating limitations. You must not conduct the initial performance test until after the petition has been approved by the Administrator.

(g) If you petition the Administrator for approval of operating limitations, your petition must include the information described in [paragraphs \(g\)\(1\) through \(5\)](#) of this section.

(1) Identification of the specific parameters you propose to use as operating limitations;

(2) A discussion of the relationship between these parameters and HAP emissions, identifying how HAP emissions change with changes in these parameters, and how limitations on these parameters will serve to limit HAP emissions;

(3) A discussion of how you will establish the upper and/or lower values for these parameters which will establish the limits on these parameters in the operating limitations;

(4) A discussion identifying the methods you will use to measure and the instruments you will use to monitor these parameters, as well as the relative accuracy and precision of these methods and instruments; and

(5) A discussion identifying the frequency and methods for recalibrating the instruments you will use for monitoring these parameters.

(h) If you petition the Administrator for approval of no operating limitations, your petition must include the information described in [paragraphs \(h\)\(1\) through \(7\)](#) of this section.

(1) Identification of the parameters associated with operation of the stationary RICE and any emission control device which could change intentionally (*e.g.*, operator adjustment, automatic controller adjustment, etc.) or unintentionally (*e.g.*, wear and tear, error, etc.) on a routine basis or over time;

(2) A discussion of the relationship, if any, between changes in the parameters and changes in HAP emissions;

(3) For the parameters which could change in such a way as to increase HAP emissions, a discussion of whether establishing limitations on the parameters would serve to limit HAP emissions;

(4) For the parameters which could change in such a way as to increase HAP emissions, a discussion of how you could establish upper and/or lower values for the parameters which would establish limits on the parameters in operating limitations;

(5) For the parameters, a discussion identifying the methods you could use to measure them and the instruments you could use to monitor them, as well as the relative accuracy and precision of the methods and instruments;

(6) For the parameters, a discussion identifying the frequency and methods for recalibrating the instruments you could use to monitor them; and

(7) A discussion of why, from your point of view, it is infeasible or unreasonable to adopt the parameters as operating limitations.

(i) The engine percent load during a performance test must be determined by documenting the calculations, assumptions, and measurement devices used to measure or estimate the percent load in a specific application. A written report of the average percent load determination must be included in the notification of compliance status. The following information must be included in the written report: the engine model number, the engine manufacturer, the year of purchase, the manufacturer's site-rated brake horsepower, the ambient temperature, pressure, and humidity during the performance test, and all assumptions that were made to estimate or calculate percent load during the performance test must be clearly explained. If measurement devices such as flow meters, kilowatt meters, beta analyzers, stain gauges, etc. are used, the model number of the measurement device, and an estimate of its accurate in percentage of true value must be provided.

[[69 FR 33506](#), June 15, 2004, as amended at [75 FR 9676](#), Mar. 3, 2010; [78 FR 6702](#), Jan. 30, 2013]

§ 63.6625 What are my monitoring, installation, collection, operation, and maintenance requirements?

(a) If you elect to install a CEMS as specified in Table 5 of this subpart, you must install, operate, and maintain a CEMS to monitor CO and either O₂ or CO₂ according to the requirements in [paragraphs \(a\)\(1\)](#) through [\(4\)](#) of this section. If you are meeting a requirement to reduce CO emissions, the CEMS must be installed at both the inlet and outlet of the control device. If you are meeting a requirement to limit the concentration of CO, the CEMS must be installed at the outlet of the control device.

(1) Each CEMS must be installed, operated, and maintained according to the applicable performance specifications of [40 CFR part 60, appendix B](#).

(2) You must conduct an initial performance evaluation and an annual relative accuracy test audit (RATA) of each CEMS according to the requirements in [§ 63.8](#) and according to the applicable performance specifications of [40 CFR part 60, appendix B](#) as well as daily and periodic data quality checks in accordance with [40 CFR part 60, appendix F](#), procedure 1.

(3) As specified in [§ 63.8\(c\)\(4\)\(ii\)](#), each CEMS must complete a minimum of one cycle of operation (sampling, analyzing, and data recording) for each successive 15-minute period. You must have at least two data points, with each representing a different 15-minute period, to have a valid hour of data.

(4) The CEMS data must be reduced as specified in [§ 63.8\(g\)\(2\)](#) and recorded in parts per million or parts per billion (as appropriate for the applicable limitation) at 15 percent oxygen or the equivalent CO₂ concentration.

(b) If you are required to install a continuous parameter monitoring system (CPMS) as specified in Table 5 of this subpart, you must install, operate, and maintain each CPMS according to the requirements in [paragraphs \(b\)\(1\)](#) through [\(6\)](#) of this section. For an affected source that is complying with the emission limitations and operating limitations on March 9, 2011, the requirements in [paragraph \(b\)](#) of this section are applicable September 6, 2011.

(1) You must prepare a site-specific monitoring plan that addresses the monitoring system design, data collection, and the quality assurance and quality control elements outlined in [paragraphs \(b\)\(1\)\(i\)](#) through [\(v\)](#) of this section and in [§ 63.8\(d\)](#). As specified in [§ 63.8\(f\)\(4\)](#), you may request approval of monitoring system quality assurance and quality control procedures alternative to those specified in [paragraphs \(b\)\(1\)](#) through [\(5\)](#) of this section in your site-specific monitoring plan.

(i) The performance criteria and design specifications for the monitoring system equipment, including the sample interface, detector signal analyzer, and data acquisition and calculations;

(ii) Sampling interface (*e.g.*, thermocouple) location such that the monitoring system will provide representative measurements;

(iii) Equipment performance evaluations, system accuracy audits, or other audit procedures;

(iv) Ongoing operation and maintenance procedures in accordance with provisions in [§ 63.8\(c\)\(1\)\(ii\)](#) and [\(c\)\(3\)](#); and

(v) Ongoing reporting and recordkeeping procedures in accordance with provisions in [§ 63.10\(c\)](#), [\(e\)\(1\)](#), and [\(e\)\(2\)\(i\)](#).

(2) You must install, operate, and maintain each CPMS in continuous operation according to the procedures in your site-specific monitoring plan.

(3) The CPMS must collect data at least once every 15 minutes (see also [§ 63.6635](#)).

(4) For a CPMS for measuring temperature range, the temperature sensor must have a minimum tolerance of 2.8 degrees Celsius (5 degrees Fahrenheit) or 1 percent of the measurement range, whichever is larger.

(5) You must conduct the CPMS equipment performance evaluation, system accuracy audits, or other audit procedures specified in your site-specific monitoring plan at least annually.

(6) You must conduct a performance evaluation of each CPMS in accordance with your site-specific monitoring plan.

(c) If you are operating a new or reconstructed stationary RICE which fires landfill gas or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis, you must monitor and record your fuel usage daily with separate fuel meters to measure the volumetric flow rate of each fuel. In addition, you must operate your stationary RICE in a manner which reasonably minimizes HAP emissions.

(d) If you are operating a new or reconstructed emergency 4SLB stationary RICE with a site rating of greater than or equal to 250 and less than or equal to 500 brake HP located at a major source of HAP emissions, you must install a non-resettable hour meter prior to the startup of the engine.

(e) If you own or operate any of the following stationary RICE, you must operate and maintain the stationary RICE and after-treatment control device (if any) according to the manufacturer's emission-related written instructions or develop your own maintenance plan which must provide to the extent practicable for the maintenance and operation of the engine in a manner consistent with good air pollution control practice for minimizing emissions:

(1) An existing stationary RICE with a site rating of less than 100 HP located at a major source of HAP emissions;

(2) An existing emergency or black start stationary RICE with a site rating of less than or equal to 500 HP located at a major source of HAP emissions;

(3) An existing emergency or black start stationary RICE located at an area source of HAP emissions;

(4) An existing non-emergency, non-black start stationary CI RICE with a site rating less than or equal to 300 HP located at an area source of HAP emissions;

(5) An existing non-emergency, non-black start 2SLB stationary RICE located at an area source of HAP emissions;

(6) An existing non-emergency, non-black start stationary RICE located at an area source of HAP emissions which combusts landfill or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis.

(7) An existing non-emergency, non-black start 4SLB stationary RICE with a site rating less than or equal to 500 HP located at an area source of HAP emissions;

(8) An existing non-emergency, non-black start 4SRB stationary RICE with a site rating less than or equal to 500 HP located at an area source of HAP emissions;

(9) An existing, non-emergency, non-black start 4SLB stationary RICE with a site rating greater than 500 HP located at an area source of HAP emissions that is operated 24 hours or less per calendar year; and

(10) An existing, non-emergency, non-black start 4SRB stationary RICE with a site rating greater than 500 HP located at an area source of HAP emissions that is operated 24 hours or less per calendar year.

(f) If you own or operate an existing emergency stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions or an existing emergency stationary RICE located at an area source of HAP emissions, you must install a non-resettable hour meter if one is not already installed.

(g) If you own or operate an existing non-emergency, non-black start CI engine greater than or equal to 300 HP that is not equipped with a closed crankcase ventilation system, you must comply with either paragraph (g)(1) or [paragraph \(2\)](#) of this section. Owners and operators must follow the manufacturer's specified maintenance requirements for operating and maintaining the open or closed crankcase ventilation systems and replacing the crankcase filters, or can request the Administrator to approve different maintenance requirements that are as protective as manufacturer requirements. Existing CI engines located at area sources in areas of Alaska that meet either [§ 63.6603\(b\)\(1\)](#) or [§ 63.6603\(b\)\(2\)](#) do not have to meet the requirements of this [paragraph \(g\)](#). Existing CI engines located on offshore vessels that meet [§ 63.6603\(c\)](#) do not have to meet the requirements of this [paragraph \(g\)](#).

(1) Install a closed crankcase ventilation system that prevents crankcase emissions from being emitted to the atmosphere, or

(2) Install an open crankcase filtration emission control system that reduces emissions from the crankcase by filtering the exhaust stream to remove oil mist, particulates and metals.

(h) If you operate a new, reconstructed, or existing stationary engine, you must minimize the engine's time spent at idle during startup and minimize the engine's startup time to a period needed for appropriate and safe loading of the engine, not to exceed 30 minutes, after which time the emission standards applicable to all times other than startup in Tables 1a, 2a, 2c, and 2d to this subpart apply.

(i) If you own or operate a stationary CI engine that is subject to the work, operation or management practices in items 1 or 2 of Table 2c to this subpart or in items 1 or 4 of Table 2d to this subpart, you have the option of utilizing an oil analysis program in order to extend the specified oil change requirement in Tables 2c and 2d to this subpart. The oil analysis must be performed at the same frequency specified for changing the oil in Table 2c or 2d to this subpart. The analysis program must at a minimum analyze the following three parameters: Total Base Number, viscosity, and percent water content. The condemning limits for these parameters are as follows: Total Base Number is less than 30 percent of the Total Base Number of the oil when new; viscosity of the oil has changed by more than 20 percent from the viscosity of the oil when new; or percent water content (by volume) is greater than 0.5. If all of these condemning limits are not exceeded, the engine owner or operator is not required to change the oil. If any of the limits are exceeded, the engine owner or operator must change the oil within 2 business days of receiving the results of the analysis; if the engine is not in operation when the results of the analysis are received, the engine owner or operator must change the oil within 2 business days or before commencing operation, whichever is later. The owner or operator must keep records of the parameters that are analyzed as part of the program, the results of the analysis, and the oil changes for the engine. The analysis program must be part of the maintenance plan for the engine.

(j) If you own or operate a stationary SI engine that is subject to the work, operation or management practices in items 6, 7, or 8 of Table 2c to this subpart or in items 5, 6, 7, 9, or 11 of Table 2d to this subpart, you have the option of utilizing an oil analysis program in order to extend the specified oil change requirement in Tables 2c and 2d to this subpart. The oil analysis must be performed at the same frequency specified for changing the oil in Table 2c or 2d to this subpart. The analysis program must at a minimum analyze the following three parameters: Total Acid Number, viscosity, and percent water content. The condemning limits for these parameters are as follows: Total Acid Number increases by more than 3.0 milligrams of potassium hydroxide (KOH) per gram from Total Acid Number of the oil when new; viscosity of the oil has changed by more than 20 percent from the viscosity of the oil when new; or percent water content (by volume) is greater than 0.5. If all of these condemning limits are not exceeded, the engine owner or operator is not required to change the oil. If any of the limits are exceeded, the engine owner or operator must change the oil within 2 business days of receiving the results of the analysis; if the engine is not in operation when the results of the analysis are received, the engine owner or operator must change the oil within 2 business days or before commencing operation, whichever is later. The owner or operator must keep records of the parameters that are analyzed as part of the program, the results of the analysis, and the oil changes for the engine. The analysis program must be part of the maintenance plan for the engine.

[[69 FR 33506](#), June 15, 2004, as amended at [73 FR 3606](#), Jan. 18, 2008; [75 FR 9676](#), Mar. 3, 2010; [75 FR 51589](#), Aug. 20, 2010; [76 FR 12866](#), Mar. 9, 2011; [78 FR 6703](#), Jan. 30, 2013]

§ 63.6630 How do I demonstrate initial compliance with the emission limitations, operating limitations, and other requirements?

- (a) You must demonstrate initial compliance with each emission limitation, operating limitation, and other requirement that applies to you according to Table 5 of this subpart.
- (b) During the initial performance test, you must establish each operating limitation in Tables 1b and 2b of this subpart that applies to you.
- (c) You must submit the Notification of Compliance Status containing the results of the initial compliance demonstration according to the requirements in [§ 63.6645](#).
- (d) Non-emergency 4SRB stationary RICE complying with the requirement to reduce formaldehyde emissions by 76 percent or more can demonstrate initial compliance with the formaldehyde emission limit by testing for THC instead of formaldehyde. The testing must be conducted according to the requirements in Table 4 of this subpart. The average reduction of emissions of THC determined from the performance test must be equal to or greater than 30 percent.
- (e) The initial compliance demonstration required for existing non-emergency 4SLB and 4SRB stationary RICE with a site rating of more than 500 HP located at an area source of HAP that are not remote stationary RICE and that are operated more than 24 hours per calendar year must be conducted according to the following requirements:
- (1) The compliance demonstration must consist of at least three test runs.
 - (2) Each test run must be of at least 15 minute duration, except that each test conducted using the method in appendix A to this subpart must consist of at least one measurement cycle and include at least 2 minutes of test data phase measurement.
 - (3) If you are demonstrating compliance with the CO concentration or CO percent reduction requirement, you must measure CO emissions using one of the CO measurement methods specified in Table 4 of this subpart, or using appendix A to this subpart.
 - (4) If you are demonstrating compliance with the THC percent reduction requirement, you must measure THC emissions using Method 25A, reported as propane, of [40 CFR part 60, appendix A](#).
 - (5) You must measure O₂ using one of the O₂ measurement methods specified in Table 4 of this subpart. Measurements to determine O₂ concentration must be made at the same time as the measurements for CO or THC concentration.
 - (6) If you are demonstrating compliance with the CO or THC percent reduction requirement, you must measure CO or THC emissions and O₂ emissions simultaneously at the inlet and outlet of the control device.

[[69 FR 33506](#), June 15, 2004, as amended at [78 FR 6704](#), Jan. 30, 2013]

Continuous Compliance Requirements

§ 63.6635 How do I monitor and collect data to demonstrate continuous compliance?

(a) If you must comply with emission and operating limitations, you must monitor and collect data according to this section.

(b) Except for monitor malfunctions, associated repairs, required performance evaluations, and required quality assurance or control activities, you must monitor continuously at all times that the stationary RICE is operating. A monitoring malfunction is any sudden, infrequent, not reasonably preventable failure of the monitoring to provide valid data. Monitoring failures that are caused in part by poor maintenance or careless operation are not malfunctions.

(c) You may not use data recorded during monitoring malfunctions, associated repairs, and required quality assurance or control activities in data averages and calculations used to report emission or operating levels. You must, however, use all the valid data collected during all other periods.

[[69 FR 33506](#), June 15, 2004, as amended at [76 FR 12867](#), Mar. 9, 2011]

§ 63.6640 How do I demonstrate continuous compliance with the emission limitations, operating limitations, and other requirements?

(a) You must demonstrate continuous compliance with each emission limitation, operating limitation, and other requirements in Tables 1a and 1b, Tables 2a and 2b, Table 2c, and Table 2d to this subpart that apply to you according to methods specified in Table 6 to this subpart.

(b) You must report each instance in which you did not meet each emission limitation or operating limitation in Tables 1a and 1b, Tables 2a and 2b, Table 2c, and Table 2d to this subpart that apply to you. These instances are deviations from the emission and operating limitations in this subpart. These deviations must be reported according to the requirements in [§ 63.6650](#). If you change your catalyst, you must reestablish the values of the operating parameters measured during the initial performance test. When you reestablish the values of your operating parameters, you must also conduct a performance test to demonstrate that you are meeting the required emission limitation applicable to your stationary RICE.

(c) The annual compliance demonstration required for existing non-emergency 4SLB and 4SRB stationary RICE with a site rating of more than 500 HP located at an area source of HAP that are not remote stationary RICE and that are operated more than 24 hours per calendar year must be conducted according to the following requirements:

(1) The compliance demonstration must consist of at least one test run.

(2) Each test run must be of at least 15 minute duration, except that each test conducted using the method in appendix A to this subpart must consist of at least one measurement cycle and include at least 2 minutes of test data phase measurement.

(3) If you are demonstrating compliance with the CO concentration or CO percent reduction requirement, you must measure CO emissions using one of the CO measurement methods specified in Table 4 of this subpart, or using appendix A to this subpart.

(4) If you are demonstrating compliance with the THC percent reduction requirement, you must measure THC emissions using Method 25A, reported as propane, of [40 CFR part 60, appendix A](#).

(5) You must measure O₂ using one of the O₂ measurement methods specified in Table 4 of this subpart. Measurements to determine O₂ concentration must be made at the same time as the measurements for CO or THC concentration.

(6) If you are demonstrating compliance with the CO or THC percent reduction requirement, you must measure CO or THC emissions and O₂ emissions simultaneously at the inlet and outlet of the control device.

(7) If the results of the annual compliance demonstration show that the emissions exceed the levels specified in Table 6 of this subpart, the stationary RICE must be shut down as soon as safely possible, and appropriate corrective action must be taken (e.g., repairs, catalyst cleaning, catalyst replacement). The stationary RICE must be retested within 7 days of being restarted and the emissions must meet the levels specified in Table 6 of this subpart. If the retest shows that the emissions continue to exceed the specified levels, the stationary RICE must again be shut down as soon as safely possible, and the stationary RICE may not operate, except for purposes of startup and testing, until the owner/operator demonstrates through testing that the emissions do not exceed the levels specified in Table 6 of this subpart.

(d) For new, reconstructed, and rebuilt stationary RICE, deviations from the emission or operating limitations that occur during the first 200 hours of operation from engine startup (engine burn-in period) are not violations. Rebuilt stationary RICE means a stationary RICE that has been rebuilt as that term is defined in [40 CFR 94.11\(a\)](#).

(e) You must also report each instance in which you did not meet the requirements in Table 8 to this subpart that apply to you. If you own or operate a new or reconstructed stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions (except new or reconstructed 4SLB engines greater than or equal to 250 and less than or equal to 500 brake HP), a new or reconstructed stationary RICE located at an area source of HAP emissions, or any of the following RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions, you do not need to comply with the requirements in Table 8 to this subpart: An existing 2SLB stationary RICE, an existing 4SLB stationary RICE, an existing emergency stationary RICE, an existing limited use stationary RICE, or an existing stationary RICE which fires landfill gas or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis. If you own or operate any of the

following RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions, you do not need to comply with the requirements in Table 8 to this subpart, except for the initial notification requirements: a new or reconstructed stationary RICE that combusts landfill gas or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis, a new or reconstructed emergency stationary RICE, or a new or reconstructed limited use stationary RICE.

(f) If you own or operate an emergency stationary RICE, you must operate the emergency stationary RICE according to the requirements in [paragraphs \(f\)\(1\) through \(4\)](#) of this section. In order for the engine to be considered an emergency stationary RICE under this subpart, any operation other than emergency operation, maintenance and testing, and operation in non-emergency situations for 50 hours per year, as described in paragraphs (f)(1) through (4), is prohibited. If you do not operate the engine according to the requirements in paragraphs (f)(1) through (4), the engine will not be considered an emergency engine under this subpart and must meet all requirements for non-emergency engines.

(1) There is no time limit on the use of emergency stationary RICE in emergency situations.

(2) You may operate your emergency stationary RICE for the purpose specified in [paragraph \(f\)\(2\)\(i\)](#) of this section for a maximum of 100 hours per calendar year. Any operation for non-emergency situations as allowed by [paragraphs \(f\)\(3\) and \(4\)](#) of this section counts as part of the 100 hours per calendar year allowed by this [paragraph \(f\)\(2\)](#).

(i) Emergency stationary RICE may be operated for maintenance checks and readiness testing, provided that the tests are recommended by federal, state or local government, the manufacturer, the vendor, the regional transmission organization or equivalent balancing authority and transmission operator, or the insurance company associated with the engine. The owner or operator may petition the Administrator for approval of additional hours to be used for maintenance checks and readiness testing, but a petition is not required if the owner or operator maintains records indicating that federal, state, or local standards require maintenance and testing of emergency RICE beyond 100 hours per calendar year.

(ii)–(iii) [Reserved]

(3) Emergency stationary RICE located at major sources of HAP may be operated for up to 50 hours per calendar year in non-emergency situations. The 50 hours of operation in non-emergency situations are counted as part of the 100 hours per calendar year for maintenance and testing provided in [paragraph \(f\)\(2\)](#) of this section. The 50 hours per year for non-emergency situations cannot be used for peak shaving or non-emergency demand response, or to generate income for a facility to supply power to an electric grid or otherwise supply power as part of a financial arrangement with another entity.

(4) Emergency stationary RICE located at area sources of HAP may be operated for up to 50 hours per calendar year in non-emergency situations. The 50 hours of operation in non-emergency situations are counted as part of the 100 hours per calendar year for maintenance and testing provided in [paragraph \(f\)\(2\)](#) of this section. Except as provided in [paragraphs](#)

[\(f\)\(4\)\(i\)](#) and [\(ii\)](#) of this section, the 50 hours per year for non-emergency situations cannot be used for peak shaving or non-emergency demand response, or to generate income for a facility to an electric grid or otherwise supply power as part of a financial arrangement with another entity.

(i) Prior to May 3, 2014, the 50 hours per year for non-emergency situations can be used for peak shaving or non-emergency demand response to generate income for a facility, or to otherwise supply power as part of a financial arrangement with another entity if the engine is operated as part of a peak shaving (load management program) with the local distribution system operator and the power is provided only to the facility itself or to support the local distribution system.

(ii) The 50 hours per year for non-emergency situations can be used to supply power as part of a financial arrangement with another entity if all of the following conditions are met:

(A) The engine is dispatched by the local balancing authority or local transmission and distribution system operator.

(B) The dispatch is intended to mitigate local transmission and/or distribution limitations so as to avert potential voltage collapse or line overloads that could lead to the interruption of power supply in a local area or region.

(C) The dispatch follows reliability, emergency operation or similar protocols that follow specific NERC, regional, state, public utility commission or local standards or guidelines.

(D) The power is provided only to the facility itself or to support the local transmission and distribution system.

(E) The owner or operator identifies and records the entity that dispatches the engine and the specific NERC, regional, state, public utility commission or local standards or guidelines that are being followed for dispatching the engine. The local balancing authority or local transmission and distribution system operator may keep these records on behalf of the engine owner or operator.

[[69 FR 33506](#), June 15, 2004, as amended at [71 FR 20467](#), Apr. 20, 2006; [73 FR 3606](#), Jan. 18, 2008; [75 FR 9676](#), Mar. 3, 2010; [75 FR 51591](#), Aug. 20, 2010; [78 FR 6704](#), Jan. 30, 2013; [87 FR 48607](#), Aug. 10, 2022]

Notifications, Reports, and Records

§ 63.6645 What notifications must I submit and when?

(a) You must submit all of the notifications in [§§ 63.7\(b\)](#) and [\(c\)](#), [63.8\(e\)](#), [\(f\)\(4\)](#) and [\(f\)\(6\)](#), [63.9\(b\)](#) through [\(e\)](#), and [\(g\)](#) and [\(h\)](#) that apply to you by the dates specified if you own or operate any of the following;

- (1) An existing stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions.
 - (2) An existing stationary RICE located at an area source of HAP emissions.
 - (3) A stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions.
 - (4) A new or reconstructed 4SLB stationary RICE with a site rating of greater than or equal to 250 HP located at a major source of HAP emissions.
 - (5) This requirement does not apply if you own or operate an existing stationary RICE less than 100 HP, an existing stationary emergency RICE, or an existing stationary RICE that is not subject to any numerical emission standards.
- (b) As specified in [§ 63.9\(b\)\(2\)](#), if you start up your stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions before the effective date of this subpart, you must submit an Initial Notification not later than December 13, 2004, or no later than 120 days after the source becomes subject to this subpart, whichever is later.
- (c) If you start up your new or reconstructed stationary RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions on or after August 16, 2004, you must submit an Initial Notification not later than 120 days after you become subject to this subpart.
- (d) As specified in [§ 63.9\(b\)\(2\)](#), if you start up your stationary RICE with a site rating of equal to or less than 500 brake HP located at a major source of HAP emissions before the effective date of this subpart and you are required to submit an initial notification, you must submit an Initial Notification not later than July 16, 2008, or no later than 120 days after the source becomes subject to this subpart, whichever is later.
- (e) If you start up your new or reconstructed stationary RICE with a site rating of equal to or less than 500 brake HP located at a major source of HAP emissions on or after March 18, 2008 and you are required to submit an initial notification, you must submit an Initial Notification not later than 120 days after you become subject to this subpart.
- (f) If you are required to submit an Initial Notification but are otherwise not affected by the requirements of this subpart, in accordance with [§ 63.6590\(b\)](#), your notification should include the information in [§ 63.9\(b\)\(2\)\(i\)](#) through [\(v\)](#), and a statement that your stationary RICE has no additional requirements and explain the basis of the exclusion (for example, that it operates exclusively as an emergency stationary RICE if it has a site rating of more than 500 brake HP located at a major source of HAP emissions).
- (g) If you are required to conduct a performance test, you must submit a Notification of Intent to conduct a performance test at least 60 days before the performance test is scheduled to begin as required in [§ 63.7\(b\)\(1\)](#).

(h) If you are required to conduct a performance test or other initial compliance demonstration as specified in Tables 4 and 5 to this subpart, you must submit a Notification of Compliance Status according to [§ 63.9\(h\)\(2\)\(ii\)](#).

(1) For each initial compliance demonstration required in Table 5 to this subpart that does not include a performance test, you must submit the Notification of Compliance Status before the close of business on the 30th day following the completion of the initial compliance demonstration.

(2) For each initial compliance demonstration required in Table 5 to this subpart that includes a performance test conducted according to the requirements in Table 3 to this subpart, you must submit the Notification of Compliance Status, including the performance test results, before the close of business on the 60th day following the completion of the performance test according to [§ 63.10\(d\)\(2\)](#).

(i) If you own or operate an existing non-emergency CI RICE with a site rating of more than 300 HP located at an area source of HAP emissions that is certified to the Tier 1 or Tier 2 emission standards in Table 1 of [40 CFR 89.112](#) and subject to an enforceable state or local standard requiring engine replacement and you intend to meet management practices rather than emission limits, as specified in [§ 63.6603\(d\)](#), you must submit a notification by March 3, 2013, stating that you intend to use the provision in [§ 63.6603\(d\)](#) and identifying the state or local regulation that the engine is subject to.

[[73 FR 3606](#), Jan. 18, 2008, as amended at [75 FR 9677](#), Mar. 3, 2010; [75 FR 51591](#), Aug. 20, 2010; [78 FR 6705](#), Jan. 30, 2013; [85 FR 73912](#), Nov. 19, 2020]

§ 63.6650 What reports must I submit and when?

(a) You must submit each report in Table 7 of this subpart that applies to you.

(b) Unless the Administrator has approved a different schedule for submission of reports under [§ 63.10\(a\)](#), you must submit each report by the date in Table 7 of this subpart and according to the requirements in [paragraphs \(b\)\(1\) through \(b\)\(9\)](#) of this section.

(1) For semiannual Compliance reports, the first Compliance report must cover the period beginning on the compliance date that is specified for your affected source in [§ 63.6595](#) and ending on June 30 or December 31, whichever date is the first date following the end of the first calendar half after the compliance date that is specified for your source in [§ 63.6595](#).

(2) For semiannual Compliance reports, the first Compliance report must be postmarked or delivered no later than July 31 or January 31, whichever date follows the end of the first calendar half after the compliance date that is specified for your affected source in [§ 63.6595](#).

(3) For semiannual Compliance reports, each subsequent Compliance report must cover the semiannual reporting period from January 1 through June 30 or the semiannual reporting period from July 1 through December 31.

(4) For semiannual Compliance reports, each subsequent Compliance report must be postmarked or delivered no later than July 31 or January 31, whichever date is the first date following the end of the semiannual reporting period.

(5) For each stationary RICE that is subject to permitting regulations pursuant to [40 CFR part 70](#) or [71](#), and if the permitting authority has established dates for submitting semiannual reports pursuant to [40 CFR 70.6\(a\)\(3\)\(iii\)\(A\)](#) or [40 CFR 71.6 \(a\)\(3\)\(iii\)\(A\)](#), you may submit the first and subsequent Compliance reports according to the dates the permitting authority has established instead of according to the dates in [paragraphs \(b\)\(1\)](#) through [\(b\)\(4\)](#) of this section.

(6) For annual Compliance reports, the first Compliance report must cover the period beginning on the compliance date that is specified for your affected source in [§ 63.6595](#) and ending on December 31.

(7) For annual Compliance reports, the first Compliance report must be postmarked or delivered no later than January 31 following the end of the first calendar year after the compliance date that is specified for your affected source in [§ 63.6595](#).

(8) For annual Compliance reports, each subsequent Compliance report must cover the annual reporting period from January 1 through December 31.

(9) For annual Compliance reports, each subsequent Compliance report must be postmarked or delivered no later than January 31.

(c) The Compliance report must contain the information in [paragraphs \(c\)\(1\)](#) through [\(6\)](#) of this section.

(1) Company name and address.

(2) Statement by a responsible official, with that official's name, title, and signature, certifying the accuracy of the content of the report.

(3) Date of report and beginning and ending dates of the reporting period.

(4) If you had a malfunction during the reporting period, the compliance report must include the number, duration, and a brief description for each type of malfunction which occurred during the reporting period and which caused or may have caused any applicable emission limitation to be exceeded. The report must also include a description of actions taken by an owner or operator during a malfunction of an affected source to minimize emissions in accordance with [§ 63.6605\(b\)](#), including actions taken to correct a malfunction.

(5) If there are no deviations from any emission or operating limitations that apply to you, a statement that there were no deviations from the emission or operating limitations during the reporting period.

(6) If there were no periods during which the continuous monitoring system (CMS), including CEMS and CPMS, was out-of-control, as specified in [§ 63.8\(c\)\(7\)](#), a statement that there were no periods during which the CMS was out-of-control during the reporting period.

(d) For each deviation from an emission or operating limitation that occurs for a stationary RICE where you are not using a CMS to comply with the emission or operating limitations in this subpart, the Compliance report must contain the information in [paragraphs \(c\)\(1\) through \(4\)](#) of this section and the information in [paragraphs \(d\)\(1\) and \(2\)](#) of this section.

(1) The total operating time of the stationary RICE at which the deviation occurred during the reporting period.

(2) Information on the number, duration, and cause of deviations (including unknown cause, if applicable), as applicable, and the corrective action taken.

(e) For each deviation from an emission or operating limitation occurring for a stationary RICE where you are using a CMS to comply with the emission and operating limitations in this subpart, you must include information in [paragraphs \(c\)\(1\) through \(4\)](#) and [\(e\)\(1\) through \(12\)](#) of this section.

(1) The date and time that each malfunction started and stopped.

(2) The date, time, and duration that each CMS was inoperative, except for zero (low-level) and high-level checks.

(3) The date, time, and duration that each CMS was out-of-control, including the information in [§ 63.8\(c\)\(8\)](#).

(4) The date and time that each deviation started and stopped, and whether each deviation occurred during a period of malfunction or during another period.

(5) A summary of the total duration of the deviation during the reporting period, and the total duration as a percent of the total source operating time during that reporting period.

(6) A breakdown of the total duration of the deviations during the reporting period into those that are due to control equipment problems, process problems, other known causes, and other unknown causes.

(7) A summary of the total duration of CMS downtime during the reporting period, and the total duration of CMS downtime as a percent of the total operating time of the stationary RICE at which the CMS downtime occurred during that reporting period.

(8) An identification of each parameter and pollutant (CO or formaldehyde) that was monitored at the stationary RICE.

(9) A brief description of the stationary RICE.

(10) A brief description of the CMS.

(11) The date of the latest CMS certification or audit.

(12) A description of any changes in CMS, processes, or controls since the last reporting period.

(f) Each affected source that has obtained a title V operating permit pursuant to [40 CFR part 70](#) or [71](#) must report all deviations as defined in this subpart in the semiannual monitoring report required by [40 CFR 70.6\(a\)\(3\)\(iii\)\(A\)](#) or [40 CFR 71.6\(a\)\(3\)\(iii\)\(A\)](#). If an affected source submits a Compliance report pursuant to Table 7 of this subpart along with, or as part of, the semiannual monitoring report required by [40 CFR 70.6\(a\)\(3\)\(iii\)\(A\)](#) or [40 CFR 71.6\(a\)\(3\)\(iii\)\(A\)](#), and the Compliance report includes all required information concerning deviations from any emission or operating limitation in this subpart, submission of the Compliance report shall be deemed to satisfy any obligation to report the same deviations in the semiannual monitoring report. However, submission of a Compliance report shall not otherwise affect any obligation the affected source may have to report deviations from permit requirements to the permit authority.

(g) If you are operating as a new or reconstructed stationary RICE which fires landfill gas or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis, you must submit an annual report according to Table 7 of this subpart by the date specified unless the Administrator has approved a different schedule, according to the information described in [paragraphs \(b\)\(1\)](#) through [\(b\)\(5\)](#) of this section. You must report the data specified in [\(g\)\(1\)](#) through [\(g\)\(3\)](#) of this section.

(1) Fuel flow rate of each fuel and the heating values that were used in your calculations. You must also demonstrate that the percentage of heat input provided by landfill gas or digester gas is equivalent to 10 percent or more of the total fuel consumption on an annual basis.

(2) The operating limits provided in your federally enforceable permit, and any deviations from these limits.

(3) Any problems or errors suspected with the meters.

(h) If you own or operate an emergency stationary RICE with a site rating of more than 100 brake HP that operates for the purpose specified in [§ 63.6640\(f\)\(4\)\(ii\)](#), you must submit an annual report according to the requirements in [paragraphs \(h\)\(1\)](#) through [\(3\)](#) of this section.

(1) The report must contain the following information:

(i) Company name and address where the engine is located.

(ii) Date of the report and beginning and ending dates of the reporting period.

(iii) Engine site rating and model year.

(iv) Latitude and longitude of the engine in decimal degrees reported to the fifth decimal place.

(v)–(vi) [Reserved]

(vii) Hours spent for operation for the purpose specified in [§ 63.6640\(f\)\(4\)\(ii\)](#), including the date, start time, and end time for engine operation for the purposes specified in [§ 63.6640\(f\)\(4\)\(ii\)](#). The report must also identify the entity that dispatched the engine and the situation that necessitated the dispatch of the engine.

(viii) If there were no deviations from the fuel requirements in [§ 63.6604](#) that apply to the engine (if any), a statement that there were no deviations from the fuel requirements during the reporting period.

(ix) If there were deviations from the fuel requirements in [§ 63.6604](#) that apply to the engine (if any), information on the number, duration, and cause of deviations, and the corrective action taken.

(2) The first annual report must cover the calendar year 2015 and must be submitted no later than March 31, 2016. Subsequent annual reports for each calendar year must be submitted no later than March 31 of the following calendar year.

(3) The annual report must be submitted electronically using the subpart specific reporting form in the Compliance and Emissions Data Reporting Interface (CEDRI) that is accessed through EPA's Central Data Exchange (CDX) (www.epa.gov/cdx). However, if the reporting form specific to this subpart is not available in CEDRI at the time that the report is due, the written report must be submitted to the Administrator at the appropriate address listed in [§ 63.13](#).

[[69 FR 33506](#), June 15, 2004, as amended at [75 FR 9677](#), Mar. 3, 2010; [78 FR 6705](#), Jan. 30, 2013; [87 FR 48607](#), Aug. 10, 2022]

§ 63.6655 What records must I keep?

(a) If you must comply with the emission and operating limitations, you must keep the records described in [paragraphs \(a\)\(1\) through \(a\)\(5\)](#), [\(b\)\(1\) through \(b\)\(3\)](#) and [\(c\)](#) of this section.

(1) A copy of each notification and report that you submitted to comply with this subpart, including all documentation supporting any Initial Notification or Notification of Compliance Status that you submitted, according to the requirement in [§ 63.10\(b\)\(2\)\(xiv\)](#).

(2) Records of the occurrence and duration of each malfunction of operation (*i.e.*, process equipment) or the air pollution control and monitoring equipment.

(3) Records of performance tests and performance evaluations as required in [§ 63.10\(b\)\(2\)\(viii\)](#).

(4) Records of all required maintenance performed on the air pollution control and monitoring equipment.

(5) Records of actions taken during periods of malfunction to minimize emissions in accordance with [§ 63.6605\(b\)](#), including corrective actions to restore malfunctioning process and air pollution control and monitoring equipment to its normal or usual manner of operation.

(b) For each CEMS or CPMS, you must keep the records listed in [paragraphs \(b\)\(1\) through \(3\)](#) of this section.

(1) Records described in [§ 63.10\(b\)\(2\)\(vi\)](#) through [\(xi\)](#).

(2) Previous (*i.e.*, superseded) versions of the performance evaluation plan as required in [§ 63.8\(d\)\(3\)](#).

(3) Requests for alternatives to the relative accuracy test for CEMS or CPMS as required in [§ 63.8\(f\)\(6\)\(i\)](#), if applicable.

(c) If you are operating a new or reconstructed stationary RICE which fires landfill gas or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis, you must keep the records of your daily fuel usage monitors.

(d) You must keep the records required in Table 6 of this subpart to show continuous compliance with each emission or operating limitation that applies to you.

(e) You must keep records of the maintenance conducted on the stationary RICE in order to demonstrate that you operated and maintained the stationary RICE and after-treatment control device (if any) according to your own maintenance plan if you own or operate any of the following stationary RICE;

(1) An existing stationary RICE with a site rating of less than 100 brake HP located at a major source of HAP emissions.

(2) An existing stationary emergency RICE.

(3) An existing stationary RICE located at an area source of HAP emissions subject to management practices as shown in Table 2d to this subpart.

(f) If you own or operate any of the stationary RICE in [paragraphs \(f\)\(1\) through \(2\)](#) of this section, you must keep records of the hours of operation of the engine that is recorded through the non-resettable hour meter. The owner or operator must document how many hours are spent for emergency operation, including what classified the operation as emergency and how

many hours are spent for non-emergency operation. If the engine is used for the purpose specified in [§ 63.6640\(f\)\(4\)\(ii\)](#), the owner or operator must keep records of the notification of the emergency situation, and the date, start time, and end time of engine operation for these purposes.

(1) An existing emergency stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions that does not meet the standards applicable to non-emergency engines.

(2) An existing emergency stationary RICE located at an area source of HAP emissions that does not meet the standards applicable to non-emergency engines.

[[69 FR 33506](#), June 15, 2004, as amended at [75 FR 9678](#), Mar. 3, 2010; [75 FR 51592](#), Aug. 20, 2010; [78 FR 6706](#), Jan. 30, 2013; [87 FR 48607](#), Aug. 10, 2022]

§ 63.6660 In what form and how long must I keep my records?

(a) Your records must be in a form suitable and readily available for expeditious review according to [§ 63.10\(b\)\(1\)](#).

(b) As specified in [§ 63.10\(b\)\(1\)](#), you must keep each record for 5 years following the date of each occurrence, measurement, maintenance, corrective action, report, or record.

(c) You must keep each record readily accessible in hard copy or electronic form for at least 5 years after the date of each occurrence, measurement, maintenance, corrective action, report, or record, according to [§ 63.10\(b\)\(1\)](#).

[[69 FR 33506](#), June 15, 2004, as amended at [75 FR 9678](#), Mar. 3, 2010]

Other Requirements and Information

§ 63.6665 What parts of the General Provisions apply to me?

Table 8 to this subpart shows which parts of the General Provisions in [§§ 63.1](#) through [63.15](#) apply to you. If you own or operate a new or reconstructed stationary RICE with a site rating of less than or equal to 500 brake HP located at a major source of HAP emissions (except new or reconstructed 4SLB engines greater than or equal to 250 and less than or equal to 500 brake HP), a new or reconstructed stationary RICE located at an area source of HAP emissions, or any of the following RICE with a site rating of more than 500 brake HP located at a major source of HAP emissions, you do not need to comply with any of the requirements of the General Provisions specified in Table 8: An existing 2SLB stationary RICE, an existing 4SLB stationary RICE, an existing stationary RICE that combusts landfill or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis, an existing emergency stationary RICE, or an existing limited use stationary RICE. If you own or operate any of the following RICE with a site rating

of more than 500 brake HP located at a major source of HAP emissions, you do not need to comply with the requirements in the General Provisions specified in Table 8 except for the initial notification requirements: A new stationary RICE that combusts landfill gas or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis, a new emergency stationary RICE, or a new limited use stationary RICE.

[[75 FR 9678](#), Mar. 3, 2010]

§ 63.6670 Who implements and enforces this subpart?

(a) This subpart is implemented and enforced by the U.S. EPA, or a delegated authority such as your State, local, or tribal agency. If the U.S. EPA Administrator has delegated authority to your State, local, or tribal agency, then that agency (as well as the U.S. EPA) has the authority to implement and enforce this subpart. You should contact your U.S. EPA Regional Office to find out whether this subpart is delegated to your State, local, or tribal agency.

(b) In delegating implementation and enforcement authority of this subpart to a State, local, or tribal agency under [40 CFR part 63, subpart E](#), the authorities contained in [paragraph \(c\)](#) of this section are retained by the Administrator of the U.S. EPA and are not transferred to the State, local, or tribal agency.

(c) The authorities that will not be delegated to State, local, or tribal agencies are:

(1) Approval of alternatives to the non-opacity emission limitations and operating limitations in [§ 63.6600](#) under [§ 63.6\(g\)](#).

(2) Approval of major alternatives to test methods under [§ 63.7\(e\)\(2\)\(ii\)](#) and [\(f\)](#) and as defined in [§ 63.90](#).

(3) Approval of major alternatives to monitoring under [§ 63.8\(f\)](#) and as defined in [§ 63.90](#).

(4) Approval of major alternatives to recordkeeping and reporting under [§ 63.10\(f\)](#) and as defined in [§ 63.90](#).

(5) Approval of a performance test which was conducted prior to the effective date of the rule, as specified in [§ 63.6610\(b\)](#).

§ 63.6675 What definitions apply to this subpart?

Terms used in this subpart are defined in the Clean Air Act (CAA); in [40 CFR 63.2](#), the General Provisions of this part; and in this section as follows:

Alaska Railbelt Grid means the service areas of the six regulated public utilities that extend from Fairbanks to Anchorage and the Kenai Peninsula. These utilities are Golden Valley Electric Association; Chugach Electric Association; Matanuska Electric Association; Homer

Electric Association; Anchorage Municipal Light & Power; and the City of Seward Electric System.

Area source means any stationary source of HAP that is not a major source as defined in part 63.

Associated equipment as used in this subpart and as referred to in section 112(n)(4) of the CAA, means equipment associated with an oil or natural gas exploration or production well, and includes all equipment from the well bore to the point of custody transfer, except glycol dehydration units, storage vessels with potential for flash emissions, combustion turbines, and stationary RICE.

Backup power for renewable energy means an engine that provides backup power to a facility that generates electricity from renewable energy resources, as that term is defined in Alaska Statute 42.45.045(1)(5) (incorporated by reference, see [§ 63.14](#)).

Black start engine means an engine whose only purpose is to start up a combustion turbine.

CAA means the Clean Air Act ([42 U.S.C. 7401](#) *et seq.*, as amended by Public Law 101–549, 104 Stat. 2399).

Commercial emergency stationary RICE means an emergency stationary RICE used in commercial establishments such as office buildings, hotels, stores, telecommunications facilities, restaurants, financial institutions such as banks, doctor's offices, and sports and performing arts facilities.

Compression ignition means relating to a type of stationary internal combustion engine that is not a spark ignition engine.

Custody transfer means the transfer of hydrocarbon liquids or natural gas: After processing and/or treatment in the producing operations, or from storage vessels or automatic transfer facilities or other such equipment, including product loading racks, to pipelines or any other forms of transportation. For the purposes of this subpart, the point at which such liquids or natural gas enters a natural gas processing plant is a point of custody transfer.

Deviation means any instance in which an affected source subject to this subpart, or an owner or operator of such a source:

- (1) Fails to meet any requirement or obligation established by this subpart, including but not limited to any emission limitation or operating limitation;
- (2) Fails to meet any term or condition that is adopted to implement an applicable requirement in this subpart and that is included in the operating permit for any affected source required to obtain such a permit; or

(3) Fails to meet any emission limitation or operating limitation in this subpart during malfunction, regardless of whether or not such failure is permitted by this subpart.

(4) Fails to satisfy the general duty to minimize emissions established by [§ 63.6\(e\)\(1\)\(i\)](#).

Diesel engine means any stationary RICE in which a high boiling point liquid fuel injected into the combustion chamber ignites when the air charge has been compressed to a temperature sufficiently high for auto-ignition. This process is also known as compression ignition.

Diesel fuel means any liquid obtained from the distillation of petroleum with a boiling point of approximately 150 to 360 degrees Celsius. One commonly used form is fuel oil number 2. Diesel fuel also includes any non-distillate fuel with comparable physical and chemical properties (e.g. biodiesel) that is suitable for use in compression ignition engines.

Digester gas means any gaseous by-product of wastewater treatment typically formed through the anaerobic decomposition of organic waste materials and composed principally of methane and CO₂.

Dual-fuel engine means any stationary RICE in which a liquid fuel (typically diesel fuel) is used for compression ignition and gaseous fuel (typically natural gas) is used as the primary fuel.

Emergency stationary RICE means any stationary reciprocating internal combustion engine that meets all of the criteria in paragraphs (1) through (3) of this definition. All emergency stationary RICE must comply with the requirements specified in [§ 63.6640\(f\)](#) in order to be considered emergency stationary RICE. If the engine does not comply with the requirements specified in [§ 63.6640\(f\)](#), then it is not considered to be an emergency stationary RICE under this subpart.

(1) The stationary RICE is operated to provide electrical power or mechanical work during an emergency situation. Examples include stationary RICE used to produce power for critical networks or equipment (including power supplied to portions of a facility) when electric power from the local utility (or the normal power source, if the facility runs on its own power production) is interrupted, or stationary RICE used to pump water in the case of fire or flood, etc.

(2) The stationary RICE is operated under limited circumstances for situations not included in paragraph (1) of this definition, as specified in [§ 63.6640\(f\)](#).

(3) The stationary RICE operates as part of a financial arrangement with another entity in situations not included in paragraph (1) of this definition only as allowed in [§ 63.6640\(f\)\(4\)\(i\)](#) or [\(ii\)](#).

Engine startup means the time from initial start until applied load and engine and associated equipment reaches steady state or normal operation. For stationary engine with catalytic

controls, engine startup means the time from initial start until applied load and engine and associated equipment, including the catalyst, reaches steady state or normal operation.

Four-stroke engine means any type of engine which completes the power cycle in two crankshaft revolutions, with intake and compression strokes in the first revolution and power and exhaust strokes in the second revolution.

Gaseous fuel means a material used for combustion which is in the gaseous state at standard atmospheric temperature and pressure conditions.

Gasoline means any fuel sold in any State for use in motor vehicles and motor vehicle engines, or nonroad or stationary engines, and commonly or commercially known or sold as gasoline.

Glycol dehydration unit means a device in which a liquid glycol (including, but not limited to, ethylene glycol, diethylene glycol, or triethylene glycol) absorbent directly contacts a natural gas stream and absorbs water in a contact tower or absorption column (absorber). The glycol contacts and absorbs water vapor and other gas stream constituents from the natural gas and becomes “rich” glycol. This glycol is then regenerated in the glycol dehydration unit reboiler. The “lean” glycol is then recycled.

Hazardous air pollutants (HAP) means any air pollutants listed in or pursuant to section 112(b) of the CAA.

Institutional emergency stationary RICE means an emergency stationary RICE used in institutional establishments such as medical centers, nursing homes, research centers, institutions of higher education, correctional facilities, elementary and secondary schools, libraries, religious establishments, police stations, and fire stations.

ISO standard day conditions means 288 degrees Kelvin (15 degrees Celsius), 60 percent relative humidity and 101.3 kilopascals pressure.

Landfill gas means a gaseous by-product of the land application of municipal refuse typically formed through the anaerobic decomposition of waste materials and composed principally of methane and CO₂.

Lean burn engine means any two-stroke or four-stroke spark ignited engine that does not meet the definition of a rich burn engine.

Limited use stationary RICE means any stationary RICE that operates less than 100 hours per year.

Liquefied petroleum gas means any liquefied hydrocarbon gas obtained as a by-product in petroleum refining or natural gas production.

Liquid fuel means any fuel in liquid form at standard temperature and pressure, including but not limited to diesel, residual/crude oil, kerosene/naphtha (jet fuel), and gasoline.

Major Source, as used in this subpart, shall have the same meaning as in [§ 63.2](#), except that:

- (1) Emissions from any oil or gas exploration or production well (with its associated equipment (as defined in this section)) and emissions from any pipeline compressor station or pump station shall not be aggregated with emissions from other similar units, to determine whether such emission points or stations are major sources, even when emission points are in a contiguous area or under common control;
- (2) For oil and gas production facilities, emissions from processes, operations, or equipment that are not part of the same oil and gas production facility, as defined in [§ 63.1271](#) of [subpart HHH of this part](#), shall not be aggregated;
- (3) For production field facilities, only HAP emissions from glycol dehydration units, storage vessel with the potential for flash emissions, combustion turbines and reciprocating internal combustion engines shall be aggregated for a major source determination; and
- (4) Emissions from processes, operations, and equipment that are not part of the same natural gas transmission and storage facility, as defined in [§ 63.1271](#) of [subpart HHH of this part](#), shall not be aggregated.

Malfunction means any sudden, infrequent, and not reasonably preventable failure of air pollution control equipment, process equipment, or a process to operate in a normal or usual manner which causes, or has the potential to cause, the emission limitations in an applicable standard to be exceeded. Failures that are caused in part by poor maintenance or careless operation are not malfunctions.

Natural gas means a naturally occurring mixture of hydrocarbon and non-hydrocarbon gases found in geologic formations beneath the Earth's surface, of which the principal constituent is methane. Natural gas may be field or pipeline quality.

Non-selective catalytic reduction (NSCR) means an add-on catalytic nitrogen oxides (NO_x) control device for rich burn engines that, in a two-step reaction, promotes the conversion of excess oxygen, NO_x, CO, and volatile organic compounds (VOC) into CO₂, nitrogen, and water.

Oil and gas production facility as used in this subpart means any grouping of equipment where hydrocarbon liquids are processed, upgraded (*i.e.*, remove impurities or other constituents to meet contract specifications), or stored prior to the point of custody transfer; or where natural gas is processed, upgraded, or stored prior to entering the natural gas transmission and storage source category. For purposes of a major source determination, facility (including a building, structure, or installation) means oil and natural gas production and processing equipment that is located within the boundaries of an individual surface site as defined in this section. Equipment that is part of a facility will typically be located within close proximity to other equipment located at the same facility. Pieces of production equipment or groupings of equipment located on different oil and gas leases, mineral fee tracts, lease tracts, subsurface or surface unit areas, surface fee tracts, surface lease tracts, or separate surface sites, whether or not connected by a

road, waterway, power line or pipeline, shall not be considered part of the same facility. Examples of facilities in the oil and natural gas production source category include, but are not limited to, well sites, satellite tank batteries, central tank batteries, a compressor station that transports natural gas to a natural gas processing plant, and natural gas processing plants.

Oxidation catalyst means an add-on catalytic control device that controls CO and VOC by oxidation.

Peaking unit or engine means any standby engine intended for use during periods of high demand that are not emergencies.

Percent load means the fractional power of an engine compared to its maximum manufacturer's design capacity at engine site conditions. Percent load may range between 0 percent to above 100 percent.

Potential to emit means the maximum capacity of a stationary source to emit a pollutant under its physical and operational design. Any physical or operational limitation on the capacity of the stationary source to emit a pollutant, including air pollution control equipment and restrictions on hours of operation or on the type or amount of material combusted, stored, or processed, shall be treated as part of its design if the limitation or the effect it would have on emissions is federally enforceable. For oil and natural gas production facilities subject to [subpart HH of this part](#), the potential to emit provisions in [§ 63.760\(a\)](#) may be used. For natural gas transmission and storage facilities subject to [subpart HHH of this part](#), the maximum annual facility gas throughput for storage facilities may be determined according to [§ 63.1270\(a\)\(1\)](#) and the maximum annual throughput for transmission facilities may be determined according to [§ 63.1270\(a\)\(2\)](#).

Production field facility means those oil and gas production facilities located prior to the point of custody transfer.

Production well means any hole drilled in the earth from which crude oil, condensate, or field natural gas is extracted.

Propane means a colorless gas derived from petroleum and natural gas, with the molecular structure C₃H₈.

Remote stationary RICE means stationary RICE meeting any of the following criteria:

(1) Stationary RICE located in an offshore area that is beyond the line of ordinary low water along that portion of the coast of the United States that is in direct contact with the open seas and beyond the line marking the seaward limit of inland waters.

(2) Stationary RICE located on a pipeline segment that meets both of the criteria in paragraphs (2)(i) and (ii) of this definition.

(i) A pipeline segment with 10 or fewer buildings intended for human occupancy and no buildings with four or more stories within 220 yards (200 meters) on either side of the centerline of any continuous 1-mile (1.6 kilometers) length of pipeline. Each separate dwelling unit in a multiple dwelling unit building is counted as a separate building intended for human occupancy.

(ii) The pipeline segment does not lie within 100 yards (91 meters) of either a building or a small, well-defined outside area (such as a playground, recreation area, outdoor theater, or other place of public assembly) that is occupied by 20 or more persons on at least 5 days a week for 10 weeks in any 12-month period. The days and weeks need not be consecutive. The building or area is considered occupied for a full day if it is occupied for any portion of the day.

(iii) For purposes of this [paragraph \(2\)](#), the term pipeline segment means all parts of those physical facilities through which gas moves in transportation, including but not limited to pipe, valves, and other appurtenance attached to pipe, compressor units, metering stations, regulator stations, delivery stations, holders, and fabricated assemblies. Stationary RICE located within 50 yards (46 meters) of the pipeline segment providing power for equipment on a pipeline segment are part of the pipeline segment. Transportation of gas means the gathering, transmission, or distribution of gas by pipeline, or the storage of gas. A building is intended for human occupancy if its primary use is for a purpose involving the presence of humans.

(3) Stationary RICE that are not located on gas pipelines and that have 5 or fewer buildings intended for human occupancy and no buildings with four or more stories within a 0.25 mile radius around the engine. A building is intended for human occupancy if its primary use is for a purpose involving the presence of humans.

Residential emergency stationary RICE means an emergency stationary RICE used in residential establishments such as homes or apartment buildings.

Responsible official means responsible official as defined in [40 CFR 70.2](#).

Rich burn engine means any four-stroke spark ignited engine where the manufacturer's recommended operating air/fuel ratio divided by the stoichiometric air/fuel ratio at full load conditions is less than or equal to 1.1. Engines originally manufactured as rich burn engines, but modified prior to December 19, 2002 with passive emission control technology for NO_x (such as pre-combustion chambers) will be considered lean burn engines. Also, existing engines where there are no manufacturer's recommendations regarding air/fuel ratio will be considered a rich burn engine if the excess oxygen content of the exhaust at full load conditions is less than or equal to 2 percent.

Site-rated HP means the maximum manufacturer's design capacity at engine site conditions.

Spark ignition means relating to either: A gasoline-fueled engine; or any other type of engine with a spark plug (or other sparking device) and with operating characteristics significantly

similar to the theoretical Otto combustion cycle. Spark ignition engines usually use a throttle to regulate intake air flow to control power during normal operation. Dual-fuel engines in which a liquid fuel (typically diesel fuel) is used for CI and gaseous fuel (typically natural gas) is used as the primary fuel at an annual average ratio of less than 2 parts diesel fuel to 100 parts total fuel on an energy equivalent basis are spark ignition engines.

Stationary reciprocating internal combustion engine (RICE) means any reciprocating internal combustion engine which uses reciprocating motion to convert heat energy into mechanical work and which is not mobile. Stationary RICE differ from mobile RICE in that a stationary RICE is not a non-road engine as defined at [40 CFR 1068.30](#), and is not used to propel a motor vehicle or a vehicle used solely for competition.

Stationary RICE test cell/stand means an engine test cell/stand, as defined in [subpart P of this part](#), that tests stationary RICE.

Stoichiometric means the theoretical air-to-fuel ratio required for complete combustion.

Storage vessel with the potential for flash emissions means any storage vessel that contains a hydrocarbon liquid with a stock tank gas-to-oil ratio equal to or greater than 0.31 cubic meters per liter and an American Petroleum Institute gravity equal to or greater than 40 degrees and an actual annual average hydrocarbon liquid throughput equal to or greater than 79,500 liters per day. Flash emissions occur when dissolved hydrocarbons in the fluid evolve from solution when the fluid pressure is reduced.

Subpart means [40 CFR part 63, subpart ZZZZ](#).

Surface site means any combination of one or more graded pad sites, gravel pad sites, foundations, platforms, or the immediate physical location upon which equipment is physically affixed.

Two-stroke engine means a type of engine which completes the power cycle in single crankshaft revolution by combining the intake and compression operations into one stroke and the power and exhaust operations into a second stroke. This system requires auxiliary scavenging and inherently runs lean of stoichiometric.

[[69 FR 33506](#), June 15, 2004, as amended at [71 FR 20467](#), Apr. 20, 2006; [73 FR 3607](#), Jan. 18, 2008; [75 FR 9679](#), Mar. 3, 2010; [75 FR 51592](#), Aug. 20, 2010; [76 FR 12867](#), Mar. 9, 2011; [78 FR 6706](#), Jan. 30, 2013; [87 FR 48608](#), Aug. 10, 2022]

Table 1a to Subpart ZZZZ of Part 63—Emission Limitations for Existing, New, and Reconstructed Spark Ignition, 4SRB Stationary RICE >500 HP Located at a Major Source of HAP Emissions

As stated in §§ 63.6600 and 63.6640, you must comply with the following emission limitations at 100 percent load plus or minus 10 percent for existing, new and reconstructed 4SRB stationary RICE >500 HP located at a major source of HAP emissions:

For each . . .	You must meet the following emission limitation, except during periods of startup . . .	During periods of startup you must . . .
1. 4SRB stationary RICE	a. Reduce formaldehyde emissions by 76 percent or more. If you commenced construction or reconstruction between December 19, 2002 and June 15, 2004, you may reduce formaldehyde emissions by 75 percent or more until June 15, 2007 or b. Limit the concentration of formaldehyde in the stationary RICE exhaust to 350 ppbvd or less at 15 percent O ₂	Minimize the engine's time spent at idle and minimize the engine's startup time at startup to a period needed for appropriate and safe loading of the engine, not to exceed 30 minutes, after which time the non-startup emission limitations apply. ¹

¹ Sources can petition the Administrator pursuant to the requirements of 40 CFR 63.6(g) for alternative work practices.

[75 FR 9679, Mar. 3, 2010, as amended at 75 FR 51592, Aug. 20, 2010]

Table 1b to Subpart ZZZZ of Part 63—Operating Limitations for Existing, New, and Reconstructed SI 4SRB Stationary RICE >500 HP Located at a Major Source of HAP Emissions

As stated in §§ 63.6600, 63.6603, 63.6630 and 63.6640, you must comply with the following operating limitations for existing, new and reconstructed 4SRB stationary RICE >500 HP located at a major source of HAP emissions:

For each . . .	You must meet the following operating limitation, except during periods of startup . . .
1. existing, new and reconstructed 4SRB stationary RICE >500 HP located at a major source of HAP emissions complying with the requirement to reduce formaldehyde emissions by 76 percent or more (or by 75 percent or more, if applicable) and using NSCR; or existing, new and reconstructed 4SRB stationary	a. maintain your catalyst so that the pressure drop across the catalyst does not change by more than 2 inches of water at 100 percent load plus or minus 10 percent from the pressure drop across the catalyst measured during the initial performance test; and b. maintain the temperature of your stationary

For each . . .	You must meet the following operating limitation, except during periods of startup . . .
RICE >500 HP located at a major source of HAP emissions complying with the requirement to limit the concentration of formaldehyde in the stationary RICE exhaust to 350 ppbvd or less at 15 percent O ₂ and using NSCR;	RICE exhaust so that the catalyst inlet temperature is greater than or equal to 750 °F and less than or equal to 1250 °F. ¹
2. existing, new and reconstructed 4SRB stationary RICE >500 HP located at a major source of HAP emissions complying with the requirement to reduce formaldehyde emissions by 76 percent or more (or by 75 percent or more, if applicable) and not using NSCR; or existing, new and reconstructed 4SRB stationary RICE >500 HP located at a major source of HAP emissions complying with the requirement to limit the concentration of formaldehyde in the stationary RICE exhaust to 350 ppbvd or less at 15 percent O ₂ and not using NSCR.	Comply with any operating limitations approved by the Administrator.

¹ Sources can petition the Administrator pursuant to the requirements of [40 CFR 63.8\(f\)](#) for a different temperature range.

[[78 FR 6706](#), Jan. 30, 2013]

Table 2a to Subpart ZZZZ of Part 63—Emission Limitations for New and Reconstructed 2SLB and Compression Ignition Stationary RICE >500 HP and New and Reconstructed 4SLB Stationary RICE ≥250 HP Located at a Major Source of HAP Emissions

As stated in [§§ 63.6600](#) and [63.6640](#), you must comply with the following emission limitations for new and reconstructed lean burn and new and reconstructed compression ignition stationary RICE at 100 percent load plus or minus 10 percent:

For each . . .	You must meet the following emission limitation, except during periods of startup . . .	During periods of startup you must . . .
1. 2SLB stationary RICE	a. Reduce CO emissions by 58 percent or more; or b. Limit concentration of formaldehyde in the	Minimize the engine's time spent at idle and minimize the engine's startup time at startup to a period

For each . ..	You must meet the following emission limitation, except during periods of startup ...	During periods of startup you must ...
	stationary RICE exhaust to 12 ppmvd or less at 15 percent O ₂ . If you commenced construction or reconstruction between December 19, 2002 and June 15, 2004, you may limit concentration of formaldehyde to 17 ppmvd or less at 15 percent O ₂ until June 15, 2007	needed for appropriate and safe loading of the engine, not to exceed 30 minutes, after which time the non-startup emission limitations apply. ¹
2. 4SLB stationary RICE	<ul style="list-style-type: none"> a. Reduce CO emissions by 93 percent or more; or b. Limit concentration of formaldehyde in the stationary RICE exhaust to 14 ppmvd or less at 15 percent O₂ 	
3. CI stationary RICE	<ul style="list-style-type: none"> a. Reduce CO emissions by 70 percent or more; or b. Limit concentration of formaldehyde in the stationary RICE exhaust to 580 ppbvd or less at 15 percent O₂ 	

¹ Sources can petition the Administrator pursuant to the requirements of [40 CFR 63.6\(g\)](#) for alternative work practices.

[[75 FR 9680](#), Mar. 3, 2010]

Table 2b to Subpart ZZZZ of Part 63—Operating Limitations for New and Reconstructed 2SLB and CI Stationary RICE >500 HP Located at a Major Source of HAP Emissions, New and Reconstructed 4SLB Stationary RICE ≥250 HP Located at a Major Source of HAP Emissions, Existing CI Stationary RICE >500 HP

As stated in [§§ 63.6600](#), [63.6601](#), [63.6603](#), [63.6630](#), and [63.6640](#), you must comply with the following operating limitations for new and reconstructed 2SLB and CI stationary RICE >500 HP located at a major source of HAP emissions; new and reconstructed 4SLB stationary RICE ≥250 HP located at a major source of HAP emissions; and existing CI stationary RICE >500 HP:

For each . . .

You must meet the following operating limitation, except during periods of startup . . .

1. New and reconstructed 2SLB and CI stationary RICE >500 HP located at a major source of HAP emissions and new and reconstructed 4SLB stationary RICE \geq 250 HP located at a major source of HAP emissions complying with the requirement to reduce CO emissions and using an oxidation catalyst; and
New and reconstructed 2SLB and CI stationary RICE >500 HP located at a major source of HAP emissions and new and reconstructed 4SLB stationary RICE \geq 250 HP located at a major source of HAP emissions complying with the requirement to limit the concentration of formaldehyde in the stationary RICE exhaust and using an oxidation catalyst.

2. Existing CI stationary RICE >500 HP complying with the requirement to limit or reduce the concentration of CO in the stationary RICE exhaust and using an oxidation catalyst

3. New and reconstructed 2SLB and CI stationary RICE >500 HP located at a major source of HAP emissions and new and reconstructed 4SLB stationary RICE \geq 250 HP located at a major source of HAP emissions complying with the requirement to reduce CO emissions and not using an oxidation catalyst; and

New and reconstructed 2SLB and CI stationary RICE >500 HP located at a major source of HAP emissions and new and reconstructed 4SLB stationary RICE \geq 250 HP located at a major source of HAP emissions complying with the requirement to limit the concentration of formaldehyde in the stationary RICE exhaust and not using an oxidation catalyst; and

existing CI stationary RICE >500 HP complying with the requirement to limit or reduce the

a. maintain your catalyst so that the pressure drop across the catalyst does not change by more than 2 inches of water at 100 percent load plus or minus 10 percent from the pressure drop across the catalyst that was measured during the initial performance test; and
b. maintain the temperature of your stationary RICE exhaust so that the catalyst inlet temperature is greater than or equal to 450 °F and less than or equal to 1350 °F.¹

a. maintain your catalyst so that the pressure drop across the catalyst does not change by more than 2 inches of water from the pressure drop across the catalyst that was measured during the initial performance test; and
b. maintain the temperature of your stationary RICE exhaust so that the catalyst inlet temperature is greater than or equal to 450 °F and less than or equal to 1350 °F.¹

Comply with any operating limitations approved by the Administrator.

For each . . .	You must meet the following operating limitation, except during periods of startup . . .
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concentration of CO in the stationary RICE exhaust and not using an oxidation catalyst.

¹ Sources can petition the Administrator pursuant to the requirements of [40 CFR 63.8\(f\)](#) for a different temperature range.

[[78 FR 6707](#), Jan. 30, 2013]

Table 2c to Subpart ZZZZ of Part 63—Requirements for Existing Compression Ignition Stationary RICE Located at a Major Source of HAP Emissions and Existing Spark Ignition Stationary RICE ≤500 HP Located at a Major Source of HAP Emissions

As stated in [§§ 63.6600](#), [63.6602](#), and [63.6640](#), you must comply with the following requirements for existing compression ignition stationary RICE located at a major source of HAP emissions and existing spark ignition stationary RICE ≤500 HP located at a major source of HAP emissions:

For each . . .	You must meet the following requirement, except during periods of startup . . .	During periods of startup you must . . .
1. Emergency stationary CI RICE and black start stationary CI RICE ¹	a. Change oil and filter every 500 hours of operation or annually, whichever comes first. ² b. Inspect air cleaner every 1,000 hours of operation or annually, whichever comes first, and replace as necessary; c. Inspect all hoses and belts every 500 hours of operation or annually, whichever comes first, and replace as necessary. ³	Minimize the engine's time spent at idle and minimize the engine's startup time at startup to a period needed for appropriate and safe loading of the engine, not to exceed 30 minutes, after which time the non-startup emission limitations apply. ³
2. Non-Emergency, non-black start stationary CI RICE <100 HP	a. Change oil and filter every 1,000 hours of operation or annually,	

For each . . .	You must meet the following requirement, except during periods of startup . . .	During periods of startup you must . . .
<p>3. Non-Emergency, non-black start CI stationary RICE $100 \leq \text{HP} \leq 300$ HP</p>	<p>whichever comes first.² b. Inspect air cleaner every 1,000 hours of operation or annually, whichever comes first, and replace as necessary; c. Inspect all hoses and belts every 500 hours of operation or annually, whichever comes first, and replace as necessary.³ Limit concentration of CO in the stationary RICE exhaust to 230 ppmvd or less at 15 percent O₂.</p>	
<p>4. Non-Emergency, non-black start CI stationary RICE $300 < \text{HP} \leq 500$</p>	<p>a. Limit concentration of CO in the stationary RICE exhaust to 49 ppmvd or less at 15 percent O₂; or b. Reduce CO emissions by 70 percent or more.</p>	
<p>5. Non-Emergency, non-black start stationary CI RICE >500 HP</p>	<p>a. Limit concentration of CO in the stationary RICE exhaust to 23 ppmvd or less at 15 percent O₂; or b. Reduce CO emissions by 70 percent or more. a. Change oil and filter every 500 hours of operation or annually, whichever comes first;²</p>	
<p>6. Emergency stationary SI RICE and black start stationary SI RICE.¹</p>	<p>b. Inspect spark plugs every 1,000 hours of operation or annually, whichever comes first, and replace as necessary; c. Inspect all hoses and belts every 500 hours of</p>	

For each . . .	You must meet the following requirement, except during periods of startup . . .	During periods of startup you must . . .
7. Non-Emergency, non-black start stationary SI RICE <100 HP that are not 2SLB stationary RICE	<p>operation or annually, whichever comes first, and replace as necessary.³</p> <p>a. Change oil and filter every 1,440 hours of operation or annually, whichever comes first;²</p> <p>b. Inspect spark plugs every 1,440 hours of operation or annually, whichever comes first, and replace as necessary;</p> <p>c. Inspect all hoses and belts every 1,440 hours of operation or annually, whichever comes first, and replace as necessary.³</p>	
8. Non-Emergency, non-black start 2SLB stationary SI RICE <100 HP	<p>a. Change oil and filter every 4,320 hours of operation or annually, whichever comes first;²</p> <p>b. Inspect spark plugs every 4,320 hours of operation or annually, whichever comes first, and replace as necessary;</p> <p>c. Inspect all hoses and belts every 4,320 hours of operation or annually, whichever comes first, and replace as necessary.³</p>	
9. Non-emergency, non-black start 2SLB stationary RICE $100 \leq \text{HP} \leq 500$	Limit concentration of CO in the stationary RICE exhaust to 225 ppmvd or less at 15 percent O ₂ .	
10. Non-emergency, non-black start 4SLB stationary RICE $100 \leq \text{HP} \leq 500$	Limit concentration of CO in the stationary RICE exhaust to 47 ppmvd or less at 15 percent O ₂ .	

For each . . .	You must meet the following requirement, except during periods of startup . . .	During periods of startup you must . . .
11. Non-emergency, non-black start 4SRB stationary RICE 100≤HP≤500	Limit concentration of formaldehyde in the stationary RICE exhaust to 10.3 ppmvd or less at 15 percent O ₂ .	
12. Non-emergency, non-black start stationary RICE 100≤HP≤500 which combusts landfill or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis	Limit concentration of CO in the stationary RICE exhaust to 177 ppmvd or less at 15 percent O ₂ .	

¹ If an emergency engine is operating during an emergency and it is not possible to shut down the engine in order to perform the work practice requirements on the schedule required in Table 2c of this subpart, or if performing the work practice on the required schedule would otherwise pose an unacceptable risk under federal, state, or local law, the work practice can be delayed until the emergency is over or the unacceptable risk under federal, state, or local law has abated. The work practice should be performed as soon as practicable after the emergency has ended or the unacceptable risk under federal, state, or local law has abated. Sources must report any failure to perform the work practice on the schedule required and the federal, state or local law under which the risk was deemed unacceptable.

² Sources have the option to utilize an oil analysis program as described in [§ 63.6625\(i\)](#) or [\(j\)](#) in order to extend the specified oil change requirement in Table 2c of this subpart.

³ Sources can petition the Administrator pursuant to the requirements of [40 CFR 63.6\(g\)](#) for alternative work practices.

[[78 FR 6708](#), Jan. 30, 2013, as amended at [78 FR 14457](#), Mar. 6, 2013]

Table 2d to Subpart ZZZZ of Part 63—Requirements for Existing Stationary RICE Located at Area Sources of HAP Emissions

As stated in [§§ 63.6603](#) and [63.6640](#), you must comply with the following requirements for existing stationary RICE located at area sources of HAP emissions:

For each . . .	You must meet the following requirement, except during periods of startup . . .	During periods of startup you must . . .
1. Non-Emergency, non-black start CI stationary RICE ≤ 300 HP	<ul style="list-style-type: none"> a. Change oil and filter every 1,000 hours of operation or annually, whichever comes first;¹ b. Inspect air cleaner every 1,000 hours of operation or annually, whichever comes first, and replace as necessary; c. Inspect all hoses and belts every 500 hours of operation or annually, whichever comes first, and replace as necessary. 	Minimize the engine's time spent at idle and minimize the engine's startup time at startup to a period needed for appropriate and safe loading of the engine, not to exceed 30 minutes, after which time the non-startup emission limitations apply.
2. Non-Emergency, non-black start CI stationary RICE $300 < \text{HP} \leq 500$	<ul style="list-style-type: none"> a. Limit concentration of CO in the stationary RICE exhaust to 49 ppmvd at 15 percent O₂; or b. Reduce CO emissions by 70 percent or more. 	
3. Non-Emergency, non-black start CI stationary RICE > 500 HP	<ul style="list-style-type: none"> a. Limit concentration of CO in the stationary RICE exhaust to 23 ppmvd at 15 percent O₂; or b. Reduce CO emissions by 70 percent or more. 	
4. Emergency stationary CI RICE and black start stationary CI RICE. ²	<ul style="list-style-type: none"> a. Change oil and filter every 500 hours of operation or annually, whichever comes first;¹ b. Inspect air cleaner every 1,000 hours of operation or annually, whichever comes first, 	

For each . . .	You must meet the following requirement, except during periods of startup . . .	During periods of startup you must . . .
<p>5. Emergency stationary SI RICE; black start stationary SI RICE; non-emergency, non-black start 4SLB stationary RICE >500 HP that operate 24 hours or less per calendar year; non-emergency, non-black start 4SRB stationary RICE >500 HP that operate 24 hours or less per calendar year.²</p>	<p>and replace as necessary; and</p> <p>c. Inspect all hoses and belts every 500 hours of operation or annually, whichever comes first, and replace as necessary.</p> <p>a. Change oil and filter every 500 hours of operation or annually, whichever comes first;¹;</p> <p>b. Inspect spark plugs every 1,000 hours of operation or annually, whichever comes first, and replace as necessary; and</p> <p>c. Inspect all hoses and belts every 500 hours of operation or annually, whichever comes first, and replace as necessary.</p>	
<p>6. Non-emergency, non-black start 2SLB stationary RICE</p>	<p>a. Change oil and filter every 4,320 hours of operation or annually, whichever comes first;¹</p> <p>b. Inspect spark plugs every 4,320 hours of operation or annually, whichever comes first, and replace as necessary; and</p> <p>c. Inspect all hoses and belts every 4,320 hours of operation or annually, whichever</p>	

For each . . .	You must meet the following requirement, except during periods of startup . . .	During periods of startup you must . . .
7. Non-emergency, non-black start 4SLB stationary RICE \leq 500 HP	<p>comes first, and replace as necessary.</p> <p>a. Change oil and filter every 1,440 hours of operation or annually, whichever comes first;¹</p> <p>b. Inspect spark plugs every 1,440 hours of operation or annually, whichever comes first, and replace as necessary; and</p> <p>c. Inspect all hoses and belts every 1,440 hours of operation or annually, whichever comes first, and replace as necessary.</p>	
8. Non-emergency, non-black start 4SLB remote stationary RICE >500 HP	<p>a. Change oil and filter every 2,160 hours of operation or annually, whichever comes first;¹</p> <p>b. Inspect spark plugs every 2,160 hours of operation or annually, whichever comes first, and replace as necessary; and</p> <p>c. Inspect all hoses and belts every 2,160 hours of operation or annually, whichever comes first, and replace as necessary.</p>	
9. Non-emergency, non-black start 4SLB stationary RICE >500 HP that are not remote stationary RICE and that operate more than 24 hours per calendar year	Install an oxidation catalyst to reduce HAP emissions from the stationary RICE.	

For each . . .	You must meet the following requirement, except during periods of startup . . .	During periods of startup you must . . .
10. Non-emergency, non-black start 4SRB stationary RICE \leq 500 HP	<ul style="list-style-type: none"> a. Change oil and filter every 1,440 hours of operation or annually, whichever comes first;¹ b. Inspect spark plugs every 1,440 hours of operation or annually, whichever comes first, and replace as necessary; and c. Inspect all hoses and belts every 1,440 hours of operation or annually, whichever comes first, and replace as necessary. 	
11. Non-emergency, non-black start 4SRB remote stationary RICE >500 HP	<ul style="list-style-type: none"> a. Change oil and filter every 2,160 hours of operation or annually, whichever comes first;¹ b. Inspect spark plugs every 2,160 hours of operation or annually, whichever comes first, and replace as necessary; and c. Inspect all hoses and belts every 2,160 hours of operation or annually, whichever comes first, and replace as necessary. 	
12. Non-emergency, non-black start 4SRB stationary RICE >500 HP that are not remote stationary RICE and that operate more than 24 hours per calendar year	Install NSCR to reduce HAP emissions from the stationary RICE.	
13. Non-emergency, non-black start stationary RICE which combusts	a. Change oil and filter every 1,440 hours of	

For each . . .	You must meet the following requirement, except during periods of startup . . .	During periods of startup you must . . .
landfill or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis	operation or annually, whichever comes first; ¹ b. Inspect spark plugs every 1,440 hours of operation or annually, whichever comes first, and replace as necessary; and c. Inspect all hoses and belts every 1,440 hours of operation or annually, whichever comes first, and replace as necessary.	

¹ Sources have the option to utilize an oil analysis program as described in [§ 63.6625\(i\)](#) or [\(j\)](#) in order to extend the specified oil change requirement in Table 2d of this subpart.

² If an emergency engine is operating during an emergency and it is not possible to shut down the engine in order to perform the management practice requirements on the schedule required in Table 2d of this subpart, or if performing the management practice on the required schedule would otherwise pose an unacceptable risk under federal, state, or local law, the management practice can be delayed until the emergency is over or the unacceptable risk under federal, state, or local law has abated. The management practice should be performed as soon as practicable after the emergency has ended or the unacceptable risk under federal, state, or local law has abated. Sources must report any failure to perform the management practice on the schedule required and the federal, state or local law under which the risk was deemed unacceptable.

[[78 FR 6709](#), Jan. 30, 2013]

Table 3 to Subpart ZZZZ of Part 63—Subsequent Performance Tests

As stated in [§§ 63.6615](#) and [63.6620](#), you must comply with the following subsequent performance test requirements:

For each . . .	Complying with the requirement to . . .	You must . . .
1. New or reconstructed 2SLB stationary RICE >500 HP located at major sources; new or reconstructed 4SLB stationary RICE \geq 250 HP located at major sources; and new or reconstructed CI stationary RICE >500 HP located at major sources	Reduce CO emissions and not using a CEMS	Conduct subsequent performance tests semiannually. ¹
2. 4SRB stationary RICE \geq 5,000 HP located at major sources	Reduce formaldehyde emissions	Conduct subsequent performance tests semiannually. ¹
3. Stationary RICE >500 HP located at major sources and new or reconstructed 4SLB stationary RICE $250 \leq \text{HP} \leq 500$ located at major sources	Limit the concentration of formaldehyde in the stationary RICE exhaust	Conduct subsequent performance tests semiannually. ¹
4. Existing non-emergency, non-black start CI stationary RICE >500 HP that are not limited use stationary RICE	Limit or reduce CO emissions and not using a CEMS	Conduct subsequent performance tests every 8,760 hours or 3 years, whichever comes first.
5. Existing non-emergency, non-black start CI stationary RICE >500 HP that are limited use stationary RICE	Limit or reduce CO emissions and not using a CEMS	Conduct subsequent performance tests every 8,760 hours or 5 years, whichever comes first.

¹ After you have demonstrated compliance for two consecutive tests, you may reduce the frequency of subsequent performance tests to annually. If the results of any subsequent annual performance test indicate the stationary RICE is not in compliance with the CO or formaldehyde emission limitation, or you deviate from any of your operating limitations, you must resume semiannual performance tests.

[78 FR 6711, Jan. 30, 2013]

Table 4 to Subpart ZZZZ of Part 63—Requirements for Performance Tests

As stated in §§ 63.6610, 63.6611, 63.6620, and 63.6640, you must comply with the following requirements for performance tests for stationary RICE:

For each . ..	Complying with the requirement to ...	You must ...	Using ...	According to the following requirements ...
1. 2SLB, 4SLB, and CI stationary RICE	a. Reduce CO emissions	i. Select the sampling port location and the number/location of traverse points at the inlet and outlet of the control device; and	(1) Method 3 or 3A or 3B of 40 CFR part 60, appendix A-2 , or ASTM D6522-00 (Reapproved 2005) ¹³ (heated probe not necessary)	(a) For CO, O ₂ , and moisture measurement, ducts ≤6 inches in diameter may be sampled at a single point located at the duct centroid and ducts >6 and ≤12 inches in diameter may be sampled at 3 traverse points located at 16.7, 50.0, and 83.3% of the measurement line ('3- point long line'). If the duct is >12 inches in diameter <i>and</i> the sampling port location meets the two and half- diameter criterion of section 11.1.1 of method 1 of 40 CFR part 60, appendix A-1 , the duct may be sampled at '3- point long line'; otherwise, conduct the stratification testing and select sampling points according to section 8.1.2 of method 7E of 40 CFR part 60, appendix A-4 .
		ii. Measure the O ₂ at the inlet and outlet of the control device; and		(b) Measurements to determine O ₂ must be made at the same time as the measurements for CO concentration.

For each . ..	Complying with the requirement to ...	You must ...	Using ...	According to the following requirements ...
		<p>iii. Measure the CO at the inlet and the outlet of the control device; and</p> <p>iv. Measure moisture content at the inlet and outlet of the control device as needed to determine CO and O₂ concentrations on a dry basis</p>	<p>(2) ASTM D6522–00 (Reapproved 2005)¹²³ (heated probe not necessary) or method 10 of 40 CFR part 60, appendix A–4</p> <p>(3) Method 4 of 40 CFR part 60, appendix A–3, or method 320 of 40 CFR part 63, appendix A, or ASTM D6348–03¹³</p>	<p>(c) The CO concentration must be at 15 percent O₂, dry basis.</p> <p>(d) Measurements to determine moisture content must be made at the same time and location as the measurements for CO concentration.</p>
2. 4SRB stationary RICE	a. Reduce formaldehyde or THC emissions	i. Select the sampling port location and the number/location of traverse points at the inlet and outlet of the control device; and		<p>(a) For formaldehyde, THC, O₂, and moisture measurement, ducts ≤6 inches in diameter may be sampled at a single point located at the duct centroid and ducts >6 and ≤12 inches in diameter may be sampled at 3 traverse points located at 16.7, 50.0, and 83.3% of the measurement line ('3-point long line'). If the duct is >12 inches in diameter <i>and</i> the sampling port location meets the two and half-diameter criterion of section 11.1.1 of method 1 of 40 CFR part 60, appendix A, the duct may be sampled at '3-point long line'; otherwise, conduct the stratification testing and</p>

For each . ..	Complying with the requirement to ...	You must ...	Using ...	According to the following requirements ...
				select sampling points according to section 8.1.2 of method 7E of 40 CFR part 60, appendix A .
		ii. Measure O ₂ at the inlet and outlet of the control device; and	(1) Method 3 or 3A or 3B of 40 CFR part 60, appendix A-2 , or ASTM D6522-00 (Reapproved 2005) ¹³ (heated probe not necessary)	(b) Measurements to determine O ₂ concentration must be made at the same time as the measurements for formaldehyde or THC concentration.
		iii. Measure moisture content at the inlet and outlet of the control device as needed to determine formaldehyde or THC and O ₂ concentrations on a dry basis; and	(2) Method 4 of 40 CFR part 60, appendix A-3 , or method 320 of 40 CFR part 63, appendix A , or ASTM D6348-03 ¹³	(c) Measurements to determine moisture content must be made at the same time and location as the measurements for formaldehyde or THC concentration.
		iv. If demonstrating compliance with the formaldehyde percent reduction requirement, measure formaldehyde at the inlet and the outlet of the control device	(3) Method 320 or 323 of 40 CFR part 63, appendix A ; or ASTM D6348-03, ¹³ provided in ASTM D6348-03 Annex A5 (Analyte Spiking Technique), the percent R must be greater than or equal to 70 and less than or equal to 130	(d) Formaldehyde concentration must be at 15 percent O ₂ , dry basis. Results of this test consist of the average of the three 1-hour or longer runs.
		v. If demonstrating compliance with the THC percent reduction requirement, measure	(4) (1) Method 25A, reported as propane, of 40 CFR part 60, appendix A-7	(e) THC concentration must be at 15 percent O ₂ , dry basis. Results of this test consist of the

For each . ..	Complying with the requirement to ...	You must ...	Using ...	According to the following requirements ...
3. Stationary RICE	a. Limit the concentration of formaldehyde or CO in the stationary RICE exhaust	THC at the inlet and the outlet of the control device i. Select the sampling port location and the number/location of traverse points at the exhaust of the stationary RICE; and ii. Determine the O ₂ concentration of the stationary RICE exhaust at the	(1) Method 3 or 3A or 3B of 40 CFR part 60, appendix A-2 , or ASTM	average of the three 1-hour or longer runs. (a) For formaldehyde, CO, O ₂ , and moisture measurement, ducts ≤6 inches in diameter may be sampled at a single point located at the duct centroid and ducts >6 and ≤12 inches in diameter may be sampled at 3 traverse points located at 16.7, 50.0, and 83.3% of the measurement line ('3-point long line'). If the duct is >12 inches in diameter <i>and</i> the sampling port location meets the two and half-diameter criterion of section 11.1.1 of method 1 of 40 CFR part 60, appendix A , the duct may be sampled at '3-point long line'; otherwise, conduct the stratification testing and select sampling points according to section 8.1.2 of method 7E of 40 CFR part 60, appendix A . If using a control device, the sampling site must be located at the outlet of the control device. (b) Measurements to determine O ₂ concentration must be made at the same time

For each . ..	Complying with the requirement to ...	You must ...	Using ...	According to the following requirements ...
		sampling port location; and	D6522–00 (Reapproved 2005) ¹³ (heated probe not necessary)	and location as the measurements for formaldehyde or CO concentration.
		iii. Measure moisture content of the stationary RICE exhaust at the sampling port location as needed to determine formaldehyde or CO and O ₂ concentrations on a dry basis; and	(2) Method 4 of 40 CFR part 60, appendix A–3 , or method 320 of 40 CFR part 63, appendix A , or ASTM D6348–03 ¹³	(c) Measurements to determine moisture content must be made at the same time and location as the measurements for formaldehyde or CO concentration.
		iv. Measure formaldehyde at the exhaust of the stationary RICE; or	(3) Method 320 or 323 of 40 CFR part 63, appendix A ; or ASTM D6348–03, ¹³ provided in Annex A5 (Analyte Spiking Technique), the percent R must be greater than or equal to 70 and less than or equal to 130	(d) Formaldehyde concentration must be at 15 percent O ₂ , dry basis. Results of this test consist of the average of the three 1-hour or longer runs.
		v. Measure CO at the exhaust of the stationary RICE	(4) Method 10 of 40 CFR part 60, appendix A–4 , ASTM D6522–00 (2005), ¹³ method 320 of 40 CFR part 63, appendix A , or ASTM D6348–03 ¹³	(e) CO concentration must be at 15 percent O ₂ , dry basis. Results of this test consist of the average of the three 1-hour or longer runs.

¹ You may also use methods 3A and 10 as options to ASTM–D6522–00 (2005).

² You may obtain a copy of ASTM–D6348–03 from at least one of the following addresses: American Society for Testing and Materials, 100 Barr Harbor Drive, West Conshohocken, PA 19428–2959, or University Microfilms International, 300 North Zeeb Road, Ann Arbor, MI 48106.

³ Incorporated by reference, see [§ 63.14](#).

[[88 FR 18413](#), Mar. 29, 2023]

Table 5 to Subpart ZZZZ of Part 63—Initial Compliance With Emission Limitations, Operating Limitations, and Other Requirements

As stated in [§§ 63.6612](#), [63.6625](#) and [63.6630](#), you must initially comply with the emission and operating limitations as required by the following:

For each . . .	Complying with the requirement to . . .	You have demonstrated initial compliance if . . .
<p>1. New or reconstructed non-emergency 2SLB stationary RICE >500 HP located at a major source of HAP, new or reconstructed non-emergency 4SLB stationary RICE ≥250 HP located at a major source of HAP, non-emergency stationary CI RICE >500 HP located at a major source of HAP, and existing non-emergency stationary CI RICE >500 HP located at an area source of HAP</p>	<p>a. Reduce CO emissions and using oxidation catalyst, and using a CPMS</p>	<p>i. The average reduction of emissions of CO determined from the initial performance test achieves the required CO percent reduction; and ii. You have installed a CPMS to continuously monitor catalyst inlet temperature according to the requirements in § 63.6625(b); and iii. You have recorded the catalyst pressure drop and catalyst inlet temperature during the initial performance test.</p>
<p>2. Non-emergency stationary CI RICE >500 HP located at a major source of HAP, and existing non-emergency stationary CI RICE >500 HP located at an area source of HAP</p>	<p>a. Limit the concentration of CO, using oxidation catalyst, and using a CPMS</p>	<p>i. The average CO concentration determined from the initial performance test is less than or equal to the CO emission limitation; and ii. You have installed a CPMS to continuously monitor catalyst inlet temperature according to the requirements in § 63.6625(b); and iii. You have recorded the catalyst pressure drop and catalyst inlet temperature during the initial performance test.</p>

For each . . .	Complying with the requirement to . . .	You have demonstrated initial compliance if . . .
<p>3. New or reconstructed non-emergency 2SLB stationary RICE >500 HP located at a major source of HAP, new or reconstructed non-emergency 4SLB stationary RICE ≥250 HP located at a major source of HAP, non-emergency stationary CI RICE >500 HP located at a major source of HAP, and existing non-emergency stationary CI RICE >500 HP located at an area source of HAP</p>	<p>a. Reduce CO emissions and not using oxidation catalyst</p>	<p>i. The average reduction of emissions of CO determined from the initial performance test achieves the required CO percent reduction; and ii. You have installed a CPMS to continuously monitor operating parameters approved by the Administrator (if any) according to the requirements in § 63.6625(b); and iii. You have recorded the approved operating parameters (if any) during the initial performance test.</p>
<p>4. Non-emergency stationary CI RICE >500 HP located at a major source of HAP, and existing non-emergency stationary CI RICE >500 HP located at an area source of HAP</p>	<p>a. Limit the concentration of CO, and not using oxidation catalyst</p>	<p>i. The average CO concentration determined from the initial performance test is less than or equal to the CO emission limitation; and ii. You have installed a CPMS to continuously monitor operating parameters approved by the Administrator (if any) according to the requirements in § 63.6625(b); and iii. You have recorded the approved operating parameters (if any) during the initial performance test.</p>
<p>5. New or reconstructed non-emergency 2SLB stationary RICE >500 HP located at a major source of HAP, new or reconstructed non-emergency 4SLB stationary RICE ≥250 HP located at a major source of HAP, non-emergency stationary CI RICE >500 HP located at a major source of HAP, and existing non-emergency stationary CI RICE >500 HP located at an area source of HAP</p>	<p>a. Reduce CO emissions, and using a CEMS</p>	<p>i. You have installed a CEMS to continuously monitor CO and either O₂ or CO₂ at both the inlet and outlet of the oxidation catalyst according to the requirements in § 63.6625(a); and ii. You have conducted a performance evaluation of your CEMS using PS 3 and 4A of 40 CFR part 60, appendix B; and iii. The average reduction of CO calculated using § 63.6620 equals or exceeds the required percent</p>

For each . . .	Complying with the requirement to . . .	You have demonstrated initial compliance if . . .
<p>6. Non-emergency stationary CI RICE >500 HP located at a major source of HAP, and existing non-emergency stationary CI RICE >500 HP located at an area source of HAP</p>	<p>a. Limit the concentration of CO, and using a CEMS</p>	<p>reduction. The initial test comprises the first 4-hour period after successful validation of the CEMS. Compliance is based on the average percent reduction achieved during the 4-hour period.</p> <p>i. You have installed a CEMS to continuously monitor CO and either O₂ or CO₂ at the outlet of the oxidation catalyst according to the requirements in § 63.6625(a); and</p> <p>ii. You have conducted a performance evaluation of your CEMS using PS 3 and 4A of 40 CFR part 60, appendix B; and</p> <p>iii. The average concentration of CO calculated using § 63.6620 is less than or equal to the CO emission limitation. The initial test comprises the first 4-hour period after successful validation of the CEMS. Compliance is based on the average concentration measured during the 4-hour period.</p>
<p>7. Non-emergency 4SRB stationary RICE >500 HP located at a major source of HAP</p>	<p>a. Reduce formaldehyde emissions and using NSCR</p>	<p>i. The average reduction of emissions of formaldehyde determined from the initial performance test is equal to or greater than the required formaldehyde percent reduction, or the average reduction of emissions of THC determined from the initial performance test is equal to or greater than 30 percent; and</p> <p>ii. You have installed a CPMS to continuously monitor catalyst inlet temperature according to the requirements in § 63.6625(b); and</p> <p>iii. You have recorded the catalyst pressure drop and catalyst inlet temperature during the initial performance test.</p>

For each . . .	Complying with the requirement to . . .	You have demonstrated initial compliance if . . .
8. Non-emergency 4SRB stationary RICE >500 HP located at a major source of HAP	a. Reduce formaldehyde emissions and not using NSCR	<ul style="list-style-type: none"> i. The average reduction of emissions of formaldehyde determined from the initial performance test is equal to or greater than the required formaldehyde percent reduction or the average reduction of emissions of THC determined from the initial performance test is equal to or greater than 30 percent; and ii. You have installed a CPMS to continuously monitor operating parameters approved by the Administrator (if any) according to the requirements in § 63.6625(b); and iii. You have recorded the approved operating parameters (if any) during the initial performance test.
9. New or reconstructed non-emergency stationary RICE >500 HP located at a major source of HAP, new or reconstructed non-emergency 4SLB stationary RICE $250 \leq \text{HP} \leq 500$ located at a major source of HAP, and existing non-emergency 4SRB stationary RICE >500 HP located at a major source of HAP	a. Limit the concentration of formaldehyde in the stationary RICE exhaust and using oxidation catalyst or NSCR	<ul style="list-style-type: none"> i. The average formaldehyde concentration, corrected to 15 percent O₂, dry basis, from the three test runs is less than or equal to the formaldehyde emission limitation; and ii. You have installed a CPMS to continuously monitor catalyst inlet temperature according to the requirements in § 63.6625(b); and iii. You have recorded the catalyst pressure drop and catalyst inlet temperature during the initial performance test.
10. New or reconstructed non-emergency stationary RICE >500 HP located at a major source of HAP, new or reconstructed non-emergency 4SLB stationary RICE $250 \leq \text{HP} \leq 500$ located at a major source of HAP, and existing non-emergency 4SRB stationary RICE >500 HP located at a major source of HAP	a. Limit the concentration of formaldehyde in the stationary RICE exhaust and not using oxidation catalyst or NSCR	<ul style="list-style-type: none"> i. The average formaldehyde concentration, corrected to 15 percent O₂, dry basis, from the three test runs is less than or equal to the formaldehyde emission limitation; and ii. You have installed a CPMS to continuously monitor operating parameters approved by the Administrator (if any) according to

For each . . .	Complying with the requirement to . . .	You have demonstrated initial compliance if . . .
<p>11. Existing non-emergency stationary RICE $100 \leq \text{HP} \leq 500$ located at a major source of HAP, and existing non-emergency stationary CI RICE $300 < \text{HP} \leq 500$ located at an area source of HAP</p>	<p>a. Reduce CO emissions</p>	<p>the requirements in § 63.6625(b); and</p> <p>iii. You have recorded the approved operating parameters (if any) during the initial performance test.</p> <p>i. The average reduction of emissions of CO or formaldehyde, as applicable determined from the initial performance test is equal to or greater than the required CO or formaldehyde, as applicable, percent reduction.</p>
<p>12. Existing non-emergency stationary RICE $100 \leq \text{HP} \leq 500$ located at a major source of HAP, and existing non-emergency stationary CI RICE $300 < \text{HP} \leq 500$ located at an area source of HAP</p>	<p>a. Limit the concentration of formaldehyde or CO in the stationary RICE exhaust</p>	<p>i. The average formaldehyde or CO concentration, as applicable, corrected to 15 percent O₂, dry basis, from the three test runs is less than or equal to the formaldehyde or CO emission limitation, as applicable.</p>
<p>13. Existing non-emergency 4SLB stationary RICE >500 HP located at an area source of HAP that are not remote stationary RICE and that are operated more than 24 hours per calendar year</p>	<p>a. Install an oxidation catalyst</p>	<p>i. You have conducted an initial compliance demonstration as specified in § 63.6630(e) to show that the average reduction of emissions of CO is 93 percent or more, or the average CO concentration is less than or equal to 47 ppmvd at 15 percent O₂;</p> <p>ii. You have installed a CPMS to continuously monitor catalyst inlet temperature according to the requirements in § 63.6625(b), or you have installed equipment to automatically shut down the engine if the catalyst inlet temperature exceeds 1350 °F.</p>
<p>14. Existing non-emergency 4SRB stationary RICE >500 HP located at an area source of HAP that are not remote stationary RICE and that are operated more than 24 hours per calendar year</p>	<p>a. Install NSCR</p>	<p>i. You have conducted an initial compliance demonstration as specified in § 63.6630(e) to show that the average reduction of emissions of CO is 75 percent or more, the average CO concentration is less than or equal to 270 ppmvd at 15 percent O₂, or the average</p>

For each . . .	Complying with the requirement to . . .	You have demonstrated initial compliance if . . .
		reduction of emissions of THC is 30 percent or more; ii. You have installed a CPMS to continuously monitor catalyst inlet temperature according to the requirements in § 63.6625(b) , or you have installed equipment to automatically shut down the engine if the catalyst inlet temperature exceeds 1250 °F.

[78 FR 6712, Jan. 30, 2013]

Table 6 to Subpart ZZZZ of Part 63—Continuous Compliance With Emission Limitations, and Other Requirements

As stated in [§ 63.6640](#), you must continuously comply with the emissions and operating limitations and work or management practices as required by the following:

For each . . .	Complying with the requirement to . . .	You must demonstrate continuous compliance by . . .
1. New or reconstructed non-emergency 2SLB stationary RICE >500 HP located at a major source of HAP, new or reconstructed non-emergency 4SLB stationary RICE ≥250 HP located at a major source of HAP, and new or reconstructed non-emergency CI stationary RICE >500 HP located at a major source of HAP	a. Reduce CO emissions and using an oxidation catalyst, and using a CPMS	i. Conducting semiannual performance tests for CO to demonstrate that the required CO percent reduction is achieved ^a ; and ii. Collecting the catalyst inlet temperature data according to § 63.6625(b) ; and iii. Reducing these data to 4-hour rolling averages; and iv. Maintaining the 4-hour rolling averages within the operating limitations for the catalyst inlet temperature; and v. Measuring the pressure drop across the catalyst once per month and demonstrating that the pressure drop across the catalyst is within the

For each . . .	Complying with the requirement to . . .	You must demonstrate continuous compliance by . . .
<p>2. New or reconstructed non-emergency 2SLB stationary RICE >500 HP located at a major source of HAP, new or reconstructed non-emergency 4SLB stationary RICE ≥250 HP located at a major source of HAP, and new or reconstructed non-emergency CI stationary RICE >500 HP located at a major source of HAP</p>	<p>a. Reduce CO emissions and not using an oxidation catalyst, and using a CPMS</p>	<p>operating limitation established during the performance test.</p> <p>i. Conducting semiannual performance tests for CO to demonstrate that the required CO percent reduction is achieved^a; and</p> <p>ii. Collecting the approved operating parameter (if any) data according to § 63.6625(b); and</p> <p>iii. Reducing these data to 4-hour rolling averages; and</p> <p>iv. Maintaining the 4-hour rolling averages within the operating limitations for the operating parameters established during the performance test.</p>
<p>3. New or reconstructed non-emergency 2SLB stationary RICE >500 HP located at a major source of HAP, new or reconstructed non-emergency 4SLB stationary RICE ≥250 HP located at a major source of HAP, new or reconstructed non-emergency stationary CI RICE >500 HP located at a major source of HAP, and existing non-emergency stationary CI RICE >500 HP</p>	<p>a. Reduce CO emissions or limit the concentration of CO in the stationary RICE exhaust, and using a CEMS</p>	<p>i. Collecting the monitoring data according to § 63.6625(a), reducing the measurements to 1-hour averages, calculating the percent reduction or concentration of CO emissions according to § 63.6620; and</p> <p>ii. Demonstrating that the catalyst achieves the required percent reduction of CO emissions over the 4-hour averaging period, or that the emission remain at or below the CO concentration limit; and</p> <p>iii. Conducting an annual RATA of your CEMS using PS 3 and 4A of 40 CFR part 60, appendix B, as well as daily and periodic data quality checks in accordance with 40 CFR part 60, appendix F, procedure 1.</p>
<p>4. Non-emergency 4SRB stationary RICE >500 HP located at a major source of HAP</p>	<p>a. Reduce formaldehyde emissions and using NSCR</p>	<p>i. Collecting the catalyst inlet temperature data according to § 63.6625(b); and</p> <p>ii. Reducing these data to 4-hour rolling averages; and</p>

For each . . .	Complying with the requirement to . . .	You must demonstrate continuous compliance by . . .
5. Non-emergency 4SRB stationary RICE >500 HP located at a major source of HAP	a. Reduce formaldehyde emissions and not using NSCR	<ul style="list-style-type: none"> iii. Maintaining the 4-hour rolling averages within the operating limitations for the catalyst inlet temperature; and iv. Measuring the pressure drop across the catalyst once per month and demonstrating that the pressure drop across the catalyst is within the operating limitation established during the performance test.
6. Non-emergency 4SRB stationary RICE with a brake HP $\geq 5,000$ located at a major source of HAP	a. Reduce formaldehyde emissions	<ul style="list-style-type: none"> i. Collecting the approved operating parameter (if any) data according to § 63.6625(b); and ii. Reducing these data to 4-hour rolling averages; and iii. Maintaining the 4-hour rolling averages within the operating limitations for the operating parameters established during the performance test. <p>Conducting semiannual performance tests for formaldehyde to demonstrate that the required formaldehyde percent reduction is achieved, or to demonstrate that the average reduction of emissions of THC determined from the performance test is equal to or greater than 30 percent.^a</p>
7. New or reconstructed non-emergency stationary RICE >500 HP located at a major source of HAP and new or reconstructed non-emergency 4SLB stationary RICE $250 \leq \text{HP} \leq 500$ located at a major source of HAP	a. Limit the concentration of formaldehyde in the stationary RICE exhaust and using oxidation catalyst or NSCR	<ul style="list-style-type: none"> i. Conducting semiannual performance tests for formaldehyde to demonstrate that your emissions remain at or below the formaldehyde concentration limit^a; and ii. Collecting the catalyst inlet temperature data according to § 63.6625(b); and iii. Reducing these data to 4-hour rolling averages; and iv. Maintaining the 4-hour rolling averages within the operating

For each . . .	Complying with the requirement to . . .	You must demonstrate continuous compliance by . . .
<p>8. New or reconstructed non-emergency stationary RICE >500 HP located at a major source of HAP and new or reconstructed non-emergency 4SLB stationary RICE $250 \leq \text{HP} \leq 500$ located at a major source of HAP</p>	<p>a. Limit the concentration of formaldehyde in the stationary RICE exhaust and not using oxidation catalyst or NSCR</p>	<p>limitations for the catalyst inlet temperature; and</p> <p>v. Measuring the pressure drop across the catalyst once per month and demonstrating that the pressure drop across the catalyst is within the operating limitation established during the performance test.</p> <p>i. Conducting semiannual performance tests for formaldehyde to demonstrate that your emissions remain at or below the formaldehyde concentration limit^a; and</p> <p>ii. Collecting the approved operating parameter (if any) data according to § 63.6625(b); and</p> <p>iii. Reducing these data to 4-hour rolling averages; and</p> <p>iv. Maintaining the 4-hour rolling averages within the operating limitations for the operating parameters established during the performance test.</p>
<p>9. Existing emergency and black start stationary RICE ≤ 500 HP located at a major source of HAP, existing non-emergency stationary RICE <100 HP located at a major source of HAP, existing emergency and black start stationary RICE located at an area source of HAP, existing non-emergency stationary CI RICE ≤ 300 HP located at an area source of HAP, existing non-emergency 2SLB stationary RICE located at an area source of HAP, existing non-emergency stationary SI RICE located at an area source of HAP which combusts landfill or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis, existing non-emergency 4SLB and 4SRB</p>	<p>a. Work or Management practices</p>	<p>i. Operating and maintaining the stationary RICE according to the manufacturer's emission-related operation and maintenance instructions; or</p> <p>ii. Develop and follow your own maintenance plan which must provide to the extent practicable for the maintenance and operation of the engine in a manner consistent with good air pollution control practice for minimizing emissions.</p>

For each . . .	Complying with the requirement to . . .	You must demonstrate continuous compliance by . . .
<p>stationary RICE \leq500 HP located at an area source of HAP, existing non-emergency 4SLB and 4SRB stationary RICE >500 HP located at an area source of HAP that operate 24 hours or less per calendar year, and existing non-emergency 4SLB and 4SRB stationary RICE >500 HP located at an area source of HAP that are remote stationary RICE</p>		
<p>10. Existing stationary CI RICE >500 HP that are not limited use stationary RICE</p>	<p>a. Reduce CO emissions, or limit the concentration of CO in the stationary RICE exhaust, and using oxidation catalyst</p>	<p>i. Conducting performance tests every 8,760 hours or 3 years, whichever comes first, for CO or formaldehyde, as appropriate, to demonstrate that the required CO or formaldehyde, as appropriate, percent reduction is achieved or that your emissions remain at or below the CO or formaldehyde concentration limit; and</p> <p>ii. Collecting the catalyst inlet temperature data according to § 63.6625(b); and</p> <p>iii. Reducing these data to 4-hour rolling averages; and</p> <p>iv. Maintaining the 4-hour rolling averages within the operating limitations for the catalyst inlet temperature; and</p> <p>v. Measuring the pressure drop across the catalyst once per month and demonstrating that the pressure drop across the catalyst is within the operating limitation established during the performance test.</p>
<p>11. Existing stationary CI RICE >500 HP that are not limited use stationary RICE</p>	<p>a. Reduce CO emissions, or limit the concentration of CO in the stationary RICE exhaust, and not using oxidation catalyst</p>	<p>i. Conducting performance tests every 8,760 hours or 3 years, whichever comes first, for CO or formaldehyde, as appropriate, to demonstrate that the required CO or formaldehyde, as appropriate, percent reduction is achieved or that</p>

For each . . .	Complying with the requirement to . . .	You must demonstrate continuous compliance by . . .
12. Existing limited use CI stationary RICE >500 HP	<p>a. Reduce CO emissions or limit the concentration of CO in the stationary RICE exhaust, and using an oxidation catalyst</p>	<p>your emissions remain at or below the CO or formaldehyde concentration limit; and</p> <p>ii. Collecting the approved operating parameter (if any) data according to § 63.6625(b); and</p> <p>iii. Reducing these data to 4-hour rolling averages; and</p> <p>iv. Maintaining the 4-hour rolling averages within the operating limitations for the operating parameters established during the performance test.</p> <p>i. Conducting performance tests every 8,760 hours or 5 years, whichever comes first, for CO or formaldehyde, as appropriate, to demonstrate that the required CO or formaldehyde, as appropriate, percent reduction is achieved or that your emissions remain at or below the CO or formaldehyde concentration limit; and</p> <p>ii. Collecting the catalyst inlet temperature data according to § 63.6625(b); and</p> <p>iii. Reducing these data to 4-hour rolling averages; and</p> <p>iv. Maintaining the 4-hour rolling averages within the operating limitations for the catalyst inlet temperature; and</p> <p>v. Measuring the pressure drop across the catalyst once per month and demonstrating that the pressure drop across the catalyst is within the operating limitation established during the performance test.</p>
13. Existing limited use CI stationary RICE >500 HP	<p>a. Reduce CO emissions or limit the concentration of CO in the stationary</p>	<p>i. Conducting performance tests every 8,760 hours or 5 years, whichever comes first, for CO or formaldehyde, as appropriate, to</p>

For each . . .	Complying with the requirement to . . .	You must demonstrate continuous compliance by . . .
<p>14. Existing non-emergency 4SLB stationary RICE >500 HP located at an area source of HAP that are not remote stationary RICE and that are operated more than 24 hours per calendar year</p>	<p>RICE exhaust, and not using an oxidation catalyst</p> <p>a. Install an oxidation catalyst</p>	<p>demonstrate that the required CO or formaldehyde, as appropriate, percent reduction is achieved or that your emissions remain at or below the CO or formaldehyde concentration limit; and</p> <p>ii. Collecting the approved operating parameter (if any) data according to § 63.6625(b); and</p> <p>iii. Reducing these data to 4-hour rolling averages; and</p> <p>iv. Maintaining the 4-hour rolling averages within the operating limitations for the operating parameters established during the performance test.</p> <p>i. Conducting annual compliance demonstrations as specified in § 63.6640(c) to show that the average reduction of emissions of CO is 93 percent or more, or the average CO concentration is less than or equal to 47 ppmvd at 15 percent O₂; and either</p> <p>ii. Collecting the catalyst inlet temperature data according to § 63.6625(b), reducing these data to 4-hour rolling averages; and maintaining the 4-hour rolling averages within the limitation of greater than 450 °F and less than or equal to 1350 °F for the catalyst inlet temperature; or</p> <p>iii. Immediately shutting down the engine if the catalyst inlet temperature exceeds 1350 °F.</p>
<p>15. Existing non-emergency 4SRB stationary RICE >500 HP located at an area source of HAP that are not remote stationary RICE and that are operated more than 24 hours per calendar year</p>	<p>a. Install NSCR</p>	<p>i. Conducting annual compliance demonstrations as specified in § 63.6640(c) to show that the average reduction of emissions of CO is 75 percent or more, the average CO concentration is less than or equal to 270 ppmvd at 15 percent O₂, or the</p>

For each . . .	Complying with the requirement to . . .	You must demonstrate continuous compliance by . . .
		average reduction of emissions of THC is 30 percent or more; and either ii. Collecting the catalyst inlet temperature data according to § 63.6625(b) , reducing these data to 4-hour rolling averages; and maintaining the 4-hour rolling averages within the limitation of greater than or equal to 750 °F and less than or equal to 1250 °F for the catalyst inlet temperature; or iii. Immediately shutting down the engine if the catalyst inlet temperature exceeds 1250 °F.

^a After you have demonstrated compliance for two consecutive tests, you may reduce the frequency of subsequent performance tests to annually. If the results of any subsequent annual performance test indicate the stationary RICE is not in compliance with the CO or formaldehyde emission limitation, or you deviate from any of your operating limitations, you must resume semiannual performance tests.

[78 FR 6715, Jan. 30, 2013]

Table 7 to Subpart ZZZZ of Part 63—Requirements for Reports

As stated in [§ 63.6650](#), you must comply with the following requirements for reports:

For each . . .	You must submit a . . .	The report must contain . . .	You must submit the report . . .
1. Existing non-emergency, non-black start stationary RICE 100≤HP≤500 located at a major source of HAP; existing non-emergency, non-black start stationary CI RICE >500 HP located at a major source of HAP; existing non-emergency 4SRB stationary RICE >500 HP located at a	Compliance report	a. If there are no deviations from any emission limitations or operating limitations that apply to you, a statement that there were no deviations from the emission limitations or operating limitations during the reporting period. If there were no periods during	i. Semiannually according to the requirements in § 63.6650(b)(1)–(5) for engines that are not limited use stationary RICE subject to numerical emission limitations; and ii. Annually

For each . . .	You must submit a . . .	The report must contain . . .	You must submit the report . . .
<p>major source of HAP; existing non-emergency, non-black start stationary CI RICE >300 HP located at an area source of HAP; new or reconstructed non-emergency stationary RICE >500 HP located at a major source of HAP; and new or reconstructed non-emergency 4SLB stationary RICE 250≤HP≤500 located at a major source of HAP</p>		<p>which the CMS, including CEMS and CPMS, was out-of-control, as specified in § 63.8(c)(7), a statement that there were not periods during which the CMS was out-of-control during the reporting period; or</p> <p>b. If you had a deviation from any emission limitation or operating limitation during the reporting period, the information in § 63.6650(d). If there were periods during which the CMS, including CEMS and CPMS, was out-of-control, as specified in § 63.8(c)(7), the information in § 63.6650(e); or</p> <p>c. If you had a malfunction during the reporting period, the information in § 63.6650(c)(4)</p>	<p>according to the requirements in § 63.6650(b)(6)–(9) for engines that are limited use stationary RICE subject to numerical emission limitations.</p> <p>i. Semiannually according to the requirements in § 63.6650(b).</p> <p>i. Semiannually according to the requirements in § 63.6650(b).</p>
<p>2. New or reconstructed non-emergency stationary RICE that combusts landfill gas or digester gas equivalent to 10 percent or more of the gross heat input on an annual basis</p>	<p>Report</p>	<p>a. The fuel flow rate of each fuel and the heating values that were used in your calculations, and you must demonstrate that the percentage of heat input provided by landfill gas or digester gas, is equivalent to 10 percent or more of the gross heat input on an annual basis; and</p> <p>b. The operating limits provided in your federally enforceable permit, and any</p>	<p>i. Annually, according to the requirements in § 63.6650.</p> <p>i. See item 2.a.i.</p>

For each . . .	You must submit a . . .	The report must contain . . .	You must submit the report . . .
		deviations from these limits; and c. Any problems or errors suspected with the meters	i. See item 2.a.i.
3. Existing non-emergency, non-black start 4SLB and 4SRB stationary RICE >500 HP located at an area source of HAP that are not remote stationary RICE and that operate more than 24 hours per calendar year	Compliance report	a. The results of the annual compliance demonstration, if conducted during the reporting period	i. Semiannually according to the requirements in § 63.6650(b)(1)–(5) .
4. Emergency stationary RICE that operate for the purposes specified in § 63.6640(f)(4)(ii)	Report	a. The information in § 63.6650(h)(1)	i. annually according to the requirements in § 63.6650(h)(2)–(3) .

[[87 FR 48608](#), Aug. 10, 2022]

Table 8 to Subpart ZZZZ of Part 63—Applicability of General Provisions to Subpart ZZZZ.

As stated in [§ 63.6665](#), you must comply with the following applicable general provisions.

General provisions citation	Subject of citation	Applies to subpart	Explanation
§ 63.1	General applicability of the General Provisions	Yes.	
§ 63.2	Definitions	Yes	Additional terms defined in § 63.6675 .
§ 63.3	Units and abbreviations	Yes.	
§ 63.4	Prohibited activities and circumvention	Yes.	
§ 63.5	Construction and reconstruction	Yes.	
§ 63.6(a)	Applicability	Yes.	
§ 63.6(b)(1)–(4)	Compliance dates for new and reconstructed sources	Yes.	
§ 63.6(b)(5)	Notification	Yes.	

General provisions citation	Subject of citation	Applies to subpart	Explanation
§ 63.6(b)(6)	[Reserved]		
§ 63.6(b)(7)	Compliance dates for new and reconstructed area sources that become major sources	Yes.	
§ 63.6(c)(1)–(2)	Compliance dates for existing sources	Yes.	
§ 63.6(c)(3)–(4)	[Reserved]		
§ 63.6(c)(5)	Compliance dates for existing area sources that become major sources	Yes.	
§ 63.6(d)	[Reserved]		
§ 63.6(e)	Operation and maintenance	No.	
§ 63.6(f)(1)	Applicability of standards	No.	
§ 63.6(f)(2)	Methods for determining compliance	Yes.	
§ 63.6(f)(3)	Finding of compliance	Yes.	
§ 63.6(g)(1)–(3)	Use of alternate standard	Yes.	
§ 63.6(h)	Opacity and visible emission standards	No	Subpart ZZZZ does not contain opacity or visible emission standards.
§ 63.6(i)	Compliance extension procedures and criteria	Yes.	
§ 63.6(j)	Presidential compliance exemption	Yes.	
§ 63.7(a)(1)–(2)	Performance test dates	Yes	Subpart ZZZZ contains performance test dates at §§ 63.6610 , 63.6611 , and 63.6612 .
§ 63.7(a)(3)	CAA section 114 authority	Yes.	
§ 63.7(b)(1)	Notification of performance test	Yes	Except that § 63.7(b)(1) only applies as specified in § 63.6645 .
§ 63.7(b)(2)	Notification of rescheduling	Yes	Except that § 63.7(b)(2) only applies as specified in § 63.6645 .
§ 63.7(c)	Quality assurance/test plan	Yes	Except that § 63.7(c) only applies as specified in § 63.6645 .
§ 63.7(d)	Testing facilities	Yes.	

General provisions citation	Subject of citation	Applies to subpart	Explanation
§ 63.7(e)(1)	Conditions for conducting performance tests	No.	Subpart ZZZZ specifies conditions for conducting performance tests at § 63.6620 .
§ 63.7(e)(2)	Conduct of performance tests and reduction of data	Yes	Subpart ZZZZ specifies test methods at § 63.6620 .
§ 63.7(e)(3)	Test run duration	Yes.	
§ 63.7(e)(4)	Administrator may require other testing under section 114 of the CAA	Yes.	
§ 63.7(f)	Alternative test method provisions	Yes.	
§ 63.7(g)	Performance test data analysis, recordkeeping, and reporting	Yes.	
§ 63.7(h)	Waiver of tests	Yes.	
§ 63.8(a)(1)	Applicability of monitoring requirements	Yes	Subpart ZZZZ contains specific requirements for monitoring at § 63.6625 .
§ 63.8(a)(2)	Performance specifications	Yes.	
§ 63.8(a)(3)	[Reserved]		
§ 63.8(a)(4)	Monitoring for control devices	No.	
§ 63.8(b)(1)	Monitoring	Yes.	
§ 63.8(b)(2)–(3)	Multiple effluents and multiple monitoring systems	Yes.	
§ 63.8(c)(1)	Monitoring system operation and maintenance	Yes.	
§ 63.8(c)(1)(i)	Routine and predictable SSM	No	
§ 63.8(c)(1)(ii)	SSM not in Startup Shutdown Malfunction Plan	Yes.	
§ 63.8(c)(1)(iii)	Compliance with operation and maintenance requirements	No	
§ 63.8(c)(2)–(3)	Monitoring system installation	Yes.	
§ 63.8(c)(4)	Continuous monitoring system (CMS) requirements	Yes	Except that subpart ZZZZ does not require Continuous Opacity Monitoring System (COMS).
§ 63.8(c)(5)	COMS minimum procedures	No	Subpart ZZZZ does not require COMS.

General provisions citation	Subject of citation	Applies to subpart	Explanation
§ 63.8(c)(6)–(8)	CMS requirements	Yes	Except that subpart ZZZZ does not require COMS.
§ 63.8(d)	CMS quality control	Yes.	
§ 63.8(e)	CMS performance evaluation	Yes Except that § 63.8(e) only applies as specified in § 63.6645 .	Except for § 63.8(e)(5)(ii) , which applies to COMS.
§ 63.8(f)(1)–(5)	Alternative monitoring method	Yes	Except that § 63.8(f)(4) only applies as specified in § 63.6645 .
§ 63.8(f)(6)	Alternative to relative accuracy test	Yes	Except that § 63.8(f)(6) only applies as specified in § 63.6645 .
§ 63.8(g)	Data reduction	Yes	Except that provisions for COMS are not applicable. Averaging periods for demonstrating compliance are specified at §§ 63.6635 and 63.6640 .
§ 63.9(a)	Applicability and State delegation of notification requirements	Yes.	
§ 63.9(b)(1)–(5)	Initial notifications	Yes Except that § 63.9(b) only applies as specified in § 63.6645 .	Except that § 63.9(b)(3) is reserved.
§ 63.9(c)	Request for compliance extension	Yes	Except that § 63.9(c) only applies as specified in § 63.6645 .
§ 63.9(d)	Notification of special compliance requirements for new sources	Yes	Except that § 63.9(d) only applies as specified in § 63.6645 .

General provisions citation	Subject of citation	Applies to subpart	Explanation
§ 63.9(e)	Notification of performance test	Yes	Except that § 63.9(e) only applies as specified in § 63.6645 .
§ 63.9(f)	Notification of visible emission (VE)/opacity test	No	Subpart ZZZZ does not contain opacity or VE standards.
§ 63.9(g)(1)	Notification of performance evaluation	Yes	Except that § 63.9(g) only applies as specified in § 63.6645 .
§ 63.9(g)(2)	Notification of use of COMS data	No	Subpart ZZZZ does not contain opacity or VE standards.
§ 63.9(g)(3)	Notification that criterion for alternative to RATA is exceeded	Yes	If alternative is in use. Except that § 63.9(g) only applies as specified in § 63.6645 .
§ 63.9(h)(1)–(6)	Notification of compliance status	Yes	Except that notifications for sources using a CEMS are due 30 days after completion of performance evaluations. § 63.9(h)(4) is reserved. Except that § 63.9(h) only applies as specified in § 63.6645 .
§ 63.9(i)	Adjustment of submittal deadlines	Yes.	
§ 63.9(j)	Change in previous information	Yes.	
§ 63.9(k)	Electronic reporting procedures	Yes	Only as specified in § 63.9(j) .
§ 63.10(a)	Administrative provisions for recordkeeping/reporting	Yes.	
§ 63.10(b)(1)	Record retention	Yes	Except that the most recent 2 years of data do not have to be retained on site.

General provisions citation	Subject of citation	Applies to subpart	Explanation
§ 63.10(b)(2)(i)–(v)	Records related to SSM	No.	
§ 63.10(b)(2)(vi)–(xi)	Records	Yes.	
§ 63.10(b)(2)(xii)	Record when under waiver	Yes.	
§ 63.10(b)(2)(xiii)	Records when using alternative to RATA	Yes	For CO standard if using RATA alternative.
§ 63.10(b)(2)(xiv)	Records of supporting documentation	Yes.	
§ 63.10(b)(3)	Records of applicability determination	Yes.	
§ 63.10(c)	Additional records for sources using CEMS	Yes	Except that § 63.10(c)(2)–(4) and (9) are reserved.
§ 63.10(d)(1)	General reporting requirements	Yes.	
§ 63.10(d)(2)	Report of performance test results	Yes.	
§ 63.10(d)(3)	Reporting opacity or VE observations	No	Subpart ZZZZ does not contain opacity or VE standards.
§ 63.10(d)(4)	Progress reports	Yes.	
§ 63.10(d)(5)	Startup, shutdown, and malfunction reports	No.	
§ 63.10(e)(1) and (2)(i)	Additional CMS Reports	Yes.	
§ 63.10(e)(2)(ii)	COMS-related report	No	Subpart ZZZZ does not require COMS.
§ 63.10(e)(3)	Excess emission and parameter exceedances reports	Yes.	Except that § 63.10(e)(3)(i)(C) is reserved.
§ 63.10(e)(4)	Reporting COMS data	No	Subpart ZZZZ does not require COMS.
§ 63.10(f)	Waiver for recordkeeping/reporting	Yes.	
§ 63.11	Flares	No.	
§ 63.12	State authority and delegations	Yes.	
§ 63.13	Addresses	Yes.	
§ 63.14	Incorporation by reference	Yes.	
§ 63.15	Availability of information	Yes.	

[[75 FR 9688](#), Mar. 3, 2010, as amended at [78 FR 6720](#), Jan. 30, 2013; [85 FR 73912](#), Nov. 19, 2020]

Appendix A to Subpart ZZZZ of Part 63—Protocol for Using an Electrochemical Analyzer to Determine Oxygen and Carbon Monoxide Concentrations From Certain Engines

1.0 Scope and Application. What is this Protocol?

This protocol is a procedure for using portable electrochemical (EC) cells for measuring carbon monoxide (CO) and oxygen (O₂) concentrations in controlled and uncontrolled emissions from existing stationary 4-stroke lean burn and 4-stroke rich burn reciprocating internal combustion engines as specified in the applicable rule.

1.1 Analytes. What does this protocol determine?

This protocol measures the engine exhaust gas concentrations of carbon monoxide (CO) and oxygen (O₂).

Analyte	CAS No.	Sensitivity
Carbon monoxide (CO)	630–08–0	Minimum detectable limit should be 2 percent of the nominal range or 1 ppm, whichever is less restrictive.
Oxygen (O ₂)	7782–44–7	

1.2 Applicability. When is this protocol acceptable?

This protocol is applicable to [40 CFR part 63, subpart ZZZZ](#). Because of inherent cross sensitivities of EC cells, you must not apply this protocol to other emissions sources without specific instruction to that effect.

1.3 Data Quality Objectives. How good must my collected data be?

Refer to Section 13 to verify and document acceptable analyzer performance.

1.4 Range. What is the targeted analytical range for this protocol?

The measurement system and EC cell design(s) conforming to this protocol will determine the analytical range for each gas component. The nominal ranges are defined by choosing up-scale calibration gas concentrations near the maximum anticipated flue gas concentrations for CO and O₂, or no more than twice the permitted CO level.

1.5 Sensitivity. What minimum detectable limit will this protocol yield for a particular gas component?

The minimum detectable limit depends on the nominal range and resolution of the specific EC cell used, and the signal to noise ratio of the measurement system. The minimum detectable limit should be 2 percent of the nominal range or 1 ppm, whichever is less restrictive.

2.0 Summary of Protocol

In this protocol, a gas sample is extracted from an engine exhaust system and then conveyed to a portable EC analyzer for measurement of CO and O₂ gas concentrations. This method provides measurement system performance specifications and sampling protocols to ensure reliable data. You may use additions to, or modifications of vendor supplied measurement systems (e.g., heated or unheated sample lines, thermocouples, flow meters, selective gas scrubbers, etc.) to meet the design specifications of this protocol. Do not make changes to the measurement system from the as-verified configuration (Section 3.12).

3.0 Definitions

3.1 Measurement System. The total equipment required for the measurement of CO and O₂ concentrations. The measurement system consists of the following major subsystems:

3.1.1 Data Recorder. A strip chart recorder, computer or digital recorder for logging measurement data from the analyzer output. You may record measurement data from the digital data display manually or electronically.

3.1.2 Electrochemical (EC) Cell. A device, similar to a fuel cell, used to sense the presence of a specific analyte and generate an electrical current output proportional to the analyte concentration.

3.1.3 Interference Gas Scrubber. A device used to remove or neutralize chemical compounds that may interfere with the selective operation of an EC cell.

3.1.4 Moisture Removal System. Any device used to reduce the concentration of moisture in the sample stream so as to protect the EC cells from the damaging effects of condensation and to minimize errors in measurements caused by the scrubbing of soluble gases.

3.1.5 Sample Interface. The portion of the system used for one or more of the following: sample acquisition; sample transport; sample conditioning or protection of the EC cell from any degrading effects of the engine exhaust effluent; removal of particulate matter and condensed moisture.

3.2 Nominal Range. The range of analyte concentrations over which each EC cell is operated (normally 25 percent to 150 percent of up-scale calibration gas value). Several nominal

ranges can be used for any given cell so long as the calibration and repeatability checks for that range remain within specifications.

3.3 Calibration Gas. A vendor certified concentration of a specific analyte in an appropriate balance gas.

3.4 Zero Calibration Error. The analyte concentration output exhibited by the EC cell in response to zero-level calibration gas.

3.5 Up-Scale Calibration Error. The mean of the difference between the analyte concentration exhibited by the EC cell and the certified concentration of the up-scale calibration gas.

3.6 Interference Check. A procedure for quantifying analytical interference from components in the engine exhaust gas other than the targeted analytes.

3.7 Repeatability Check. A protocol for demonstrating that an EC cell operated over a given nominal analyte concentration range provides a stable and consistent response and is not significantly affected by repeated exposure to that gas.

3.8 Sample Flow Rate. The flow rate of the gas sample as it passes through the EC cell. In some situations, EC cells can experience drift with changes in flow rate. The flow rate must be monitored and documented during all phases of a sampling run.

3.9 Sampling Run. A timed three-phase event whereby an EC cell's response rises and plateaus in a sample conditioning phase, remains relatively constant during a measurement data phase, then declines during a refresh phase. The sample conditioning phase exposes the EC cell to the gas sample for a length of time sufficient to reach a constant response. The measurement data phase is the time interval during which gas sample measurements can be made that meet the acceptance criteria of this protocol. The refresh phase then purges the EC cells with CO-free air. The refresh phase replenishes requisite O₂ and moisture in the electrolyte reserve and provides a mechanism to de-gas or desorb any interference gas scrubbers or filters so as to enable a stable CO EC cell response. There are four primary types of sampling runs: pre-sampling calibrations; stack gas sampling; post-sampling calibration checks; and measurement system repeatability checks. Stack gas sampling runs can be chained together for extended evaluations, providing all other procedural specifications are met.

3.10 Sampling Day. A time not to exceed twelve hours from the time of the pre-sampling calibration to the post-sampling calibration check. During this time, stack gas sampling runs can be repeated without repeated recalibrations, providing all other sampling specifications have been met.

3.11 Pre-Sampling Calibration/Post-Sampling Calibration Check. The protocols executed at the beginning and end of each sampling day to bracket measurement readings with controlled performance checks.

3.12 Performance-Established Configuration. The EC cell and sampling system configuration that existed at the time that it initially met the performance requirements of this protocol.

4.0 Interferences.

When present in sufficient concentrations, NO and NO₂ are two gas species that have been reported to interfere with CO concentration measurements. In the likelihood of this occurrence, it is the protocol user's responsibility to employ and properly maintain an appropriate CO EC cell filter or scrubber for removal of these gases, as described in Section 6.2.12.

5.0 Safety. [Reserved]

6.0 Equipment and Supplies.

6.1 What equipment do I need for the measurement system?

The system must maintain the gas sample at conditions that will prevent moisture condensation in the sample transport lines, both before and as the sample gas contacts the EC cells. The essential components of the measurement system are described below.

6.2 Measurement System Components.

6.2.1 Sample Probe. A single extraction-point probe constructed of glass, stainless steel or other non-reactive material, and of length sufficient to reach any designated sampling point. The sample probe must be designed to prevent plugging due to condensation or particulate matter.

6.2.2 Sample Line. Non-reactive tubing to transport the effluent from the sample probe to the EC cell.

6.2.3 Calibration Assembly (optional). A three-way valve assembly or equivalent to introduce calibration gases at ambient pressure at the exit end of the sample probe during calibration checks. The assembly must be designed such that only stack gas or calibration gas flows in the sample line and all gases flow through any gas path filters.

6.2.4 Particulate Filter (optional). Filters before the inlet of the EC cell to prevent accumulation of particulate material in the measurement system and extend the useful life of the components. All filters must be fabricated of materials that are non-reactive to the gas mixtures being sampled.

6.2.5 Sample Pump. A leak-free pump to provide undiluted sample gas to the system at a flow rate sufficient to minimize the response time of the measurement system. If located upstream of the EC cells, the pump must be constructed of a material that is non-reactive to the gas mixtures being sampled.

6.2.8 Sample Flow Rate Monitoring. An adjustable rotameter or equivalent device used to adjust and maintain the sample flow rate through the analyzer as prescribed.

6.2.9 Sample Gas Manifold (optional). A manifold to divert a portion of the sample gas stream to the analyzer and the remainder to a by-pass discharge vent. The sample gas manifold may also include provisions for introducing calibration gases directly to the analyzer. The manifold must be constructed of a material that is non-reactive to the gas mixtures being sampled.

6.2.10 EC cell. A device containing one or more EC cells to determine the CO and O₂ concentrations in the sample gas stream. The EC cell(s) must meet the applicable performance specifications of Section 13 of this protocol.

6.2.11 Data Recorder. A strip chart recorder, computer or digital recorder to make a record of analyzer output data. The data recorder resolution (i.e., readability) must be no greater than 1 ppm for CO; 0.1 percent for O₂; and one degree (either °C or °F) for temperature. Alternatively, you may use a digital or analog meter having the same resolution to observe and manually record the analyzer responses.

6.2.12 Interference Gas Filter or Scrubber. A device to remove interfering compounds upstream of the CO EC cell. Specific interference gas filters or scrubbers used in the performance-established configuration of the analyzer must continue to be used. Such a filter or scrubber must have a means to determine when the removal agent is exhausted. Periodically replace or replenish it in accordance with the manufacturer's recommendations.

7.0 Reagents and Standards. What calibration gases are needed?

7.1 Calibration Gases. CO calibration gases for the EC cell must be CO in nitrogen or CO in a mixture of nitrogen and O₂. Use CO calibration gases with labeled concentration values certified by the manufacturer to be within ±5 percent of the label value. Dry ambient air (20.9 percent O₂) is acceptable for calibration of the O₂ cell. If needed, any lower percentage O₂ calibration gas must be a mixture of O₂ in nitrogen.

7.1.1 Up-Scale CO Calibration Gas Concentration. Choose one or more up-scale gas concentrations such that the average of the stack gas measurements for each stack gas sampling run are between 25 and 150 percent of those concentrations. Alternatively, choose an up-scale gas that does not exceed twice the concentration of the applicable outlet standard. If a measured gas value exceeds 150 percent of the up-scale CO calibration gas value at any time during the stack gas sampling run, the run must be discarded and repeated.

7.1.2 Up-Scale O₂ Calibration Gas Concentration.

Select an O₂ gas concentration such that the difference between the gas concentration and the average stack gas measurement or reading for each sample run is less than 15 percent

O₂. When the average exhaust gas O₂ readings are above 6 percent, you may use dry ambient air (20.9 percent O₂) for the up-scale O₂ calibration gas.

7.1.3 Zero Gas. Use an inert gas that contains less than 0.25 percent of the up-scale CO calibration gas concentration. You may use dry air that is free from ambient CO and other combustion gas products (e.g., CO₂).

8.0 Sample Collection and Analysis

8.1 Selection of Sampling Sites.

8.1.1 Control Device Inlet. Select a sampling site sufficiently downstream of the engine so that the combustion gases should be well mixed. Use a single sampling extraction point near the center of the duct (e.g., within the 10 percent centroidal area), unless instructed otherwise.

8.1.2 Exhaust Gas Outlet. Select a sampling site located at least two stack diameters downstream of any disturbance (e.g., turbocharger exhaust, crossover junction or recirculation take-off) and at least one-half stack diameter upstream of the gas discharge to the atmosphere. Use a single sampling extraction point near the center of the duct (e.g., within the 10 percent centroidal area), unless instructed otherwise.

8.2 Stack Gas Collection and Analysis. Prior to the first stack gas sampling run, conduct that the pre-sampling calibration in accordance with Section 10.1. Use Figure 1 to record all data. Zero the analyzer with zero gas. Confirm and record that the scrubber media color is correct and not exhausted. Then position the probe at the sampling point and begin the sampling run at the same flow rate used during the up-scale calibration. Record the start time. Record all EC cell output responses and the flow rate during the “sample conditioning phase” once per minute until constant readings are obtained. Then begin the “measurement data phase” and record readings every 15 seconds for at least two minutes (or eight readings), or as otherwise required to achieve two continuous minutes of data that meet the specification given in Section 13.1. Finally, perform the “refresh phase” by introducing dry air, free from CO and other combustion gases, until several minute-to-minute readings of consistent value have been obtained. For each run use the “measurement data phase” readings to calculate the average stack gas CO and O₂ concentrations.

8.3 EC Cell Rate. Maintain the EC cell sample flow rate so that it does not vary by more than ±10 percent throughout the pre-sampling calibration, stack gas sampling and post-sampling calibration check. Alternatively, the EC cell sample flow rate can be maintained within a tolerance range that does not affect the gas concentration readings by more than ±3 percent, as instructed by the EC cell manufacturer.

9.0 Quality Control (Reserved)

10.0 Calibration and Standardization

10.1 Pre-Sampling Calibration. Conduct the following protocol once for each nominal range to be used on each EC cell before performing a stack gas sampling run on each field sampling day. Repeat the calibration if you replace an EC cell before completing all of the sampling runs. There is no prescribed order for calibration of the EC cells; however, each cell must complete the measurement data phase during calibration. Assemble the measurement system by following the manufacturer's recommended protocols including for preparing and preconditioning the EC cell. Assure the measurement system has no leaks and verify the gas scrubbing agent is not depleted. Use Figure 1 to record all data.

10.1.1 Zero Calibration. For both the O₂ and CO cells, introduce zero gas to the measurement system (e.g., at the calibration assembly) and record the concentration reading every minute until readings are constant for at least two consecutive minutes. Include the time and sample flow rate. Repeat the steps in this section at least once to verify the zero calibration for each component gas.

10.1.2 Zero Calibration Tolerance. For each zero gas introduction, the zero level output must be less than or equal to ± 3 percent of the up-scale gas value or ± 1 ppm, whichever is less restrictive, for the CO channel and less than or equal to ± 0.3 percent O₂ for the O₂ channel.

10.1.3 Up-Scale Calibration. Individually introduce each calibration gas to the measurement system (e.g., at the calibration assembly) and record the start time. Record all EC cell output responses and the flow rate during this "sample conditioning phase" once per minute until readings are constant for at least two minutes. Then begin the "measurement data phase" and record readings every 15 seconds for a total of two minutes, or as otherwise required. Finally, perform the "refresh phase" by introducing dry air, free from CO and other combustion gases, until readings are constant for at least two consecutive minutes. Then repeat the steps in this section at least once to verify the calibration for each component gas. Introduce all gases to flow through the entire sample handling system (i.e., at the exit end of the sampling probe or the calibration assembly).

10.1.4 Up-Scale Calibration Error. The mean of the difference of the "measurement data phase" readings from the reported standard gas value must be less than or equal to ± 5 percent or ± 1 ppm for CO or ± 0.5 percent O₂, whichever is less restrictive, respectively. The maximum allowable deviation from the mean measured value of any single "measurement data phase" reading must be less than or equal to ± 2 percent or ± 1 ppm for CO or ± 0.5 percent O₂, whichever is less restrictive, respectively.

10.2 Post-Sampling Calibration Check. Conduct a stack gas post-sampling calibration check after the stack gas sampling run or set of runs and within 12 hours of the initial calibration. Conduct up-scale and zero calibration checks using the protocol in Section 10.1. Make no changes to the sampling system or EC cell calibration until all post-sampling calibration checks have been recorded. If either the zero or up-scale calibration error exceeds the respective specification in Sections 10.1.2 and 10.1.4 then all measurement data collected since the previous successful calibrations are invalid and re-calibration and re-sampling are

required. If the sampling system is disassembled or the EC cell calibration is adjusted, repeat the calibration check before conducting the next analyzer sampling run.

11.0 Analytical Procedure

The analytical procedure is fully discussed in Section 8.

12.0 Calculations and Data Analysis

Determine the CO and O₂ concentrations for each stack gas sampling run by calculating the mean gas concentrations of the data recorded during the “measurement data phase”.

13.0 Protocol Performance

Use the following protocols to verify consistent analyzer performance during each field sampling day.

13.1 Measurement Data Phase Performance Check. Calculate the mean of the readings from the “measurement data phase”. The maximum allowable deviation from the mean for each of the individual readings is ± 2 percent, or ± 1 ppm, whichever is less restrictive. Record the mean value and maximum deviation for each gas monitored. Data must conform to Section 10.1.4. The EC cell flow rate must conform to the specification in Section 8.3.

Example:

A measurement data phase is invalid if the maximum deviation of any single reading comprising that mean is greater than ± 2 percent *or* ± 1 ppm (the default criteria). For example, if the mean = 30 ppm, single readings of below 29 ppm and above 31 ppm are disallowed).

13.2 Interference Check. Before the initial use of the EC cell and interference gas scrubber in the field, and semi-annually thereafter, challenge the interference gas scrubber with NO and NO₂ gas standards that are generally recognized as representative of diesel-fueled engine NO and NO₂ emission values. Record the responses displayed by the CO EC cell and other pertinent data on Figure 1 or a similar form.

13.2.1 Interference Response. The combined NO and NO₂ interference response should be less than or equal to ± 5 percent of the up-scale CO calibration gas concentration.

13.3 Repeatability Check. Conduct the following check once for each nominal range that is to be used on the CO EC cell within 5 days prior to each field sampling program. If a field sampling program lasts longer than 5 days, repeat this check every 5 days. Immediately repeat the check if the EC cell is replaced or if the EC cell is exposed to gas concentrations greater than 150 percent of the highest up-scale gas concentration.

13.3.1 Repeatability Check Procedure. Perform a complete EC cell sampling run (all three phases) by introducing the CO calibration gas to the measurement system and record the response. Follow Section 10.1.3. Use Figure 1 to record all data. Repeat the run three times for a total of four complete runs. During the four repeatability check runs, do not adjust the system except where necessary to achieve the correct calibration gas flow rate at the analyzer.

13.3.2 Repeatability Check Calculations. Determine the highest and lowest average “measurement data phase” CO concentrations from the four repeatability check runs and record the results on Figure 1 or a similar form. The absolute value of the difference between the maximum and minimum average values recorded must not vary more than ± 3 percent or ± 1 ppm of the up-scale gas value, whichever is less restrictive.

14.0 Pollution Prevention (Reserved)

15.0 Waste Management (Reserved)

16.0 Alternative Procedures (Reserved)

17.0 References

(1) **“Development of an Electrochemical Cell Emission Analyzer Test Protocol”**, Topical Report, Phil Juneau, Emission Monitoring, Inc., July 1997.

(2) **“Determination of Nitrogen Oxides, Carbon Monoxide, and Oxygen Emissions from Natural Gas-Fired Engines, Boilers, and Process Heaters Using Portable Analyzers”**, EMC Conditional Test Protocol 30 (CTM–30), Gas Research Institute Protocol GRI–96/0008, Revision 7, October 13, 1997.

(3) **“ICAC Test Protocol for Periodic Monitoring”**, EMC Conditional Test Protocol 34 (CTM–034), The Institute of Clean Air Companies, September 8, 1999.

(4) **“Code of Federal Regulations”**, Protection of Environment, [40 CFR](#), Part 60, Appendix A, Methods 1–4; 10.

Table 1: Appendix A—Sampling Run Data.

Facility _____	Engine I.D. _____								Date _____		
Run Type:	<input type="checkbox"/>		<input type="checkbox"/>				<input type="checkbox"/>		<input type="checkbox"/>		
(X)	Pre-Sample Calibration		Stack Gas Sample				Post-Sample Cal. Check		Repeatability Check		
Run #	1	1	2	2	3	3	4	4	Time	Scrub. OK	Flow- Rate
Gas	O ₂	CO	O ₂	CO	O ₂	CO	O ₂	CO			

Sample
Cond.
Phase

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Measurement
Data Phase

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Mean

Refresh
Phase

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[[78 FR 6721](#), Jan. 30, 2013]

Appendix D

Subpart JJJJ

*Standards of Performance for Stationary Spark Ignition Internal
Combustion Engines*

Subpart JJJJ—Standards of Performance for Stationary Spark Ignition Internal Combustion Engines

Source: [73 FR 3591](#), Jan. 18, 2008, unless otherwise noted.

What This Subpart Covers

§ 60.4230 Am I subject to this subpart?

(a) The provisions of this subpart are applicable to manufacturers, owners, and operators of stationary spark ignition (SI) internal combustion engines (ICE) as specified in [paragraphs \(a\)\(1\)](#) through [\(6\)](#) of this section. For the purposes of this subpart, the date that construction commences is the date the engine is ordered by the owner or operator.

(1) Manufacturers of stationary SI ICE with a maximum engine power less than or equal to 19 kilowatt (KW) (25 horsepower (HP)) that are manufactured on or after July 1, 2008.

(2) Manufacturers of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) that are gasoline fueled or that are rich burn engines fueled by liquefied petroleum gas (LPG), where the date of manufacture is:

(i) On or after July 1, 2008; or

(ii) On or after January 1, 2009, for emergency engines.

(3) Manufacturers of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) that are not gasoline fueled and are not rich burn engines fueled by LPG, where the manufacturer participates in the voluntary manufacturer certification program described in this subpart and where the date of manufacture is:

(i) On or after July 1, 2007, for engines with a maximum engine power greater than or equal to 500 HP (except lean burn engines with a maximum engine power greater than or equal to 500 HP and less than 1,350 HP);

(ii) On or after January 1, 2008, for lean burn engines with a maximum engine power greater than or equal to 500 HP and less than 1,350 HP;

(iii) On or after July 1, 2008, for engines with a maximum engine power less than 500 HP; or

(iv) On or after January 1, 2009, for emergency engines.

(4) Owners and operators of stationary SI ICE that commence construction after June 12, 2006, where the stationary SI ICE are manufactured:

(i) On or after July 1, 2007, for engines with a maximum engine power greater than or equal to 500 HP (except lean burn engines with a maximum engine power greater than or equal to 500 HP and less than 1,350 HP);

(ii) on or after January 1, 2008, for lean burn engines with a maximum engine power greater than or equal to 500 HP and less than 1,350 HP;

(iii) on or after July 1, 2008, for engines with a maximum engine power less than 500 HP;
or

(iv) on or after January 1, 2009, for emergency engines with a maximum engine power greater than 19 KW (25 HP).

(5) Owners and operators of stationary SI ICE that are modified or reconstructed after June 12, 2006, and any person that modifies or reconstructs any stationary SI ICE after June 12, 2006.

(6) The provisions of [§ 60.4236 of this subpart](#) are applicable to all owners and operators of stationary SI ICE that commence construction after June 12, 2006.

(b) The provisions of this subpart are not applicable to stationary SI ICE being tested at an engine test cell/stand.

(c) If you are an owner or operator of an area source subject to this subpart, you are exempt from the obligation to obtain a permit under [40 CFR part 70](#) or [40 CFR part 71](#), provided you are not required to obtain a permit under [40 CFR 70.3\(a\)](#) or [40 CFR 71.3\(a\)](#) for a reason other than your status as an area source under this subpart. Notwithstanding the previous sentence, you must continue to comply with the provisions of this subpart as applicable.

(d) For the purposes of this subpart, stationary SI ICE using alcohol-based fuels are considered gasoline engines.

(e) Stationary SI ICE may be eligible for exemption from the requirements of this subpart as described in [40 CFR part 1068, subpart C](#) (or the exemptions described in [40 CFR parts 1048](#) and [1054](#), for engines that would need to be certified to standards in those parts), except that owners and operators, as well as manufacturers, may be eligible to request an exemption for national security.

(f) Owners and operators of facilities with internal combustion engines that are acting as temporary replacement units and that are located at a stationary source for less than 1 year and that have been properly certified as meeting the standards that would be applicable to such engine under the appropriate nonroad engine provisions, are not required to meet any other provisions under this subpart with regard to such engines.

[[73 FR 3591](#), Jan. 18, 2008, as amended at [76 FR 37972](#), June 28, 2011; [86 FR 34360](#), June 29, 2021]

Emission Standards for Manufacturers

§ 60.4231 What emission standards must I meet if I am a manufacturer of stationary SI internal combustion engines or equipment containing such engines?

(a) Stationary SI internal combustion engine manufacturers must certify their stationary SI ICE with a maximum engine power less than or equal to 19 KW (25 HP) manufactured on or after July 1, 2008 to the certification emission standards and other requirements for new nonroad SI engines in [40 CFR part 1054](#), as follows:

If engine displacement is . . .	and manufacturing dates are . . .	the engine must meet the following non-handheld emission standards identified in 40 CFR part 1054 and related requirements:
(1) Below 225 cc	July 1, 2008 to December 31, 2011	Phase 2.
(2) Below 225 cc	January 1, 2012 or later	Phase 3.
(3) At or above 225 cc	July 1, 2008 to December 31, 2010	Phase 2.
(4) At or above 225 cc	January 1, 2011 or later	Phase 3.

(b) Stationary SI internal combustion engine manufacturers must certify their stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) (except emergency stationary ICE with a maximum engine power greater than 25 HP and less than 130 HP) that use gasoline and that are manufactured on or after the applicable date in [§ 60.4230\(a\)\(2\)](#), or manufactured on or after the applicable date in [§ 60.4230\(a\)\(4\)](#) for emergency stationary ICE with a maximum engine power greater than or equal to 130 HP, to the certification emission standards and other requirements for new nonroad SI engines in [40 CFR part 1048](#). Stationary SI internal combustion engine manufacturers must certify their emergency stationary SI ICE with a maximum engine power greater than 25 HP and less than 130 HP that use gasoline and that are manufactured on or after the applicable date in [§ 60.4230\(a\)\(4\)](#) to the Phase 1 emission standards in [40 CFR part 1054, appendix I](#), applicable to class II engines, and other requirements for new nonroad SI engines in [40 CFR part 1054](#). Stationary SI internal combustion engine manufacturers may certify their stationary SI ICE with a maximum engine power less than or equal to 30 KW (40 HP) with a total displacement less than or equal to 1,000 cubic centimeters (cc) that use gasoline to the certification emission standards and other requirements as appropriate for new nonroad SI engines in [40 CFR part 1054](#).

(c) Stationary SI internal combustion engine manufacturers must certify their stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) (except emergency stationary ICE with a maximum engine power greater than 25 HP and less than 130 HP) that are rich burn engines that use LPG and that are manufactured on or after the applicable date in [§](#)

[60.4230\(a\)\(2\)](#), or manufactured on or after the applicable date in [§ 60.4230\(a\)\(4\)](#) for emergency stationary ICE with a maximum engine power greater than or equal to 130 HP, to the certification emission standards and other requirements for new nonroad SI engines in [40 CFR part 1048](#). Stationary SI internal combustion engine manufacturers must certify their emergency stationary SI ICE greater than 25 HP and less than 130 HP that are rich burn engines that use LPG and that are manufactured on or after the applicable date in [§ 60.4230\(a\)\(4\)](#) to the Phase 1 emission standards in [40 CFR part 1054, appendix I](#), applicable to class II engines, and other requirements for new nonroad SI engines in [40 CFR part 1054](#). Stationary SI internal combustion engine manufacturers may certify their stationary SI ICE with a maximum engine power less than or equal to 30 KW (40 HP) with a total displacement less than or equal to 1,000 cc that are rich burn engines that use LPG to the certification emission standards and other requirements as appropriate for new nonroad SI engines in [40 CFR part 1054](#).

(d) Stationary SI internal combustion engine manufacturers who choose to certify their stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) and less than 75 KW (100 HP) (except gasoline and rich burn engines that use LPG and emergency stationary ICE with a maximum engine power greater than 25 HP and less than 130 HP) under the voluntary manufacturer certification program described in this subpart must certify those engines to the certification emission standards for new nonroad SI engines in [40 CFR part 1048](#). Stationary SI internal combustion engine manufacturers who choose to certify their emergency stationary SI ICE greater than 25 HP and less than 130 HP (except gasoline and rich burn engines that use LPG), must certify those engines to the Phase 1 emission standards in [40 CFR part 1054, appendix I](#), applicable to class II engines, for new nonroad SI engines in [40 CFR part 1054](#). Stationary SI internal combustion engine manufacturers may certify their stationary SI ICE with a maximum engine power less than or equal to 30 KW (40 HP) with a total displacement less than or equal to 1,000 cc (except gasoline and rich burn engines that use LPG) to the certification emission standards and other requirements as appropriate for new nonroad SI engines in [40 CFR part 1054](#). For stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) and less than 75 KW (100 HP) (except gasoline and rich burn engines that use LPG and emergency stationary ICE with a maximum engine power greater than 25 HP and less than 130 HP) manufactured prior to January 1, 2011, manufacturers may choose to certify these engines to the standards in Table 1 to this subpart applicable to engines with a maximum engine power greater than or equal to 100 HP and less than 500 HP.

(e) Stationary SI internal combustion engine manufacturers who choose to certify their stationary SI ICE with a maximum engine power greater than or equal to 75 KW (100 HP) (except gasoline and rich burn engines that use LPG) under the voluntary manufacturer certification program described in this subpart must certify those engines to the emission standards in Table 1 to this subpart. Stationary SI internal combustion engine manufacturers may certify their stationary SI ICE with a maximum engine power greater than or equal to 75 KW (100 HP) that are lean burn engines that use LPG to the certification emission standards for new nonroad SI engines in [40 CFR part 1048](#). For stationary SI ICE with a maximum engine power greater than or equal to 100 HP (75 KW) and less than 500 HP (373 KW) manufactured prior to January 1, 2011, and for stationary SI ICE with a maximum engine power greater than or equal to 500 HP (373 KW) manufactured prior to July 1, 2010,

manufacturers may choose to certify these engines to the certification emission standards for new nonroad SI engines in [40 CFR part 1048](#) applicable to engines that are not severe duty engines.

(f) Manufacturers of equipment containing stationary SI internal combustion engines meeting the provisions of [40 CFR part 1054](#) must meet the provisions of [40 CFR part 1060](#), to the extent they apply to equipment manufacturers.

(g) Notwithstanding the requirements in [paragraphs \(a\)](#) through [\(c\)](#) of this section, stationary SI internal combustion engine manufacturers are not required to certify reconstructed engines; however manufacturers may elect to do so. The reconstructed engine must be certified to the emission standards specified in [paragraphs \(a\)](#) through [\(e\)](#) of this section that are applicable to the model year, maximum engine power and displacement of the reconstructed stationary SI ICE.

[[73 FR 3591](#), Jan. 18, 2008, as amended at [73 FR 59175](#), Oct. 8, 2008; [76 FR 37973](#), June 28, 2011; [78 FR 6697](#), Jan. 30, 2013; [86 FR 34360](#), June 29, 2021]

§ 60.4232 How long must my engines meet the emission standards if I am a manufacturer of stationary SI internal combustion engines?

Engines manufactured by stationary SI internal combustion engine manufacturers must meet the emission standards as required in [§ 60.4231](#) during the certified emissions life of the engines.

Emission Standards for Owners and Operators

§ 60.4233 What emission standards must I meet if I am an owner or operator of a stationary SI internal combustion engine?

(a) Owners and operators of stationary SI ICE with a maximum engine power less than or equal to 19 KW (25 HP) manufactured on or after July 1, 2008, must comply with the emission standards in [§ 60.4231\(a\)](#) for their stationary SI ICE.

(b) Owners and operators of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) manufactured on or after the applicable date in [§ 60.4230\(a\)\(4\)](#) that use gasoline must comply with the emission standards in [§ 60.4231\(b\)](#) for their stationary SI ICE.

(c) Owners and operators of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) manufactured on or after the applicable date in [§ 60.4230\(a\)\(4\)](#) that are rich burn engines that use LPG must comply with the emission standards in [§ 60.4231\(c\)](#) for their stationary SI ICE.

(d) Owners and operators of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) and less than 75 KW (100 HP) (except gasoline and rich burn engines that use LPG) must comply with the emission standards for field testing in [40 CFR 1048.101\(c\)](#) for their non-emergency stationary SI ICE and with the emission standards in Table 1 to this subpart for their emergency stationary SI ICE. Owners and operators of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) and less than 75 KW (100 HP) manufactured prior to January 1, 2011, that were certified to the standards in Table 1 to this subpart applicable to engines with a maximum engine power greater than or equal to 100 HP and less than 500 HP, may optionally choose to meet those standards.

(e) Owners and operators of stationary SI ICE with a maximum engine power greater than or equal to 75 KW (100 HP) (except gasoline and rich burn engines that use LPG) must comply with the emission standards in Table 1 to this subpart for their stationary SI ICE. For owners and operators of stationary SI ICE with a maximum engine power greater than or equal to 100 HP (except gasoline and rich burn engines that use LPG) manufactured prior to January 1, 2011 that were certified to the certification emission standards in [40 CFR part 1048](#) applicable to engines that are not severe duty engines, if such stationary SI ICE was certified to a carbon monoxide (CO) standard above the standard in Table 1 to this subpart, then the owners and operators may meet the CO certification (not field testing) standard for which the engine was certified.

(f) Owners and operators of any modified or reconstructed stationary SI ICE subject to this subpart must meet the requirements as specified in [paragraphs \(f\)\(1\)](#) through [\(5\)](#) of this section.

(1) Owners and operators of stationary SI ICE with a maximum engine power less than or equal to 19 KW (25 HP), that are modified or reconstructed after June 12, 2006, must comply with emission standards in [§ 60.4231\(a\)](#) for their stationary SI ICE. Engines with a date of manufacture prior to July 1, 2008 must comply with the emission standards specified in [§ 60.4231\(a\)](#) applicable to engines manufactured on July 1, 2008.

(2) Owners and operators of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) that are gasoline engines and are modified or reconstructed after June 12, 2006, must comply with the emission standards in [§ 60.4231\(b\)](#) for their stationary SI ICE. Engines with a date of manufacture prior to July 1, 2008 (or January 1, 2009 for emergency engines) must comply with the emission standards specified in [§ 60.4231\(b\)](#) applicable to engines manufactured on July 1, 2008 (or January 1, 2009 for emergency engines).

(3) Owners and operators of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) that are rich burn engines that use LPG, that are modified or reconstructed after June 12, 2006, must comply with the same emission standards as those specified in [§ 60.4231\(c\)](#). Engines with a date of manufacture prior to July 1, 2008 (or January 1, 2009 for emergency engines) must comply with the emission standards specified in [§ 60.4231\(c\)](#) applicable to engines manufactured on July 1, 2008 (or January 1, 2009 for emergency engines).

(4) Owners and operators of stationary SI natural gas and lean burn LPG engines with a maximum engine power greater than 19 KW (25 HP), that are modified or reconstructed after June 12, 2006, must comply with the same emission standards as those specified in [paragraph \(d\)](#) or [\(e\)](#) of this section, except that such owners and operators of non-emergency engines and emergency engines greater than or equal to 130 HP must meet a nitrogen oxides (NO_x) emission standard of 3.0 grams per HP-hour (g/HP-hr), a CO emission standard of 4.0 g/HP-hr (5.0 g/HP-hr for non-emergency engines less than 100 HP), and a volatile organic compounds (VOC) emission standard of 1.0 g/HP-hr, or a NO_x emission standard of 250 ppmvd at 15 percent oxygen (O₂), a CO emission standard 540 ppmvd at 15 percent O₂ (675 ppmvd at 15 percent O₂ for non-emergency engines less than 100 HP), and a VOC emission standard of 86 ppmvd at 15 percent O₂, where the date of manufacture of the engine is:

(i) Prior to July 1, 2007, for non-emergency engines with a maximum engine power greater than or equal to 500 HP (except lean burn natural gas engines and LPG engines with a maximum engine power greater than or equal to 500 HP and less than 1,350 HP);

(ii) Prior to July 1, 2008, for non-emergency engines with a maximum engine power less than 500 HP;

(iii) Prior to January 1, 2009, for emergency engines;

(iv) Prior to January 1, 2008, for non-emergency lean burn natural gas engines and LPG engines with a maximum engine power greater than or equal to 500 HP and less than 1,350 HP.

(5) Owners and operators of stationary SI landfill/digester gas ICE engines with a maximum engine power greater than 19 KW (25 HP), that are modified or reconstructed after June 12, 2006, must comply with the same emission standards as those specified in [paragraph \(e\)](#) of this section for stationary landfill/digester gas engines. Engines with maximum engine power less than 500 HP and a date of manufacture prior to July 1, 2008 must comply with the emission standards specified in [paragraph \(e\)](#) of this section for stationary landfill/digester gas ICE with a maximum engine power less than 500 HP manufactured on July 1, 2008. Engines with a maximum engine power greater than or equal to 500 HP (except lean burn engines greater than or equal to 500 HP and less than 1,350 HP) and a date of manufacture prior to July 1, 2007 must comply with the emission standards specified in [paragraph \(e\)](#) of this section for stationary landfill/digester gas ICE with a maximum engine power greater than or equal to 500 HP (except lean burn engines greater than or equal to 500 HP and less than 1,350 HP) manufactured on July 1, 2007. Lean burn engines greater than or equal to 500 HP and less than 1,350 HP with a date of manufacture prior to January 1, 2008 must comply with the emission standards specified in [paragraph \(e\)](#) of this section for stationary landfill/digester gas ICE that are lean burn engines greater than or equal to 500 HP and less than 1,350 HP and manufactured on January 1, 2008.

(g) Owners and operators of stationary SI wellhead gas ICE engines may petition the Administrator for approval on a case-by-case basis to meet emission standards no less stringent than the emission standards that apply to stationary emergency SI engines greater than 25 HP

and less than 130 HP due to the presence of high sulfur levels in the fuel, as specified in Table 1 to this subpart. The request must, at a minimum, demonstrate that the fuel has high sulfur levels that prevent the use of aftertreatment controls and also that the owner has reasonably made all attempts possible to obtain an engine that will meet the standards without the use of aftertreatment controls. The petition must request the most stringent standards reasonably applicable to the engine using the fuel.

(h) Owners and operators of stationary SI ICE that are required to meet standards that reference [40 CFR 1048.101](#) must, if testing their engines in use, meet the standards in that section applicable to field testing, except as indicated in [paragraph \(e\)](#) of this section.

[[73 FR 3591](#), Jan. 18, 2008, as amended at [76 FR 37973](#), June 28, 2011]

§ 60.4234 How long must I meet the emission standards if I am an owner or operator of a stationary SI internal combustion engine?

Owners and operators of stationary SI ICE must operate and maintain stationary SI ICE that achieve the emission standards as required in [§ 60.4233](#) over the entire life of the engine.

Other Requirements for Owners and Operators

§ 60.4235 What fuel requirements must I meet if I am an owner or operator of a stationary SI gasoline fired internal combustion engine subject to this subpart?

Owners and operators of stationary SI ICE subject to this subpart that use gasoline must use gasoline that meets the per gallon sulfur limit in [40 CFR 1090.205](#).

[[73 FR 3591](#), Jan. 18, 2008, as amended at [85 FR 78463](#), Dec. 4, 2020]

§ 60.4236 What is the deadline for importing or installing stationary SI ICE produced in previous model years?

(a) After July 1, 2010, owners and operators may not install stationary SI ICE with a maximum engine power of less than 500 HP that do not meet the applicable requirements in [§ 60.4233](#).

(b) After July 1, 2009, owners and operators may not install stationary SI ICE with a maximum engine power of greater than or equal to 500 HP that do not meet the applicable requirements in [§ 60.4233](#), except that lean burn engines with a maximum engine power greater than or equal to 500 HP and less than 1,350 HP that do not meet the applicable requirements in [§ 60.4233](#) may not be installed after January 1, 2010.

(c) For emergency stationary SI ICE with a maximum engine power of greater than 19 KW (25 HP), owners and operators may not install engines that do not meet the applicable requirements in [§ 60.4233](#) after January 1, 2011.

(d) In addition to the requirements specified in [§§ 60.4231](#) and [60.4233](#), it is prohibited to import stationary SI ICE less than or equal to 19 KW (25 HP), stationary rich burn LPG SI ICE, and stationary gasoline SI ICE that do not meet the applicable requirements specified in [paragraphs \(a\), \(b\), and \(c\)](#) of this section, after the date specified in [paragraph \(a\), \(b\), and \(c\)](#) of this section.

(e) The requirements of this section do not apply to owners and operators of stationary SI ICE that have been modified or reconstructed, and they do not apply to engines that were removed from one existing location and reinstalled at a new location.

§ 60.4237 What are the monitoring requirements if I am an owner or operator of an emergency stationary SI internal combustion engine?

(a) Starting on July 1, 2010, if the emergency stationary SI internal combustion engine that is greater than or equal to 500 HP that was built on or after July 1, 2010, does not meet the standards applicable to non-emergency engines, the owner or operator must install a non-resettable hour meter.

(b) Starting on January 1, 2011, if the emergency stationary SI internal combustion engine that is greater than or equal to 130 HP and less than 500 HP that was built on or after January 1, 2011, does not meet the standards applicable to non-emergency engines, the owner or operator must install a non-resettable hour meter.

(c) If you are an owner or operator of an emergency stationary SI internal combustion engine that is less than 130 HP, was built on or after July 1, 2008, and does not meet the standards applicable to non-emergency engines, you must install a non-resettable hour meter upon startup of your emergency engine.

Compliance Requirements for Manufacturers

§ 60.4238 What are my compliance requirements if I am a manufacturer of stationary SI internal combustion engines ≤19 KW (25 HP) or a manufacturer of equipment containing such engines?

Stationary SI internal combustion engine manufacturers who are subject to the emission standards specified in [§ 60.4231\(a\)](#) must certify their stationary SI ICE using the certification and testing procedures required in [40 CFR part 1054, subparts C and F](#). Manufacturers of

equipment containing stationary SI internal combustion engines meeting the provisions of [40 CFR part 1054](#) must meet the provisions of [40 CFR part 1060, subpart C](#), to the extent they apply to equipment manufacturers.

[[86 FR 34361](#), June 29, 2021]

§ 60.4239 What are my compliance requirements if I am a manufacturer of stationary SI internal combustion engines >19 KW (25 HP) that use gasoline or a manufacturer of equipment containing such engines?

Stationary SI internal combustion engine manufacturers who are subject to the emission standards specified in [§ 60.4231\(b\)](#) must certify their stationary SI ICE using the certification procedures required in [40 CFR part 1048, subpart C](#), and **must** test their engines as specified in that part. Stationary SI internal combustion engine manufacturers who certify their stationary SI ICE with a maximum engine power less than or equal to 30 KW (40 HP) with a total displacement less than or equal to 1,000 cc to the certification emission standards and other requirements for new nonroad SI engines in [40 CFR part 1054](#), and manufacturers of stationary SI emergency engines that are greater than 25 HP and less than 130 HP who meet the Phase 1 emission standards in [40 CFR part 1054, appendix I](#), applicable to class II engines, must certify their stationary SI ICE using the certification and testing procedures required in [40 CFR part 1054, subparts C and F](#). Manufacturers of equipment containing stationary SI internal combustion engines meeting the provisions of [40 CFR part 1054](#) must meet the provisions of [40 CFR part 1060, subpart C](#), to the extent they apply to equipment manufacturers.

[[86 FR 34361](#), June 29, 2021]

§ 60.4240 What are my compliance requirements if I am a manufacturer of stationary SI internal combustion engines >19 KW (25 HP) that are rich burn engines that use LPG or a manufacturer of equipment containing such engines?

Stationary SI internal combustion engine manufacturers who are subject to the emission standards specified in [§ 60.4231\(c\)](#) must certify their stationary SI ICE using the certification procedures required in [40 CFR part 1048, subpart C](#), and **must** test their engines as specified in that part. Stationary SI internal combustion engine manufacturers who certify their stationary SI ICE with a maximum engine power less than or equal to 30 KW (40 HP) with a total displacement less than or equal to 1,000 cc to the certification emission standards and other requirements for new nonroad SI engines in [40 CFR part 1054](#), and manufacturers of stationary SI emergency engines that are greater than 25 HP and less than 130 HP who meet the Phase 1 emission standards in [40 CFR part 1054, appendix I](#), applicable to class II engines, must certify their stationary SI ICE using the certification and testing procedures required in [40 CFR part](#)

[1054, subparts C and F](#). Manufacturers of equipment containing stationary SI internal combustion engines meeting the provisions of [40 CFR part 1054](#) must meet the provisions of [40 CFR part 1060, subpart C](#), to the extent they apply to equipment manufacturers.

[[86 FR 34361](#), June 29, 2021]

§ 60.4241 What are my compliance requirements if I am a manufacturer of stationary SI internal combustion engines participating in the voluntary certification program or a manufacturer of equipment containing such engines?

(a) Manufacturers of stationary SI internal combustion engines with a maximum engine power greater than 19 KW (25 HP) that do not use gasoline and are not rich burn engines that use LPG can choose to certify their engines to the emission standards in [§ 60.4231\(d\)](#) or [\(e\)](#), as applicable, under the voluntary certification program described in this subpart. Manufacturers who certify their engines under the voluntary certification program must meet the requirements as specified in [paragraphs \(b\) through \(g\)](#) of this section. In addition, manufacturers of stationary SI internal combustion engines who choose to certify their engines under the voluntary certification program, must also meet the requirements as specified in [§ 60.4247](#). Manufacturers of stationary SI internal combustion engines who choose not to certify their engines under this section must notify the ultimate purchaser that testing requirements apply as described in [§ 60.4243\(b\)\(2\)](#); manufacturers must keep a copy of this notification for five years after shipping each engine and make those documents available to EPA upon request.

(b) Manufacturers of engines other than those certified to standards in [40 CFR part 1054](#) must certify their stationary SI ICE using the certification procedures required in [40 CFR part 1048, subpart C](#), and **must** follow the same test procedures that apply to Large SI nonroad engines under [40 CFR part 1048](#), but must use the D-1 cycle of International Organization for Standardization 8178-4: 1996(E) (incorporated by reference, see [§ 60.17](#)) or the test cycle requirements specified in Table 3 to [40 CFR 1048.505](#), except that Table 3 of [40 CFR 1048.505](#) applies to high load engines only. Manufacturers of any size may certify their stationary emergency engines at or above 130 hp using assigned deterioration factors established by EPA, consistent with [40 CFR 1048.240](#). Stationary SI internal combustion engine manufacturers who certify their stationary SI ICE with a maximum engine power less than or equal to 30 KW (40 HP) with a total displacement less than or equal to 1,000 cc to the certification emission standards and other requirements for new nonroad SI engines in [40 CFR part 1054](#), and manufacturers of emergency engines that are greater than 25 HP and less than 130 HP who meet the Phase 1 standards in [40 CFR part 1054, appendix I](#), applicable to class II engines, must certify their stationary SI ICE using the certification and testing procedures required in [40 CFR part 1054, subparts C and F](#). Manufacturers of equipment containing stationary SI internal combustion engines meeting the provisions of [40 CFR part 1054](#) must meet the provisions of [40 CFR part 1060, subpart C](#), to the extent they apply to equipment manufacturers.

(c) Certification of stationary SI ICE to the emission standards specified in [§ 60.4231\(d\)](#) or [\(e\)](#), as applicable, is voluntary, but manufacturers who decide to certify are subject to all of the requirements indicated in this subpart with regard to the engines included in their certification. Manufacturers must clearly label their stationary SI engines as certified or non-certified engines.

(d) Manufacturers of natural gas fired stationary SI ICE who conduct voluntary certification of stationary SI ICE to the emission standards specified in [§ 60.4231\(d\)](#) or [\(e\)](#), as applicable, must certify their engines for operation using fuel that meets the definition of pipeline-quality natural gas. The fuel used for certifying stationary SI natural gas engines must meet the definition of pipeline-quality natural gas as described in [§ 60.4248](#). In addition, the manufacturer must provide information to the owner and operator of the certified stationary SI engine including the specifications of the pipeline-quality natural gas to which the engine is certified and what adjustments the owner or operator must make to the engine when installed in the field to ensure compliance with the emission standards.

(e) Manufacturers of stationary SI ICE that are lean burn engines fueled by LPG who conduct voluntary certification of stationary SI ICE to the emission standards specified in [§ 60.4231\(d\)](#) or [\(e\)](#), as applicable, must certify their engines for operation using fuel that meets the specifications in [40 CFR 1065.720](#).

(f) Manufacturers may certify their engines for operation using gaseous fuels in addition to pipeline-quality natural gas; however, the manufacturer must specify the properties of that fuel and provide testing information showing that the engine will meet the emission standards specified in [§ 60.4231\(d\)](#) or [\(e\)](#), as applicable, when operating on that fuel. The manufacturer must also provide instructions for configuring the stationary engine to meet the emission standards on fuels that do not meet the pipeline-quality natural gas definition. The manufacturer must also provide information to the owner and operator of the certified stationary SI engine regarding the configuration that is most conducive to reduced emissions where the engine will be operated on gaseous fuels with different quality than the fuel that it was certified to.

(g) A stationary SI engine manufacturer may certify an engine family solely to the standards applicable to landfill/digester gas engines as specified in [§ 60.4231\(d\)](#) or [\(e\)](#), as applicable, but must certify their engines for operation using landfill/digester gas and must add a permanent label stating that the engine is for use only in landfill/digester gas applications. The label must be added according to the labeling requirements specified in [40 CFR 1048.135\(b\)](#).

(h) For purposes of this subpart, when calculating emissions of volatile organic compounds, emissions of formaldehyde should not be included.

(i) For engines being certified to the voluntary certification standards in Table 1 of this subpart, the VOC measurement shall be made by following the procedures in [40 CFR part 1065, subpart C](#), to determine the total NMHC emissions. As an alternative, manufacturers may measure ethane, as well as methane, for excluding such levels from the total VOC measurement.

[[73 FR 3591](#), Jan. 18, 2008, as amended at [73 FR 59176](#), Oct. 8, 2008; [76 FR 37974](#), June 28, 2011; [86 FR 34361](#), June 29, 2021]

§ 60.4242 What other requirements must I meet if I am a manufacturer of stationary SI internal combustion engines or equipment containing stationary SI internal combustion engines or a manufacturer of equipment containing such engines?

(a) Stationary SI internal combustion engine manufacturers must meet the provisions of [40 CFR parts 1048, 1054, and 1068](#), as applicable, except that engines certified pursuant to the voluntary certification procedures in [§ 60.4241](#) are subject only to the provisions indicated in [§ 60.4247](#) and are permitted to provide instructions to owners and operators allowing for deviations from certified configurations, if such deviations are consistent with the provisions of [§ 60.4241\(c\)](#) through [\(f\)](#). Manufacturers of equipment containing stationary SI internal combustion engines meeting the provisions of [40 CFR part 1054](#) must meet the provisions of [40 CFR part 1060](#), as applicable. Labels on engines certified to [40 CFR part 1048](#) must refer to stationary engines, rather than or in addition to nonroad engines, as appropriate.

(b) An engine manufacturer certifying an engine family or families to standards under this subpart that are identical to standards identified in [40 CFR part 1048](#) or [1054](#) for that model year may certify any such family that contains both nonroad and stationary engines as a single engine family and/or may include any such family containing stationary engines in the averaging, banking and trading provisions applicable for such engines under those parts. This [paragraph \(b\)](#) also applies to equipment or component manufacturers certifying to standards under [40 CFR part 1060](#).

(c) Manufacturers of engine families certified to [40 CFR part 1048](#) may meet the labeling requirements referred to in [paragraph \(a\)](#) of this section for stationary SI ICE by either adding a separate label containing the information required in [paragraph \(a\)](#) of this section or by adding the words “and stationary” after the word “nonroad” to the label.

(d) For all engines manufactured on or after January 1, 2011, and for all engines with a maximum engine power greater than 25 HP and less than 130 HP manufactured on or after July 1, 2008, a stationary SI engine manufacturer that certifies an engine family solely to the standards applicable to emergency engines must add a permanent label stating that the engines in that family are for emergency use only. The label must be added according to the labeling requirements specified in [40 CFR 1048.135\(b\)](#).

(e) All stationary SI engines subject to mandatory certification that do not meet the requirements of this subpart must be labeled and exported according to [40 CFR 1068.230](#). Manufacturers of stationary engines with a maximum engine power greater than 25 HP that are not certified to standards and other requirements under [40 CFR part 1048](#) are subject to the labeling provisions of [40 CFR 1048.20](#) pertaining to excluded stationary engines.

(f) For manufacturers of gaseous-fueled stationary engines required to meet the warranty provisions in [40 CFR 1054.120](#), we may establish an hour-based warranty period equal to at least the certified emissions life of the engines (in engine operating hours) if we determine that these engines are likely to operate for a number of hours greater than the applicable useful life within 24 months. We will not approve an alternate warranty under this [paragraph \(f\)](#) for nonroad engines. An alternate warranty period approved under this [paragraph \(f\)](#) will be the specified number of engine operating hours or two years, whichever comes first. The engine manufacturer shall request this alternate warranty period in its application for certification or in an earlier submission. We may approve an alternate warranty period for an engine family subject to the following conditions:

- (1) The engines must be equipped with non-resettable hour meters.
- (2) The engines must be designed to operate for a number of hours substantially greater than the applicable certified emissions life.
- (3) The emission-related warranty for the engines may not be shorter than any published warranty offered by the manufacturer without charge for the engines. Similarly, the emission-related warranty for any component shall not be shorter than any published warranty offered by the manufacturer without charge for that component.

[\[86 FR 34362, June 29, 2021\]](#)

Compliance Requirements for Owners and Operators

§ 60.4243 What are my compliance requirements if I am an owner or operator of a stationary SI internal combustion engine?

(a) If you are an owner or operator of a stationary SI internal combustion engine that is manufactured after July 1, 2008, and must comply with the emission standards specified in [§ 60.4233\(a\)](#) through [\(c\)](#), you must comply by purchasing an engine certified to the emission standards in [§ 60.4231\(a\)](#) through [\(c\)](#), as applicable, for the same engine class and maximum engine power. In addition, you must meet one of the requirements specified in [\(a\)\(1\)](#) and [\(2\)](#) of this section.

- (1) If you operate and maintain the certified stationary SI internal combustion engine and control device according to the manufacturer's emission-related written instructions, you must keep records of conducted maintenance to demonstrate compliance, but no performance testing is required if you are an owner or operator. You must also meet the requirements as specified in [40 CFR part 1068, subparts A through D](#), as they apply to you. If you adjust engine settings according to and consistent with the manufacturer's instructions, your stationary SI internal combustion engine will not be considered out of compliance.

(2) If you do not operate and maintain the certified stationary SI internal combustion engine and control device according to the manufacturer's emission-related written instructions, your engine will be considered a non-certified engine, and you must demonstrate compliance according to (a)(2)(i) through (iii) of this section, as appropriate.

(i) If you are an owner or operator of a stationary SI internal combustion engine less than 100 HP, you must keep a maintenance plan and records of conducted maintenance to demonstrate compliance and must, to the extent practicable, maintain and operate the engine in a manner consistent with good air pollution control practice for minimizing emissions, but no performance testing is required if you are an owner or operator.

(ii) If you are an owner or operator of a stationary SI internal combustion engine greater than or equal to 100 HP and less than or equal to 500 HP, you must keep a maintenance plan and records of conducted maintenance and must, to the extent practicable, maintain and operate the engine in a manner consistent with good air pollution control practice for minimizing emissions. In addition, you must conduct an initial performance test within 1 year of engine startup to demonstrate compliance.

(iii) If you are an owner or operator of a stationary SI internal combustion engine greater than 500 HP, you must keep a maintenance plan and records of conducted maintenance and must, to the extent practicable, maintain and operate the engine in a manner consistent with good air pollution control practice for minimizing emissions. In addition, you must conduct an initial performance test within 1 year of engine startup and conduct subsequent performance testing every 8,760 hours or 3 years, whichever comes first, thereafter to demonstrate compliance.

(b) If you are an owner or operator of a stationary SI internal combustion engine and must comply with the emission standards specified in [§ 60.4233\(d\)](#) or [\(e\)](#), you must demonstrate compliance according to one of the methods specified in [paragraphs \(b\)\(1\)](#) and [\(2\)](#) of this section.

(1) Purchasing an engine certified according to procedures specified in this subpart, for the same model year and demonstrating compliance according to one of the methods specified in [paragraph \(a\)](#) of this section.

(2) Purchasing a non-certified engine and demonstrating compliance with the emission standards specified in [§ 60.4233\(d\)](#) or [\(e\)](#) and according to the requirements specified in [§ 60.4244](#), as applicable, and according to [paragraphs \(b\)\(2\)\(i\)](#) and [\(ii\)](#) of this section.

(i) If you are an owner or operator of a stationary SI internal combustion engine greater than 25 HP and less than or equal to 500 HP, you must keep a maintenance plan and records of conducted maintenance and must, to the extent practicable, maintain and operate the engine in a manner consistent with good air pollution control practice for minimizing emissions. In addition, you must conduct an initial performance test to demonstrate compliance.

(ii) If you are an owner or operator of a stationary SI internal combustion engine greater than 500 HP, you must keep a maintenance plan and records of conducted maintenance and must, to the extent practicable, maintain and operate the engine in a manner consistent with good air pollution control practice for minimizing emissions. In addition, you must conduct an initial performance test and conduct subsequent performance testing every 8,760 hours or 3 years, whichever comes first, thereafter to demonstrate compliance.

(c) If you are an owner or operator of a stationary SI internal combustion engine that must comply with the emission standards specified in [§ 60.4233\(f\)](#), you must demonstrate compliance according to [paragraph \(b\)\(2\)\(i\)](#) or [\(ii\)](#) of this section, except that if you comply according to [paragraph \(b\)\(2\)\(i\)](#) of this section, you demonstrate that your non-certified engine complies with the emission standards specified in [§ 60.4233\(f\)](#).

(d) If you own or operate an emergency stationary ICE, you must operate the emergency stationary ICE according to the requirements in [paragraphs \(d\)\(1\) through \(3\)](#) of this section. In order for the engine to be considered an emergency stationary ICE under this subpart, any operation other than emergency operation, maintenance and testing, and operation in non-emergency situations for 50 hours per year, as described in [paragraphs \(d\)\(1\) through \(3\)](#), is prohibited. If you do not operate the engine according to the requirements in [paragraphs \(d\)\(1\) through \(3\)](#), the engine will not be considered an emergency engine under this subpart and must meet all requirements for non-emergency engines.

(1) There is no time limit on the use of emergency stationary ICE in emergency situations.

(2) You may operate your emergency stationary ICE for the purpose specified in [paragraph \(d\)\(2\)\(i\)](#) of this section for a maximum of 100 hours per calendar year. Any operation for non-emergency situations as allowed by [paragraph \(d\)\(3\)](#) of this section counts as part of the 100 hours per calendar year allowed by this [paragraph \(d\)\(2\)](#).

(i) Emergency stationary ICE may be operated for maintenance checks and readiness testing, provided that the tests are recommended by federal, state or local government, the manufacturer, the vendor, the regional transmission organization or equivalent balancing authority and transmission operator, or the insurance company associated with the engine. The owner or operator may petition the Administrator for approval of additional hours to be used for maintenance checks and readiness testing, but a petition is not required if the owner or operator maintains records indicating that federal, state, or local standards require maintenance and testing of emergency ICE beyond 100 hours per calendar year.

(ii)–(iii) [Reserved]

(3) Emergency stationary ICE may be operated for up to 50 hours per calendar year in non-emergency situations. The 50 hours of operation in non-emergency situations are counted as part of the 100 hours per calendar year for maintenance and testing provided in [paragraph \(d\)\(2\)](#) of this section. Except as provided in [paragraph \(d\)\(3\)\(i\)](#) of this section, the 50 hours per year for non-emergency situations cannot be used for peak shaving or non-emergency

demand response, or to generate income for a facility to an electric grid or otherwise supply power as part of a financial arrangement with another entity.

(i) The 50 hours per year for non-emergency situations can be used to supply power as part of a financial arrangement with another entity if all of the following conditions are met:

(A) The engine is dispatched by the local balancing authority or local transmission and distribution system operator;

(B) The dispatch is intended to mitigate local transmission and/or distribution limitations so as to avert potential voltage collapse or line overloads that could lead to the interruption of power supply in a local area or region.

(C) The dispatch follows reliability, emergency operation or similar protocols that follow specific NERC, regional, state, public utility commission or local standards or guidelines.

(D) The power is provided only to the facility itself or to support the local transmission and distribution system.

(E) The owner or operator identifies and records the entity that dispatches the engine and the specific NERC, regional, state, public utility commission or local standards or guidelines that are being followed for dispatching the engine. The local balancing authority or local transmission and distribution system operator may keep these records on behalf of the engine owner or operator.

(ii) [Reserved]

(e) Owners and operators of stationary SI natural gas fired engines may operate their engines using propane for a maximum of 100 hours per year as an alternative fuel solely during emergency operations, but must keep records of such use. If propane is used for more than 100 hours per year in an engine that is not certified to the emission standards when using propane, the owners and operators are required to conduct a performance test to demonstrate compliance with the emission standards of [§ 60.4233](#).

(f) If you are an owner or operator of a stationary SI internal combustion engine that is less than or equal to 500 HP and you purchase a non-certified engine or you do not operate and maintain your certified stationary SI internal combustion engine and control device according to the manufacturer's written emission-related instructions, you are required to perform initial performance testing as indicated in this section, but you are not required to conduct subsequent performance testing unless the stationary engine undergoes rebuild, major repair or maintenance. Engine rebuilding means to overhaul an engine or to otherwise perform extensive service on the engine (or on a portion of the engine or engine system). For the purpose of this [paragraph \(f\)](#), perform extensive service means to disassemble the engine (or portion of the engine or engine system), inspect and/or replace many of the parts, and reassemble the engine (or portion of the engine or engine system) in such a manner that significantly increases the service life of the resultant engine.

(g) It is expected that air-to-fuel ratio controllers will be used with the operation of three-way catalysts/non-selective catalytic reduction. The AFR controller must be maintained and operated appropriately in order to ensure proper operation of the engine and control device to minimize emissions at all times.

(h) If you are an owner/operator of an stationary SI internal combustion engine with maximum engine power greater than or equal to 500 HP that is manufactured after July 1, 2007 and before July 1, 2008, and must comply with the emission standards specified in sections 60.4233(b) or (c), you must comply by one of the methods specified in [paragraphs \(h\)\(1\)](#) through [\(h\)\(4\)](#) of this section.

(1) Purchasing an engine certified according to [40 CFR part 1048](#). The engine must be installed and configured according to the manufacturer's specifications.

(2) Keeping records of performance test results for each pollutant for a test conducted on a similar engine. The test must have been conducted using the same methods specified in this subpart and these methods must have been followed correctly.

(3) Keeping records of engine manufacturer data indicating compliance with the standards.

(4) Keeping records of control device vendor data indicating compliance with the standards.

(i) If you are an owner or operator of a modified or reconstructed stationary SI internal combustion engine and must comply with the emission standards specified in [§ 60.4233\(f\)](#), you must demonstrate compliance according to one of the methods specified in [paragraphs \(i\)\(1\)](#) or [\(2\)](#) of this section.

(1) Purchasing, or otherwise owning or operating, an engine certified to the emission standards in [§ 60.4233\(f\)](#), as applicable.

(2) Conducting a performance test to demonstrate initial compliance with the emission standards according to the requirements specified in [§ 60.4244](#). The test must be conducted within 60 days after the engine commences operation after the modification or reconstruction.

[[73 FR 3591](#), Jan. 18, 2008, as amended at [76 FR 37974](#), June 28, 2011; [78 FR 6697](#), Jan. 30, 2013; [86 FR 34362](#), June 29, 2021; [87 FR 48606](#), Aug. 10, 2022]

Testing Requirements for Owners and Operators

§ 60.4244 What test methods and other procedures must I use if I am an owner or operator of a stationary SI internal combustion engine?

Owners and operators of stationary SI ICE who conduct performance tests must follow the procedures in [paragraphs \(a\) through \(f\)](#) of this section.

(a) Each performance test must be conducted within 10 percent of 100 percent peak (or the highest achievable) load and according to the requirements in [§ 60.8](#) and under the specific conditions that are specified by Table 2 to this subpart.

(b) You may not conduct performance tests during periods of startup, shutdown, or malfunction, as specified in [§ 60.8\(c\)](#). If your stationary SI internal combustion engine is non-operational, you do not need to startup the engine solely to conduct a performance test; however, you must conduct the performance test immediately upon startup of the engine.

(c) You must conduct three separate test runs for each performance test required in this section, as specified in [§ 60.8\(f\)](#). Each test run must be conducted within 10 percent of 100 percent peak (or the highest achievable) load and last at least 1 hour.

(d) To determine compliance with the NO_x mass per unit output emission limitation, convert the concentration of NO_x in the engine exhaust using Equation 1 of this section:

$$ER = \frac{C_d \times 1.912 \times 10^{-1} \times Q \times T}{HP-hr} \quad (\text{Eq. 1})$$

Where:

ER = Emission rate of NO_x in g/HP-hr.

C_d = Measured NO_x concentration in parts per million by volume (ppmv).

1.912 × 10⁻³ = Conversion constant for ppm NO_x to grams per standard cubic meter at 20 degrees Celsius.

Q = Stack gas volumetric flow rate, in standard cubic meter per hour, dry basis.

T = Time of test run, in hours.

HP-hr = Brake work of the engine, horsepower-hour (HP-hr).

(e) To determine compliance with the CO mass per unit output emission limitation, convert the concentration of CO in the engine exhaust using Equation 2 of this section:

$$ER = \frac{C_d \times 1.164 \times 10^{-1} \times Q \times T}{HP-hr} \quad (\text{Eq. 2})$$

Where:

ER = Emission rate of CO in g/HP-hr.

C_d = Measured CO concentration in ppmv.

1.164×10^{-3} = Conversion constant for ppm CO to grams per standard cubic meter at 20 degrees Celsius.

Q = Stack gas volumetric flow rate, in standard cubic meters per hour, dry basis.

T = Time of test run, in hours.

HP-hr = Brake work of the engine, in HP-hr.

(f) For purposes of this subpart, when calculating emissions of VOC, emissions of formaldehyde should not be included. To determine compliance with the VOC mass per unit output emission limitation, convert the concentration of VOC in the engine exhaust using Equation 3 of this section:

$$ER = \frac{C_d \times 1.833 \times 10^{-1} \times Q \times T}{HP-hr} \quad (Eq. 3)$$

Where:

ER = Emission rate of VOC in g/HP-hr.

C_d = VOC concentration measured as propane in ppmv.

1.833×10^{-3} = Conversion constant for ppm VOC measured as propane, to grams per standard cubic meter at 20 degrees Celsius.

Q = Stack gas volumetric flow rate, in standard cubic meters per hour, dry basis.

T = Time of test run, in hours.

HP-hr = Brake work of the engine, in HP-hr.

(g) If the owner/operator chooses to measure VOC emissions using either Method 18 of [40 CFR part 60, appendix A](#), or Method 320 of [40 CFR part 63, appendix A](#), then it has the option of correcting the measured VOC emissions to account for the potential differences in measured values between these methods and Method 25A. The results from Method 18 and Method 320 can be corrected for response factor differences using Equations 4 and 5 of this section. The corrected VOC concentration can then be placed on a propane basis using Equation 6 of this section.

$$RF_i = \frac{C_{Mi}}{C_{Ai}} \quad (\text{Eq. 4})$$

Where:

RF_i = Response factor of compound i when measured with EPA Method 25A.

C_{Mi} = Measured concentration of compound i in ppmv as carbon.

C_{Ai} = True concentration of compound i in ppmv as carbon.

$$C_{\text{meas}} = RF_i \times C_{\text{corr}} \quad (\text{Eq. 5})$$

Where:

C_{corr} = Concentration of compound i corrected to the value that would have been measured by EPA Method 25A, ppmv as carbon.

C_{meas} = Concentration of compound i measured by EPA Method 320, ppmv as carbon.

$$C_{\text{peq}} = 0.6098 \times C_{\text{corr}} \quad (\text{Eq. 6})$$

Where:

C_{peq} = Concentration of compound i in mg of propane equivalent per DSCM.

Notification, Reports, and Records for Owners and Operators

§ 60.4245 What are my notification, reporting, and recordkeeping requirements if I am an owner or operator of a stationary SI internal combustion engine?

Owners or operators of stationary SI ICE must meet the following notification, reporting and recordkeeping requirements.

(a) Owners and operators of all stationary SI ICE must keep records of the information in [paragraphs \(a\)\(1\) through \(4\)](#) of this section.

(1) All notifications submitted to comply with this subpart and all documentation supporting any notification.

(2) Maintenance conducted on the engine.

(3) If the stationary SI internal combustion engine is a certified engine, documentation from the manufacturer that the engine is certified to meet the emission standards and information as required in [40 CFR parts 1048, 1054, and 1060](#), as applicable.

(4) If the stationary SI internal combustion engine is not a certified engine or is a certified engine operating in a non-certified manner and subject to [§ 60.4243\(a\)\(2\)](#), documentation that the engine meets the emission standards.

(b) For all stationary SI emergency ICE greater than or equal to 500 HP manufactured on or after July 1, 2010, that do not meet the standards applicable to non-emergency engines, the owner or operator of must keep records of the hours of operation of the engine that is recorded through the non-resettable hour meter. For all stationary SI emergency ICE greater than or equal to 130 HP and less than 500 HP manufactured on or after July 1, 2011 that do not meet the standards applicable to non-emergency engines, the owner or operator of must keep records of the hours of operation of the engine that is recorded through the non-resettable hour meter. For all stationary SI emergency ICE greater than 25 HP and less than 130 HP manufactured on or after July 1, 2008, that do not meet the standards applicable to non-emergency engines, the owner or operator of must keep records of the hours of operation of the engine that is recorded through the non-resettable hour meter. The owner or operator must document how many hours are spent for emergency operation, including what classified the operation as emergency and how many hours are spent for non-emergency operation.

(c) Owners and operators of stationary SI ICE greater than or equal to 500 HP that have not been certified by an engine manufacturer to meet the emission standards in [§ 60.4231](#) must submit an initial notification as required in [§ 60.7\(a\)\(1\)](#). The notification must include the information in [paragraphs \(c\)\(1\) through \(5\)](#) of this section.

(1) Name and address of the owner or operator;

(2) The address of the affected source;

(3) Engine information including make, model, engine family, serial number, model year, maximum engine power, and engine displacement;

(4) Emission control equipment; and

(5) Fuel used.

(d) Owners and operators of stationary SI ICE that are subject to performance testing must submit a copy of each performance test as conducted in [§ 60.4244](#) within 60 days after the test has been completed. Performance test reports using EPA Method 18, EPA Method 320, or ASTM D6348–03 (incorporated by reference—see [40 CFR 60.17](#)) to measure VOC require reporting of all QA/QC data. For Method 18, report results from sections 8.4 and 11.1.1.4; for

Method 320, report results from sections 8.6.2, 9.0, and 13.0; and for ASTM D6348–03 report results of all QA/QC procedures in Annexes 1–7.

(e) If you own or operate an emergency stationary SI ICE with a maximum engine power more than 100 HP that operates for the purpose specified in [§ 60.4243\(d\)\(3\)\(i\)](#), you must submit an annual report according to the requirements in [paragraphs \(e\)\(1\)](#) through [\(3\)](#) of this section.

(1) The report must contain the following information:

(i) Company name and address where the engine is located.

(ii) Date of the report and beginning and ending dates of the reporting period.

(iii) Engine site rating and model year.

(iv) Latitude and longitude of the engine in decimal degrees reported to the fifth decimal place.

(v)–(vi) [Reserved]

(vii) Hours spent for operation for the purposes specified in [§ 60.4243\(d\)\(3\)\(i\)](#), including the date, start time, and end time for engine operation for the purposes specified in [§ 60.4243\(d\)\(3\)\(i\)](#). The report must also identify the entity that dispatched the engine and the situation that necessitated the dispatch of the engine.

(2) The first annual report must cover the calendar year 2015 and must be submitted no later than March 31, 2016. Subsequent annual reports for each calendar year must be submitted no later than March 31 of the following calendar year.

(3) The annual report must be submitted electronically using the subpart specific reporting form in the Compliance and Emissions Data Reporting Interface (CEDRI) that is accessed through EPA's Central Data Exchange (CDX) (www.epa.gov/cdx). However, if the reporting form specific to this subpart is not available in CEDRI at the time that the report is due, the written report must be submitted to the Administrator at the appropriate address listed in [§ 60.4](#).

[[73 FR 3591](#), Jan. 18, 2008, as amended at [73 FR 59177](#), Oct. 8, 2008; [78 FR 6697](#), Jan. 30, 2013; [81 FR 59809](#), Aug. 30, 2016; [86 FR 34362](#), June 29, 2021; [87 FR 48606](#), Aug. 10, 2022]

General Provisions

§ 60.4246 What General Provisions and confidential information provisions apply to me?

(a) Table 3 to this subpart shows which parts of the General Provisions in [§§ 60.1](#) through [60.19](#) apply to you.

(b) The provisions of [40 CFR 1068.10](#) and [1068.11](#) apply for engine manufacturers. For others, the general confidential business information (CBI) provisions apply as described in [40 CFR part 2](#).

[[88 FR 4471](#), Jan. 24, 2023]

Mobile Source Provisions

§ 60.4247 What parts of the mobile source provisions apply to me if I am a manufacturer of stationary SI internal combustion engines or a manufacturer of equipment containing such engines?

(a) Manufacturers certifying to emission standards in [40 CFR part 1054](#) must meet the provisions of [40 CFR part 1054](#). Note that [40 CFR part 1054, appendix I](#), describes various provisions that do not apply for engines meeting Phase 1 standards in [40 CFR part 1054](#). Manufacturers of equipment containing stationary SI internal combustion engines meeting the provisions of [40 CFR part 1054](#) must meet the provisions of [40 CFR part 1060](#) to the extent they apply to equipment manufacturers.

(b) Manufacturers required to certify to emission standards in [40 CFR part 1048](#) must meet the provisions of [40 CFR part 1048](#). Manufacturers certifying to emission standards in [40 CFR part 1048](#) pursuant to the voluntary certification program must meet the requirements in Table 4 to this subpart as well as the standards in [40 CFR 1048.101](#).

(c) For manufacturers of stationary SI internal combustion engines participating in the voluntary certification program and certifying engines to Table 1 to this subpart, Table 4 to this subpart shows which parts of the mobile source provisions in [40 CFR parts 1048, 1065, and 1068](#) apply to you. Compliance with the deterioration factor provisions under [40 CFR 1048.205\(n\)](#) and [1048.240](#) will be required for engines built new on and after January 1, 2010. Prior to January 1, 2010, manufacturers of stationary internal combustion engines participating in the voluntary certification program have the option to develop their own deterioration factors based on an engineering analysis.

[[73 FR 3591](#), Jan. 18, 2008, as amended at [73 FR 59177](#), Oct. 8, 2008; [86 FR 34362](#), June 29, 2021]

Definitions

§ 60.4248 What definitions apply to this subpart?

As used in this subpart, all terms not defined herein shall have the meaning given them in the CAA and in [subpart A of this part](#).

Certified emissions life means the period during which the engine is designed to properly function in terms of reliability and fuel consumption, without being remanufactured, specified as a number of hours of operation or calendar years, whichever comes first. The values for certified emissions life for stationary SI ICE with a maximum engine power less than or equal to 19 KW (25 HP) are given in [40 CFR 1054.107](#) and [1060.101](#), as appropriate. The values for certified emissions life for stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) certified to [40 CFR part 1048](#) are given in [40 CFR 1048.101\(g\)](#). The certified emissions life for stationary SI ICE with a maximum engine power greater than 75 KW (100 HP) certified under the voluntary manufacturer certification program of this subpart is 5,000 hours or 7 years, whichever comes first. You may request in your application for certification that we approve a shorter certified emissions life for an engine family. We may approve a shorter certified emissions life, in hours of engine operation but not in years, if we determine that these engines will rarely operate longer than the shorter certified emissions life. If engines identical to those in the engine family have already been produced and are in use, your demonstration must include documentation from such in-use engines. In other cases, your demonstration must include an engineering analysis of information equivalent to such in-use data, such as data from research engines or similar engine models that are already in production. Your demonstration must also include any overhaul interval that you recommend, any mechanical warranty that you offer for the engine or its components, and any relevant customer design specifications. Your demonstration may include any other relevant information. The certified emissions life value may not be shorter than any of the following:

- (1) 1,000 hours of operation.
- (2) Your recommended overhaul interval.
- (3) Your mechanical warranty for the engine.

Certified stationary internal combustion engine means an engine that belongs to an engine family that has a certificate of conformity that complies with the emission standards and requirements in this part, or of [40 CFR part 1048](#) or [1054](#), as appropriate.

Combustion turbine means all equipment, including but not limited to the turbine, the fuel, air, lubrication and exhaust gas systems, control systems (except emissions control equipment), and any ancillary components and sub-components comprising any simple cycle combustion turbine, any regenerative/recuperative cycle combustion turbine, the combustion turbine portion of any cogeneration cycle combustion system, or the combustion turbine portion of any combined cycle steam/electric generating system.

Compression ignition means relating to a type of stationary internal combustion engine that is not a spark ignition engine.

Date of manufacture means one of the following things:

(1) For freshly manufactured engines and modified engines, date of manufacture means the date the engine is originally produced.

(2) For reconstructed engines, date of manufacture means the date the engine was originally produced, except as specified in paragraph (3) of this definition.

(3) Reconstructed engines are assigned a new date of manufacture if the fixed capital cost of the new and refurbished components exceeds 75 percent of the fixed capital cost of a comparable entirely new facility. An engine that is produced from a previously used engine block does not retain the date of manufacture of the engine in which the engine block was previously used if the engine is produced using all new components except for the engine block. In these cases, the date of manufacture is the date of reconstruction or the date the new engine is produced.

Diesel fuel means any liquid obtained from the distillation of petroleum with a boiling point of approximately 150 to 360 degrees Celsius. One commonly used form is number 2 distillate oil.

Digester gas means any gaseous by-product of wastewater treatment typically formed through the anaerobic decomposition of organic waste materials and composed principally of methane and carbon dioxide (CO₂).

Emergency stationary internal combustion engine means any stationary reciprocating internal combustion engine that meets all of the criteria in paragraphs (1) through (3) of this definition. All emergency stationary ICE must comply with the requirements specified in [§ 60.4243\(d\)](#) in order to be considered emergency stationary ICE. If the engine does not comply with the requirements specified in [§ 60.4243\(d\)](#), then it is not considered to be an emergency stationary ICE under this subpart.

(1) The stationary ICE is operated to provide electrical power or mechanical work during an emergency situation. Examples include stationary ICE used to produce power for critical networks or equipment (including power supplied to portions of a facility) when electric power from the local utility (or the normal power source, if the facility runs on its own power production) is interrupted, or stationary ICE used to pump water in the case of fire or flood, etc.

(2) The stationary ICE is operated under limited circumstances for situations not included in paragraph (1) of this definition, as specified in [§ 60.4243\(d\)](#).

(3) The stationary ICE operates as part of a financial arrangement with another entity in situations not included in paragraph (1) of this definition only as allowed in [§ 60.4243\(d\)\(3\)\(i\)](#).

Engine manufacturer means the manufacturer of the engine. See the definition of “manufacturer” in this section.

Four-stroke engine means any type of engine which completes the power cycle in two crankshaft revolutions, with intake and compression strokes in the first revolution and power and exhaust strokes in the second revolution.

Freshly manufactured engine means an engine that has not been placed into service. An engine becomes freshly manufactured when it is originally produced.

Gasoline means any fuel sold in any State for use in motor vehicles and motor vehicle engines, or nonroad or stationary engines, and commonly or commercially known or sold as gasoline.

Installed means the engine is placed and secured at the location where it is intended to be operated.

Landfill gas means a gaseous by-product of the land application of municipal refuse typically formed through the anaerobic decomposition of waste materials and composed principally of methane and CO₂.

Lean burn engine means any two-stroke or four-stroke spark ignited engine that does not meet the definition of a rich burn engine.

Liquefied petroleum gas means any liquefied hydrocarbon gas obtained as a by-product in petroleum refining or natural gas production.

Manufacturer has the meaning given in section 216(1) of the Clean Air Act. In general, this term includes any person who manufactures a stationary engine for sale in the United States or otherwise introduces a new stationary engine into commerce in the United States. This includes importers who import stationary engines for resale.

Maximum engine power means maximum engine power as defined in [40 CFR 1048.801](#).

Model year means the calendar year in which an engine is manufactured (see “date of manufacture”), except as follows:

(1) Model year means the annual new model production period of the engine manufacturer in which an engine is manufactured (see “date of manufacture”), if the annual new model production period is different than the calendar year and includes January 1 of the calendar year for which the model year is named. It may not begin before January 2 of the previous calendar year and it must end by December 31 of the named calendar year.

(2) For an engine that is converted to a stationary engine after being placed into service as a nonroad or other non-stationary engine, model year means the calendar year or new model production period in which the engine was manufactured (see “date of manufacture”).

Natural gas means a naturally occurring mixture of hydrocarbon and non-hydrocarbon gases found in geologic formations beneath the Earth's surface, of which the principal constituent is methane. Natural gas may be field or pipeline quality.

Other internal combustion engine means any internal combustion engine, except combustion turbines, which is not a reciprocating internal combustion engine or rotary internal combustion engine.

Pipeline-quality natural gas means a naturally occurring fluid mixture of hydrocarbons (e.g., methane, ethane, or propane) produced in geological formations beneath the Earth's surface that maintains a gaseous state at standard atmospheric temperature and pressure under ordinary conditions, and which is provided by a supplier through a pipeline. Pipeline-quality natural gas must either be composed of at least 70 percent methane by volume or have a gross calorific value between 950 and 1,100 British thermal units per standard cubic foot.

Rich burn engine means any four-stroke spark ignited engine where the manufacturer's recommended operating air/fuel ratio divided by the stoichiometric air/fuel ratio at full load conditions is less than or equal to 1.1. Engines originally manufactured as rich burn engines, but modified prior to June 12, 2006, with passive emission control technology for NO_x (such as pre-combustion chambers) will be considered lean burn engines. Also, existing engines where there are no manufacturer's recommendations regarding air/fuel ratio will be considered a rich burn engine if the excess oxygen content of the exhaust at full load conditions is less than or equal to 2 percent.

Rotary internal combustion engine means any internal combustion engine which uses rotary motion to convert heat energy into mechanical work.

Spark ignition means relating to either: a gasoline-fueled engine; or any other type of engine with a spark plug (or other sparking device) and with operating characteristics significantly similar to the theoretical Otto combustion cycle. Spark ignition engines usually use a throttle to regulate intake air flow to control power during normal operation. Dual-fuel engines in which a liquid fuel (typically diesel fuel) is used for compression ignition and gaseous fuel (typically natural gas) is used as the primary fuel at an annual average ratio of less than 2 parts diesel fuel to 100 parts total fuel on an energy equivalent basis are spark ignition engines.

Stationary internal combustion engine means any internal combustion engine, except combustion turbines, that converts heat energy into mechanical work and is not mobile. Stationary ICE differ from mobile ICE in that a stationary internal combustion engine is not a nonroad engine as defined at [40 CFR 1068.30](#) (excluding paragraph (2)(ii) of that definition), and is not used to propel a motor vehicle, aircraft, or a vehicle used solely for competition. Stationary ICE include reciprocating ICE, rotary ICE, and other ICE, except combustion turbines.

Stationary internal combustion engine test cell/stand means an engine test cell/stand, as defined in [40 CFR part 63, subpart PPPPP](#), that tests stationary ICE.

Stoichiometric means the theoretical air-to-fuel ratio required for complete combustion.

Subpart means [40 CFR part 60, subpart JJJJ](#).

Two-stroke engine means a type of engine which completes the power cycle in single crankshaft revolution by combining the intake and compression operations into one stroke and the power and exhaust operations into a second stroke. This system requires auxiliary scavenging and inherently runs lean of stoichiometric.

Volatile organic compounds means volatile organic compounds as defined in [40 CFR 51.100\(s\)](#).

Voluntary certification program means an optional engine certification program that manufacturers of stationary SI internal combustion engines with a maximum engine power greater than 19 KW (25 HP) that do not use gasoline and are not rich burn engines that use LPG can choose to participate in to certify their engines to the emission standards in [§ 60.4231\(d\)](#) or [\(e\)](#), as applicable.

[[73 FR 3591](#), Jan. 18, 2008, as amended at [73 FR 59177](#), Oct. 8, 2008; [76 FR 37974](#), June 28, 2011; [78 FR 6698](#), Jan. 30, 2013; [86 FR 34363](#), June 29, 2021; [87 FR 48606](#), Aug. 10, 2022]

Table 1 to Subpart JJJJ of Part 60—NO_x, CO, and VOC Emission Standards for Stationary Non-Emergency SI Engines ≥100 HP (Except Gasoline and Rich Burn LPG), Stationary SI Landfill/Digester Gas Engines, and Stationary Emergency Engines >25 HP

Engine type and fuel	Maximum engine power	Manufacture date	Emission standards ^a					
			g/HP-hr			ppmvd at 15% O ₂		
			NO _x	CO	VOC ^d	NO _x	CO	VOC ^d
Non-Emergency SI Natural Gas ^b and Non-Emergency SI Lean Burn LPG ^b	100≤HP<500	7/1/2008	2.0	4.0	1.0	160	540	86
		1/1/2011	1.0	2.0	0.7	82	270	60
Non-Emergency SI Lean Burn Natural Gas and LPG	500≤HP<1,350	1/1/2008	2.0	4.0	1.0	160	540	86
		7/1/2010	1.0	2.0	0.7	82	270	60
Non-Emergency SI Natural Gas and Non-Emergency SI Lean Burn LPG (except lean burn 500≤HP<1,350)	HP≥500	7/1/2007	2.0	4.0	1.0	160	540	86
		7/1/2010	1.0	2.0	0.7	82	270	60
Landfill/Digester Gas (except lean burn 500≤HP<1,350)	HP<500	7/1/2008	3.0	5.0	1.0	220	610	80

Engine type and fuel	Maximum engine power	Manufacture date	Emission standards ^a					
			g/HP-hr			ppmvd at 15% O ₂		
			NO _x	CO	VOC ^d	NO _x	CO	VOC ^d
	HP≥500	1/1/2011	2.0	5.0	1.0	150	610	80
		7/1/2007	3.0	5.0	1.0	220	610	80
		7/1/2010	2.0	5.0	1.0	150	610	80
Landfill/Digester Gas Lean Burn	500≤HP<1,350	1/1/2008	3.0	5.0	1.0	220	610	80
		7/1/2010	2.0	5.0	1.0	150	610	80
Emergency	25<HP<130	1/1/2009	^c 10	387	N/A	N/A	N/A	N/A
	HP≥130		2.0	4.0	1.0	160	540	86

^a Owners and operators of stationary non-certified SI engines may choose to comply with the emission standards in units of either g/HP-hr or ppmvd at 15 percent O₂.

^b Owners and operators of new or reconstructed non-emergency lean burn SI stationary engines with a site rating of greater than or equal to 250 brake HP located at a major source that are meeting the requirements of [40 CFR part 63, subpart ZZZZ](#), Table 2a do not have to comply with the CO emission standards of Table 1 of this subpart.

^c The emission standards applicable to emergency engines between 25 HP and 130 HP are in terms of NO_x + HC.

^d For purposes of this subpart, when calculating emissions of volatile organic compounds, emissions of formaldehyde should not be included.

[[76 FR 37975](#), June 28, 2011]

Table 2 to Subpart JJJJ of Part 60—Requirements for Performance Tests

As stated in [§ 60.4244](#), you must comply with the following requirements for performance tests within 10 percent of 100 percent peak (or the highest achievable) load].

For each	Complying with the requirement to	You must	Using	According to the following requirements
1. Stationary SI internal combustion engine	a. Limit the concentration of NO _x in the stationary SI	i. Select the sampling port location and the number/location of	(1) Method 1 or 1A of 40 CFR part 60, appendix A-1 , if	(a) Alternatively, for NO _x , O ₂ , and moisture measurement, ducts

For each	Complying with the requirement to	You must	Using	According to the following requirements
demonstrating compliance according to § 60.4244	internal combustion engine exhaust	traverse points at the exhaust of the stationary internal combustion engine;	measuring flow rate	<p>≤6 inches in diameter may be sampled at a single point located at the duct centroid and ducts >6 and ≤12 inches in diameter may be sampled at 3 traverse points located at 16.7, 50.0, and 83.3% of the measurement line ('3-point long line'). If the duct is >12 inches in diameter and the sampling port location meets the two and half-diameter criterion of Section 11.1.1 of Method 1 of 40 CFR part 60, Appendix A, the duct may be sampled at '3-point long line'; otherwise, conduct the stratification testing and select sampling points according to Section 8.1.2 of Method 7E of 40 CFR part 60, Appendix A.</p>
		<p>ii. Determine the O₂ concentration of the stationary internal combustion engine exhaust at the sampling port location;</p>	<p>(2) Method 3, 3A, or 3B^b of 40 CFR part 60, appendix A-2 or ASTM Method D6522-00 (Reapproved 2005)^{a d}</p>	<p>(b) Measurements to determine O₂ concentration must be made at the same time as the measurements for NO_x concentration.</p>
		<p>iii. If necessary, determine the exhaust flowrate of the stationary</p>	<p>(3) Method 2 or 2C of 40 CFR part 60, appendix A-1 or Method 19 of 40</p>	<p>(c) Measurements to determine the exhaust flowrate must be made (1) at the same</p>

For each	Complying with the requirement to	You must	Using	According to the following requirements
		internal combustion engine exhaust;	CFR part 60, appendix A-7	time as the measurement for NO _x concentration or, alternatively (2) according to the option in Section 11.1.2 of Method 1A of 40 CFR part 60, Appendix A-1 , if applicable.
		iv. If necessary, measure moisture content of the stationary internal combustion engine exhaust at the sampling port location; and	(4) Method 4 of 40 CFR part 60, appendix A-3 , Method 320 of 40 CFR part 63, appendix A , ^e or ASTM Method D6348-03 ^{d e}	(d) Measurements to determine moisture must be made at the same time as the measurement for NO _x concentration.
		v. Measure NO _x at the exhaust of the stationary internal combustion engine; if using a control device, the sampling site must be located at the outlet of the control device	(5) Method 7E of 40 CFR part 60, appendix A-4 , ASTM Method D6522-00 (Reapproved 2005), ^{a d} Method 320 of 40 CFR part 63, appendix A , ^e or ASTM Method D6348-03 ^{d e}	(e) Results of this test consist of the average of the three 1-hour or longer runs.
	b. Limit the concentration of CO in the stationary SI internal combustion engine exhaust	i. Select the sampling port location and the number/location of traverse points at the exhaust of the stationary internal combustion engine;	(1) Method 1 or 1A of 40 CFR part 60, appendix A-1 , if measuring flow rate	(a) Alternatively, for CO, O ₂ , and moisture measurement, ducts ≤6 inches in diameter may be sampled at a single point located at the duct centroid and ducts >6 and ≤12 inches in diameter may be sampled at 3 traverse points located at 16.7, 50.0,

For each	Complying with the requirement to	You must	Using	According to the following requirements
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and 83.3% of the measurement line ('3-point long line'). If the duct is >12 inches in diameter *and* the sampling port location meets the two and half-diameter criterion of Section 11.1.1 of Method 1 of [40 CFR part 60, Appendix A](#), the duct may be sampled at '3-point long line'; otherwise, conduct the stratification testing and select sampling points according to Section 8.1.2 of Method 7E of [40 CFR part 60, Appendix A](#).

ii. Determine the O₂ concentration of the stationary internal combustion engine exhaust at the sampling port location;

(2) Method 3, 3A, or 3B^b of [40 CFR part 60, appendix A-2](#) or ASTM Method D6522-00 (Reapproved 2005)
a d

(b) Measurements to determine O₂ concentration must be made at the same time as the measurements for CO concentration.

iii. If necessary, determine the exhaust flowrate of the stationary internal combustion engine exhaust;

(3) Method 2 or 2C of [40 CFR 60, appendix A-1](#) or Method 19 of [40 CFR part 60, appendix A-7](#)

(c) Measurements to determine the exhaust flowrate must be made (1) at the same time as the measurement for CO concentration or, alternatively (2) according to the option in Section 11.1.2 of Method 1A of [40 CFR part 60](#),

For each	Complying with the requirement to	You must	Using	According to the following requirements
		<p>iv. If necessary, measure moisture content of the stationary internal combustion engine exhaust at the sampling port location; and</p> <p>v. Measure CO at the exhaust of the stationary internal combustion engine; if using a control device, the sampling site must be located at the outlet of the control device</p>	<p>(4) Method 4 of 40 CFR part 60, appendix A-3, Method 320 of 40 CFR part 63, appendix A,^c or ASTM Method D6348-03^{d e}</p> <p>(5) Method 10 of 40 CFR part 60, appendix A4, ASTM Method D6522-00 (Reapproved 2005),^{a d e} Method 320 of 40 CFR part 63, appendix A,^c or ASTM Method D6348-03^{d e}</p>	<p>Appendix A-1, if applicable.</p> <p>(d) Measurements to determine moisture must be made at the same time as the measurement for CO concentration.</p> <p>(e) Results of this test consist of the average of the three 1-hour or longer runs.</p> <p>(a) Alternatively, for VOC, O₂, and moisture measurement, ducts ≤6 inches in diameter may be sampled at a single point located at the duct centroid and ducts >6 and ≤12 inches in diameter may be sampled at 3 traverse points located at 16.7, 50.0, and 83.3% of the measurement line ('3-point long line'). If the duct is >12 inches in diameter and the sampling port location meets the</p>
	c. Limit the concentration of VOC in the stationary SI internal combustion engine exhaust	i. Select the sampling port location and the number/location of traverse points at the exhaust of the stationary internal combustion engine;	(1) Method 1 or 1A of 40 CFR part 60, appendix A-1 , if measuring flow rate	

For each	Complying with the requirement to	You must	Using	According to the following requirements
				<p>two and half-diameter criterion of Section 11.1.1 of Method 1 of 40 CFR part 60, Appendix A, the duct may be sampled at '3-point long line'; otherwise, conduct the stratification testing and select sampling points according to Section 8.1.2 of Method 7E of 40 CFR part 60, Appendix A.</p>
	<p>ii. Determine the O₂ concentration of the stationary internal combustion engine exhaust at the sampling port location;</p>	<p>(2) Method 3, 3A, or 3B^b of 40 CFR part 60, appendix A-2 or ASTM Method D6522-00 (Reapproved 2005)^{a d}</p>		<p>(b) Measurements to determine O₂ concentration must be made at the same time as the measurements for VOC concentration.</p>
	<p>iii. If necessary, determine the exhaust flowrate of the stationary internal combustion engine exhaust;</p>	<p>(3) Method 2 or 2C of 40 CFR 60, appendix A-1 or Method 19 of 40 CFR part 60, appendix A-7</p>		<p>(c) Measurements to determine the exhaust flowrate must be made (1) at the same time as the measurement for VOC concentration or, alternatively (2) according to the option in Section 11.1.2 of Method 1A of 40 CFR part 60, Appendix A-1, if applicable.</p>
	<p>iv. If necessary, measure moisture content of the stationary internal combustion engine</p>	<p>(4) Method 4 of 40 CFR part 60, appendix A-3, Method 320 of 40 CFR part 63,</p>		<p>(d) Measurements to determine moisture must be made at the same time as the</p>

For each	Complying with the requirement to	You must	Using	According to the following requirements
		exhaust at the sampling port location; and v. Measure VOC at the exhaust of the stationary internal combustion engine; if using a control device, the sampling site must be located at the outlet of the control device	appendix A , ^e or ASTM Method D6348–03 ^{d e} (5) Methods 25A and 18 of 40 CFR part 60 , appendices A–6 and A–7, Method 25A with the use of a hydrocarbon cutter as described in 40 CFR 1065.265 , Method 18 of 40 CFR part 60, appendix A–6 , ^{c e} Method 320 of 40 CFR part 63, appendix A , ^c or ASTM Method D6348–03 ^{d e}	measurement for VOC concentration. (e) Results of this test consist of the average of the three 1-hour or longer runs.

^a Also, you may petition the Administrator for approval to use alternative methods for portable analyzer.

^b You may use ASME PTC 19.10–1981, Flue and Exhaust Gas Analyses, for measuring the O₂ content of the exhaust gas as an alternative to EPA Method 3B. AMSE PTC 19.10–1981 incorporated by reference, see [40 CFR 60.17](#)

^c You may use EPA Method 18 of [40 CFR part 60, appendix A–6](#), provided that you conduct an adequate pre-survey test prior to the emissions test, such as the one described in OTM 11 on EPA's website (<http://www.epa.gov/ttn/emc/prelim/otm11.pdf>).

^d Incorporated by reference; see [40 CFR 60.17](#).

^e You must meet the requirements in [§ 60.4245\(d\)](#).

[[85 FR 63408](#), Oct. 7, 2020]

Table 3 to Subpart JJJJ of Part 60—Applicability of General Provisions to Subpart JJJJ

[As stated in [§ 60.4246](#), you must comply with the following applicable General Provisions]

General provisions citation	Subject of citation	Applies to subpart	Explanation
§ 60.1	General applicability of the General Provisions	Yes	
§ 60.2	Definitions	Yes	Additional terms defined in § 60.4248 .
§ 60.3	Units and abbreviations	Yes	
§ 60.4	Address	Yes	
§ 60.5	Determination of construction or modification	Yes	
§ 60.6	Review of plans	Yes	
§ 60.7	Notification and Recordkeeping	Yes	Except that § 60.7 only applies as specified in § 60.4245 .
§ 60.8	Performance tests	Yes	Except that § 60.8 only applies to owners and operators who are subject to performance testing in subpart JJJJ.
§ 60.9	Availability of information	Yes	
§ 60.10	State Authority	Yes	
§ 60.11	Compliance with standards and maintenance requirements	Yes	Requirements are specified in subpart JJJJ.
§ 60.12	Circumvention	Yes	
§ 60.13	Monitoring requirements	No	
§ 60.14	Modification	Yes	
§ 60.15	Reconstruction	Yes	
§ 60.16	Priority list	Yes	
§ 60.17	Incorporations by reference	Yes	
§ 60.18	General control device requirements	No	
§ 60.19	General notification and reporting requirements	Yes	

Table 4 to Subpart JJJJ of Part 60—Applicability of Mobile Source Provisions for Manufacturers Participating in the

Voluntary Certification Program and Certifying Stationary SI ICE to Emission Standards in Table 1 of Subpart JJJJ

[As stated in [§ 60.4247](#), you must comply with the following applicable mobile source provisions if you are a manufacturer participating in the voluntary certification program and certifying stationary SI ICE to emission standards in Table 1 of subpart JJJJ]

Mobile source provisions citation	Subject of citation	Applies to subpart	Explanation
1048 subpart A	Overview and Applicability	Yes	
1048 subpart B	Emission Standards and Related Requirements	Yes	Except for the specific sections below.
1048.101	Exhaust Emission Standards	No	
1048.105	Evaporative Emission Standards	No	
1048.110	Diagnosing Malfunctions	No	
1048.140	Certifying Blue Sky Series Engines	No	
1048.145	Interim Provisions	No	
1048 subpart C	Certifying Engine Families	Yes	Except for the specific sections below.
1048.205(b)	AECD reporting	Yes	
1048.205(c)	OBD Requirements	No	
1048.205(n)	Deterioration Factors	Yes	Except as indicated in 60.4247(c).
1048.205(p)(1)	Deterioration Factor Discussion	Yes	
1048.205(p)(2)	Liquid Fuels as they require	No	
1048.240(b)(c)(d)	Deterioration Factors	Yes	
1048 subpart D	Testing Production-Line Engines	Yes	
1048 subpart E	Testing In-Use Engines	No	
1048 subpart F	Test Procedures	Yes	
1065.5(a)(4)	Raw sampling (refers reader back to the specific emissions regulation for guidance)	Yes	
1048 subpart G	Compliance Provisions	Yes	
1048 subpart H	Reserved		
1048 subpart I	Definitions and Other Reference Information	Yes	
1048 appendix I and II	Yes		

Mobile source provisions citation	Subject of citation	Applies to subpart	Explanation
1065 (all subparts)	Engine Testing Procedures	Yes	Except for the specific section below.
1065.715	Test Fuel Specifications for Natural Gas	No	
1068 (all subparts)	General Compliance Provisions for Nonroad Programs	Yes	Except for the specific sections below.
1068.245	Hardship Provisions for Unusual Circumstances	No	
1068.250	Hardship Provisions for Small-Volume Manufacturers	No	
1068.255	Hardship Provisions for Equipment Manufacturers and Secondary Engine Manufacturers	No	