



DIVISION OF ENVIRONMENTAL QUALITY

Sarah Huckabee Sanders
GOVERNOR

Shane E. Khoury
SECRETARY

March 17, 2025

Via email to: Chelsea.Fisher@canfor.com & First Class Mail

Chelsea Fisher
Environmental Manager
Anthony Forest Products Company, LLC
101 Dauphin Street, Suite 600
Mobile, AL 36602

Re: Notice of Final Permitting Decision; Permit No. 1681-AOP-R21

Dear Ms. Fisher,

After considering the application and other applicable materials as required by APC&EC Rule 8.211 and Ark. Code Ann. § 8-4-101 *et seq.*, this notice of final permitting decision is provided for:

Anthony Forest Products Company, LLC
4337 Lawson Road
El Dorado, AR 71730

Permit Number: 1681-AOP-R21

Permitting Decision: approval with permit conditions as set forth in final Permit No. 1681-AOP-R21

Accessing the Permitting Decision:
<https://www.adeq.state.ar.us/downloads/WebDatabases/PermitsOnline/Air/1681-AOP-R21.pdf>.

Accessing the Statement of Basis:
<https://www.adeq.state.ar.us/downloads/WebDatabases/PermitsOnline/Air/1681-AOP-R21-SOB.pdf>.

Rule 26.903 of the Rules of the Arkansas Operating Air Permit Program do not require a public notice or public comment period for Administrative Amendments.

Sincerely,

A handwritten signature in dark ink, appearing to read "Demetria Kimbrough", written in a cursive style.

Demetria Kimbrough

Associate Director, Office of Air Quality, Division of Environmental Quality
5301 Northshore Drive, North Little Rock, AR 72118-5317

Enclosure: Certificate of Service

CERTIFICATE OF SERVICE

I, Natasha Oates, hereby certify that the final permit decision notice has been mailed by first class mail to Anthony Forest Products Company, LLC, 101 Dauphin Street, Suite 600, Mobile, AL, 36602, on this 17th day of March, 2025.

Natasha Oates

Natasha Oates, AA, Office of Air Quality



DIVISION OF ENVIRONMENTAL QUALITY

OPERATING AIR PERMIT

PERMIT NUMBER: 1681-AOP-R21

IS ISSUED TO:

Anthony Forest Products Company, LLC
4337 Lawson Road
El Dorado, AR 71730
Union County
AFIN: 70-00473

PURSUANT TO THE RULES OF THE ARKANSAS OPERATING AIR PERMIT PROGRAM, RULE 26: THIS PERMIT AUTHORIZES THE ABOVE REFERENCED PERMITTEE TO INSTALL, OPERATE, AND MAINTAIN THE EQUIPMENT AND EMISSION UNITS DESCRIBED IN THE PERMIT APPLICATION AND ON THE FOLLOWING PAGES. THIS PERMIT IS VALID BETWEEN:

March 17, 2025 AND March 16, 2030

THE PERMITTEE IS SUBJECT TO ALL LIMITS AND CONDITIONS CONTAINED HEREIN.

Signed:

A handwritten signature in dark ink, appearing to read "Demetria Kimbrough", is written over a horizontal line.

Demetria Kimbrough
Associate Director, Office of Air Quality
Division of Environmental Quality

March 17, 2025

Date

Anthony Forest Products Company, LLC

Permit #: 1681-AOP-R21

AFIN: 70-00473

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List of Acronyms and Abbreviations

Ark. Code Ann.	Arkansas Code Annotated
AFIN	Arkansas DEQ Facility Identification Number
C.F.R.	Code of Federal Regulations
CO	Carbon Monoxide
COMS	Continuous Opacity Monitoring System
HAP	Hazardous Air Pollutant
Hp	Horsepower
lb/hr	Pound Per Hour
NESHAP	National Emission Standards (for) Hazardous Air Pollutants
MVAC	Motor Vehicle Air Conditioner
No.	Number
NO _x	Nitrogen Oxide
NSPS	New Source Performance Standards
PM	Particulate Matter
PM ₁₀	Particulate Matter Equal To Or Smaller Than Ten Microns
PM _{2.5}	Particulate Matter Equal To Or Smaller Than 2.5 Microns
SNAP	Significant New Alternatives Program (SNAP)
SO ₂	Sulfur Dioxide
SSM	Startup, Shutdown, and Malfunction Plan
Tpy	Tons Per Year
UTM	Universal Transverse Mercator
VOC	Volatile Organic Compound

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SECTION I: FACILITY INFORMATION

PERMITTEE: Anthony Forest Products Company, LLC

AFIN: 70-00473

PERMIT NUMBER: 1681-AOP-R21

FACILITY ADDRESS: 4337 Lawson Road
El Dorado, AR 71730

MAILING ADDRESS: 101 Dauphin Street, Suite 600
Mobile, AL 36602

COUNTY: Union County

CONTACT NAME: Chelsea Fisher

CONTACT POSITION: Environmental Manager

TELEPHONE NUMBER: (251) 459-8768

REVIEWING ENGINEER: Alexander Sudibjo

UTM North South (Y): Zone 15: 3669126.84 m

UTM East West (X): Zone 15: 551893.98 m

SECTION II: INTRODUCTION

Summary of Permit Activity

Anthony Forest Products Company (AFIN: 70-00473) operates a sawmill and ancillary operations in Urbana, Arkansas. This is the Title V renewal for this facility. With this renewal, the facility is incorporating the following changes:

1. Add a 161 bhp natural-gas fired emergency Engine (SN-35) into the permit. This engine was installed on September 1, 2018.
2. Update the Process Description.
3. Remove the 10,000 gal AST (diesel fuel) from the insignificant activity list.
4. Change the quad pack cyclone on the planer mill (SN-34) to a high efficiency cyclone. The facility discovered that a high efficiency cyclone from a different vendor was installed instead of the one approved for the R20 permit. The PSD analysis was updated and did not change the conclusions of the analysis.

The facility's permitted annual emissions are increasing by 0.7 tpy PM, 0.7 tpy PM₁₀, 0.1 tpy SO₂, 0.3 tpy VOC, 0.4 tpy CO, 0.2 tpy NO_x, 0.003 tpy acrolein, and 0.02 tpy formaldehyde.

Process Description

Anthony Forest Products Company, LLC operates a sawmill and ancillary operations in Urbana, Arkansas. The physical address of the facility is 4337 Lawson Road, El Dorado, AR 71730. The facility falls under Standard Industrial Classification code (SIC) 2421, Sawmills and Planing Mills, General, and North American Industry Classification System code (NAICS) 321113, Sawmills.

Raw materials (pine logs) are delivered to the facility by contractor log trucks on facility Haul Roads (SN-20). These logs are routed directly to sawmill log decks, dry runs, or to wet-log storage. Water is sprayed onto the logs in the wet log storage area to prevent stain and insect damage.

Logs are taken by truck to the Sawmill (SN-06) where they are cut to length at the merchandizer and debarked. Bark is collected, stored in piles or bins (IA-A13), and eventually loaded into tractor trailers to be shipped off-site. Debarked logs enter the Sawmill Building to be sawed into cants, or rough lumber, and edged and trimmed. Trimmings and edgings are routed to a chipper. Chips are mechanically conveyed to shaker screens where oversized chips and fines are removed. The chips are belt conveyed to a chip bin or chip overflow pile (IA-A13) and eventually loaded into tractor trailers to be shipped off-site. Oversized chips are routed back through the chipper. Sawdust is collected from sources within the Sawmill Building and blown to the Fuel Storage Silos or overflow sawdust pile (IA-A13). The Fuel Storage Silos are primarily filled from the Sawmill Building collections but can be filled by purchased sawdust.

From the Sawmill, the green lumber is stacked and stored. The lumber is then dried in kilns. The facility utilizes four dual path kilns (DPK), DPK #1 (SN-23), DPK #2 (SN-30), DPK #3 (SN-27), and DPK #4 (SN-32). The DPKs allow for continuous drying operation as stacks of green

Anthony Forest Products Company, LLC
Permit #: 1681-AOP-R21
AFIN: 70-00473

wood move through the kilns on two parallel tracks. The bundles of lumber on the two tracks travel concurrently to each other to increase heat transfer efficiency. The continuous kilns have a design drying capacity of 8.2 thousand board feet per hour (MBf/hr) for DPK #1, 11.9 MBf/hr for DPK #2, 8.7 MBf/hr for DPK #3, and 16.3 MBf/hr DPK #4. The emissions generated from the operation of the four DPKs are released through vents above the entrance and exit doors at the ends of the kilns. DPK #2, #3, and #4 have powered vents above their doors.

The DPKs utilize heat from biomass gasifiers. Green sawdust collected from the sawmill or purchased from outside sources is stored within the Fuel Storage Silo and fed to the biomass gasifiers on each DPK. The fuel is gasified in these devices to produce a combustible gas stream. The fuel gas stream is burned in a combustion/air mixing chamber. The gas is conveyed to a blend box to be mixed with ambient air and return air or circulated kiln air. The blend box is used to obtain the desired temperature and moisture content of the air stream. The hot gas stream is blown into the drying chamber, located at the center of each DPK. Additionally, a natural gas burner for fuel use has been installed on DPK #3. The hot gas stream from the gasifier/burners may be diverted to the DPK Abort Stacks (SN-25 for DPK #1, SN-31 for DPK #2, SN-28 for DPK #3, and SN-33 for DPK #4) for startup, unplanned shutdowns, or temporary idling. A sloped grate two-stage combustion chamber is operated on DPK #1, DPK #2, DPK #3, and DPK #4. The emissions from the biomass gasifiers are included within DPK emissions (SN-23, SN-30, SN-27, and SN-32). From time to time the biochar in the kiln combustion chambers are cleaned out and placed in the biochar pile (IA-13) before being shipped offsite for reuse.

Dried lumber is stored in protected areas before planing. Within the Planer Mill Building, dried lumber is planed and trimmed. Trimming and grinding emissions are collected and controlled by the High Efficiency Cyclone system (SN-34). The lumber is then graded, packaged, and placed in storage for loading and shipping off site. Planer Mill shavings and sawdust are collected by the High Efficiency Cyclone (SN-34). The woodwaste from the Planer Mill processes are pneumatically collected into a woodwaste storage bin (IA-A13), where it is then loaded onto a truck and shipped off-site.

The facility utilizes an Emergency Fire Pump Engine (SN-26) for the emergency fire suppression system and an Emergency Engine (SN-35) to provide power supply to the main office. Additionally, a Parts Washer (IA-A13) is utilized for maintenance activities at the facility

Rules and Regulations

The following table contains the rules and regulations applicable to this permit.

Rules and Regulations
Arkansas Air Pollution Control Code, Rule 18, effective March 14, 2016
Rules of the Arkansas Plan of Implementation for Air Pollution Control, Rule 19, effective May 6, 2022
Rules of the Arkansas Operating Air Permit Program, Rule 26, effective March 14, 2016
40 C.F.R. § 63 Subpart DDDD— <i>National Emission Standards for Hazardous Air Pollutants: Plywood and Composite Wood Products</i>
40 C.F.R. § 63 Subpart ZZZZ— <i>National Emissions Standards for Hazardous Air Pollutants for Stationary Reciprocating Internal Combustion Engines</i>
40 C.F.R. § 60 Subpart IIII— <i>Standards of Performance for Stationary Compression Ignition Internal Combustion Engines</i>
40 C.F.R. § 60 Subpart JJJJ— <i>Standards of Performance for Stationary Spark Ignition Internal Combustion Engines</i>
40 C.F.R. § 52.21 <i>Prevention of significant deterioration of air quality</i>

Emission Summary

The following table is a summary of emissions from the facility. This table, in itself, is not an enforceable condition of the permit.

EMISSION SUMMARY				
Source Number	Description	Pollutant	Emission Rates	
			lb/hr	tpy
Total Allowable Emissions		PM	30.4	37.5
		PM ₁₀	22.2	32.4
		PM _{2.5} ¹	-	-
		SO ₂	5.1	14.7
		VOC	174.1	685.2
		CO	96.0	320.7
		NO _x	35.8	109.6
		Lead	7.75E-03	2.68E-02
HAPs ²		Acrolein	3.29E-01	7.46E-01
		Chlorine	1.28E-01	4.48E-01
		Formaldehyde	3.19	11.76
		HCl	3.08	10.63
		Methanol	8.12	32.42

EMISSION SUMMARY				
Source Number	Description	Pollutant	Emission Rates	
			lb/hr	tpy
		Pentachlorophenol	8.24E-06	2.85E-05
		Antimony	1.28E-03	4.42E-03
		Arsenic	3.55E-03	1.23E-02
		Beryllium	1.78E-04	6.15E-04
		Cadmium	6.62E-04	2.29E-03
		Chromium	3.39E-03	1.17E-02
		Chromium VI	4.43E-04	1.94E-03
		Cobalt	1.05E-03	3.63E-03
		Manganese	2.58E-01	8.95E-01
		Mercury	5.65E-04	1.96E-03
		Phosphorus	4.36E-03	1.51E-02
		Selenium	4.52E-04	1.57E-03
		Total Other HAPs	3.74	14.33
06	Sawmill	PM	7.2	5.5
		PM ₁₀	3.0	2.9
23	Dual Path Kiln #1 (25 MMBtu/hr)	PM ³	1.2	5.2
		PM ₁₀ ³	1.2	5.2
		SO ₂ ³	0.7	2.8
		VOC ³	31.2	136.1
		CO ³	15.0	65.7
		NO _x ³	5.5	24.1
		Lead ³	1.20E-03	5.26E-03
		Acrolein ³	3.28E-02	1.43E-01
		Chlorine ³	1.98E-02	8.65E-02
		Formaldehyde ³	0.54	2.33
		HCl ³	0.48	2.08
		Methanol ³	1.48	6.45
25	Abort Stack for DPK#1 (Normal Operations)	Pentachlorophenol ³	1.28E-06	5.58E-06
		Antimony ³	1.98E-04	8.65E-04
		Arsenic ³	5.50E-04	2.41E-03
		Beryllium ³	2.75E-05	1.20E-04
		Cadmium ³	1.03E-04	4.49E-04
		Chromium ³	5.25E-04	2.30E-03
		Chromium VI ³	8.75E-05	3.83E-04
		Cobalt ³	1.63E-04	7.12E-04
		Manganese ³	4.00E-02	1.75E-01
		Mercury ³	8.75E-05	3.83E-04
		Phosphorus ³	6.75E-04	2.96E-03
		Selenium ³	7.00E-05	3.07E-04
		Total Other HAPs ³	0.64	2.79

EMISSION SUMMARY				
Source Number	Description	Pollutant	Emission Rates	
			lb/hr	tpy
	Abort Stack for DPK#1 (Startups)	PM	3.1	0.5
		PM ₁₀	2.8	0.4
		SO ₂	0.3	0.1
		VOC	0.2	0.1
		CO	5.3	0.8
		NO _x	2.1	0.3
		Lead	4.21E-04	6.06E-05
		Acrolein	3.51E-02	5.05E-03
		Chlorine	6.92E-03	9.97E-04
		Formaldehyde	3.86E-02	5.55E-03
		HCl	1.67E-01	2.40E-02
		Pentachlorophenol	4.47E-07	6.44E-08
		Antimony	6.92E-05	9.97E-06
		Arsenic	1.93E-04	2.78E-05
		Beryllium	9.64E-06	1.39E-06
		Cadmium	3.59E-05	5.17E-06
		Chromium	1.84E-04	2.65E-05
		Cobalt	5.70E-05	8.20E-06
		Manganese	1.40E-02	2.02E-03
		Mercury	3.07E-05	4.42E-06
		Phosphorus	2.37E-04	3.41E-05
		Selenium	2.45E-05	3.53E-06
		Total Other HAPs	0.06	0.01
20	Log Yard	PM	3.6	2.6
		PM ₁₀	0.8	0.6
26	Emergency Fire Pump (175 bhp, diesel-fired)	PM	0.1	0.1
		PM ₁₀	0.1	0.1
		SO ₂	0.5	0.2
		VOC	1.3	0.4
		CO	1.0	0.3
		NO _x	1.2	0.3
		Acrolein	1.36E-03	3.39E-04
		Formaldehyde	1.73E-03	4.32E-04
		Total Other HAPs	3.81E-03	9.53E-04

EMISSION SUMMARY				
Source Number	Description	Pollutant	Emission Rates	
			lb/hr	tpy
27	Dual Path Kiln #3 (31.5 MMBtu/hr + 31.6 MMBtu/hr auxiliary natural gas burner)	PM ⁴	1.3	5.4
		PM ₁₀ ⁴	1.3	5.4
		SO ₂ ⁴	0.8	3.5
		VOC ⁴	33.1	142.5
		CO ⁴	18.9	82.8
		NO _x ⁴	8.7	38.0
		Lead ⁴	1.51E-03	6.62E-03
		Acrolein ⁴	3.48E-02	1.50E-01
		Chlorine ⁴	2.49E-02	1.09E-01
28	Abort Stack for DPK#3 (Normal Operations)	Formaldehyde ⁴	0.57	2.44
		HCl ⁴	0.60	2.62
		Methanol ⁴	1.57	6.75
		Pentachlorophenol ⁴	1.61E-06	7.04E-06
		Antimony ⁴	2.49E-04	1.09E-03
		Arsenic ⁴	6.93E-04	3.04E-03
		Beryllium ⁴	3.47E-05	1.52E-04
		Cadmium ⁴	1.29E-04	5.66E-04
		Chromium ⁴	6.62E-04	2.90E-03
		Chromium VI ⁴	1.10E-04	4.83E-04
		Cobalt ⁴	2.05E-04	8.97E-04
		Manganese ⁴	5.04E-02	2.21E-01
		Mercury ⁴	1.10E-04	4.83E-04
		Phosphorus ⁴	8.51E-04	3.73E-03
		Selenium ⁴	8.82E-05	3.86E-04
		Total Other HAPs ⁴	0.77	3.34
	Abort Stack for DPK#3 (Startups)	PM	3.1	0.5
		PM ₁₀	2.8	0.4
		SO ₂	0.3	0.1
		VOC	0.2	0.1
		CO	5.3	0.8
		NO _x	2.1	0.3
		Lead	4.21E-04	6.06E-05
		Acrolein	3.51E-02	5.05E-03
		Chlorine	6.92E-03	9.97E-04
		Formaldehyde	3.86E-02	5.55E-03
		HCl	1.67E-01	2.40E-02
		Pentachlorophenol	4.47E-07	6.44E-08
		Antimony	6.92E-05	9.97E-06
		Arsenic	1.93E-04	2.78E-05
		Beryllium	9.64E-06	1.39E-06

EMISSION SUMMARY				
Source Number	Description	Pollutant	Emission Rates	
			lb/hr	tpy
		Cadmium	3.59E-05	5.17E-06
		Chromium	1.84E-04	2.65E-05
		Cobalt	5.70E-05	8.20E-06
		Manganese	1.40E-02	2.02E-03
		Mercury	3.07E-05	4.42E-06
		Phosphorus	2.37E-04	3.41E-05
		Selenium	2.45E-05	3.53E-06
		Total Other HAPs	0.06	0.01
30	Dual Path Kiln #2 (30 MMBtu/hr)	PM ⁵	1.8	6.7
		PM ₁₀ ⁵	1.8	6.7
		SO ₂ ⁵	0.8	3.3
		VOC ⁵	45.3	177.7
		CO ⁵	18.0	78.9
		NO _x ⁵	6.6	29.0
		Lead ⁵	1.44E-03	6.31E-03
		Acrolein ⁵	4.76E-02	1.90E-01
		Chlorine ⁵	2.37E-02	1.10E-01
		Formaldehyde ⁵	0.77	3.04
		HCl ⁵	0.57	2.50
		Methanol ⁵	2.14	8.42
31	Abort Stack for DPK#2 (Normal Operations)	Pentachlorophenol ⁵	1.53E-06	6.70E-06
		Antimony ⁵	2.37E-04	1.04E-03
		Arsenic ⁵	6.60E-04	2.89E-03
		Beryllium ⁵	3.30E-05	1.45E-04
		Cadmium ⁵	1.23E-04	5.39E-04
		Chromium ⁵	6.30E-04	2.76E-03
		Chromium VI ⁵	1.05E-04	4.60E-04
		Cobalt ⁵	1.95E-04	8.54E-04
		Manganese ⁵	4.80E-02	2.10E-01
		Mercury ⁵	1.05E-04	4.60E-04
		Phosphorus ⁵	8.10E-04	3.55E-03
		Selenium ⁵	8.40E-05	3.68E-04
		Total Other HAPs ⁵	0.88	3.55
	Abort Stack for DPK#2 (Startups)	PM	3.1	0.5
		PM ₁₀	2.8	0.4
		SO ₂	0.3	0.1
		VOC	0.2	0.1
		CO	5.3	0.8
		NO _x	2.1	0.3
		Lead	4.21E-04	6.06E-05

EMISSION SUMMARY				
Source Number	Description	Pollutant	Emission Rates	
			lb/hr	tpy
		Acrolein	3.51E-02	5.05E-03
		Chlorine	6.92E-03	9.97E-04
		Formaldehyde	3.86E-02	5.55E-03
		HCl	1.67E-01	2.40E-02
		Pentachlorophenol	4.47E-07	6.44E-08
		Antimony	6.92E-05	9.97E-06
		Arsenic	1.93E-04	2.78E-05
		Beryllium	9.64E-06	1.39E-06
		Cadmium	3.59E-05	5.17E-06
		Chromium	1.84E-04	2.65E-05
		Cobalt	5.70E-05	8.20E-06
		Manganese	1.40E-02	2.02E-03
		Mercury	3.07E-05	4.42E-06
		Phosphorus	2.37E-04	3.41E-05
		Selenium	2.45E-05	3.53E-06
		Total Other HAPs	0.06	0.01
32	Dual Path Kiln #4 (30 MMBtu/hr)	PM ⁶	2.4	8.6
		PM ₁₀ ⁶	2.4	8.6
		SO ₂ ⁶	1.0	4.4
		VOC ⁶	62.0	228.0
		CO ⁶	20.4	89.4
		NO _x ⁶	4.6	16.8
		Lead ⁶	1.92E-03	8.41E-03
		Acrolein ⁶	6.52E-02	2.40E-01
		Chlorine ⁶	3.16E-02	1.38E-01
		Formaldehyde ⁶	1.06	3.90
		HCl ⁶	0.76	3.33
		Methanol ⁶	2.93	10.80
33	Abort Stack for DPK#4 (Normal Operations)	Pentachlorophenol ⁶	2.04E-06	8.94E-06
		Antimony ⁶	3.16E-04	1.38E-03
		Arsenic ⁶	8.80E-04	3.85E-03
		Beryllium ⁶	4.40E-05	1.93E-04
		Cadmium ⁶	1.64E-04	7.18E-04
		Chromium ⁶	8.40E-04	3.68E-03
		Chromium VI ⁶	1.40E-04	6.13E-04
		Cobalt ⁶	2.60E-04	1.14E-03
		Manganese ⁶	6.40E-02	2.80E-01
		Mercury ⁶	1.40E-04	6.13E-04
		Phosphorus ⁶	1.08E-03	4.73E-03
		Selenium ⁶	1.12E-04	4.91E-04

EMISSION SUMMARY				
Source Number	Description	Pollutant	Emission Rates	
			lb/hr	tpy
		Total Other HAPs ⁶	1.19	4.60
	Abort Stack for DPK#4 (Startups)	PM	3.1	0.5
		PM ₁₀	2.8	0.4
		SO ₂	0.3	0.1
		VOC	0.2	0.1
		CO	5.3	0.8
		NO _x	2.1	0.3
		Lead	4.21E-04	6.06E-05
		Acrolein	3.51E-02	5.05E-03
		Chlorine	6.92E-03	9.97E-04
		Formaldehyde	3.86E-02	5.55E-03
		HCl	1.67E-01	2.40E-02
		Pentachlorophenol	4.47E-07	6.44E-08
		Antimony	6.92E-05	9.97E-06
		Arsenic	1.93E-04	2.78E-05
		Beryllium	9.64E-06	1.39E-06
		Cadmium	3.59E-05	5.17E-06
		Chromium	1.84E-04	2.65E-05
		Cobalt	5.70E-05	8.20E-06
		Manganese	1.40E-02	2.02E-03
		Mercury	3.07E-05	4.42E-06
		Phosphorus	2.37E-04	3.41E-05
		Selenium	2.45E-05	3.53E-06
		Total Other HAPs	0.06	0.01
34	Planer Mill with High Efficiency Cyclone	PM	0.3	1.3
		PM ₁₀	0.3	1.2
35	Emergency Generator (161 bhp, natural gas)	PM	0.1	0.1
		PM ₁₀	0.1	0.1
		SO ₂	0.1	0.1
		VOC	0.4	0.1
		CO	1.5	0.3
		NO _x	0.8	0.2
		Acrolein	8.43E-03	2.11E-03
		Formaldehyde	8.66E-02	2.16E-02
		Total Other HAPs	1.55E-02	3.87E-03

¹PM_{2.5} limits are source specific, if required. Not all sources have PM_{2.5} limits.

²HAPs included in the VOC totals. Other HAPs are not included in any other totals unless specifically stated.

³ Emissions from SN-23 and SN-25 are bubbled during normal operations.

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⁴ Emissions from SN-27 and SN-28 are bubbled during normal operations.

⁵ Emissions from SN-30 and SN-31 are bubbled during normal operations.

⁶ Emissions from SN-32 and SN-33 are bubbled during normal operations.

SECTION III: PERMIT HISTORY

The initial permit #1681-A was issued on March 3, 1996. A Title V permit application was submitted for the Urbana sawmill on July 15, 1996, which included the following proposed changes to the existing SIP permit:

1. An increase in annual production at the facility;
2. Installation of two wood-fired boilers.
3. Installation of a third dry kiln, a cyclone, and other equipment.

The original Title V permit, #1681-AOP-R0, was issued on September 12, 1997. It included some provisions in the specific conditions dealing with visible emissions from the boilers that reflected new EPA enforcement guidelines. These conditions were not included in the original Draft permit that had been submitted to Anthony Forest Products, and the company challenged these changes because they had been denied an opportunity to respond.

A revised version was prepared after discussion with the applicant, and issued as 1681-AOP-R1 on January 13, 1998.

Permit #1681-AOP-R2 was issued on August 6, 1999. This permit changed the required hourly steam readings in the wood-fired boilers from hourly readings to a maximum 24 hour rate of 489,600 pounds per day.

Permit #1681-AOP-R3 was issued on September 18, 2001. The Lumber Dry Kiln #1 (SN-01) has been removed from service as a result of a fire that destroyed the kiln and combustion equipment in April 2000. The permit minor modification also allowed increased production capacity for the Planer Mill (SN-03, 04, 07, and 15) and the two remaining Dry Kilns (SN-02 and 14). VOC annual emissions from Dry Kilns #2 and #3 have increased by 19.25 tpy, with decreases in other criteria pollutants based on revised estimates. There were no new emission sources.

Permit #1681-AOP-R4 was issued on June 14, 2002. Anthony requested to add a 29.8 MMBtu/hr wood-fired boiler (SN-16), a lumber drying kiln (SN-17), and to increase the permitted production capacity to 650,000 tons per year for the planer mill and the lumber kilns to 135,000,000 board feet per year to account for the increased production from the installation of a new kiln. Anthony also requested Planer Cyclone #3 (SN-15) to be removed because the cyclone was never installed. The source descriptions for the Planer Cyclone #1 and the Planer Mill emissions were revised. The emissions from the sawmill were declared as an insignificant activity in the previous permits; however, these emissions from the sawmill did not classify as an insignificant activity and were included in this revision as a permitted emission source. Emissions generated from the bark and saw dust storage piles (SN-18) were also included in the permit as a permitted emission source.

Permit #1681-AOP-R5 was issued on December 16, 2003. This was the first Title V Renewal for the facility. The facility also requested to install a completely enclosed air lock system to route shavings and sawdust from the Planer Mill (SN-07) to an existing fuel storage bin on an as

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needed basis. PM emissions did not change due to the installation of the air lock system, and the total waste from the Planer Mill did not increase. PM and VOC emissions increased by 48.2 tpy and 39.7 tpy, respectively. PM₁₀ and Heavy Metals emissions decreased by 23.5 tpy and 1.5 tpy, respectively. Changes in emissions were due to revised methods of calculation and updated emission factors.

Permit #1681-AOP-R6 was issued on March 23, 2007 to incorporate the applicable requirements of 40 CFR Part 63, Subpart DDDDD – *National Emission Standards for Hazardous Air Pollutants for Industrial, Commercial, and Institutional Boilers and Process Heaters* and to revise the particulate matter emission limits in order to account for emission control provided by the building enclosure. The VOC and HAP emission limits were also revised in order to correct a rounding error in the previous estimates. Dry Kiln #4 (SN-17) was removed. The two remaining dry kilns consumed the production capacity of Dry Kiln #4. Permitted PM and HAPs decreased by 25.1 tpy and 5.91 tpy, respectively. Permitted PM₁₀ and VOC increased by 2.4 tpy and 2.7 tpy, respectively.

Permit #1681-AOP-R7 was issued on July 31, 2007 which revised the applicable requirements of 40 CFR Part 63, Subpart DDDDD – *National Emission Standards for Hazardous Air Pollutants for Industrial, Commercial, and Institutional Boilers and Process Heaters*. No physical changes or changes to method of operation were requested.

Permit #1681-AOP-R8 was issued on November 3, 2008. Previously applicable requirements of 40 CFR Part 63, Subpart DDDDD – *National Emission Standards for Hazardous Air Pollutants for Industrial, Commercial, and Institutional Boilers and Process Heaters* were removed as the subpart was vacated. Emission calculations for PM₁₀ for several sources were revised based on updated emission factors and estimate methodology. As a result of these changes the permitted PM₁₀ emission from planer cyclones (SN-03 and SN-04) and the sawmill (SN-06) were reduced, and the permitted emissions from the bark (SN-18A), sawdust (SN-18B), and ash (SN-19) storage pile and the planer mill woodwaste bin (SN-07) were reclassified as insignificant activities (A-13). Emissions from the log yard road (SN-20) were identified and quantified for the first time. Anthony also requested to modify the PM and PM₁₀ emission limits by increasing the limits for all three boilers because Boiler #3 (SN-16) failed the required stack test. Overall, permitted PM and PM₁₀ emission limits decreased by 0.9 tpy and 58.9 tpy, respectively.

Permit #1681-AOP-R9 was issued on December 11, 2009 to use water instead of a chemical dust suppressant to control haul road dust emissions. Permitted PM and PM₁₀ limits increased 9.7 tpy and 2.8 tpy, respectively.

Permit #1681-AOP-R10 was issued August 31, 2010. Anthony replaced two existing planer cyclones (SN-03 and SN-04) with a higher capacity cyclone in series with a baghouse (SN-21). Permitted PM and PM₁₀ limits decreased by 29.8 tpy and 11.9 tpy, respectively.

Permit #1681-AOP-R11 was issued on November 14, 2011. Anthony replaced or upgraded various pieces of equipment at the sawmill (headrigs, planer machine, trimmer, and drop/sorter system). Dry Kiln #3 (SN-14) was converted to a continuous dual path, direct fired kiln (DPK

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#2). A new kiln (DPK #1) was constructed that is also a continuous dual path direct fired kiln. It was designated as SN-23. Each kiln was constructed with an abort stack (SN-24 and SN-25). The Dry Kiln #2 (SN-02) and the three wood-fired boilers (SN-12, SN-13, and SN-16) were decommissioned. Permitted emission limits increased by 78.2 tpy VOC and 0.0016 tpy Lead and decreased by 39.2 tpy PM, 38.8 tpy PM₁₀, 0.3 tpy SO₂, 50.9 tpy CO, and 50.1 tpy NO_x.

Permit #1681-AOP-R12 was issued on January 21, 2015. The Title V permit was renewed with modifications. The permitted production rate and emission limits for SN-23 were decreased, the stacks at the end of DPK #2 were physically modified, and DPK #2 was repaired and recommissioned. Overall, permitted emissions decreased by 0.6 tpy PM, 0.3 tpy PM₁₀, and 6.1 tpy VOC.

Permit #1681-AOP-R13 was issued on December 1, 2015. AFP submitted an application to modify the haul roads and update the fenceline UTM coordinates in Appendix A, "Facility Fenceline Data". Previous emission estimates were replaced using a refined methodology. As a result of these changes short-term emission limits were increased and annual emissions decreased. Overall, permitted annual emissions decreased by 6.3 tpy PM and 2.5 tpy PM₁₀.

Permit #1681-AOP-R14 was issued on June 13, 2017. With this minor modification, the facility installed a new 175 bhp diesel-fired emergency fire pump (SN-26) and a 240 gallon tank for diesel fuel (insignificant activity). The facility's permitted annual emissions increased by 0.1 tpy PM/PM₁₀, 0.2 tpy SO₂, 0.2 tpy VOC, 0.3 tpy CO, and 0.3 tpy NO_x.

Permit #1681-AOP-R15 was issued on October 2, 2017. With this modification, the facility requested the following changes:

1. Installation of a Dual Path Kiln #3 (SN-27) and an Abort Stack associated with DPK#3 (SN-28). The additional kiln increased the production capacity from 165.1 MMBF/yr to 240.1 MMBF/yr. This resulted in a net increase in VOC emissions that exceeds the PSD significance level. An initial test was required to verify PM₁₀ and CO emissions.
2. Paving of additional road segments in the facility (SN-20) to accommodate additional traffic.
3. Installation of product quality upgrades to DPK#1 and #2 (SN-23 and SN-14). There were also updates in the emission calculations for these sources not related to these upgrades.
4. Installation of a new Planer Mill Trim Cyclone (IA) to meet National Fire Protection Association combustible dust standards.
5. Additions of a chip overflow pile and a chip bin to the insignificant activities list.

The facility's annual permitted emissions increased by 3.6 tpy SO₂, 140.1 tpy VOC, 83.6 tpy CO, 22.4 tpy NO_x, and 11.63 tpy total HAPs.

Prevention of Significant Deterioration

The addition of the third dual path kiln will increase the overall potential production of the facility from 165.1 MMBf/yr to 240.1 MMBf/yr. This new kiln is being installed without the need to modify any of the existing upstream or downstream production processes. However, the

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increased production will debottleneck most production processes at the facility including the Sawmill (SN-06), Log Yard Haul Roads (SN-20), Planermill Building (SN-21), Sawdust Storage Silo (IA-13), Chip Bin (IA-13), Planer Mill Biomass Storage Bins (IA-13), and storage piles (bark, sawdust, biochar, and chip) handling (IA-13).

The existing kilns (SN-23 and SN-14), existing kiln abort stacks (SN-25 and SN-24), and storage pile wind erosion will not be debottlenecked nor will they see any increase in production as a result of the additional kiln.

Significant Emission Increase

According to 40 CFR §52.21(a)(2)(iv)(b), the procedure for calculating whether a significant emission increase will occur depends on the type of emission units being modified. These procedures are outlined in §52.21(a)(2)(iv)(c)-(f). Since the proposed project subject to PSD analysis will add new and debottleneck existing emission units, the hybrid applicability test of §52.21(a)(2)(iv)(f) is the relevant method for calculating the emission increase associated with the project. The hybrid test requires the addition of emission increases using the actual-to-projected- actual applicability test for debottlenecked sources (SN-06, SN-20, SN-21, and IA-13), and emission increases using the actual-to-potential test for new emission units (SN-27 and SN-28).

Actual-to-Projected-Actual Test

To determine Baseline Actual Emissions (BAE), a facility is allowed to select any consecutive 24- month period over the ten years preceding commencement of construction or the date a complete permit application is received for the project, whichever date is earlier. For the purposes of this review, the 24-month period from February 2015 to January 2017 was selected.

For Projected Actual Emissions (PAE), the debottlenecked sources (SN-06, SN-20, SN-21, and IA-13) were set at their potential to emit (PTE). The actual-to-projected-actual test emission increases are outlined in the table below.

Actual-to-projected-actual test (ATPA): Debottlenecked Sources			
Source	PM	PM ₁₀	PM _{2.5}
Sawmill (SN-06) BAE	8.13	0.89	0.45
Log Yard Haul Roads (SN-20) BAE	15.79	4.02	0.57
Sawdust Storage Silo (IA-13) BAE	0.061	0.005	0.002
Chip Bin (IA-13) BAE	0.058	0.006	0.003
Planer Mill Biomass Storage Bins (IA-13) BAE	0.041	0.003	0.002
Bark Pile (IA-13) BAE	0.117	0.055	0.008

Actual-to-projected-actual test (ATPA): Debottlenecked Sources			
Source	PM	PM ₁₀	PM _{2.5}
Sawdust Pile (IA-13) BAE	0.019	0.009	0.001
BioChar Pile (IA-13) BAE	0.013	0.006	0.006
Chip Overflow Pile (IA-13) BAE	0.056	0.026	0.004
Planermill Building (SN-21) BAE	4.05	1.66	0.83
Sawmill (SN-06) PTE	15.85	1.74	0.87
Log Yard Haul Roads (SN-20) PTE	22.00	4.88	0.96
Sawdust Storage Silo (IA-13) PTE	0.143	0.012	0.006
Chip Bin (IA-13) PTE	0.132	0.013	0.007
Planer Mill Biomass Storage Bins (IA-13) PTE	0.083	0.007	0.003
Bark Pile (IA-13) PTE	0.239	0.113	0.113
Sawdust Pile (IA-13) PTE	0.036	0.017	0.017
BioChar Pile (IA-13) PTE	0.030	0.014	0.014
Chip Overflow Pile (IA-13) PTE	0.115	0.054	0.054
Planermill Building (SN-21) PTE	6.60	2.64	1.32
Total ATPA BAE	28.33	6.69	1.87
Total PAE	45.23	9.49	3.37
Total ATPA Debottlenecked Sources Emission Increase	16.90	2.80	1.50

Actual-to-Potential Test

To determine the BAE, a facility is required to set the baseline emissions equal to 0 tpy for the initial permitting of the emission source. The actual-to-potential test emission increases are outlined in the table below.

Actual-to-Potential test (ATP): New Sources									
Source	PM	PM ₁₀	PM _{2.5}	VOC	SO ₂	NO _x	CO	Lead	CO _{2e}
DPK#3 (SN-27) BAE	0	0	0	0	0	0	0	0	0
DPK#3 Abort Stack (SN-28) BAE	0	0	0	0	0	0	0	0	0

Actual-to-Potential test (ATP): New Sources									
Source	PM	PM ₁₀	PM _{2.5}	VOC	SO ₂	NO _x	CO	Lead	CO _{2e}
DPK#3 (SN-27) PTE	5.36	5.36	5.36	142.5	3.45	30.74	82.78	0.007	28,535
DPK#3 Abort Stack (SN-28) PTE	0.42	0.37	0.37	0.02	0.03	0.28	0.76	6E-05	264
Total BAE	0	0	0	0	0	0	0	0	0
Total PAE	5.78	5.73	5.73	142.52	3.48	31.02	83.54	0.007	28,799
Total ATP New Sources Emission Increase	5.78	5.73	5.73	142.52	3.48	31.02	83.54	0.007	28,799

Hybrid Test

The total increases from both the actual-to-projected-actual test and the actual-to-potential test are summed together to determine the total project increases. These increases are compared against the Significant Emission Rate (SER) for each NSR pollutant.

Summary of Hybrid Test									
Source	PM	PM ₁₀	PM _{2.5}	VOC	SO ₂	NO _x	CO	Lead	CO _{2e}
Total ATPA Debottlenecked Sources Emission Increase	16.90	2.80	1.50	0	0	0	0	0	0
Total ATP New Sources Emission Increase	5.78	5.73	5.73	142.52	3.48	31.02	83.54	0.007	28,799
Hybrid Test Total Emission Increase	22.68	8.53	7.23	142.52	3.48	31.02	83.54	0.007	28,799
PSD Significant Emission Rate (SER)	25	15	10	40	40	40	100	0.6	75,000
% of PSD SER Threshold	90.7%	56.7%	72.3%	356%	8.8%	77.5%	83.6%	1.1%	38.4%

As shown above, a significant emission increase will occur for VOC as a result of the project. Anthony Forest Products has elected not perform an emission netting review. Therefore, the evaluation of the significant emission increase as outlined above is sufficient.

As Anthony Forest Products Company is subject to PSD permitting for VOC, review of the Best Available Control Technology (BACT) for VOC was completed as required by PSD regulation, 40 CFR §52.21(j). The BACT summary outlines the control technology analysis completed to ensure the application of BACT for VOC.

Additionally, the PSD required impact analysis of the ambient air impacts associated with the project was completed. The purpose of the analysis is to demonstrate that the emissions from the proposed new major stationary source, in conjunction with applicable emissions increases and decreases from existing and “proposed” new off-site sources, will neither cause nor contribute to a violation of the National Ambient Air Quality Standard (NAAQS). There are separate increment standards for Class I areas (federally protected lands) and Class II areas (all other areas). A PSD impact analysis for this project is required only for ozone of which VOC is a precursor, not for VOC.

Ozone Impact Analysis

VOC and NOx are recognized as precursors to ozone, which has an established NAAQS. Since the project has a significant emissions increase of VOC, an evaluation in terms of VOC effect on attainment status of ozone is required. Pursuant to 40 CFR §52.21(m), air quality monitoring must be conducted for each pollutant potentially emitted at a significant emission rate by the proposed source or modification. Therefore, a pre-construction ambient monitoring analysis would be required for ozone emissions, and monitoring data would be required to be submitted as part of this application. As demonstrated below, the pre-construction monitoring is fulfilled with the existing monitoring stations operated by the ADEQ, as the monitoring is representative of the conditions at the facility.

The two ozone monitoring sites that best represent the ozone concentration in the region surrounding the facility are Caddo Valley station (05-019-9991) and the Monroe Airport (22-073-0004). These monitors were identified based on the proximity to the facility and the similarity of the surrounding air shed in the region of the monitoring station to Anthony Forest Products. Note that both Little Rock (168 - 186 km) and Shreveport (140 - 170 km) metropolitan areas have multiple monitors, but these monitors’ ozone concentrations are driven by their urban air shed and are not representative of the rural nature of Anthony Forest Products. The 4th high daily maximum 8-hour concentrations averaged over 3 years (2014-2016) are shown in the table below.

Background Ozone Concentration						
Station	County	Distance (km)	Emissions (µg/m ³)			
			2014	2015	2016	3-Year Average (2014-2016)
Caddo Valley	Clark County, AR	127	59	60	54	58
Monroe Airport	Ouachita Parish, LA	81	59	60	60	60

The increase in ozone formation from the proposed kiln conversion at the Anthony Forest Products facility is expected to be insignificant. The total potential emission increases associated with the projects is 142.5 tpy VOC and 31.0 tpy NOx. This represents a total emitted VOC

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increase of 0.2% over a 2014 baseline (72,711 tpy) and a NO_x increase of 0.9% over a 2014 baseline (3,532 tpy) from Union County as obtained from EPA AirData County Emissions Map, 2011 (<http://www.epa.gov/air/emissions/>). Only accounting for the baseline emissions from Union County, the ratio of VOC to NO_x is 20.6:1. This approach is a conservative estimation of the VOC to NO_x ratio as it does not account for the less industrially developed surrounding counties and other regional impacts. The proposed project will have a negligible impact on this ratio.

Based on the Union County area's low concentration of ozone, attainment status, and continued declining background concentration (in decline from 2006 to 2014), along with the Anthony Forest Products projected VOC emissions presenting a minor increase in total VOC emissions, there is no expected effect on the attainment status of the region.

Additional Impacts Analysis

The potential impact due to the proposed project of air emissions associated with construction, and related growth, are presented in this section along with an assessment of the impact on soil, vegetation, endangered species, and visibility. A qualitative approach has been taken to these analyses for areas which do not have well established analytical techniques.

Construction and Growth Impacts

The proposed project has no effect on construction and growth impacts. During construction, Anthony Forest Products will minimize the impact on the surrounding environment primarily focusing on reduction of the formation of fugitive particles.

The construction and operation from the project at Anthony Forest Products should not result in any noticeable residential growth in the area. There is expected gradual commercial growth in the area; however, this growth is not expected to be directly due to the proposed project at the Anthony Forest Products facility.

Impact on Soil and Vegetation

The effects of air pollution on vegetation can be classified into three distinct categories: acute, chronic, and long-term. Acute effects are those resulting from a short exposure (< 1 month) to high concentrations. Chronic effects refer to those developed from exposure to a threshold level of pollutant over months or years. Long-term effects refer to abnormal changes in ecosystems and subtle physiological alterations in organisms. Both acute and chronic effects can be the result of an air borne pollutant acting directly on an organism while long-term effects can be indirectly caused by secondary effects such as changes in soil pH.

The secondary NAAQS are intended to protect the public welfare from adverse effects of airborne pollutants. This protection extends to soil and vegetation. Predicted concentrations of VOC resulting from the kiln project will not significantly impact ozone concentration and will not cause or contribute to violation of the NAAQS. Because the NAAQS were established to

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protect soil and vegetation, no significant impacts on the soil and vegetation are expected due to the proposed project.

In addition to BACT, Anthony Forest Products will utilize good working practices for equipment associated with the proposed kiln project. The combination of BACT, good work practices, and minimal air quality impacts will result in minimal impact on the soil and vegetation in and around the site.

Analysis of Endangered Species

An air quality impact analysis has been performed for VOC. The proposed project will result in potential impacts below the secondary NAAQS. It is possible that some endangered species may be present in Union County; however, through compliance with the NAAQS, Anthony Forest Products does not expect to have an impact on any endangered species. According to the U.S. Fish and Wildlife Service, the only currently endangered species possibly located in Union County is the Red-cockaded woodpecker.

In addition to BACT, Anthony Forest Products will utilize good working practices for equipment associated with the proposed project. The combination of BACT, good work practices, and minimal air quality impacts will result in the proposed project having minimal impact on endangered species potentially near the site.

Impact on Visibility (Regional Haze Analysis)

One component of the PSD regulations includes the protection of air quality and air quality related values (AQRV) at potentially affected nearby Class I areas. Assessment of the potential impact to visibility is required within 300 km of a Class I area. The only Class I area within 300 km of Anthony Forest Products is the Caney Creek Wilderness Area at about 200 km.

Based on the Federal Land Managers Air Quality Related Values Work Group (FLAG) 2010 Report, Class I evaluations for visibility are not required for a facility if the Q/D ratio for the project is less than or equal to 10 (as long as the Class I area is beyond 50 km from the site). The Q in the Q/D equation is equal to 57.2 tpy and is based on the increase in all visibility affecting pollutants (NO_x, SO₂, PM, and H₂SO₄) calculated on the basis of maximum 24-hr emissions in tons/yr resulting from the project. The D in the equation is based on the distance (km) from the site to the Class I area. The Q/D for the Caney Creek Wilderness Area is 0.3 well below the screening value of 10.

Given that the amount of visibility affecting pollutants emitted from the project are minimal and the low Q/D value, it is concluded that the project will have an insignificant effect on visibility in this Class I area. Anthony Forest Products does not believe additional screening is required. The Request for Applicability of Class I Area Modeling Analysis form is attached to confirm this assumption.

BACT Analysis

During the lumber drying process, organic compounds present in the wood will be released. These are organic compounds that are in gaseous form at the elevated temperature of the wood, and are comprised largely of lower molecular weight volatiles, and higher molecular weight resin and fatty acids. The type and amounts of compounds released will depend on several factors related to the drying process, including the kiln temperature, the surface area of the wood material relative to its mass, initial moisture content, and the amount of moisture removed from the material as well as the wood species dried. A biomass gasifier/burner is the heat source for DPK #3 (SN-27), the kiln can utilize a natural gas burner as an auxiliary fuel. An abort stack (SN-28) is necessary during startup or for unplanned shutdown of the gasifier/burner. The EPA RACT/BACT/LAER Clearinghouse (RBLC) was searched for lumber drying kilns (process type 30.8) permitted after January 1, 2007. In cases where BACT was specified, it was determined to be proper maintenance & operations such as “work practice standards”, “proper maintenance and operation”, and “proper temperature and process management; drying to appropriate moisture content” with no additional/add-on control.

As the review of the RBLC did not reveal any facilities that have add on control for lumber drying kilns, a search was also completed of VOC control technologies for other processes that could possibly be applied to a lumber drying kiln. Control technologies evaluated are:

- Regenerative Thermal Oxidation
- Regenerative Catalytic Oxidation
- Carbon Adsorption
- Condensation
- Biofiltration
- Wet Scrubbing
- Proper Maintenance & Operation

Regenerative Thermal Oxidation: Regenerative Thermal Oxidizer (RTO) units use beds of ceramic pieces to recover and store heat. A VOC-laden air stream passes through a heated ceramic bed before entering a combustion chamber. In the combustion chamber, the VOC- laden gas stream is heated by auxiliary fuel (natural gas) combustion to a final oxidation temperature typically between 1,400°F to 1,500°F and maintained at this temperature to achieve maximum VOC destruction. The exhaust gases from the combustion chamber are used to heat another ceramic bed. Periodically, the flow is reversed so the bed that was being heated is now used to preheat the VOC-laden gas stream. Usually, there are three or more beds that are continually cycled. Destruction efficiency of VOC depends upon the design criteria (i.e., chamber temperature, residence time, inlet VOC concentration, compound type, and degree of mixing). Typical VOC destructive efficiency ranges from 95 to 99% for RTO systems depending on system requirements and characteristics of the contaminated stream. Lower control efficiencies are generally associated with lower concentration flows.

Due to the high moisture content and low exit temperature in the exhaust stream, RTO would be technically infeasible.

Regenerative Catalytic Oxidation: Regenerative catalytic oxidizer (RCO) units function similar to RTO, except that the heat recovery beds in RCO contain catalytic media. The catalyst accelerates the rate of VOC oxidation and allows for VOC destruction at lower temperatures than in an RTO, typically 600°F to 1,000°F, which reduces auxiliary fuel usage. Typical VOC destructive efficiency ranges from 90 to 99% for RCO systems. However, this also depends on system requirements and characteristics of the contaminated stream.

Although regenerative catalytic oxidizers can operate at a lower temperature than thermal oxidizers, the temperature of the exit stream from lumber drying kilns is still not high enough for optimal function of the catalytic oxidizer. Furthermore, loss of catalytic activity occurs due to fouling by particulate matter or suppression or poisoning from other contaminants in the waste gas stream. In order to effectively use catalytic oxidation, the contaminants must be removed from the waste gas stream. Removing these contaminants would require additional control equipment which adds greatly to the cost of the system. Catalysts must periodically be replaced due to thermal aging, adding significantly to the cost of operating the unit in addition to creating solid waste. Catalytic oxidation has never been applied to a lumber drying kiln. Regenerative catalytic oxidation is not considered feasible for the kiln.

Carbon Adsorption: The core component of a carbon adsorption system is an activated carbon bed contained in a steel vessel. The VOC-laden gases pass through the carbon bed and the VOCs are adsorbed on the activated carbon. The cleaned gas is discharged to the atmosphere. The spent carbon is regenerated either at an onsite regeneration facility or by an off-site activated carbon supplier. Steam is used to replace adsorbed organic compounds at high temperatures to regenerate the spent carbon. At proper operating conditions, carbon adsorption systems have demonstrated VOC reduction efficiencies of approximately 90 to 95%.

Carbon adsorption is not practical because of the high moisture content of the exhaust stream from lumber drying kilns. At high moisture content, water molecules begin to compete with the hydrocarbon molecules for active adsorption sites. This reduces the capacity and the efficiency of the adsorption system. For the reason stated above and because there are currently no known lumber drying kilns that are equipped with carbon adsorption system, the use of carbon adsorption systems for the proposed kiln is not considered technically feasible.

Condensation: Condensation removes vaporous contaminants from the gas stream by cooling it and converting the vapor into a liquid. In some instances, control of VOC can be satisfactorily achieved entirely by condensation. However, most applications require additional control methods. In such cases, the use of a condensation process reduces the concentration load on downstream control equipment. The two most common type of condensation devices are contact or barometric condensers and surface condensers.

Condensation is only effective when the gas steam can be cooled to a temperature where VOC constituent condenses as a liquid out of the gas stream. To condense terpenes, the primary constituent of lumber kiln VOC emissions, the temperature would need to be reduced to -40°F. At this temperature, freezing of the water vapor would generate ice, causing unacceptable plugging of the unit. Condensation is not technically feasible for the proposed kiln.

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Biofiltration: Biofiltration is an air pollution control technology in which off-gases containing biodegradable organic compounds are vented, under controlled temperature and humidity, through a special filter material containing microorganisms. As exhaust gases pass through the biofilter, VOC is absorbed on the filter material, and the microorganisms break down the compounds and transform them into CO₂ and H₂O with varying efficiency.

The most important variable affecting bioreactor operations is temperature. Most microorganisms can survive and flourish in a temperature range of 60 to 105°F (30 to 41°C). The exiting exhaust temperature of the proposed lumber kiln is approximately 140 - 200°F. Furthermore, the VOC emissions from the kilns are primarily terpenes. Terpenes are highly viscous and would foul the biofilter. Biofiltration is not technically feasible for the proposed lumber drying kiln.

Wet Scrubbing: Scrubbing of gas or vapor pollutants from a gas stream is usually accomplished in a packed column (or other type of column) where pollutants are absorbed by counter-current flow of a scrubbing liquid. A VOC-laden gas stream with relatively high water solubility is required in order for the wet scrubber to be effective.

The VOC emissions from the kiln are primarily terpenes. Terpenes are not highly soluble. Moreover, they are highly viscous and would foul the absorption media of a wet scrubber. Wet scrubbing is not technically feasible for the proposed lumber drying kiln.

Proper Maintenance and Operation: Proper maintenance and operation of lumber drying kilns can effectively reduce VOC emissions. Proper drying schedule and temperature should be selected based on moisture content and manufacturer's specifications. Routine maintenance should also be completed on all kilns based on manufacturer's recommendations.

Proper maintenance and operation is the only control technology considered technically feasible. The RBLC search shows other emission factors utilized in permitting emission limits of VOC; there is no information to determine that these factors can be routinely "achieved in practice". The species of wood dried within a kiln has a distinct impact on the resulting VOC emissions. The emission factor proposed for the VOC emission limits of 3.8 lb/MBf matches the uncontrolled emission factor in the Arkansas Department of Environmental Quality (ADEQ) VOC Emissions from Lumber Drying Kilns Guidance memorandum dated October 31, 2014.

Permit #1681-AOP-R16 was issued on September 25, 2018. With this minor modification, the facility requested to remove the hour of operation limit for burning natural gas as fuel for DPK #3 (SN-27). Only the annual NO_x and HAPs emissions increased as a result of this change. The facility's permitted annual emissions are increasing by 7.2 tpy NO_x and 0.26 tpy total other HAPs.

Permit #1681-AOP-R17 was issued on February 6, 2019. With this minor modification, the facility removed the existing direct fired dual path lumber kiln, DPK #2 (SN-14), and associated abort stack (SN-24) that burned down in August. The facility also installed a new direct fired dual path lumber kiln, DPK #2 (SN-30), and abort stack (SN-31). SN-30 has a drying capacity

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of 11.9 MBf/hr and a production limit of 93.5 MMBf/yr. There were no changes to the facility's permitted annual emissions.

Permit #1681-AOP-R18 was issued on April 30, 2019. With this minor modification, the facility paved sections of the log yard haul road and reduced the emission limits for the log yard haul road fugitive emissions (SN-20). The facility's permitted annual emissions decreased by 5.6 tpy PM and 1.6 tpy PM₁₀.

Permit #1681-AOP-R19 was issued on March 9, 2020. This was a Title V permit renewal for the facility. In this renewal, the facility requested the following changes:

1. Updated the emissions from the abort stacks (SN-25, SN-28, and SN-31) to be bubbled with the emissions from the DPKs (SN-23, SN-27, and SN-30) during normal operations. The hot gas stream from the gasifier/burners may be diverted to the DPK abort stacks (SN-25 for DPK #1, SN-31 for DPK #2, and SN-28 for DPK #3) for unplanned shutdowns or temporary idling during normal operations. There was no emissions increase.
2. Removed the throughput limit for sawdust from Specific Condition #4. The DPK emissions were calculated based on dried lumber throughput only.
3. Updated Specific Condition #10 to remove the requirement to test at 90% of the gasifier/burner capacity. Testing shall be conducted with the source operating at 90% of the kiln capacity only.
4. Updated Specific Condition #11 to apply only during startups. Diesel will only be burned during startups and the hour of operation limits only apply for startups.
5. Removed Specific Condition #18 and #19. Emissions from the sawmill (SN-06) will be based on the logs being transported since all raw logs transported onsite are processed through the sawmill.
6. Removed throughput limits for by-products from Specific Condition #24. The emission calculations for the by-products were based on the mill's recovery factors and they have been updated to provide a more conservative estimate.

The facility's permitted annual emissions increased by 1.4 tpy PM and 0.2 tpy PM₁₀.

Permit #1681-AOP-R20 was issued on November 1, 2022. The facility made several upgrades to increase the total production to 360.11 MMBf/yr. The upgrades included:

1. Upgraded the sawmill (SN-06) and wood residual systems that increased the hourly capacity from 225 tons green log/hr to 300 tons green log/hr. Also included controls from a partial enclosure.
2. Installed a fourth direct fired dual path lumber kiln (SN-32). The new kiln was equipped with a 40 MMBtu/hr biomass burner with an abort stack (SN-33) and had an annual capacity of 120 MMBf/yr. This resulted in a net increase in VOC emissions that exceeded the PSD significance level. An initial test was required to verify PM₁₀ and CO emissions.
3. Removed the planer mill (SN-21) and its associated cyclone (IA) and installed a new planer mill with a quad pack cyclone system (SN-34).
4. Updated the haul roads emissions (SN-20) to incorporate new routes, updated the annual throughput, and silt content of the roads.

5. Updated the insignificant activity list.

The facility's permitted annual emissions increased by 5.1 tpy PM₁₀, 4.5 tpy SO₂, 228.1 tpy VOC, 90.2 tpy CO, 17.1 tpy NO_x, 8.4E-03 tpy lead, 1.4E-03 tpy antimony, 3.88E-03 tpy arsenic, 1.94E-04 tpy beryllium, 7.2E-04 tpy cadmium, 4.48E-01 tpy chlorine, 3.66E-03 tpy chromium, 6.1E-04 tpy chromium VI, 1.14E-03 tpy cobalt, 10.63 tpy HCl, 2.83E-01 tpy manganese, 6.2E-04 tpy mercury, 13.08 tpy methanol, 9E-06 tpy pentachlorophenol, 4.8E-03 tpy phosphorus, 5E-04 tpy selenium, 6.91 tpy formaldehyde. The facility's permitted annual emissions decreased by 22.5 tpy PM and 1.73E-01 tpy acrolein.

Prevention of Significant Deterioration

The existing kilns (SN-23, SN-30, and SN-27) serve as the production bottleneck of the facility. The existing upstream or downstream source from the kilns could obtain the existing production limit of 240.1 MMBf/yr with increased hours of operations without a physical change or change in method of operation. AFP is submitting this application to make several upgrades to the mill to increase the total overall production capacity of the facility from 204.11 MMBf/yr to 360.11 MMBf/yr dried lumber. The upgrades to the mill include the following:

1. Upgrades to the sawmill and wood residual systems to increase the hourly capacity from 225 ton green log/hr to 300 tons green log/hr.
2. Installing a fourth direct fired dual path lumber kiln, DPK #4 (proposed SN-32). The new kiln will be equipped with a 40 MMBtu/hr biomass burner with an Abort Stack (proposed SN-33) and will have an annual capacity of 120 MMBf/yr.
3. Upgrades to the planer mill including replacing the existing planer mill cyclone (SN-21) and planer mill trim cyclone (IA-A13) with a new quad pack cyclone system (proposed SN-34).
4. Misc upgrades including a new bark bin (IA-13) and a new route to the Haul Roads (SN-20).
5. The increased production capacity will debottleneck most processes at the facility including the Log Yard Haul Roads (SN-20), Sawdust Storage Silo (IA-13), Bins (IA-13), and storage piles (IA-13).

AFP believes the project to replace the fuel tanks in late 2021 and early 2022 and the proposed capacity upgrades to the mill are independent project and are financially and technically feasible without the other.

Significant Emission Increase

According to 40 CFR §52.21(a)(2)(iv)(b), the procedure for calculating whether a major modification occurs is based on if there will be a significant emission increase of an NSR regulated pollutant and the type of emission units being modified. These procedures are outlined in 40 CFR § 52.21(a)(2)(iv)(c)-(f). As this project is a change to an existing facility involving a new emission unit and affecting existing emission units, the hybrid test of 40 CFR § 52.21(a)(2)(iv)(f) is the relevant method for calculating the emission increases associated with the project.

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The hybrid test requires an actual-to-projected-actual applicability test (ATPAT) for existing sources and actual-to-potential test (ATPT) for new emission units. The hybrid test evaluates the sum of the emission increases from the two sets of tests to determine if the significant emission rate (SER) is exceeded for each NSR pollutant. The existing sources as defined in 40 CFR § 52.21(b)(7)(ii) include all modified emissions units and unmodified but affected emissions units. The following emissions units are existing source impacted by the project:

- Sawmill (SN-06)
- Log Yard Haul Roads (SN-20)
- Planer Mill (SN-21)/Quad Pack Cyclone (SN-34)
- Sawdust Storage Silo (IA-13)
- Chip Bin (IA-13)
- Planer Mill Woodwaste Storage Bins (IA-13)
- Planer Mill Trim Cyclone (IA-13)
- Bark Pile Handling (IA-13)
- Sawdust Pile Handling (IA-13)
- BioChar Pile Handling (IA-13)
- Chip Overflow Pile Handling (IA-13)
- Bark Pile (Wind Erosion) (IA-13)
- Sawdust Pile (Wind Erosion) (IA-13)
- BioChar Pile (Wind Erosion) (IA-13)
- Chip Overflow Pile (Wind Erosion) (IA-13)

AFP believes that the Planer Mill is the emissions unit as defined within 40 CFR § 52.21(b)(7). Therefore, the replacement of the existing cyclone system (SN-21) with a High Efficiency Cyclone (SN-34) does not make the Planer Mill emissions unit a new source. Of note for this project the resulting emissions increases in the hybrid test do not change if the Planer Mill emissions unit is considered a new or existing emissions unit. The new sources as defined in 40 CFR § 52.21(b)(7)(i) include:

- DPK #4 (SN-32)
- DPK #4 Abort Stack (SN-33)
- Bark Bin (IA-13)
- Sawdust Conveyance (IA-13)
- Chips Conveyance (IA-13)
- Sawdust Fines Conveyance (IA-13)

The existing dual path kilns (SN-23, SN-30, and SN-27), Emergency Fire Pump Engine (SN-26) and the parts washer (IA-13) are not modified, debottlenecked, or have an increase in emissions due to the project.

Actual-to-Projected-Actual Test

The procedure for the ATPAT is outlined in 40 CFR § 52.21(a)(2)(iv)(c). Additionally, 40 CFR § 52.21(b)(41) provides the methodology on how the Projected Actual Emissions (PAE) should be calculated within the ATPAT. The PAE should evaluate the exclusion of any Could Have Accommodated (CHA) emissions as defined in 40 CFR § 52.21(b)(41)(ii)(c). Excludable Emissions (EE) are calculated as the portion of adjusted CHA above the BAE that the existing emissions unit could have accommodated and that does not result from the project.

Actual-to-projected-actual test (ATPA): Debottlenecked Sources			
Source	PM	PM ₁₀	PM _{2.5}
Sawmill (SN-06) BAE	2.51	1.31	0.48
Log Yard Haul Roads (SN-20) BAE	15.79	4.02	0.57
Planer Mill (SN-21) BAE	4.60	1.84	0.92
Sawdust Storage Silo (IA-13) BAE	0.090	0.007	0.007
Chip Bin (IA-13) BAE	0.094	0.009	0.009
Planer Mill Biomass Storage Bins (IA-13) BAE	0.059	0.005	0.005
Planer Mill Trim Cyclone (IA-13) BAE	0.122	0.131	0.131
Bark Pile: Handling (IA-13) BAE	6.7E-4	3.2E-4	5E-5
Sawdust Pile: Handling (IA-13) BAE	1.1E-4	5E-5	1E-5
BioChar Pile: Handling (IA-13) BAE	0.0178	0.0084	0.0013
Chip Overflow Pile: Handling (IA-13) BAE	3.2E-4	1.5E-4	2E-5
Bark Pile: Wind Erosion (IA-13) BAE	0.005	0.003	0.0004
Sawdust Pile: Wind Erosion (IA-13) BAE	0.018	0.009	0.0013
BioChar Pile: Wind Erosion (IA-13) BAE	0.051	0.025	0.0038
Chip Overflow Pile: Wind Erosion (IA-13) BAE	0.005	0.003	0.0004
Sawmill (SN-06) PAE	5.47	2.83	1.04
Log Yard Haul Roads (SN-20) PAE	2.58	0.52	0.13
Planer Mill (SN-34) PAE	1.22	1.20	1.20
Sawdust Storage Silo (IA-13) PAE	0.209	0.017	0.017
Chip Bin (IA-13) PAE	0.213	0.021	0.021
Planer Mill Biomass Storage Bins (IA-13) PAE	0.133	0.011	0.011

Actual-to-projected-actual test (ATPA): Debottlenecked Sources			
Source	PM	PM ₁₀	PM _{2.5}
Planer Mill Trim Cyclone (IA-13) PAE	0	0	0
Bark Pile: Handling (IA-13) PAE	0.007	0.003	0.001
Sawdust Pile: Handling (IA-13) PAE	0.001	2E-4	4E-5
BioChar Pile: Handling (IA-13) PAE	0.041	0.019	0.003
Chip Overflow Pile: Handling (IA-13) PAE	0.001	0.001	1E-4
Bark Pile: Wind Erosion (IA-13) PAE	0.243	0.121	0.018
Sawdust Pile: Wind Erosion (IA-13) PAE	0.788	0.394	0.059
BioChar Pile: Wind Erosion (IA-13) PAE	0.301	0.151	0.023
Chip Overflow Pile: Wind Erosion (IA-13) PAE	0.243	0.121	0.018
Sawmill (SN-06) EE	1.03	0.53	0.20
Log Yard Haul Roads (SN-20) EE	0.42	0.08	0.02
Planer Mill (SN-21) EE	0	0	0
Sawdust Storage Silo (IA-13) EE	0.03	0	0
Chip Bin (IA-13) EE	0.04	0	0
Planer Mill Biomass Storage Bins (IA-13) EE	0.02	0	0
Planer Mill Trim Cyclone (IA-13) EE	0	0	0
Bark Pile: Handling (IA-13) EE	0	0	0
Sawdust Pile: Handling (IA-13) EE	1E-4	0	0
BioChar Pile: Handling (IA-13) EE	0.006	0.003	0
Chip Overflow Pile: Handling (IA-13) EE	0	1E-4	1E-5
Bark Pile: Wind Erosion (IA-13) EE	0	0	0
Sawdust Pile: Wind Erosion (IA-13) EE	-0.017	-0.009	-0.0013
BioChar Pile: Wind Erosion (IA-13) EE	-0.01	-0.006	-9E-4
Chip Overflow Pile: Wind Erosion (IA-13) EE	-0.004	-0.002	-3E-4
Total ATPA BAE	8.79	3.59	1.62
Total ATPA PAE	11.45	5.41	2.54

Actual-to-projected-actual test (ATPA): Debottlenecked Sources			
Source	PM	PM ₁₀	PM _{2.5}
Total ATPA EE	1.52	0.61	0.22
Total ATPA (PAE – EE – BAE)	1.14	1.21	0.70

Actual-to-Potential Test for New Emission Units

The actual-to-potential test (ATPT) as defined in 40 CFR § 52.21(a)(2)(iv)(d) is used to determine the emission increases from the new emission units. Since the all the new emissions units being reviewed for their emissions increases are being permitted for their initial construction and operation with this application, the BAE for these new sources were set equal to zero in accordance 40 CFR § 52.21(b)(48)(iii).

Actual-to-Potential test (ATP): New Sources									
Source	PM	PM ₁₀	PM _{2.5}	VOC	SO ₂	NO _x	CO	Lead	CO _{2e}
DPK#4 (SN-32) BAE	0	0	0	0	0	0	0	0	0
DPK#4 Abort Stack (SN-33) BAE	0	0	0	0	0	0	0	0	0
Bark Bin (IA-13) BAE	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A
Sawdust Conveyance (IA-13) BAE	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A
Chips Conveyance (IA-13) BAE	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A
Sawdust Fines Conveyance (IA-13) BAE	0	0	0	N/A	N/A	N/A	N/A	N/A	N/A
DPK#4 (SN-32) PTE	6.18	8.58	8.58	228.0	4.38	16.8	89.35	3.1E-3	36,714
DPK#4 Abort Stack (SN-33) PTE	0.42	0.39	0.34	0.02	0.03	0.28	0.76	2.3E-5	264.5
Bark Bin (IA-13) PTE	0.29	0.02	0.02	N/A	N/A	N/A	N/A	N/A	N/A
Sawdust Conveyance (IA-13) PTE	0.01	0.005	0.001	N/A	N/A	N/A	N/A	N/A	N/A
Chips Conveyance (IA-13) PTE	0.022	0.01	0.002	N/A	N/A	N/A	N/A	N/A	N/A
Sawdust Fines Conveyance (IA-13) PTE	2.3E-4	1.1E-4	2E-5	N/A	N/A	N/A	N/A	N/A	N/A
Total BAE	0	0	0	0	0	0	0	0	0
Total PAE	6.92	9.01	8.94	228.02	4.41	17.08	90.11	3.1E-3	36,979

Actual-to-Potential test (ATP): New Sources									
Source	PM	PM ₁₀	PM _{2.5}	VOC	SO ₂	NO _x	CO	Lead	CO _{2e}
Total ATP New Sources Emission Increase	6.92	9.01	8.94	228.02	4.41	17.08	90.11	3.1E-3	36,979

Hybrid Test

The total increases from both the actual-to-projected-actual test and the actual-to potential test are summed together to determine the total project increases. These increases are compared against the Significant Emission Rate (SER) for each NSR pollutant. As shown in the table below, the proposed project exceeds the SER and thus has a significant emission increase for volatile organic compounds (VOC) only.

Summary of Hybrid Test									
Source	PM	PM ₁₀	PM _{2.5}	VOC	SO ₂	NO _x	CO	Lead	CO _{2e}
Total ATPA Debottlenecked Sources Emission Increase	1.14	1.21	0.70	0	0	0	0	0	0
Total ATP New Sources Emission Increase	6.92	9.01	8.94	228.02	4.41	17.08	90.11	3.1E-3	36,979
Hybrid Test Total Emission Increase	8.06	10.21	9.64	228.02	4.41	17.08	90.11	3.1E-3	36,979
PSD Significant Emission Rate (SER)	25	15	10	40	40	40	100	0.6	75,000
% of PSD SER Threshold	32.2%	68.1%	96.4%	570%	11.0%	42.7%	90.1%	0.5%	49.3%

As shown above, a significant emission increase will occur for VOC as a result of the project. Anthony Forest Products has elected not to perform an emission netting review. Therefore, the evaluation of the significant emission increase as outlined above is sufficient.

As Anthony Forest Products Company is subject to PSD permitting for VOC, review of the Best Available Control Technology (BACT) for VOC was completed as required by PSD regulation, 40 CFR § 52.21(j). The BACT summary outlines the control technology analysis completed to ensure the application of BACT for VOC.

Additionally, the PSD required impact analysis of the ambient air impacts associated with the project was completed. The purpose of the analysis is to demonstrate that the emissions from the proposed new major stationary source, in conjunction with applicable emissions increases and decreases from existing and “proposed” new off-site sources, will neither cause nor contribute to a violation of the National Ambient Air Quality Standard (NAAQS). There are separate increment standards for Class I areas (federally protected lands) and Class II areas (all other

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areas). A PSD impact analysis for this project is required only for ozone of which VOC is a precursor, not for VOC.

Ozone Air Quality Review

Pursuant to 40 CFR § 52.21(m), air quality monitoring must be conducted for each pollutant potentially emitted at a significant emission rate by the proposed source or modification. Because the proposed project triggers PSD review for VOC, an ambient impact analysis for ozone is required. In addition, as the emissions of VOC exceed the monitoring de minimis level of 100 tpy, an evaluation is required to determine if representative ozone data are available in lieu of pre-construction ozone monitoring. Existing air quality may be used in lieu of pre-construction monitoring if:

- The data are representative of the proposed facility's impact areas;
- The data are of similar quality as would be obtained if the applicant monitored according to the PSD requirements; and
- The data are current; that is, the data have been collected during the two-year period preceding the permit application, provided the data are still representative of current conditions.

The two ozone monitoring sites that best represent the ozone concentration in the region surrounding the facility are Arkadelphia, AR station (05-019-9991) and the Monroe Airport (22-073-0004). These monitors were identified based on the proximity to the facility and the similarity of the surrounding airshed in the region of the monitoring station to AFP. Due to its distance from Cleveland MS station (28-011-0002) (172 km) it was not considered representative of AFP. Both Little Rock (186 km) and Shreveport (140 - 142 km) metropolitan areas have monitor(s), but these monitor(s)' ozone concentrations are driven by their local urban airshed and are not representative of the rural nature of the airshed impacts by AFP.

Arkadelphia, AR station (05-019-9991) and the Monroe Airport (22-073-0004) data is considered of good quality and is suitable for comparison to the O₃ NAAQS. EPA operates the Arkadelphia, AR station (05-019-9991) as part of the Clean Air Status and Trends Network (CASTNET). This ozone monitor is compliant with the regulatory requirements in 40 CFR Parts 50, 53, and 58. Therefore, ozone measurements from this site will also be used to determine if an area meets or exceeds the NAAQS. In addition, the Monroe Airport (22-073-0004) station is general background exposure monitor that is suitable for comparison to the O₃ NAAQS. The availability of current, representative monitored ozone data that are of good quality and were collected appropriately precludes the need for additional pre-construction ambient ozone monitoring for the project. The 4th high daily maximum 8-hour concentration averaged over 3 years (2019-2021) are shown in the table below

Background Ozone Concentration				
Station	County	AQS Site ID	Distance (km)	Design Value in ppb (2019-2021)
Arkadelphia, AR	Clark County, AR	05-019-9991	127	57
Monroe Airport	Ouachita Parish, LA	22-073-0004	81	58
Little Rock – North Little Rock – Conway, AR	Pulaski, AR	05-119-1002	186	63
Cleveland, MS	Bolivar, MS	28-011-0002	172	61
Shreveport-Bossier City, LA	Bossier, LA	22-015-0008	140	58
	Caddo, LA	22-017-0001	142	59

Ozone is formed by the reaction of sunlight on air containing VOC and NOX. In the region, ozone formation is limited by NOx emissions due to high amounts of biogenic VOC in the atmosphere. The increase in ozone formation from the proposed kiln conversion at the AFP project is expected to be insignificant. The total potential emission increases associated with the projects is 228 tpy VOC and 17 tpy NOx. This represents a total emitted VOC increase of 0.5% over a 2017 baseline (44,551 tpy) and a NOx increase of 0.5% over a 2017 baseline (3,153 tpy) from Union County as obtained from EPA 2017 National Emission Inventory (NEI) Tier 2 summaries. Because ozone formation is NOX limited in the region, the increase in VOC emissions from the proposed project is not expected to significantly affect ozone concentrations in the vicinity of, or downwind of AFP.

Based on Union County area's low concentration of ozone, attainment status, and maintenance of the NAAQS, along with the AFP projected VOC emissions presenting a minor increase in total VOC emissions, there is no expected effect on the attainment status of the region.

Modeled Emission Rates for Precursors (MERP)

In December 2016, a final revision to the U.S. EPA's Appendix W, Guideline on Air Quality Models was signed. This revision provided more specific guidance for assessing the impacts of an individual source on ozone. In April 2019, EPA finalized the Modeled Emission Rates for Precursors (MERPs) Tier I guidance. The use of MERPs is a Tier 1 demonstration tool based either on EPA photochemical modeling with the source-specific value for a representative hypothetical source or the source or area-specific value derived from a more similar hypothetical source modeled by a permit applicant or permitting authority. EPA recommends a three step process a permit application can follow when using the MERPs.

1. Identify a representative hypothetical source from EPA's modeling, an EPA derived MERP value available for the broader geographic area or conducting photochemical modeling to derive appropriate information to derive a source or area-specific value.

2. Acquire the source characteristics and associated modeling results for the hypothetical source(s).
3. Apply the source characteristics and photochemical modeling results from Step 2 to the MERP equation with the appropriate SIL value to assess the project source impacts.

AFP is not located in an area with unusual circumstances regarding complex terrain and as shown in above Ozone Impact Analysis Union County is not in proximity to very large stationary sources of either NO_x or VOC. In addition, the current design values of all nearby monitors are in attainment of the NAAQS and would maintain the NAAQS with a SIL increase of 1 ppb. Thus, the climate zone may be defined as the relevant geographic area such that the most conservative (lowest) 8-hour ozone VOC MERP value of 2,307 tpy with Table 4-1 of EPA's guidance for the South Region could be considered representative. This very conservative MERP was chosen for comparison with the project emissions rather than selecting a more representative particular hypothetical source from this same climate zone.

The projects total emissions VOC increase of 228 tpy is expressed as a percent of the selected MERP value in step 2. A value less than 100% indicates that the EPA recommended 8-hour Ozone SIL will not be exceeded by the project. As the calculation demonstrates the project will only have an impact of 6% of the EPA Ozone SIL no further analysis is required.

Additional Impacts

An additional impacts analysis is required under the PSD requirements at 40 CFR § 52.21(o) to evaluate the effects of economic growth and the effect on soils, vegetation, and visibility from regulated compounds emitted in significant quantities from a new or modified major stationary source. A qualitative approach has been taken to these analyses for areas which do not have well established analytical techniques.

Construction and Growth Impacts

The growth analysis evaluates the impact associated with the project on the general commercial, residential, and industrial growth within the project vicinity. PSD requires an assessment of the secondary impacts from applicable projects. During construction, Anthony Forest Products will minimize the impact on the surrounding environment primarily focusing on reduction of the formation of fugitive particles.

The construction and operation from the project at Anthony Forest Products should not result in any noticeable residential growth in the area. There is expected gradual commercial growth in the area, however, this growth is not expected to be directly due to the proposed project at the Anthony Forest Products facility.

Impact on Soil and Vegetation

PSD regulations require an evaluation of the impact of project emissions on soils and vegetation. The analysis is required only for those pollutants for which PSD review is triggered. EPA

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guidance, A Screening Procedure for the Impacts of Air Pollution on Plants, Soils and Animals, indicates the relevant pollutants for soils and vegetation are NO₂, SO₂ and CO. The project triggers PSD review for VOC (ozone precursor) only.

The effects of air pollution on vegetation can be classified into three distinct categories: acute, chronic, and long-term. Acute effects are those resulting from a short exposure (< 1 month) to high concentrations. Chronic effects refer to those developed from exposure to a threshold level of pollutant over months or years. Long-term effects refer to abnormal changes in ecosystems and subtle physiological alterations in organisms. Both acute and chronic effects can be the result of an air borne pollutant acting directly on an organism while long-term effects can be indirectly caused by secondary effects such as changes in soil pH.

The secondary NAAQS are intended to protect the public welfare from adverse effects of airborne pollutants. This protection extends to soil and vegetation. Predicted concentrations of VOC resulting from the kiln project will not significantly impact ozone concentration and will not cause or contribute to violation of the NAAQS. Because the NAAQS were established to protect soil and vegetation, no significant impacts on the soil and vegetation are expected due to the proposed project.

Impact on Visibility (Regional Haze Analysis)

One component of the PSD regulations includes the protection of air quality and air quality related values (AQRV) at potentially affected nearby Class I areas. Assessment of the potential impact to visibility is required within 300 km of a Class I area. The only Class I area within 300 km of Anthony Forest Products is the Caney Creek Wilderness Area at about 200 km. The analysis is required only for those pollutants for which PSD review is triggered. The relevant pollutants for visibility are PM, NO_x, SO₂, and H₂SO₄. The project triggers PSD review for VOC only. Therefore, a visibility analysis is not necessary because no significant impacts are expected.

However, based on the Federal Land Managers Air Quality Related Values Work Group (FLAG) 2010 Report, Class I evaluations for visibility are not required for a facility if the Q/D ratio for the project is less than or equal to 10 (as long as the Class I area is beyond 50 km from the site). The Q in the Q/D equation is equal to 29.0 tpy and is based on the increase in all visibility affecting pollutants (NO_x, SO₂, PM, and H₂SO₄) calculated on the basis of maximum 24-hr emissions in tons/yr resulting from the project. The D in the equation is based on the distance (km) from the site to the Class I area. The Q/D for the Caney Creek Wilderness Area is 0.15 which is well below the screening value of 10.

Given that the amount of visibility affecting pollutants emitted from the project are minimal and that the calculated Q/D value is low, it is concluded that the project will not have a significant effect on visibility in this Class I area. Anthony Forest Products does not believe additional screening is required. The Request for Applicability of Class I Area Modeling Analysis form is attached to confirm this assumption.

BACT Analysis

During the lumber drying process, organic compounds present in the wood will be released. These are organic compounds that are in gaseous form at the elevated temperature of the wood, and are comprised largely of lower molecular weight volatiles, and higher molecular weight resin and fatty acids. The type and amounts of compounds released will depend on several factors related to the drying process, including the kiln temperature, the surface area of the wood material relative to its mass, initial moisture content, and the amount of moisture removed from the material as well as the wood species dried. A biomass gasifier/burner is the heat source for DPK #4 (SN-32). An abort stack (SN-33) is necessary during startup, shutdown of the gasifier/burner, or idling.

As the review of the RBLC did not reveal any facilities that have add on control for lumber drying kilns, a search was also completed of VOC control technologies for other processes that could possibly be applied to a lumber drying kiln. Control technologies evaluated are:

- Regenerative Thermal Oxidation
- Regenerative Catalytic Oxidation
- Carbon Adsorption
- Condensation
- Biofiltration
- Wet Scrubbing
- Proper Maintenance & Operation

Regenerative Thermal Oxidation: Regenerative Thermal Oxidizer (RTO) units use beds of ceramic pieces to recover and store heat. A VOC-laden air stream passes through a heated ceramic bed before entering a combustion chamber. In the combustion chamber, the VOC-laden gas stream is heated by auxiliary fuel (natural gas) combustion to a final oxidation temperature typically between 1,400°F to 1,500°F and maintained at this temperature to achieve maximum VOC destruction. The exhaust gases from the combustion chamber are used to heat another ceramic bed. Periodically, the flow is reversed so the bed that was being heated is now used to preheat the VOC-laden gas stream. Usually, there are three or more beds that are continually cycled. Destruction efficiency of VOC depends upon the design criteria (i.e., chamber temperature, residence time, inlet VOC concentration, compound type, and degree of mixing). Typical VOC destructive efficiency ranges from 95 to 99% for RTO systems depending on system requirements and characteristics of the contaminated stream. Lower control efficiencies are generally associated with lower concentration flows.

Due to the high moisture content and low exit temperature in the exhaust stream, RTO would be technically infeasible.

Regenerative Catalytic Oxidation: Regenerative catalytic oxidizer (RCO) units function similar to RTO, except that the heat recovery beds in RCO contain catalytic media. The catalyst accelerates the rate of VOC oxidation and allows for VOC destruction at lower temperatures than in an RTO, typically 600°F to 1,000°F, which reduces auxiliary fuel usage. Typical VOC

destructive efficiency ranges from 90 to 99% for RCO systems. However, this also depends on system requirements and characteristics of the contaminated stream.

Although regenerative catalytic oxidizers can operate at a lower temperature than thermal oxidizers, the temperature of the exit stream from lumber drying kilns is still not high enough for optimal function of the catalytic oxidizer. Furthermore, loss of catalytic activity occurs due to fouling by particulate matter or suppression or poisoning from other contaminants in the steam created from the lumber kiln drying process. In order to effectively use catalytic oxidation, the contaminants must be removed from the kiln steam. Removing these contaminants would require additional control equipment which adds greatly to the cost of the system. Catalysts must periodically be replaced due to thermal aging, adding significantly to the cost of operating the unit in addition to creating solid waste. Catalytic oxidation has never been applied to a lumber drying kiln. Regenerative catalytic oxidation is not considered feasible for the proposed lumber drying kiln.

Carbon Adsorption: The core component of a carbon adsorption system is an activated carbon bed contained in a steel vessel. The VOC-laden gases pass through the carbon bed and the VOCs are adsorbed on the activated carbon. The cleaned gas is discharged to the atmosphere. The spent carbon is regenerated either at an onsite regeneration facility or by an off-site activated carbon supplier. Steam is used to replace adsorbed organic compounds at high temperatures to regenerate the spent carbon. At proper operating conditions, carbon adsorption systems have demonstrated VOC reduction efficiencies of approximately 90 to 95%.

Carbon adsorption is not practical because of the high moisture content of the exhaust stream from the lumber drying kilns. At high moisture content, water molecules begin to compete with the hydrocarbon molecules for active adsorption sites. This reduces the capacity and the efficiency of the adsorption system. For the reason stated above and because there are currently no known lumber drying kilns that are equipped with carbon adsorption system, the use of carbon adsorption systems for the proposed lumber drying kiln is not considered technically feasible.

Condensation: Condensation removes vaporous contaminants from the gas stream by cooling it and converting the vapor into a liquid. In some instances, control of VOC can be satisfactorily achieved entirely by condensation. However, most applications require additional control methods. In such cases, the use of a condensation process reduces the concentration load on downstream control equipment. The two most common type of condensation devices are contact or barometric condensers and surface condensers.

Condensation is only effective when the gas stream can be cooled to a temperature where VOC constituents condense as a liquid out of the gas stream. To condense terpenes, the primary constituent of lumber kiln VOC emissions, the temperature would need to be reduced to around -40°F. At this temperature, freezing of the water vapor would generate ice, causing unacceptable plugging of the unit. Condensation is not technically feasible for the proposed lumber drying kiln.

Biofiltration: Biofiltration is an air pollution control technology in which off-gases containing biodegradable organic compounds are vented, under controlled temperature and humidity, through a special filter material containing microorganisms. As exhaust gases pass through the biofilter, VOC is absorbed on the filter material, and the microorganisms break down the compounds and transform them into CO₂ and H₂O with varying efficiency.

The most important variable affecting bioreactor operations is temperature. Most microorganisms can survive and flourish in a temperature range of 60 to 105°F (30 to 41°C). The exiting exhaust temperature of the proposed lumber kilns is approximately 140 - 200°F. Furthermore, the VOC emissions from the kilns are primarily terpenes. Terpenes are highly viscous and would foul the biofilter. The application of biofiltration technology for VOC removal from lumber kiln emissions has not been demonstrated. Due to the temperature requirement, large footprint requirement for a biofiltration system, and the unproven application of biofiltration to this type of process, biofiltration is not technically feasible for the proposed lumber drying kiln.

Wet Scrubbing: Scrubbing of gas or vapor pollutants from a gas stream is usually accomplished in a packed column (or other type of column) where pollutants are absorbed by counter-current flow of a scrubbing liquid. A VOC-laden gas stream with relatively high water solubility is required in order for the wet scrubber to be effective.

The VOC emissions from the kilns are primarily terpenes. Terpenes are not highly soluble. Moreover, they are highly viscous and would foul the absorption media of a wet scrubber. Wet scrubbing is not technically feasible for the proposed lumber drying kiln.

Proper Maintenance and Operation: Proper maintenance and operation of lumber drying kilns can effectively reduce VOC emissions. Proper drying schedule and temperature should be selected based on moisture content and manufacturer's specifications. Routine maintenance should also be completed on all kilns based on manufacturer's recommendations.

Proper maintenance and operation is the only remaining technology/method for this application. No control technology is currently feasible for lumber drying kilns beyond proper maintenance and operation. The RBLC search shows other emission factors utilized in permitting emission limits of VOC; there is no information to determine that these factors can be routinely "achieved in practice." The species of wood dried within a kiln has a distinct impact on the resulting VOC emissions. The emission factor proposed for the VOC emission limits of 3.8 lb/MBf matches the uncontrolled emission factor in the Arkansas Department of Environmental Quality (ADEQ) VOC Emissions from Lumber Drying Kilns Guidance memorandum dated October 31, 2014. This emission factor has also been used by ADEQ for permitting similarly designed direct fired continuous kilns drying similar wood species. Anthony Forest Products Company proposes it as BACT.

SECTION IV: SPECIFIC CONDITIONS

SN-23, SN-25, SN-27, SN-28, SN-30, SN-31, SN-32, and SN-33
Dual Path Kiln #1, DPK#1 Abort Stack, Dual Path Kiln #3, DPK#3 Abort Stack, Dual Path Kiln #2, DPK#2 Abort Stack, Dual Path Kiln #4, and DPK #4 Abort Stack

Source Description

The DPKs utilize heat from biomass gasifiers. Green sawdust collected from the sawmill or purchased from outside sources is stored within the Fuel Storage Silo and fed to the biomass gasifiers on each DPK. The fuel is gasified in these devices to produce a combustible gas stream. The fuel gas stream is burned in a combustion/air mixing chamber. The gas is conveyed to a blend box to be mixed with return air or recirculated kiln air. The blend box is used to obtain the desired temperature of the air stream. The hot gas stream is blown into the drying chamber, located at the center of each DPK.

The hot gas stream is blown into the drying chamber, located at the center of each DPK. Additionally, a natural gas burner for fuel use has been installed on DPK #3. The hot gas stream from the gasifier/burners may be diverted to the DPK Abort Stacks (SN-25 for DPK #1, SN-31 for DPK #2, SN-28 for DPK #3, and SN-33 for DPK #4) for startup, unplanned shutdowns, or temporary idling. A sloped grate two-stage combustion chamber is operated on DPK #1, DPK #2, DPK #3, and DPK #4. The emissions from the biomass gasifiers are included within DPK emissions (SN-23, SN-30, SN-27, and SN-32). From time to time the biochar in the kiln combustion chambers are cleaned out and placed in the biochar pile (IA-13) before being shipped offsite for reuse.

Dual Path Kiln #3 (SN-27) and Dual Path Kiln #4 (SN-32) went through PSD review for VOC emissions prior to installation. Proper maintenance and operation was determined to be BACT.

Specific Conditions

1. The permittee shall not exceed the emission rates set forth in the following table. Under normal operations, hot gas stream from the gasifier/burners shall only be diverted to the Abort Stacks (SN-25, SN-28, SN-31, and SN-33) during unplanned shutdowns and temporary idling for operational, maintenance, or safety reasons. Emissions from the Abort Stacks during these events are accounted for in the bubbled emissions. Compliance with this condition will be demonstrated by compliance with Specific Conditions #4, #8, #9, #11, and #14. [Rule 19.501 *et seq.* and 40 C.F.R. § 52 Subpart E]

SN	Description	Pollutant	lb/hr	tpy
23	Dual Path Kiln #1 (25 MMBtu/hr)	PM ₁₀ ¹	1.2	5.2
		SO ₂ ¹	0.7	2.8

SN	Description	Pollutant	lb/hr	tpy
25	Abort Stack for DPK#1 (Normal Operations)	VOC ¹	31.2	136.1
		CO ¹	15.0	65.7
		NO _x ¹	5.5	24.1
		Lead ¹	1.20E-03	5.26E-03
	Abort Stack for DPK#1 (Startups)	PM ₁₀	2.8	0.4
		SO ₂	0.3	0.1
		VOC	0.2	0.1
		CO	5.3	0.8
		NO _x	2.1	0.3
		Lead	4.21E-04	6.06E-05
27	Dual Path Kiln #3 (31.5 MMBtu/hr + 31.6 MMBtu/hr auxiliary natural gas burner)	PM ₁₀ ²	1.3	5.4
		SO ₂ ²	0.8	3.5
		CO ²	18.9	82.8
		NO _x ²	8.7	38.0
28	Abort Stack for DPK#3 (Normal Operations)	Lead ²	1.51E-03	6.62E-03
	Abort Stack for DPK#3 (Startups)	PM ₁₀	2.8	0.4
		SO ₂	0.3	0.1
		VOC	0.2	0.1
		CO	5.3	0.8
		NO _x	2.1	0.3
		Lead	4.21E-04	6.06E-05
30	Dual Path Kiln #2 (30 MMBtu/hr)	PM ₁₀ ³	1.8	6.7
		SO ₂ ³	0.8	3.3
		VOC ³	45.3	177.7
		CO ³	18.0	78.9
31	Abort Stack for DPK#2 (Normal Operations)	NO _x ³	6.6	29.0
		Lead ³	1.44E-03	6.31E-03
	Abort Stack for DPK#2 (Startups)	PM ₁₀	2.8	0.4
		SO ₂	0.3	0.1
		VOC	0.2	0.1
		CO	5.3	0.8
		NO _x	2.1	0.3
		Lead	4.21E-04	6.06E-05
32	Dual Path Kiln #4 (40 MMBtu/hr)	PM ₁₀ ⁴	2.4	8.6
		SO ₂ ⁴	1.0	4.4
		CO ⁴	20.4	89.4
		NO _x ⁴	4.6	16.8
33	Abort Stack for DPK#4 (Normal Operations)	Lead ⁴	1.92E-03	8.41E-03

SN	Description	Pollutant	lb/hr	tpy
	Abort Stack for DPK#4 (Startups)	PM ₁₀	2.8	0.4
		SO ₂	0.3	0.1
		VOC	0.2	0.1
		CO	5.3	0.8
		NO _x	2.1	0.3
		Lead	4.21E-04	6.06E-05

¹ Emissions from SN-23 and SN-25 are bubbled during normal operations.

² Emissions from SN-27 and SN-28 are bubbled during normal operations.

³ Emissions from SN-30 and SN-31 are bubbled during normal operations.

⁴ Emissions from SN-32 and SN-33 are bubbled during normal operations.

- The permittee shall not exceed the emission rates set forth in the following table. Under normal operations, hot gas stream from the gasifier/burners shall only be diverted to the Abort Stacks (SN-25, SN-28, SN-31, and SN-33) during unplanned shutdowns and temporary idling for operational, maintenance, or safety reasons. Emissions from the Abort Stacks during these events are accounted for in the bubbled emissions. Compliance with this condition will be demonstrated by compliance with Specific Conditions #4, #11, and #14. [Rule 18.801 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]

SN	Description	Pollutant	lb/hr	tpy
23	Dual Path Kiln #1 (25 MMBtu/hr)	PM ¹	1.2	5.2
		Acrolein ¹	3.28E-02	1.43E-01
		Chlorine ¹	1.98E-02	8.65E-02
		Formaldehyde ¹	0.54	2.33
		HCl ¹	0.48	2.08
		Methanol ¹	1.48	6.45
		Pentachlorophenol ¹	1.28E-06	5.58E-06
		Antimony ¹	1.98E-04	8.65E-04
25	Abort Stack for DPK#1 (Normal Operations)	Arsenic ¹	5.50E-04	2.41E-03
		Beryllium ¹	2.75E-05	1.20E-04
		Cadmium ¹	1.03E-04	4.49E-04
		Chromium ¹	5.25E-04	2.30E-03
		Chromium VI ¹	8.75E-05	3.83E-04
		Cobalt ¹	1.63E-04	7.12E-04
		Manganese ¹	4.00E-02	1.75E-01
		Mercury ¹	8.75E-05	3.83E-04
		Phosphorus ¹	6.75E-04	2.96E-03
		Selenium ¹	7.00E-05	3.07E-04
		Total Other HAPs ¹	0.64	2.79
	Abort Stack for DPK#1 (Startups)	PM	3.1	0.5
		Acrolein	3.51E-02	5.05E-03

SN	Description	Pollutant	lb/hr	tpy
		Chlorine	6.92E-03	9.97E-04
		Formaldehyde	3.86E-02	5.55E-03
		HCl	1.67E-01	2.40E-02
		Pentachlorophenol	4.47E-07	6.44E-08
		Antimony	6.92E-05	9.97E-06
		Arsenic	1.93E-04	2.78E-05
		Beryllium	9.64E-06	1.39E-06
		Cadmium	3.59E-05	5.17E-06
		Chromium	1.84E-04	2.65E-05
		Cobalt	5.70E-05	8.20E-06
		Manganese	1.40E-02	2.02E-03
		Mercury	3.07E-05	4.42E-06
		Phosphorus	2.37E-04	3.41E-05
		Selenium	2.45E-05	3.53E-06
		Total Other HAPs	0.06	0.01
27	Dual Path Kiln #3 (31.5 MMBtu/hr + 31.6 MMBtu/hr auxiliary natural gas burner)	PM ²	1.3	5.4
		Acrolein ²	3.48E-02	1.50E-01
		Chlorine ²	2.49E-02	1.09E-01
		Formaldehyde ²	0.57	2.44
		HCl ²	0.60	2.62
		Methanol ²	1.57	6.75
		Pentachlorophenol ²	1.61E-06	7.04E-06
		Antimony ²	2.49E-04	1.09E-03
		Arsenic ²	6.93E-04	3.04E-03
		Beryllium ²	3.47E-05	1.52E-04
		Cadmium ²	1.29E-04	5.66E-04
		Chromium ²	6.62E-04	2.90E-03
		Chromium VI ²	1.10E-04	4.83E-04
		Cobalt ²	2.05E-04	8.97E-04
		Manganese ²	5.04E-02	2.21E-01
		Mercury ²	1.10E-04	4.83E-04
		Phosphorus ²	8.51E-04	3.73E-03
		Selenium ²	8.82E-05	3.86E-04
		Total Other HAPs ²	0.77	3.34
28	Abort Stack for DPK#3 (Normal Operations)	PM	3.1	0.5
		Acrolein	3.51E-02	5.05E-03
		Chlorine	6.92E-03	9.97E-04
		Formaldehyde	3.86E-02	5.55E-03
		HCl	1.67E-01	2.40E-02
		Pentachlorophenol	4.47E-07	6.44E-08
		Antimony	6.92E-05	9.97E-06
		Arsenic	1.93E-04	2.78E-05
		Beryllium	9.64E-06	1.39E-06
	Abort Stack for DPK#3 (Startups)			

SN	Description	Pollutant	lb/hr	tpy
		Cadmium	3.59E-05	5.17E-06
		Chromium	1.84E-04	2.65E-05
		Cobalt	5.70E-05	8.20E-06
		Manganese	1.40E-02	2.02E-03
		Mercury	3.07E-05	4.42E-06
		Phosphorus	2.37E-04	3.41E-05
		Selenium	2.45E-05	3.53E-06
		Total Other HAPs	0.06	0.01
30	Dual Path Kiln #2 (30 MMBtu/hr)	PM ³	1.8	6.7
		Acrolein ³	4.76E-02	1.90E-01
		Chlorine ³	2.37E-02	1.10E-01
		Formaldehyde ³	0.77	3.04
		HCl ³	0.57	2.50
		Methanol ³	2.14	8.42
		Pentachlorophenol ³	1.53E-06	6.70E-06
		Antimony ³	2.37E-04	1.04E-03
		Arsenic ³	6.60E-04	2.89E-03
		Beryllium ³	3.30E-05	1.45E-04
		Cadmium ³	1.23E-04	5.39E-04
		Chromium ³	6.30E-04	2.76E-03
		Chromium VI ³	1.05E-04	4.60E-04
		Cobalt ³	1.95E-04	8.54E-04
		Manganese ³	4.80E-02	2.10E-01
		Mercury ³	1.05E-04	4.60E-04
		Phosphorus ³	8.10E-04	3.55E-03
		Selenium ³	8.40E-05	3.68E-04
		Total Other HAPs ³	0.88	3.55
31	Abort Stack for DPK#2 (Normal Operations)	PM	3.1	0.5
		Acrolein	3.51E-02	5.05E-03
		Chlorine	6.92E-03	9.97E-04
		Formaldehyde	3.86E-02	5.55E-03
		HCl	1.67E-01	2.40E-02
		Pentachlorophenol	4.47E-07	6.44E-08
		Antimony	6.92E-05	9.97E-06
		Arsenic	1.93E-04	2.78E-05
		Beryllium	9.64E-06	1.39E-06
		Cadmium	3.59E-05	5.17E-06
		Chromium	1.84E-04	2.65E-05
		Cobalt	5.70E-05	8.20E-06
		Manganese	1.40E-02	2.02E-03
		Mercury	3.07E-05	4.42E-06
		Phosphorus	2.37E-04	3.41E-05
		Selenium	2.45E-05	3.53E-06
	Abort Stack for DPK#2 (Startups)			

SN	Description	Pollutant	lb/hr	tpy
		Total Other HAPs	0.06	0.01
32	Dual Path Kiln #4 (40 MMBtu/hr)	PM ⁴	2.4	8.6
		Acrolein ⁴	6.52E-02	2.40E-01
		Chlorine ⁴	3.16E-02	1.38E-01
		Formaldehyde ⁴	1.06	3.90
		HCl ⁴	0.76	3.33
		Methanol ⁴	2.93	10.80
		Pentachlorophenol ⁴	2.04E-06	8.94E-06
		Antimony ⁴	3.16E-04	1.38E-03
		Arsenic ⁴	8.80E-04	3.85E-03
		Beryllium ⁴	4.40E-05	1.93E-04
33	Abort Stack for DPK#4 (Normal Operations)	Cadmium ⁴	1.64E-04	7.18E-04
		Chromium ⁴	8.40E-04	3.68E-03
		Chromium VI ⁴	1.40E-04	6.13E-04
		Cobalt ⁴	2.60E-04	1.14E-03
		Manganese ⁴	6.40E-02	2.80E-01
		Mercury ⁴	1.40E-04	6.13E-04
		Phosphorus ⁴	1.08E-03	4.73E-03
		Selenium ⁴	1.12E-04	4.91E-04
		Total Other HAPs ⁴	1.19	4.60
	Abort Stack for DPK#4 (Startups)	PM	3.1	0.5
		Acrolein	3.51E-02	5.05E-03
		Chlorine	6.92E-03	9.97E-04
		Formaldehyde	3.86E-02	5.55E-03
		HCl	1.67E-01	2.40E-02
		Pentachlorophenol	4.47E-07	6.44E-08
		Antimony	6.92E-05	9.97E-06
		Arsenic	1.93E-04	2.78E-05
		Beryllium	9.64E-06	1.39E-06
		Cadmium	3.59E-05	5.17E-06
		Chromium	1.84E-04	2.65E-05
		Cobalt	5.70E-05	8.20E-06
		Manganese	1.40E-02	2.02E-03
		Mercury	3.07E-05	4.42E-06
		Phosphorus	2.37E-04	3.41E-05
		Selenium	2.45E-05	3.53E-06
		Total Other HAPs	0.06	0.01

¹ Emissions from SN-23 and SN-25 are bubbled during normal operations.

² Emissions from SN-27 and SN-28 are bubbled during normal operations.

³ Emissions from SN-30 and SN-31 are bubbled during normal operations.

⁴ Emissions from SN-32 and SN-33 are bubbled during normal operations.

3. The permittee shall not exceed the emission rates set forth in the following table. Compliance with this condition will be demonstrated by compliance with Specific Conditions #411 and #15. [Rule 19.901 *et seq.* and 40 C.F.R. § 52 Subpart E]

SN	Description	Pollutant	lb/hr	tpy
27	Dual Path Kiln #3 (31.5 MMBtu/hr + 31.6 MMBtu/hr auxiliary natural gas burner)	VOC	33.1	142.5
32	Dual Path Kiln #4 (40 MMBtu/hr)	VOC	62.0	228.0

4. The facility shall not exceed the throughput limits listed in the following table. [Rule 19.705, Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 70.6]

SN	Throughput Limit Dried Lumber Produced Per Consecutive 12 Months
23 and 25	71.61 MMBF
27 and 28	75.0 MMBF
30 and 31	93.5 MMBF
32 and 33	120.0 MMBF

5. The facility shall maintain monthly records which demonstrate compliance with the limits set in Specific Condition #4 which may be used by the Department for enforcement purposes. These records shall be updated by the fifteenth day of the month following the month to which the records pertain. These records shall be kept on site, and shall be made available to Department personnel upon request. The twelve month rolling totals and each individual month's kiln production data shall be submitted to the Department in accordance with General Provision #7. [Rule 19.705 and 40 C.F.R. § 52 Subpart E]

6. Visible emissions may not exceed the limits specified in the following table of this permit as measured by EPA Reference Method 9 as found in 40 CFR Part 60 Appendix A.

SN	Limit	Regulatory Citation
23, 25, 27, 28, 30, 31, 32, and 33	20%	Rule 19.503

7. The permittee shall conduct weekly observations of the opacity from SN-23, SN-27, SN-30, and SN-32 when operating and SN-25, SN-28, SN-31, and SN-33 during startup

operation and keep a record of these observations. The facility shall maintain personnel trained in EPA Reference Method 9. If visible emissions which appear to be in excess of the permitted opacity are detected, the permittee shall take immediate action to identify and correct the cause of the visible emissions. After corrective action has been taken, another observation of the opacity from the affected source shall be conducted in order to confirm that excess visible emissions are no longer present. The permittee shall maintain records of all corrective actions taken following the observance of visible emissions. Records of all visible emissions observations and any corrective action taken shall be kept onsite and made available to the Department personnel upon request. If the source is not operating, a note shall be made in the records stating such. [Rule 19.705 and 40 C.F.R. § 52 Subpart E]

8. The permittee shall conduct a one time performance test at SN-23 (DPK#1), SN-30 (DPK#2), and SN-32 (DPK #4) for PM₁₀ and CO using EPA Reference Method 5 and 10 respectively to demonstrate compliance with the emissions limits set in the Specific Conditions #1 and #2. The permittee may conduct an approved alternate test method provided that the permittee makes such a request in writing at least 30 days prior to a required or scheduled test date. If the permittee fails a stack test for a given pollutant, then the permittee shall conduct subsequent stack tests every twelve months until two consecutive, passing tests are achieved. All tests shall be conducted in accordance with Plantwide Condition #3. Test results shall be maintained onsite, made available to the Department personnel upon request, and shall be submitted to the Department in accordance with Plantwide Condition #3. Testing for SN-23 was performed on July 15, 2020. Testing for SN-30 was performed on July 17, 2020. Testing for SN-32 shall be performed by March 28, 2025. [Rule 19.702 and 40 C.F.R. § 52 Subpart E]
9. The permittee shall conduct a one time performance test at SN-27 (DPK#3) for PM₁₀ and CO using EPA Reference Method 201 or 201A and 10 respectively to demonstrate compliance with the emissions limits set in the Specific Conditions #1 and #2. This test shall be conducted in accordance with Plantwide Condition #3. Test results shall be maintained onsite, made available to the Department personnel upon request, and shall be submitted to the Department in accordance with Plantwide Condition #3. Testing for SN-27 was performed on July 16, 2020. [Rule 19.702 and 40 C.F.R. § 52 Subpart E]
10. The testing required in Specific Conditions #8 and #9 shall be conducted with the source operating at least at 90% of its permitted capacity as listed in the following table. Emission testing results shall be extrapolated to correlate with 100% of the permitted capacity to demonstrate compliance. Failure to test within this range shall limit the permittee to operating within 10% above the tested rate. If testing is conducted below 90% of the permitted capacity, records shall be maintained at all times to demonstrate that the source does not exceed operation at 10% above the tested rate. The permittee shall track and document the lumber throughput over the duration of the test. The lumber throughput data for each run shall be included in the stack test report. An average of the three runs shall be reported on the emission summary page of the report. [Rule 19.702 and 40 C.F.R. § 52 Subpart E]

SN	Permitted Capacity of DP Kiln
SN-23 (DPK#1)	8,175 BF of lumber per hour
SN-27 (DPK#3)	8,700 BF of lumber per hour
SN-30 (DPK#2)	11,900 BF of lumber per hour
SN-32 (DPK#4)	16,300 BF of lumber per hour

11. The permittee shall not exceed the limits specified in the following table during startup. [Rule 19.705, Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 70.6]

SN	Diesel fuel usage limit as starter fluid during startup	Sawdust throughput limit for gasifier/burner during startup	Operating hour limits for each Abort Stack during startup
25	360 gallons per consecutive 12 months	2000 lb of sawdust per hour	288 hours per consecutive 12 months
28	360 gallons per consecutive 12 months	2000 lb of sawdust per hour	288 hours per consecutive 12 months
31	360 gallons per consecutive 12 months	2000 lb of sawdust per hour	288 hours per consecutive 12 months
33	360 gallons per consecutive 12 months	2000 lb of sawdust per hour	288 hours per consecutive 12 months

12. The facility shall maintain monthly records which demonstrate compliance with the operating hour limits set in Specific Condition #11 which may be used by the Department for enforcement purposes. These records shall be updated by the fifteenth day of the month following the month to which the records pertain. These records shall be kept on site, and shall be made available to Department personnel upon request. The twelve month rolling totals and each individual month's data shall be submitted to the Department in accordance with General Provision #7. [Rule 19.705 and 40 C.F.R. § 52 Subpart E]
13. The facility shall maintain daily records when in startup mode which demonstrate compliance with the sawdust throughput limits for gasifier/burner and diesel fuel usage limit set in Specific Condition #11 which may be used by the Department for enforcement purposes. These records shall be updated daily. These records shall be kept on site, and shall be made available to Department personnel upon request. [Rule 19.705 and 40 C.F.R. § 52 Subpart E]
14. The permittee will apply water and maintain a moisture content to all ash generated by the gasifiers so there will be no visible emissions from the handling of gasifier ash prior

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to removal from the facility. [Rule 19.705, Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 70.6]

15. The permittee shall comply with the following BACT determination for SN-27, SN-28, SN-32, and SN-33. Compliance with the emission limits set forth in the following table shall be demonstrated through compliance with good combustion practices, proper kiln design and maintenance. [Rule 19.901 *et seq.* and 40 C.F.R. § 52 Subpart E]

SN	Description	BACT Determination	BACT Limit
SN-27	Dual Path Kiln #3	Good Combustion Practices, Proper Kiln Design, and Maintenance	3.8 lb VOC/MBF
SN-28	Abort Stack for DPK#3	Good Combustion Practices	0.017 lb/MMBtu
SN-32	Dual Path Kiln #4	Good Combustion Practices, Proper Kiln Design, and Maintenance	3.8 lb VOC/MBF
SN-33	Abort Stack for DPK#4	Good Combustion Practices	0.017 lb/MMBtu

SN-06
Sawmill

Source Description

Logs are taken by truck to the Sawmill (SN-06) where they are debarked. After entering the Sawmill Building, the mill uses three headrigs to saw the logs into cants, or rough lumber, and edge and trim. Trimmings and edgings are routed to a chipper. Chips are mechanically conveyed to shaker screens where oversized chips and fines are removed. The chips are belt conveyed to a chip bin and eventually loaded into tractor trailers to be shipped off-site. Oversized chips are routed back through the chipper. Bark is collected and eventually loaded into tractor trailers to be shipped off-site. Emissions from bark storage areas along with other storage piles are estimated as Insignificant Activities. Sawdust is collected from all the sources within the Sawmill Building and blown to the Fuel Storage Silo or overflow dust bin. The Fuel Storage Silo is included as an Insignificant Activity; it will primarily be filled from the Sawmill Building collections, but can be filled by outside purchased sawdust. Emissions from the Sawmill Building and debarking are estimated as SN-06.

Specific Conditions

16. The permittee shall not exceed the emission rates set forth in the following table. Compliance with this condition will be demonstrated by compliance with Specific Condition #22. [Rule 19.501 *et seq.* and 40 C.F.R. § 52 Subpart E]

SN	Description	Pollutant	lb/hr	tpy
06	Sawmill	PM ₁₀	3.0	2.9

17. The permittee shall not exceed the emission rates set forth in the following table. Compliance with this condition will be demonstrated by compliance with Specific Condition #22. [Rule 18.801 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]

SN	Description	Pollutant	lb/hr	tpy
06	Sawmill	PM	7.2	5.5

18. Visible emissions may not exceed the limits specified in the following table of this permit as measured by EPA Reference Method 9.

SN	Limit	Regulatory Citation
06	20%	Rule 19.503

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19. The permittee shall conduct weekly observations of the opacity from SN-06 and keep a record of these observations. The facility shall maintain personnel trained in EPA Reference Method 9. If visible emissions which appear to be in excess of the permitted opacity are detected, the permittee shall take immediate action to identify and correct the cause of the visible emissions. After corrective action has been taken, another observation of the opacity from the affected source shall be conducted in order to confirm that excess visible emissions are no longer present. The permittee shall maintain records of all corrective actions taken following the observance of visible emissions. Records of all visible emissions observations and any corrective action taken shall be kept onsite and made available to the Department personnel upon request. If the source is not operating, a note shall be made in the records stating such. [Rule 19.705 and 40 C.F.R. § 52 Subpart E]

SN-20
Log Yard Road

Source Description

Logs are delivered to the mill at the log yard located north of the main mill site. Emissions of particulate matter result from log truck traffic on this road.

Specific Conditions

20. The permittee shall not exceed the emission rates set forth in the following table. Compliance with this condition will be demonstrated by compliance with Specific Condition #22. [Rule 19.501 *et seq.* and 40 C.F.R. § 52 Subpart E]

SN	Description	Pollutant	lb/hr	tpy
20	Log Yard Road	PM ₁₀	0.8	0.6

21. The permittee shall not exceed the emission rates set forth in the following table. Compliance with this condition will be demonstrated by compliance with Specific Condition #22. [Rule 18.801 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]

SN	Description	Pollutant	lb/hr	tpy
20	Log Yard Road	PM	3.6	2.6

22. The facility shall not exceed the throughput limits listed in the following table. [Rule 19.705, Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 70.6]

Products	Throughput Limit of Products Transported on SN-20 Per Consecutive 12 Months
Logs	1,410,000 tons
Finished Lumber	424,510 tons

23. The facility shall maintain records which demonstrate compliance with the limit set in Specific Condition #22 which may be used by the Department for enforcement purposes. These records shall be updated by the fifteenth day of the month following the month to which the records pertain. These records shall be kept on site, and shall be made available to the Department personnel upon request. An annual total and each individual

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month's sawmill production data shall be submitted to the Department in accordance with General Provision #7. [Rule 19.705 and 40 C.F.R. § 52 Subpart E]

24. The permittee shall not operate in a manner such that emissions from the log yard roads (SN-20) would cause a nuisance off-site or allow visible emissions from extending beyond the property boundary. Under normal conditions, off-site opacity less than or equal to 5% shall not be considered a nuisance provided that there are no complaints received by the Department regarding dust from the facility. [Rule 18.501 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]
25. The permittee shall water the roads as necessary to control emissions from extending beyond the property boundary. The permittee shall water the roads once per month or more frequently as determined by weekly observations. The permittee shall maintain records of all observations and any dates when water is applied. The permittee shall update these records following each observation and application. These records shall be kept onsite and be made available to the Department personnel upon request. [Reg. 18.1004 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]
26. Nothing in this permit shall be construed to authorize a violation of the Arkansas Water and Air Pollution Control Act or the federal National Pollutant Discharge Elimination System (NPDES). [Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]

SN-26, SN-35
 Emergency Fire Pump and Emergency Engine

Source Description

The facility utilizes an Emergency Fire Pump Engine (SN-26) for the emergency fire suppression system and Emergency Engine (SN-35) to provide power supply to the main office.

Specific Conditions

27. The permittee shall not exceed the emission rates set forth in the following table. The permittee shall demonstrate compliance with this condition by compliance with Specific Conditions #31 and #32. [Rule 19.501 *et seq.* and 40 C.F.R. § 52 Subpart E]

SN	Description	Pollutant	lb/hr	tpy
26	Emergency Fire Pump (175 bhp, diesel-fired)	PM ₁₀	0.1	0.1
		SO ₂	0.5	0.2
		VOC	1.3	0.4
		CO	1.0	0.3
		NO _x	1.2	0.3
35	Emergency Generator (161 bhp, natural gas)	PM ₁₀	0.1	0.1
		SO ₂	0.1	0.1
		VOC	0.4	0.1
		CO	1.5	0.3
		NO _x	0.8	0.2

28. The permittee shall not exceed the emission rates set forth in the following table. The permittee shall demonstrate compliance with this condition by compliance with Specific Conditions #31 and #32. [Rule 18.801 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]

SN	Description	Pollutant	lb/hr	tpy
26	Emergency Fire Pump (175 bhp, diesel-fired)	PM	0.1	0.1
		Acrolein	1.36E-04	3.39E-05
		Formaldehyde	1.73E-03	4.32E-04
		Total Other HAPs	3.81E-03	9.53E-04
35	Emergency Generator (161 bhp, natural gas)	PM	0.1	0.1
		Acrolein	8.43E-03	2.11E-03
		Formaldehyde	8.66E-02	2.16E-02
		Total Other HAPs	1.55E-02	3.87E-03

29. Visible emissions may not exceed the limits specified in the following table of this permit as measured by EPA Reference Method 9. [Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]

SN	Limit	Regulatory Citation
SN-26	20%	Rule 19.503
SN-35	5%	Rule 18.501

30. Daily observations of the opacity from SN-26 and SN-35 shall be conducted by a person trained but not necessarily certified in EPA Reference Method 9. The daily observations shall only be required when generator use exceeds 24-hours per event. If visible emissions in excess of the permitted levels are detected, the permittee shall immediately take action to identify the cause of the visible emissions in excess of the permit limit, implement corrective action, and document that visible emissions did not appear to be in excess of the permitted opacity following the corrective action. The permittee shall maintain records which contain the following items in order to demonstrate compliance with this specific condition. These records shall be updated when an observation is made, kept on site, and made available to Department personnel upon request. [Rule 19.705 and 40 C.F.R. § 52 Subpart E]
- The date and time of the observation.
 - If visible emissions which appeared to be above the permitted limit were detected.
 - If visible emissions which appeared to be above the permitted limit were detected, the cause of the exceedance of the opacity limit, the corrective action taken, and if the visible emissions appeared to be below the permitted limit after the corrective action was taken.
 - The name of the person conducting the opacity observations.
31. The permittee shall not operate the emergency fire pump SN-26 in excess of 500 total hours (emergency and non-emergency) per calendar year in order to demonstrate compliance with the annual emission rate limits. Emergency operation in excess of these hours may be allowable but shall be reported and will be evaluated in accordance with Rule 19.602 and other applicable regulations. [Rule 19.705, Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 70.6]
32. The permittee shall not operate the emergency generator SN-35 in excess of 500 total hours (emergency and non-emergency) per calendar year in order to demonstrate compliance with the annual emission rate limits. Emergency operation in excess of these hours may be allowable but shall be reported and will be evaluated in accordance with Rule 19.602 and other applicable regulations. [Rule 19.705, Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 70.6]

33. The permittee shall maintain monthly records to demonstrate compliance with Specific Conditions #31 and #32. The permittee shall update these records by the fifteenth day of the month following the month to which the records pertain. The calendar year totals and each individual month's data shall be maintained on-site, made available to Department personnel upon request, and submitted in accordance with General Provision #7. [Rule 19.705 and 40 C.F.R. § 52 Subpart E]

NSPS IIII Conditions

34. SN-26 is subject to the provisions of 40 C.F.R. § 60 Subpart IIII – *Standards of Performance for Stationary Compression Ignition Internal Combustion Engines* and 40 C.F.R. § 63 Subpart ZZZZ – *National Emissions Standards for Stationary Reciprocating Internal Combustion Engines*. SN-26 meets the requirements of Subpart ZZZZ by meeting the requirements of Subpart IIII. [Rule 19.304, 40 C.F.R. § 60.4200, and 40 C.F.R. § 63.6590(c)]
35. The permittee shall comply with the following emission standards: [Rule 19.304, 40 C.F.R. § 60.4205, and 40 C.F.R. § 89.112]

Source	Rated Power (bhp)	Emission Standards (g/KW-hr)		
		NMHC + NO _x	CO	PM
SN-26	175	4.0	3.5	0.2

36. The permittee shall use diesel fuel that meets the requirements of 40 C.F.R. § 80.510(b) for nonroad diesel fuel, except that any existing diesel fuel purchased (or otherwise obtained) prior to October 1, 2010, may be used until depleted. [Rule 19.304 and 40 C.F.R. § 60.4207(b)]
37. The permittee shall install a non-resettable hour meter for SN-26 prior to startup if one is not already installed. [Rule 19.304 and 40 C.F.R. § 60.4209(a)]
38. The permittee shall comply with all of the following, except as permitted under paragraph (g) 40 C.F.R. § 60.4211(g): [Rule 19.304 and 40 C.F.R. § 60.4211(a)]
- Operate and maintain the stationary SN-26 and control device according to the manufacturer's emission-related written instructions;
 - Change only those emission-related settings that are permitted by the manufacturer; and
 - Meet the requirements of 40 C.F.R. parts 89, 94 and/or 1068, as they apply to you.
39. In order for SN-26 to be considered an emergency stationary ICE under Subpart IIII, any operation other than emergency operation, maintenance and testing, emergency demand

response, and operation in non-emergency situations for 50 hours per year, as described in the following section, is prohibited. If you do not operate the engine according to the requirements in the following section, the engine will not be considered an emergency engine under this subpart and must meet all requirements for non-emergency engines. [Rule 19.304 and 40 C.F.R. § 60.4211(f)]

- a. There is no time limit on the use of emergency stationary ICE in emergency situations.
- b. You may operate SN-26 for any combination of the purposes specified in the following section for a maximum of 100 hours per calendar year. Any operation for non-emergency situations as allowed by Specific Condition #39(c) counts as part of the 100 hours per calendar year allowed by Specific Condition #39(b).
 - i. SN-26 may be operated for maintenance checks and readiness testing, provided that the tests are recommended by federal, state or local government, the manufacturer, the vendor, the regional transmission organization or equivalent balancing authority and transmission operator, or the insurance company associated with the engine. The permittee may petition the Department for approval of additional hours to be used for maintenance checks and readiness testing, but a petition is not required if the owner or operator maintains records indicating that federal, state, or local standards require maintenance and testing of SN-26 beyond 100 hours per calendar year.
 - ii. SN-26 may be operated for emergency demand response for periods in which the Reliability Coordinator under the North American Electric Reliability Corporation (NERC) Reliability Standard EOP-002-3, Capacity and Energy Emergencies (incorporated by reference, see 40 C.F.R. § 60.17), or other authorized entity as determined by the Reliability Coordinator, has declared an Energy Emergency Alert Level 2 as defined in the NERC Reliability Standard EOP-002-3.
 - iii. SN-26 may be operated for periods where there is a deviation of voltage or frequency of 5 percent or greater below standard voltage or frequency.
- c. Emergency stationary ICE may be operated for up to 50 hours per calendar year in non-emergency situations. The 50 hours of operation in non-emergency situations are counted as part of the 100 hours per calendar year for maintenance and testing and emergency demand response provided in Specific Condition #39(b). Except as provided in the following section, the 50 hours per calendar year for non-emergency situations cannot be used for peak shaving or non-emergency demand response, or to generate income for a facility to an electric grid or otherwise supply power as part of a financial arrangement with another entity.
 - i. The 50 hours per year for non-emergency situations can be used to supply power as part of a financial arrangement with another entity if all of the following conditions are met:
 - A. The engine is dispatched by the local balancing authority or local transmission and distribution system operator;

- B. The dispatch is intended to mitigate local transmission and/or distribution limitations so as to avert potential voltage collapse or line overloads that could lead to the interruption of power supply in a local area or region.
 - C. The dispatch follows reliability, emergency operation or similar protocols that follow specific NERC, regional, state, public utility commission or local standards or guidelines.
 - D. The power is provided only to the facility itself or to support the local transmission and distribution system.
 - E. The owner or operator identifies and records the entity that dispatches the engine and the specific NERC, regional, state, public utility commission or local standards or guidelines that are being followed for dispatching the engine. The local balancing authority or local transmission and distribution system operator may keep these records on behalf of the engine owner or operator.
- d. The permittee is not required to submit an initial notification. If SN-26 does not meet the standards applicable to non-emergency engines in the applicable model year, the permittee shall keep records of the operation of the engine in emergency and non-emergency service that are recorded through the non-resettable hour meter. The permittee shall record the time of operation of the engine and the reason the engine was in operation during that time. [Rule 19.304 and 40 C.F.R. § 60.4214(b)]

NSPS JJJJ Conditions

40. SN-35 is subject to the provisions of 40 C.F.R. § 60 Subpart JJJJ – *Standards of Performance for Stationary Spark Ignition Internal Combustion Engines* and 40 C.F.R. § 63 Subpart ZZZZ – *National Emissions Standards for Stationary Reciprocating Internal Combustion Engines*. SN-35 meets the requirements of Subpart ZZZZ by meeting the requirements of Subpart JJJJ. [Rule 19.304, 40 C.F.R. § 60.4230(a)(4), and 40 C.F.R. § 63.6590(c)]
41. The permittee shall comply with the following emission standards over the entire life of the engine: [Rule 19.304, 40 C.F.R. §§ 60.4233(e) and 60.4234]

Source	Rated Power (bhp)	Emission Standards (g/HP-hr)		
		NOx	CO	VOC
SN-35	161	2.0	4.0	1.0

42. The permittee shall install a non-resettable hour meter for SN-35 prior to startup if one is not already installed. [Rule 19.304 and 40 C.F.R. § 60.4237(b)]

43. If you operate and maintain the certified stationary SI internal combustion engine and control device according to the manufacturer's emission-related written instructions, you must keep records of conducted maintenance to demonstrate compliance, but no performance testing is required if you are an owner or operator. You must also meet the requirements as specified in 40 CFR part 1068, subparts A through D, as they apply to you. If you adjust engine settings according to and consistent with the manufacturer's instructions, your stationary SI internal combustion engine will not be considered out of compliance. [Rule 19.304 and 40 C.F.R. § 60.4243(a)(1)]
44. If you do not operate and maintain the certified stationary SI internal combustion engine and control device according to the manufacturer's emission-related written instructions, your engine will be considered a non-certified engine, and you must demonstrate compliance by keeping a maintenance plan and records of conducted maintenance and must, to the extent practicable, maintain and operate the engine in a manner consistent with good air pollution control practice for minimizing emissions. In addition, you must conduct an initial performance test within 1 year of engine startup to demonstrate compliance. [Rule 19.304 and 40 C.F.R. § 60.4243(a)(2)(ii)]
45. If you are an owner or operator of a stationary SI internal combustion engine and must comply with the emission standards specified in § 60.4233(d) or (e), you must demonstrate compliance by purchasing an engine certified according to procedures specified in this subpart, for the same model year and demonstrating compliance according to one of the methods specified in paragraph (a) of this section. [Rule 19.304 and 40 C.F.R. § 60.4243(b)(1)]
46. If you own or operate an emergency stationary ICE, you must operate the emergency stationary ICE according to the requirements in paragraphs (d)(1) through (3) of this section. In order for the engine to be considered an emergency stationary ICE under this subpart, any operation other than emergency operation, maintenance and testing, and operation in non-emergency situations for 50 hours per year, as described in paragraphs (d)(1) through (3), is prohibited. If you do not operate the engine according to the requirements in paragraphs (d)(1) through (3), the engine will not be considered an emergency engine under this subpart and must meet all requirements for non-emergency engines. [Rule 19.304 and 40 C.F.R. § 60.4243(d)]
 - a. There is no time limit on the use of emergency stationary ICE in emergency situations. [40 C.F.R. § 60.4243(d)(1)]
 - b. You may operate your emergency stationary ICE for the purpose specified in paragraph (d)(2)(i) of this section for a maximum of 100 hours per calendar year. Any operation for non-emergency situations as allowed by paragraph (d)(3) of this section counts as part of the 100 hours per calendar year allowed by this paragraph (d)(2). [40 C.F.R. § 60.4243(d)(2)]
 - i. Emergency stationary ICE may be operated for maintenance checks and readiness testing, provided that the tests are recommended by federal, state or local government, the manufacturer, the vendor, the regional

transmission organization or equivalent balancing authority and transmission operator, or the insurance company associated with the engine. The owner or operator may petition the Administrator for approval of additional hours to be used for maintenance checks and readiness testing, but a petition is not required if the owner or operator maintains records indicating that federal, state, or local standards require maintenance and testing of emergency ICE beyond 100 hours per calendar year. [40 C.F.R. § 60.4243(d)(2)(i)]

- c. Emergency stationary ICE may be operated for up to 50 hours per calendar year in non-emergency situations. The 50 hours of operation in non-emergency situations are counted as part of the 100 hours per calendar year for maintenance and testing provided in paragraph (d)(2) of this section. Except as provided in paragraph (d)(3)(i) of this section, the 50 hours per year for non-emergency situations cannot be used for peak shaving or non-emergency demand response, or to generate income for a facility to an electric grid or otherwise supply power as part of a financial arrangement with another entity. [40 C.F.R. § 60.4243(d)(3)]
 - i. The 50 hours per year for non-emergency situations can be used to supply power as part of a financial arrangement with another entity if all of the following conditions are met: [40 C.F.R. § 60.4243(d)(3)(i)]
 - A. The engine is dispatched by the local balancing authority or local transmission and distribution system operator;
 - B. The dispatch is intended to mitigate local transmission and/or distribution limitations so as to avert potential voltage collapse or line overloads that could lead to the interruption of power supply in a local area or region.
 - C. The dispatch follows reliability, emergency operation or similar protocols that follow specific NERC, regional, state, public utility commission or local standards or guidelines.
 - D. The power is provided only to the facility itself or to support the local transmission and distribution system.
 - E. The owner or operator identifies and records the entity that dispatches the engine and the specific NERC, regional, state, public utility commission or local standards or guidelines that are being followed for dispatching the engine. The local balancing authority or local transmission and distribution system operator may keep these records on behalf of the engine owner or operator.

- 47. Owners and operators of all stationary SI ICE must keep records of the information in paragraphs (a)(1) through (4) of this section. [Rule 19.304 and 40 C.F.R. § 60.4245(a)]
 - a. All notifications submitted to comply with this subpart and all documentation supporting any notification. [40 C.F.R. § 60.4245(a)(1)]
 - b. Maintenance conducted on the engine. [40 C.F.R. § 60.4245(a)(2)]
 - c. If the stationary SI internal combustion engine is a certified engine, documentation from the manufacturer that the engine is certified to meet the

- emission standards and information as required in 40 CFR parts 1048, 1054, and 1060, as applicable. [40 C.F.R. § 60.4245(a)(3)]
- d. If the stationary SI internal combustion engine is not a certified engine or is a certified engine operating in a non-certified manner and subject to § 60.4243(a)(2), documentation that the engine meets the emission standards. [40 C.F.R. § 60.4245(a)(4)]
48. The permittee shall keep records of the hours of operation of SN-35 that is recorded through the non-resettable hour meter. The permittee shall document how many hours are spent for emergency operation, including what classified the operation as emergency and how many hours are spent for non-emergency operation. [Rule 19.304 and 40 C.F.R. § 60.4245(b)]
49. If you own or operate an emergency stationary SI ICE with a maximum engine power more than 100 HP that operates for the purpose specified in § 60.4243(d)(3)(i), you must submit an annual report according to the requirements in paragraphs (e)(1) through (3) of this section. [Rule 19.304 and 40 C.F.R. § 60.4245(e)]
- a. The report must contain the following information: [40 C.F.R. § 60.4245(e)(1)]
- Company name and address where the engine is located.
 - Date of the report and beginning and ending dates of the reporting period.
 - Engine site rating and model year.
 - Latitude and longitude of the engine in decimal degrees reported to the fifth decimal place.
 - Hours spent for operation for the purposes specified in § 60.4243(d)(3)(i), including the date, start time, and end time for engine operation for the purposes specified in § 60.4243(d)(3)(i). The report must also identify the entity that dispatched the engine and the situation that necessitated the dispatch of the engine.
- b. The first annual report must cover the calendar year 2015 and must be submitted no later than March 31, 2016. Subsequent annual reports for each calendar year must be submitted no later than March 31 of the following calendar year. [40 C.F.R. § 60.4245(e)(2)]
- c. The annual report must be submitted electronically using the subpart specific reporting form in the Compliance and Emissions Data Reporting Interface (CEDRI) that is accessed through EPA's Central Data Exchange (CDX) (<https://cdx.epa.gov/>). However, if the reporting form specific to this subpart is not available in CEDRI at the time that the report is due, the written report must be submitted to the Administrator at the appropriate address listed in § 60.4. Beginning on February 26, 2025, submit annual report electronically according to paragraph (g) of this section. [40 C.F.R. § 60.4245(e)(3)]

SN-34
Planer Mill with High Efficiency Cyclone

Source Description

Dried lumber is stored in protected areas before planing. Within the Planer Mill Building, dried lumber is planed and trimmed. Trimming and grinding emissions are collected and controlled by the High Efficiency Cyclone system (SN-34). The lumber is then graded, packaged, and placed in storage for loading and shipping off site. Planer Mill shavings and sawdust are collected by the High Efficiency Cyclone (SN-34). The woodwaste from the Planer Mill processes are pneumatically collected into a woodwaste storage bin (IA-A13), where it is then loaded onto a truck and shipped off-site.

Specific Conditions

50. The permittee shall not exceed the emission rates set forth in the following table. Compliance with this condition will be demonstrated by compliance with Specific Condition #54. [Rule 19.501 *et seq.* and 40 C.F.R. § 52 Subpart E]

SN	Description	Pollutant	lb/hr	tpy
34	Planer Mill with High Efficiency Cyclone	PM ₁₀	0.3	1.3

51. The permittee shall not exceed the emission rates set forth in the following table. Compliance with this condition will be demonstrated by compliance with Specific Condition #54. [Rule 18.801 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]

SN	Description	Pollutant	lb/hr	tpy
34	Planer Mill with High Efficiency Cyclone	PM	0.3	1.2

52. Visible emissions may not exceed the limits specified in the following table of this permit as measured by EPA Reference Method 9 as found in 40 C.F.R. Part 60 Appendix A. [Rule 18.501 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]

SN	Limit	Regulatory Citation
34	5%	Rule 18.501

53. The permittee shall conduct monthly observations of the opacity from SN-34 and keep a record of these observations. The facility shall maintain personnel trained in EPA

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Reference Method 9. If visible emissions which appear to be in excess of the permitted opacity are detected, the permittee shall take immediate action to identify and correct the cause of the visible emissions. After corrective action has been taken, another observation of the opacity from the affected source shall be conducted in order to confirm that excess visible emissions are no longer present. The permittee shall maintain records of all corrective actions taken following the observance of visible emissions. Records of all visible emissions observations and any corrective action taken shall be kept onsite and made available to the Department personnel upon request. If the source is not operating, a note shall be made in the records stating such. [Rule 19.705 and 40 C.F.R. § 52 Subpart E]

54. The facility shall not exceed a throughput of 80,370 tons of wood shavings at SN-34 per rolling 12 month period. [Rule 19.705, Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 70.6]
55. The facility shall maintain monthly records which demonstrate compliance with the limits set in Specific Condition #54 which may be used by the Department for enforcement purposes. These records shall be updated by the fifteenth day of the month following the month to which the records pertain. These records shall be kept on site, and shall be made available to Department personnel upon request. The twelve month rolling totals and each individual month's kiln production data shall be submitted to the Department in accordance with General Provision #7. [Rule 19.705 and 40 C.F.R. § 52 Subpart E]

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SECTION V: COMPLIANCE PLAN AND SCHEDULE

Anthony Forest Products Company, LLC will continue to operate in compliance with those identified regulatory provisions. The facility will examine and analyze future rules and regulations that may apply and determine their applicability with any necessary action taken on a timely basis.

SECTION VI: PLANTWIDE CONDITIONS

1. The permittee shall notify the Director in writing within thirty (30) days after commencing construction, completing construction, first placing the equipment and/or facility in operation, and reaching the equipment and/or facility target production rate. [Rule 19.704, 40 C.F.R. § 52 Subpart E, and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]
2. If the permittee fails to start construction within eighteen months or suspends construction for eighteen months or more, the Director may cancel all or part of this permit. [Rule 19.410(B) and 40 C.F.R. § 52 Subpart E]
3. The permittee must test any equipment scheduled for testing, unless otherwise stated in the Specific Conditions of this permit or by any federally regulated requirements, within the following time frames: (1) new equipment or newly modified equipment within sixty (60) days of achieving the maximum production rate, but no later than 180 days after initial start up of the permitted source or (2) operating equipment according to the time frames set forth by the Division of Environmental Quality or within 180 days of permit issuance if no date is specified. The permittee must notify the Division of Environmental Quality of the scheduled date of compliance testing at least fifteen (15) business days in advance of such test. The permittee shall submit the compliance test results to the Division of Environmental Quality within sixty (60) calendar days after completing the testing. [Rule 19.702 and/or Rule 18.1002 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]
4. The permittee must provide:
 - a. Sampling ports adequate for applicable test methods;
 - b. Safe sampling platforms;
 - c. Safe access to sampling platforms; and
 - d. Utilities for sampling and testing equipment.

[Rule 19.702 and/or Rule 18.1002 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]
5. The permittee must operate the equipment, control apparatus and emission monitoring equipment within the design limitations. The permittee shall maintain the equipment in good condition at all times. [Rule 19.303 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]
6. This permit subsumes and incorporates all previously issued air permits for this facility. [Rule 26 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]

7. Unless otherwise specified in the permit, approval to construct any new major stationary source or a major modification subject to 40 C.F.R. § 52.21 shall become invalid if construction is not commenced within 18 months after receipt of such approval, if construction is discontinued for a period of 18 months or more, or if construction is not completed within a reasonable time. The Division of Environmental Quality may extend the 18-month period upon a satisfactory showing that an extension is justified. [Rule 19.901 *et seq.* and 40 C.F.R. § 52 Subpart E]

Title VI Provisions

8. The permittee must comply with the standards for labeling of products using ozone-depleting substances. [40 C.F.R. § 82 Subpart E]
 - a. All containers containing a class I or class II substance stored or transported, all products containing a class I substance, and all products directly manufactured with a class I substance must bear the required warning statement if it is being introduced to interstate commerce pursuant to § 82.106.
 - b. The placement of the required warning statement must comply with the requirements pursuant to § 82.108.
 - c. The form of the label bearing the required warning must comply with the requirements pursuant to § 82.110.
 - d. No person may modify, remove, or interfere with the required warning statement except as described in § 82.112.
9. The permittee must comply with the standards for recycling and emissions reduction, except as provided for MVACs in Subpart B. [40 C.F.R. § 82 Subpart F]
 - a. Persons opening appliances for maintenance, service, repair, or disposal must comply with the required practices pursuant to § 82.156.
 - b. Equipment used during the maintenance, service, repair, or disposal of appliances must comply with the standards for recycling and recovery equipment pursuant to § 82.158.
 - c. Persons performing maintenance, service repair, or disposal of appliances must be certified by an approved technician certification program pursuant to § 82.161.
 - d. Persons disposing of small appliances, MVACs, and MVAC like appliances must comply with record keeping requirements pursuant to § 82.166. (“MVAC like appliance” as defined at § 82.152)
 - e. Persons owning commercial or industrial process refrigeration equipment must comply with leak repair requirements pursuant to § 82.156.
 - f. Owners/operators of appliances normally containing 50 or more pounds of refrigerant must keep records of refrigerant purchased and added to such appliances pursuant to § 82.166.

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10. If the permittee manufactures, transforms, destroys, imports, or exports a class I or class II substance, the permittee is subject to all requirements as specified in 40 C.F.R. § 82 Subpart A, Production and Consumption Controls.
11. If the permittee performs a service on motor (fleet) vehicles when this service involves ozone depleting substance refrigerant (or regulated substitute substance) in the motor vehicle air conditioner (MVAC), the permittee is subject to all the applicable requirements as specified in 40 C.F.R. § 82 Subpart B, Servicing of Motor Vehicle Air Conditioners.

The term “motor vehicle” as used in Subpart B does not include a vehicle in which final assembly of the vehicle has not been completed. The term “MVAC” as used in Subpart B does not include the air tight sealed refrigeration system used as refrigerated cargo, or the system used on passenger buses using HCFC 22 refrigerant.

12. The permittee can switch from any ozone depleting substance to any alternative listed in the Significant New Alternatives Program (SNAP) promulgated pursuant to 40 C.F.R. § 82 Subpart G.

Permit Shield

13. Compliance with the conditions of this permit shall be deemed compliance with all applicable requirements, as of the date of permit issuance, included in and specifically identified in the following table of this condition. The permit specifically identifies the following as applicable requirements based upon the information submitted by the permittee in an application dated September 9, 2024.

Applicable Regulations

Source No.	Regulation	Description
Facility	40 C.F.R. § 63 Subpart DDDD	National Emission Standards for Hazardous Air Pollutants: Plywood and Composite Wood Products
SN-27, 28, 32, 33	40 C.F.R. § 52.21	Prevention of Significant Deterioration
SN-26	40 C.F.R. § 60 Subpart IIII	Standards of Performance for Stationary Compression Ignition Internal Combustion Engines
SN-35	40 C.F.R. § 60 Subpart JJJJ	Standards of Performance for Stationary Spark Ignition Internal Combustion Engines
SN-26, 35	40 C.F.R. § 63 Subpart ZZZZ	National Emissions Standards for Hazardous Air Pollutants for Stationary Reciprocating Internal Combustion Engines

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The permit specifically identifies the following as inapplicable based upon information submitted by the permittee in an application dated September 9, 2024.

Inapplicable Regulations

Source No.	Regulation	Description
IA Tanks	40 C.F.R. § 60 Subpart Kb	Standards of Performance for Volatile Organic Liquid Storage Vessels (Including Petroleum Liquid Storage Vessels) for Which Construction, Reconstruction, or Modification Commenced After July 23, 1984
IA Gasoline Tank	40 C.F.R. § 63 Subpart CCCCCC	National Emission Standards for Hazardous Air Pollutants for Source Category: Gasoline Dispensing Facilities
SN-34	40 C.F.R. § 64	Compliance Assurance Monitoring

SECTION VII: INSIGNIFICANT ACTIVITIES

The Division of Environmental Quality deems the following types of activities or emissions as insignificant on the basis of size, emission rate, production rate, or activity in accordance with Group A of the Insignificant Activities list found in Rule 18 and Rule 19 Appendix A. Group B insignificant activities may be listed but are not required to be listed in permits. Insignificant activity emission determinations rely upon the information submitted by the permittee in an application dated September 9, 2024. [Rule 26.304 and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]

Description	Category
2000 gallon AST (diesel fuel)	A-3
1000 gallon AST (gasoline)	A-13
Sawdust Conveyance	A-13
Chips Conveyance	A-13
Sawdust Fines Conveyance	A-13
Bark Storage Piles	A-13
Sawdust Piles	A-13
Biochar Piles	A-13
Chip Overflow Piles	A-13
Planer Mill Woodwaste Storage Bins	A-13
Fuel Storage Silo	A-13
Chip Storage Bin	A-13
Fines Bin	A-13
Bark Bin	A-13
Parts Washer	A-13

SECTION VIII: GENERAL PROVISIONS

1. Any terms or conditions included in this permit which specify and reference Arkansas Pollution Control & Ecology Commission Rule 18 or the Arkansas Water and Air Pollution Control Act (Ark. Code Ann. § 8-4-101 *et seq.*) as the sole origin of and authority for the terms or conditions are not required under the Clean Air Act or any of its applicable requirements, and are not federally enforceable under the Clean Air Act. Arkansas Pollution Control & Ecology Commission Rule 18 was adopted pursuant to the Arkansas Water and Air Pollution Control Act (Ark. Code Ann. § 8-4-101 *et seq.*). Any terms or conditions included in this permit which specify and reference Arkansas Pollution Control & Ecology Commission Rule 18 or the Arkansas Water and Air Pollution Control Act (Ark. Code Ann. § 8-4-101 *et seq.*) as the origin of and authority for the terms or conditions are enforceable under this Arkansas statute. [40 C.F.R. § 70.6(b)(2)]
2. This permit shall be valid for a period of five (5) years beginning on the date this permit becomes effective and ending five (5) years later. [40 C.F.R. § 70.6(a)(2) and Rule 26.701(B)]
3. The permittee must submit a complete application for permit renewal at least six (6) months before permit expiration. Permit expiration terminates the permittee's right to operate unless the permittee submitted a complete renewal application at least six (6) months before permit expiration. If the permittee submits a complete application, the existing permit will remain in effect until the Division of Environmental Quality takes final action on the renewal application. The Division of Environmental Quality will not necessarily notify the permittee when the permit renewal application is due. [Rule 26.406]
4. Where an applicable requirement of the Clean Air Act, as amended, 42 U.S.C. 7401, *et seq.* (Act) is more stringent than an applicable requirement of regulations promulgated under Title IV of the Act, the permit incorporates both provisions into the permit, and the Director or the Administrator can enforce both provisions. [40 C.F.R. § 70.6(a)(1)(ii) and Rule 26.701(A)(2)]
5. The permittee must maintain the following records of monitoring information as required by this permit.
 - a. The date, place as defined in this permit, and time of sampling or measurements;
 - b. The date(s) analyses performed;
 - c. The company or entity performing the analyses;
 - d. The analytical techniques or methods used;
 - e. The results of such analyses; and
 - f. The operating conditions existing at the time of sampling or measurement.

[40 C.F.R. § 70.6(a)(3)(ii)(A) and Rule 26.701(C)(2)]

6. The permittee must retain the records of all required monitoring data and support information for at least five (5) years from the date of the monitoring sample, measurement, report, or application. Support information includes all calibration and maintenance records and all original strip chart recordings for continuous monitoring instrumentation, and copies of all reports required by this permit. [40 C.F.R. § 70.6(a)(3)(ii)(B) and Rule 26.701(C)(2)(b)]
7. The permittee must submit reports of all required monitoring every six (6) months. If the permit establishes no other reporting period, the reporting period shall end on the last day of the month six months after the issuance of the initial Title V permit and every six months thereafter. The report is due on the first day of the second month after the end of the reporting period. The first report due after issuance of the initial Title V permit shall contain six months of data and each report thereafter shall contain 12 months of data. The report shall contain data for all monitoring requirements in effect during the reporting period. If a monitoring requirement is not in effect for the entire reporting period, only those months of data in which the monitoring requirement was in effect are required to be reported. The report must clearly identify all instances of deviations from permit requirements. A responsible official as defined in Rule 26.2 must certify all required reports. The permittee will send the reports electronically using <https://portal.adeq.state.ar.us> or mail them to the address below:

Division of Environmental Quality
Office of Air Quality
ATTN: Compliance Inspector Supervisor
5301 Northshore Drive
North Little Rock, AR 72118-5317

[40 C.F.R. § 70.6(a)(3)(iii)(A) and Rule 26.701(C)(3)(a)]

8. The permittee shall report to the Division of Environmental Quality all deviations from permit requirements, including those attributable to upset conditions as defined in the permit.
 - a. For all upset conditions (as defined in Rule 19.601), the permittee will make an initial report to the Division of Environmental Quality by the next business day after the discovery of the occurrence. The initial report may be made by telephone and shall include:
 - i. The facility name and location;
 - ii. The process unit or emission source deviating from the permit limit;
 - iii. The permit limit, including the identification of pollutants, from which deviation occurs;
 - iv. The date and time the deviation started;
 - v. The duration of the deviation;

- vi. The emissions during the deviation;
- vii. The probable cause of such deviations;
- viii. Any corrective actions or preventive measures taken or being taken to prevent such deviations in the future; and
- ix. The name of the person submitting the report.

The permittee shall make a full report in writing to the Division of Environmental Quality within five (5) business days of discovery of the occurrence. The report must include, in addition to the information required by the initial report, a schedule of actions taken or planned to eliminate future occurrences and/or to minimize the amount the permit's limits were exceeded and to reduce the length of time the limits were exceeded. The permittee may submit a full report in writing (by facsimile, overnight courier, or other means) by the next business day after discovery of the occurrence, and the report will serve as both the initial report and full report.

- b. For all deviations, the permittee shall report such events in semi-annual reporting and annual certifications required in this permit. This includes all upset conditions reported in 8a above. The semi-annual report must include all the information as required by the initial and full reports required in 8a.

[Rule 19.601, Rule 19.602, Rule 26.701(C)(3)(b), and 40 C.F.R. § 70.6(a)(3)(iii)(B)]

- 9. If any provision of the permit or the application thereof to any person or circumstance is held invalid, such invalidity will not affect other provisions or applications hereof which can be given effect without the invalid provision or application, and to this end, provisions of this Rule are declared to be separable and severable. [40 C.F.R. § 70.6(a)(5), Rule 26.701(E), and Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]
- 10. The permittee must comply with all conditions of this Part 70 permit. Any permit noncompliance with applicable requirements as defined in Rule 26 constitutes a violation of the Clean Air Act, as amended, 42 U.S.C. § 7401, *et seq.* and is grounds for enforcement action; for permit termination, revocation and reissuance, for permit modification; or for denial of a permit renewal application. [40 C.F.R. § 70.6(a)(6)(i) and Rule 26.701(F)(1)]
- 11. It shall not be a defense for a permittee in an enforcement action that it would have been necessary to halt or reduce the permitted activity to maintain compliance with the conditions of this permit. [40 C.F.R. § 70.6(a)(6)(ii) and Rule 26.701(F)(2)]
- 12. The Division of Environmental Quality may modify, revoke, reopen and reissue the permit or terminate the permit for cause. The filing of a request by the permittee for a permit modification, revocation and reissuance, termination, or of a notification of planned changes or anticipated noncompliance does not stay any permit condition. [40 C.F.R. § 70.6(a)(6)(iii) and Rule 26.701(F)(3)]

13. This permit does not convey any property rights of any sort, or any exclusive privilege. [40 C.F.R. § 70.6(a)(6)(iv) and Rule 26.701(F)(4)]
14. The permittee must furnish to the Director, within the time specified by the Director, any information that the Director may request in writing to determine whether cause exists for modifying, revoking and reissuing, or terminating the permit or to determine compliance with the permit. Upon request, the permittee must also furnish to the Director copies of records required by the permit. For information the permittee claims confidentiality, the Division of Environmental Quality may require the permittee to furnish such records directly to the Director along with a claim of confidentiality. [40 C.F.R. § 70.6(a)(6)(v) and Rule 26.701(F)(5)]
15. The permittee must pay all permit fees in accordance with the procedures established in Rule 9. [40 C.F.R. § 70.6(a)(7) and Rule 26.701(G)]
16. No permit revision shall be required, under any approved economic incentives, marketable permits, emissions trading and other similar programs or processes for changes provided for elsewhere in this permit. [40 C.F.R. § 70.6(a)(8) and Rule 26.701(H)]
17. If the permit allows different operating scenarios, the permittee shall, contemporaneously with making a change from one operating scenario to another, record in a log at the permitted facility a record of the operational scenario. [40 C.F.R. § 70.6(a)(9)(i) and Rule 26.701(I)(1)]
18. The Administrator and citizens may enforce under the Act all terms and conditions in this permit, including any provisions designed to limit a source's potential to emit, unless the Division of Environmental Quality specifically designates terms and conditions of the permit as being federally unenforceable under the Act or under any of its applicable requirements. [40 C.F.R. § 70.6(b) and Rule 26.702(A) and (B)]
19. Any document (including reports) required by this permit pursuant to 40 C.F.R. § 70 must contain a certification by a responsible official as defined in Rule 26.2. [40 C.F.R. § 70.6(c)(1) and Rule 26.703(A)]
20. The permittee must allow an authorized representative of the Division of Environmental Quality, upon presentation of credentials, to perform the following: [40 C.F.R. § 70.6(c)(2) and Rule 26.703(B)]
 - a. Enter upon the permittee's premises where the permitted source is located or emissions related activity is conducted, or where records must be kept under the conditions of this permit;
 - b. Have access to and copy, at reasonable times, any records required under the conditions of this permit;

- c. Inspect at reasonable times any facilities, equipment (including monitoring and air pollution control equipment), practices, or operations regulated or required under this permit; and
 - d. As authorized by the Act, sample or monitor at reasonable times substances or parameters for assuring compliance with this permit or applicable requirements.
- 21. The permittee shall submit a compliance certification with the terms and conditions contained in the permit, including emission limitations, standards, or work practices. The permittee must submit the compliance certification annually. If the permit establishes no other reporting period, the reporting period shall end on the last day of the anniversary month of the initial Title V permit. The report is due on the first day of the second month after the end of the reporting period. The permittee must also submit the compliance certification to the Administrator as well as to the Division of Environmental Quality. All compliance certifications required by this permit must include the following: [40 C.F.R. § 70.6(c)(5) and Rule 26.703(E)(3)]
 - a. The identification of each term or condition of the permit that is the basis of the certification;
 - b. The compliance status;
 - c. Whether compliance was continuous or intermittent;
 - d. The method(s) used for determining the compliance status of the source, currently and over the reporting period established by the monitoring requirements of this permit; and
 - e. Such other facts as the Division of Environmental Quality may require elsewhere in this permit or by § 114(a)(3) and § 504(b) of the Act.
- 22. Nothing in this permit will alter or affect the following: [Rule 26.704(C)]
 - a. The provisions of Section 303 of the Act (emergency orders), including the authority of the Administrator under that section;
 - b. The liability of the permittee for any violation of applicable requirements prior to or at the time of permit issuance;
 - c. The applicable requirements of the acid rain program, consistent with § 408(a) of the Act; or
 - d. The ability of EPA to obtain information from a source pursuant to § 114 of the Act.
- 23. This permit authorizes only those pollutant emitting activities addressed in this permit. [Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311]
- 24. The permittee may request in writing and at least 15 days in advance of the deadline, an extension to any testing, compliance or other dates in this permit. No such extensions are authorized until the permittee receives written Division of Environmental Quality approval. The Division of Environmental Quality may grant such a request, at its discretion in the following circumstances:

- a. Such an extension does not violate a federal requirement;
- b. The permittee demonstrates the need for the extension; and
- c. The permittee documents that all reasonable measures have been taken to meet the current deadline and documents reasons it cannot be met.

[Rule 18.314(A), Rule 19.416(A), Rule 26.1013(A), Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 52 Subpart E]

25. The permittee may request in writing and at least 30 days in advance, temporary emissions and/or testing that would otherwise exceed an emission rate, throughput requirement, or other limit in this permit. No such activities are authorized until the permittee receives written Division of Environmental Quality approval. Any such emissions shall be included in the facility's total emissions and reported as such. The Division of Environmental Quality may grant such a request, at its discretion under the following conditions:

- a. Such a request does not violate a federal requirement;
- b. Such a request is temporary in nature;
- c. Such a request will not result in a condition of air pollution;
- d. The request contains such information necessary for the Division of Environmental Quality to evaluate the request, including but not limited to, quantification of such emissions and the date/time such emission will occur;
- e. Such a request will result in increased emissions less than five tons of any individual criteria pollutant, one ton of any single HAP and 2.5 tons of total HAPs; and
- f. The permittee maintains records of the dates and results of such temporary emissions/testing.

[Rule 18.314(B), Rule 19.416(B), Rule 26.1013(B), Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 52 Subpart E]

26. The permittee may request in writing and at least 30 days in advance, an alternative to the specified monitoring in this permit. No such alternatives are authorized until the permittee receives written Division of Environmental Quality approval. The Division of Environmental Quality may grant such a request, at its discretion under the following conditions:

- a. The request does not violate a federal requirement;
- b. The request provides an equivalent or greater degree of actual monitoring to the current requirements; and
- c. Any such request, if approved, is incorporated in the next permit modification application by the permittee.

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[Rule 18.314(C), Rule 19.416(C), Rule 26.1013(C), Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 52 Subpart E]

27. Any credible evidence based on sampling, monitoring, and reporting may be used to determine violations of applicable emission limitations. [Rule 18.1001, Rule 19.701, Ark. Code Ann. § 8-4-203 as referenced by Ark. Code Ann. §§ 8-4-304 and 8-4-311, and 40 C.F.R. § 52 Subpart E]

Appendix A

Facility Fenceline Data

Fenceline Data

Property Corner	UTM Easting (m)	UTM Northing (m)
1	551808.00	3668847.00
2	551806.50	3668825.00
3	551405.00	3668825.00
4	551405.00	3669075.00
5	551444.00	3669079.00
6	551489.00	3669101.50
7	551503.00	3669113.00
8	551503.00	3669163.00
9	551586.50	3669165.00
10	551711.00	3669204.00
11	551723.00	3669198.00
12	551753.00	3669168.00
13	551780.00	3669133.00
14	551810.40	3669157.30
15	551852.70	3669155.83
16	551862.76	3669153.71
17	551869.75	3669148.55
18	551873.32	3669141.96
19	551883.00	3669124.00
20	551911.00	3669090.00
21	551933.00	3669059.00
22	551941.23	3669040.05
23	551888.28	3668993.99
24	551912.86	3668966.60
25	551913.00	3668964.00
26	551848.00	3668903.00

Note: Map datum for UTM coordinates is NAD 83

Appendix B

40 C.F.R. § 63 Subpart DDDD—*National Emission Standards for Hazardous Air Pollutants:
Plywood and Composite Wood Products*

This content is from the eCFR and is authoritative but unofficial.

Title 40 —Protection of Environment

Chapter I —Environmental Protection Agency

Subchapter C —Air Programs

Part 63 —National Emission Standards for Hazardous Air Pollutants for Source Categories

Authority: 42 U.S.C. 7401 *et seq.*

Source: 57 FR 61992, Dec. 29, 1992, unless otherwise noted.

Subpart DDDD National Emission Standards for Hazardous Air Pollutants: Plywood and Composite Wood Products

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- § 63.2231 Does this subpart apply to me?
- § 63.2232 What parts of my plant does this subpart cover?
- § 63.2233 When do I have to comply with this subpart?

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Alternative Procedure To Determine Capture Efficiency From
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Wood Products Industry Using Sulfur Hexafluoride Tracer Gas

Subpart DDDD—National Emission Standards for Hazardous Air Pollutants: Plywood and Composite Wood Products

Source: 69 FR 46011, July 30, 2004, unless otherwise noted.

WHAT THIS SUBPART COVERS

§ 63.2230 What is the purpose of this subpart?

This subpart establishes national compliance options, operating requirements, and work practice requirements for hazardous air pollutants (HAP) emitted from plywood and composite wood products (PCWP) manufacturing facilities. This subpart also establishes requirements to demonstrate initial and continuous compliance with the compliance options, operating requirements, and work practice requirements.

§ 63.2231 Does this subpart apply to me?

This subpart applies to you if you meet the criteria in paragraphs (a) and (b) of this section.

- (a) You own or operate a PCWP manufacturing facility. A PCWP manufacturing facility is a facility that manufactures plywood and/or composite wood products by bonding wood material (fibers, particles, strands, veneers, etc.) or agricultural fiber, generally with resin under heat and pressure, to form a structural panel or engineered wood product. Plywood and composite wood products manufacturing facilities also include facilities that manufacture dry veneer and lumber kilns located at any facility. Plywood and composite wood products include, but are not limited to, plywood, veneer, particleboard, oriented strandboard, hardboard, fiberboard, medium density fiberboard, laminated strand lumber, laminated veneer lumber, wood I-joists, kiln-dried lumber, and glue-laminated beams.
- (b) The PCWP manufacturing facility is located at a major source of HAP emissions. A major source of HAP emissions is any stationary source or group of stationary sources within a contiguous area and under common control that emits or has the potential to emit any single HAP at a rate of 9.07 megagrams (10 tons) or more per year or any combination of HAP at a rate of 22.68 megagrams (25 tons) or more per year.

[69 FR 46011, July 30, 2004, as amended at 72 FR 61062, Oct. 29, 2007]

§ 63.2232 What parts of my plant does this subpart cover?

- (a) This subpart applies to each new, reconstructed, or existing affected source at a PCWP manufacturing facility.
- (b) The affected source is the collection of dryers, refiners, blenders, formers, presses, board coolers, and other process units associated with the manufacturing of plywood and composite wood products. The affected source includes, but is not limited to, green end operations, refining, drying operations (including any combustion unit exhaust stream routinely used to direct fire process unit(s)), resin preparation, blending and forming operations, pressing and board cooling operations, and miscellaneous finishing operations (such as sanding, sawing, patching, edge sealing, and other finishing operations not subject to other national emission standards for hazardous air pollutants (NESHAP)). The affected source also includes onsite storage and preparation of raw materials used in the manufacture of plywood and/or composite wood products, such as resins; onsite wastewater treatment operations specifically

associated with plywood and composite wood products manufacturing; and miscellaneous coating operations (§ 63.2292). The affected source includes lumber kilns at PCWP manufacturing facilities and at any other kind of facility.

- (c) An affected source is a new affected source if you commenced construction of the affected source after January 9, 2003, and you meet the applicability criteria at the time you commenced construction.
- (d) An affected source is reconstructed if you meet the criteria as defined in § 63.2.
- (e) An affected source is existing if it is not new or reconstructed.

[69 FR 46011, July 30, 2004, as amended at 71 FR 8371, Feb. 16, 2006]

§ 63.2233 When do I have to comply with this subpart?

- (a) If you have a new or reconstructed affected source, you must comply with this subpart according to paragraph (a)(1) or (2) of this section, whichever is applicable.
 - (1) If the initial startup of your affected source is before September 28, 2004, then you must comply with the compliance options, operating requirements, and work practice requirements for new and reconstructed sources in this subpart no later than September 28, 2004, except as otherwise specified in §§ 63.2250, 63.2280(b) and (d), 63.2281(b)(6), and 63.2282(a)(2) and Tables 3, 6, 7, 8, 9, and 10 to this subpart.
 - (2) If the initial startup of your affected source is after September 28, 2004, then you must comply with the compliance options, operating requirements, and work practice requirements for new and reconstructed sources in this subpart upon initial startup of your affected source, except as otherwise specified in §§ 63.2250, 63.2280(b) and (d), 63.2281(b)(6), and 63.2282(a)(2) and Tables 3, 6, 7, 8, 9, and 10 to this subpart.
- (b) If you have an existing affected source, you must comply with the compliance options, operating requirements, and work practice requirements for existing sources no later than October 1, 2007, except as otherwise specified in §§ 63.2240(c)(2)(vi)(A), 63.2250, 63.2280(b) and (d), 63.2281(b)(6) and (c)(4), and 63.2282(a)(2) and Tables 3, 6, 7, 8, 9, and 10 to this subpart.
- (c) If you have an area source that increases its emissions or its potential to emit such that it becomes a major source of HAP, you must be in compliance with this subpart by October 1, 2007 or upon initial startup of your affected source as a major source, whichever is later.
- (d) You must meet the notification requirements according to the schedule in § 63.2280 and according to 40 CFR part 63, subpart A. Some of the notifications must be submitted before you are required to comply with the compliance options, operating requirements, and work practice requirements in this subpart.

[69 FR 46011, July 30, 2004, as amended at 71 FR 8372, Feb. 16, 2006; 72 FR 61062, Oct. 29, 2007; 85 FR 49455, Aug. 13, 2020]

COMPLIANCE OPTIONS, OPERATING REQUIREMENTS, AND WORK PRACTICE REQUIREMENTS

§ 63.2240 What are the compliance options and operating requirements and how must I meet them?

You must meet the compliance options and operating requirements described in Tables 1A, 1B, and 2 to this subpart and in paragraph (c) of this section by using one or more of the compliance options listed in paragraphs (a), (b), and (c) of this section. The process units subject to the compliance options are listed in Tables 1A and 1B to this subpart and are defined in § 63.2292. You need only to meet one of the compliance options outlined in paragraphs (a) through (c) of this section for each process unit. You cannot combine compliance options in paragraph (a), (b), or (c) for a single process unit. (For example, you cannot use a production-based compliance option in paragraph (a) for one vent of a veneer dryer and an add-on control system compliance option in paragraph (b) for another vent on the same veneer dryer. You must use either the production-based compliance option or an add-on control system compliance option for the entire dryer.)

- (a) **Production-based compliance options.** You must meet the production-based total HAP compliance options in Table 1A to this subpart and the applicable operating requirements in Table 2 to this subpart. You may not use an add-on control system or wet control device to meet the production-based compliance options.
- (b) **Compliance options for add-on control systems.** You must use an emissions control system and demonstrate that the resulting emissions meet the compliance options and operating requirements in Tables 1B and 2 to this subpart. If you own or operate a reconstituted wood product press at a new or existing affected source or a reconstituted wood product board cooler at a new affected source, and you choose to comply with one of the concentration-based compliance options for a control system outlet (presented as option numbers 2, 4, and 6 in Table 1B to this subpart), you must have a capture device that either meets the definition of wood products enclosure in § 63.2292 or achieves a capture efficiency of greater than or equal to 95 percent.
- (c) **Emissions averaging compliance option (for existing sources only).** Using the procedures in paragraphs (c)(1) through (3) of this section, you must demonstrate that emissions included in the emissions average meet the compliance options and operating requirements. New sources may not use emissions averaging to comply with this subpart.
 - (1) **Calculation of required and actual mass removal.** Limit emissions of total HAP, as defined in § 63.2292, to include acetaldehyde, acrolein, formaldehyde, methanol, phenol, and propionaldehyde from your affected source to the standard specified by Equations 1, 2, and 3 of this section.

$$RMR = 0.90 \times \left(\sum_{i=1}^n UCEP_i \times OH_i \right) \quad (\text{Eq. 1})$$

$$AMR = \left(\sum_{i=1}^n CD_i \times OCEP_i \times OH_i \right) \quad (\text{Eq. 2})$$

$$AMR \geq RMR \quad (\text{Eq. 3})$$

Where:

RMR = required mass removal of total HAP from all process units generating debits (*i.e.*, all process units that are subject to the compliance options in Tables 1A and 1B to this subpart and that are either uncontrolled or under-controlled), pounds per semiannual period;

AMR = actual mass removal of total HAP from all process units generating credits (*i.e.*, all process units that are controlled as part of the Emissions Averaging Plan including credits from debit-generating process units that are under-controlled), pounds per semiannual period;

UCEP_i = mass of total HAP from an uncontrolled or under-controlled process unit (i) that generates debits, pounds per hour;

OH_i = number of hours a process unit (i) is operated during the semiannual period, hours per 6-month period;

CD_i = control system efficiency for the emission point (i) for total HAP, expressed as a fraction, and not to exceed 90 percent, unitless (Note: To calculate the control system efficiency of biological treatment units that do not meet the definition of biofilter in § 63.2292, you must use 40 CFR part 63, appendix C, Determination of the Fraction Biodegraded (F_{bio}) in a Biological Treatment Unit.);

OCEP_i = mass of total HAP from a process unit (i) that generates credits (including credits from debit-generating process units that are under-controlled), pounds per hour;

0.90 = required control system efficiency of 90 percent multiplied, unitless.

(2) Requirements for debits and credits. You must calculate debits and credits as specified in paragraphs (c)(2)(i) through (vi) of this section.

- (i) You must limit process units in the emissions average to those process units located at the existing affected source as defined in § 63.2292.
- (ii) You cannot use nonoperating process units to generate emissions averaging credits. You cannot use process units that are shut down to generate emissions averaging debits or credits.
- (iii) You may not include in your emissions average process units controlled to comply with a State, Tribal, or Federal rule other than this subpart.
- (iv) You must use actual measurements of total HAP emissions from process units to calculate your required mass removal (RMR) and actual mass removal (AMR). The total HAP measurements must be obtained according to § 63.2262(b) through (d), (g), and (h), using the methods specified in Table 4 to this subpart.
- (v) Your initial demonstration that the credit-generating process units will be capable of generating enough credits to offset the debits from the debit-generating process units must be made under representative operating conditions. After the compliance date, you must use actual operating data for all debit and credit calculations.
- (vi) Do not include emissions from the following time periods in your emissions averaging calculations:

- (A) Before August 13, 2021, emissions during periods of startup, shutdown, and malfunction as described in the startup, shutdown, and malfunction plan (SSMP). On and after August 13, 2021, emissions during safety-related shutdowns, pressurized refiner startups and shutdowns, or startup and shutdown of direct-fired softwood veneer dryer gas-fired burners.
 - (B) Emissions during periods of monitoring malfunctions, associated repairs, and required quality assurance or control activities or during periods of control device maintenance covered in your routine control device maintenance exemption. No credits may be assigned to credit-generating process units, and maximum debits must be assigned to debit-generating process units during these periods.
- (3) **Operating requirements.** You must meet the operating requirements in Table 2 to this subpart for each process unit or control device used in calculation of emissions averaging credits.

[69 FR 46011, July 30, 2004, as amended at 85 FR 49455, Aug. 13, 2020]

§ 63.2241 What are the work practice requirements and how must I meet them?

- (a) You must meet each work practice requirement in Table 3 to this subpart that applies to you.
- (b) As provided in § 63.6(g), we, the EPA, may choose to grant you permission to use an alternative to the work practice requirements in this section.
- (c) If you have a dry rotary dryer, you may choose to designate your dry rotary dryer as a green rotary dryer and meet the more stringent compliance options and operating requirements in § 63.2240 for green rotary dryers instead of the work practices for dry rotary dryers. If you have a hardwood veneer dryer or veneer redryer, you may choose to designate your hardwood veneer dryer or veneer redryer as a softwood veneer dryer and meet the more stringent compliance options and operating requirements in § 63.2240 for softwood veneer dryer heated zones instead of the work practices for hardwood veneer dryers or veneer redryers.

GENERAL COMPLIANCE REQUIREMENTS

§ 63.2250 What are the general requirements?

- (a) You must be in compliance with the compliance options, operating requirements, and the work practice requirements in this subpart at all times, except during periods of process unit or control device startup, shutdown, and malfunction; prior to process unit initial startup; and during the routine control device maintenance exemption specified in § 63.2251. The compliance options, operating requirements, and work practice requirements do not apply during times when the process unit(s) subject to the compliance options, operating requirements, and work practice requirements are not operating, or during periods of startup, shutdown, and malfunction. Startup and shutdown periods must not exceed the minimum amount of time necessary for these events. For any affected source that commences construction or reconstruction after September 6, 2019, this paragraph (a) does not apply on and after August 13, 2020 or initial startup of the affected source, whichever is later. For all other affected sources, this paragraph (a) does not apply on and after August 13, 2021.

- (b) You must always operate and maintain your affected source, including air pollution control and monitoring equipment according to the provisions in § 63.6(e)(1)(i). For any affected source that commences construction or reconstruction after September 6, 2019, this paragraph (b) does not apply on and after August 13, 2020 or initial startup of the affected source, whichever is later. For all other affected sources, this paragraph (b) does not apply on and after August 13, 2021.
- (c) You must develop a written SSMP according to the provisions in § 63.6(e)(3). For any affected source that commences construction or reconstruction after September 6, 2019, this paragraph (c) does not apply on and after August 13, 2020 or initial startup of the affected source, whichever is later. For all other affected sources, this paragraph (c) does not apply on and after August 13, 2021.
- (d) Shutoff of direct-fired burners resulting from partial and full production stoppages of direct-fired softwood veneer dryers or over-temperature events shall be deemed shutdowns and not malfunctions. Lighting or re-lighting any one or all gas burners in direct-fired softwood veneer dryers shall be deemed startups and not malfunctions.
- (e) You must be in compliance with the provisions of subpart A of this part, except as noted in Table 10 to this subpart.
- (f) Upon August 13, 2020 or initial startup of the affected source, whichever is later, for affected sources that commenced construction or reconstruction after September 6, 2019, and on and after August 13, 2021 for all other affected sources, you must be in compliance with the compliance options, operating requirements, and the work practice requirements in this subpart when the process unit(s) subject to the compliance options, operating requirements, and work practice requirements are operating, except as specified in paragraphs (f)(1) through (6) of this section.
 - (1) Prior to process unit initial startup.
 - (2) During safety-related shutdowns conducted according to the work practice requirement in Table 3 to this subpart.
 - (3) During pressurized refiner startup and shutdown according to the work practice requirement in Table 3 to this subpart.
 - (4) During startup and shutdown of direct-fired softwood veneer dryer gas-fired burners according to the work practice requirement in Table 3 to this subpart.
 - (5) You must minimize the length of time when compliance options and operating requirements in this subpart are not met due to the conditions in paragraphs (f)(2) and (4) of this section.
 - (6) The applicable standard during each of the operating conditions specified in paragraphs (f)(2) through (4) of this section are the work practice requirements in Table 3 to this subpart for safety-related shutdowns (row 6), pressurized refiner startup and shutdown (row 7), and direct-fired softwood veneer dryers undergoing startup or shutdown of gas-fired burners (row 8). The otherwise applicable compliance options, operating requirements, and work practice requirements (in rows 1 through 5 of Table 3 to this subpart) do not apply during the operating conditions specified in paragraphs (f)(2) through (4) of this section.
- (g) For affected sources that commenced construction or reconstruction after September 6, 2019, and for all other affected sources on and after August 13, 2021, you must always operate and maintain your affected source, including air pollution control and monitoring equipment in a manner consistent with good air pollution control practices for minimizing emissions at least to the levels required by this subpart. The general duty to minimize emissions does not require you to make any further efforts to reduce emissions

if levels required by the applicable standard have been achieved. Determination of whether a source is operating in compliance with operation and maintenance requirements will be based on information available to the Administrator which may include, but is not limited to, monitoring results, review of operation and maintenance procedures, review of operation and maintenance records, and inspection of the source.

[69 FR 46011, July 30, 2004, as amended at 71 FR 8372, Feb. 16, 2006; 71 FR 20463, Apr. 20, 2006; 85 FR 49455, Aug. 13, 2020]

§ 63.2251 What are the requirements for the routine control device maintenance exemption?

- (a) You may request a routine control device maintenance exemption from the EPA Administrator for routine maintenance events such as control device bakeouts, washouts, media replacement, and replacement of corroded parts. Your request must justify the need for the routine maintenance on the control device and the time required to accomplish the maintenance activities, describe the maintenance activities and the frequency of the maintenance activities, explain why the maintenance cannot be accomplished during process shutdowns, describe how you plan to make reasonable efforts to minimize emissions during the maintenance, and provide any other documentation required by the EPA Administrator.
- (b) The routine control device maintenance exemption must not exceed the percentages of process unit operating uptime in paragraphs (b)(1) and (2) of this section.
 - (1) If the control device is used to control a green rotary dryer, tube dryer, rotary strand dryer, or pressurized refiner, then the routine control device maintenance exemption must not exceed 3 percent of annual operating uptime for each process unit controlled.
 - (2) If the control device is used to control a softwood veneer dryer, reconstituted wood product press, reconstituted wood product board cooler, hardboard oven, press predryer, conveyor strand dryer, or fiberboard mat dryer, then the routine control device maintenance exemption must not exceed 0.5 percent of annual operating uptime for each process unit controlled.
 - (3) If the control device is used to control a combination of equipment listed in both paragraphs (b)(1) and (2) of this section, such as a tube dryer and a reconstituted wood product press, then the routine control device maintenance exemption must not exceed 3 percent of annual operating uptime for each process unit controlled.
- (c) The request for the routine control device maintenance exemption, if approved by the EPA Administrator, must be IBR in and attached to the affected source's title V permit.
- (d) The compliance options and operating requirements do not apply during times when control device maintenance covered under your approved routine control device maintenance exemption is performed. You must minimize emissions to the greatest extent possible during these routine control device maintenance periods.
- (e) To the extent practical, startup and shutdown of emission control systems must be scheduled during times when process equipment is also shut down.

§ 63.2252 What are the requirements for process units that have no control or work practice requirements?

For process units not subject to the compliance options or work practice requirements specified in § 63.2240 (including, but not limited to, lumber kilns), you are not required to comply with the compliance options, work practice requirements, performance testing, monitoring, and recordkeeping or reporting requirements of this subpart, or any other requirements in subpart A of this part, except for the initial notification requirements in § 63.9(b).

[85 FR 49455, Aug. 13, 2020]

INITIAL COMPLIANCE REQUIREMENTS

§ 63.2260 How do I demonstrate initial compliance with the compliance options, operating requirements, and work practice requirements?

- (a) To demonstrate initial compliance with the compliance options and operating requirements, you must conduct performance tests and establish each site-specific operating requirement in Table 2 to this subpart according to the requirements in § 63.2262 and Table 4 to this subpart. Combustion units that accept process exhausts into the flame zone are exempt from the initial performance testing and operating requirements for thermal oxidizers.
- (b) You must demonstrate initial compliance with each compliance option, operating requirement, and work practice requirement that applies to you according to Tables 5 and 6 to this subpart and according to §§ 63.2260 through 63.2269 of this subpart.
- (c) You must submit the Notification of Compliance Status containing the results of the initial compliance demonstration according to the requirements in § 63.2280(d).

§ 63.2261 By what date must I conduct performance tests or other initial compliance demonstrations?

- (a) You must conduct performance tests upon initial startup or no later than 180 calendar days after the compliance date that is specified for your source in § 63.2233 and according to § 63.7(a)(2), whichever is later.
- (b) You must conduct initial compliance demonstrations that do not require performance tests upon initial startup or no later than 30 calendar days after the compliance date that is specified for your source in § 63.2233, whichever is later.

§ 63.2262 How do I conduct performance tests and establish operating requirements?

- (a) **Testing procedures.** You must conduct each performance test according to the requirements in paragraphs (b) through (o) of this section and according to the methods specified in Table 4 to this subpart.
- (b) **Periods when performance tests must be conducted.** You must conduct each performance test based on representative performance (i.e., performance based on representative operating conditions as defined in § 63.2292) of the affected source for the period being tested. Representative conditions exclude periods of startup and shutdown. You may not conduct performance tests during periods of malfunction. You must describe representative operating conditions in your performance test report for the process and

control systems and explain why they are representative. You must record the process information that is necessary to document operating conditions during the test and include in such record an explanation to support that such conditions are representative. Upon request, you shall make available to the Administrator such records as may be necessary to determine the conditions of performance tests.

(c) **Number of test runs.** You must conduct three separate test runs for each performance test required in this section as specified in § 63.7(e)(3). Each test run must last at least 1 hour except for: testing of a temporary total enclosure (TTE) conducted using Methods 204A through 204F of 40 CFR part 51, appendix M, which require three separate test runs of at least 3 hours each; and testing of an enclosure conducted using the alternative tracer gas method in appendix A to this subpart, which requires a minimum of three separate runs of at least 20 minutes each.

(d) **Location of sampling sites.**

(1) Sampling sites must be located at the inlet (if emission reduction testing or documentation of inlet methanol or formaldehyde concentration is required) and outlet of the control device (defined in § 63.2292) and prior to any releases to the atmosphere. For control sequences with wet control devices (defined in § 63.2292) followed by control devices (defined in § 63.2292), sampling sites may be located at the inlet and outlet of the control sequence and prior to any releases to the atmosphere.

(2) Sampling sites for process units meeting compliance options without a control device must be located prior to any releases to the atmosphere. Facilities demonstrating compliance with a production-based compliance option for a process unit equipped with a wet control device must locate sampling sites prior to the wet control device.

(e) **Collection of monitoring data.** You must collect operating parameter monitoring system or continuous emissions monitoring system (CEMS) data at least every 15 minutes during the entire performance test and determine the parameter or concentration value for the operating requirement during the performance test using the methods specified in paragraphs (k) through (o) of this section.

(f) **Collection of production data.** To comply with any of the production-based compliance options, you must measure and record the process unit throughput during each performance test.

(g) **Nondetect data.**

(1) Except as specified in paragraph (g)(2) of this section, all nondetect data (§ 63.2292) must be treated as one-half of the method detection limit when determining total HAP, formaldehyde, methanol, or total hydrocarbon (THC) emission rates.

(2) When showing compliance with the production-based compliance options in Table 1A to this subpart, you may treat emissions of an individual HAP as zero if all three of the performance test runs result in a nondetect measurement, and the method detection limit is less than or equal to 1 parts per million by volume, dry basis (ppmvd). Otherwise, nondetect data for individual HAP must be treated as one-half of the method detection limit.

(h) **Calculation of percent reduction across a control system.** When determining the control system efficiency for any control system included in your emissions averaging plan (not to exceed 90 percent) and when complying with any of the compliance options based on percent reduction across a control system in Table 1B to this subpart, as part of the performance test, you must calculate the percent reduction using Equation 1 of this section:

$$PR = CE \times \frac{ER_{in} - ER_{out}}{ER_{in}} (100) \quad (\text{Eq. 1})$$

Where:

PR = percent reduction, percent;

CE = capture efficiency, percent (determined for reconstituted wood product presses and board coolers as required in Table 4 to this subpart);

ER_{in} = emission rate of total HAP (calculated as the sum of the emission rates of acetaldehyde, acrolein, formaldehyde, methanol, phenol, and propionaldehyde), THC, formaldehyde, or methanol in the inlet vent stream of the control device, pounds per hour;

ER_{out} = emission rate of total HAP (calculated as the sum of the emission rates of acetaldehyde, acrolein, formaldehyde, methanol, phenol, and propionaldehyde), THC, formaldehyde, or methanol in the outlet vent stream of the control device, pounds per hour.

- (i) **Calculation of mass per unit production.** To comply with any of the production-based compliance options in Table 1A to this subpart, you must calculate your mass per unit production emissions for each performance test run using Equation 2 of this section:

$$MP = \frac{ER_{HAP}}{P \times CE} \quad (\text{Eq. 2})$$

Where:

MP = mass per unit production, pounds per oven dried ton OR pounds per thousand square feet on a specified thickness basis (see paragraph (j) of this section if you need to convert from one thickness basis to another);

ER_{HAP} = emission rate of total HAP (calculated as the sum of the emission rates of acetaldehyde, acrolein, formaldehyde, methanol, phenol, and propionaldehyde) in the stack, pounds per hour;

P = process unit production rate (throughput), oven dried tons per hour OR thousand square feet per hour on a specified thickness basis;

CE = capture efficiency, percent (determined for reconstituted wood product presses and board coolers as required in Table 4 to this subpart).

- (j) **Thickness basis conversion.** Use Equation 3 of this section to convert from one thickness basis to another:

$$MSF_B = MSF_A \times \frac{A}{B} \quad (\text{Eq. 3})$$

Where:

MSF_A = thousand square feet on an A-inch basis;

MSF_B = thousand square feet on a B-inch basis;

A = old thickness you are converting from, inches;

B = new thickness you are converting to, inches.

- (k) **Establishing thermal oxidizer operating requirements.** If you operate a thermal oxidizer, you must establish your thermal oxidizer operating parameters according to paragraphs (k)(1) through (3) of this section.
- (1) During the performance test, you must continuously monitor the firebox temperature during each of the required 1-hour test runs. For regenerative thermal oxidizers, you may measure the temperature in multiple locations (e.g., one location per burner) in the combustion chamber and calculate the average of the temperature measurements prior to reducing the temperature data to 15-minute averages for purposes of establishing your minimum firebox temperature. The minimum firebox temperature must then be established as the average of the three minimum 15-minute firebox temperatures monitored during the three test runs. Multiple three-run performance tests may be conducted to establish a range of parameter values under different operating conditions.
 - (2) You may establish a different minimum firebox temperature for your thermal oxidizer by submitting the notification specified in § 63.2280(g) and conducting a repeat performance test as specified in paragraph (k)(1) of this section that demonstrates compliance with the applicable compliance options of this subpart.
 - (3) If your thermal oxidizer is a combustion unit that accepts process exhaust into the flame zone, then you are exempt from the performance testing and monitoring requirements specified in paragraphs (k)(1) and (2) of this section. To demonstrate initial compliance, you must submit documentation with your Notification of Compliance Status showing that process exhausts controlled by the combustion unit enter into the flame zone.
- (l) **Establishing catalytic oxidizer operating requirements.** If you operate a catalytic oxidizer, you must establish your catalytic oxidizer operating parameters according to paragraphs (l)(1) and (2) of this section.
- (1) During the performance test, you must continuously monitor during the required 1-hour test runs either the temperature at the inlet to each catalyst bed or the temperature in the combustion chamber. For regenerative catalytic oxidizers, you must calculate the average of the temperature measurements from each catalyst bed inlet or within the combustion chamber prior to reducing the temperature data to 15-minute averages for purposes of establishing your minimum catalytic oxidizer temperature. The minimum catalytic oxidizer temperature must then be established as the average of the three minimum 15-minute temperatures monitored during the three test runs. Multiple three-run performance tests may be conducted to establish a range of parameter values under different operating conditions.
 - (2) You may establish a different minimum catalytic oxidizer temperature by submitting the notification specified in § 63.2280(g) and conducting a repeat performance test as specified in paragraphs (l)(1) and (2) of this section that demonstrates compliance with the applicable compliance options of this subpart.
- (m) **Establishing biofilter operating requirements.** If you operate a biofilter, you must establish your biofilter operating requirements according to paragraphs (m)(1) through (3) of this section.

- (1) During the performance test, you must continuously monitor the biofilter bed temperature during each of the required 1-hour test runs. To monitor biofilter bed temperature, you may use multiple thermocouples in representative locations throughout the biofilter bed and calculate the average biofilter bed temperature across these thermocouples prior to reducing the temperature data to 15-minute averages for purposes of establishing biofilter bed temperature limits. The biofilter bed temperature range must be established as the temperature values 10 percent below the minimum and 10 percent (not to exceed 8 °F) above the maximum 15-minute biofilter bed temperatures monitored during the three test runs. You may base your biofilter bed temperature range on values recorded during previous performance tests provided that the data used to establish the temperature ranges have been obtained using the test methods required in this subpart. If you use data from previous performance tests, you must certify that the biofilter and associated process unit(s) have not been modified subsequent to the date of the performance tests. Replacement of the biofilter media with the same type of material is not considered a modification of the biofilter for purposes of this section.
 - (2) For a new biofilter installation, you will be allowed up to 180 days following the compliance date or 180 days following initial startup of the biofilter to complete the requirements in paragraph (m)(1) of this section.
 - (3) You may expand your biofilter bed temperature operating range by submitting the notification specified in § 63.2280(g) and conducting a repeat performance test as specified in paragraph (m)(1) of this section that demonstrates compliance with the applicable compliance options of this subpart.
- (n) ***Establishing operating requirements for process units meeting compliance options without a control device.*** If you operate a process unit that meets a compliance option in Table 1A to this subpart, or is a process unit that generates debits in an emissions average without the use of a control device, you must establish your process unit operating parameters according to paragraphs (n)(1) through (2) of this section.
- (1) During the performance test, you must identify and document the process unit controlling parameter(s) that affect total HAP emissions during the three-run performance test. The controlling parameters you identify must coincide with the representative operating conditions you describe according to paragraph (b) of this section. For each parameter, you must specify appropriate monitoring methods, monitoring frequencies, and for continuously monitored parameters, averaging times not to exceed 24 hours. The operating limit for each controlling parameter must then be established as the minimum, maximum, range, or average (as appropriate depending on the parameter) recorded during the performance test. Multiple three-run performance tests may be conducted to establish a range of parameter values under different operating conditions.
 - (2) You may establish different controlling parameter limits for your process unit by submitting the notification specified in § 63.2280(g) and conducting a repeat performance test as specified in paragraph (n)(1) of this section that demonstrates compliance with the compliance options in Table 1A to this subpart or is used to establish emission averaging debits for an uncontrolled process unit.
- (o) ***Establishing operating requirements using THC CEMS.*** If you choose to meet the operating requirements by monitoring THC concentration instead of monitoring control device or process operating parameters, you must establish your THC concentration operating requirement according to paragraphs (o)(1) through (2) of this section.

- (1) During the performance test, you must continuously monitor THC concentration using your CEMS during each of the required 1-hour test runs. The maximum THC concentration must then be established as the average of the three maximum 15-minute THC concentrations monitored during the three test runs. Multiple three-run performance tests may be conducted to establish a range of THC concentration values under different operating conditions.
- (2) You may establish a different maximum THC concentration by submitting the notification specified in § 63.2280(g) and conducting a repeat performance test as specified in paragraph (o)(1) of this section that demonstrates compliance with the compliance options in Tables 1A and 1B to this subpart.

[69 FR 46011, July 30, 2004, as amended at 71 FR 8372, Feb. 16, 2006; 85 FR 49456, Aug. 13, 2020]

§ 63.2263 Initial compliance demonstration for a dry rotary dryer.

If you operate a dry rotary dryer, you must demonstrate that your dryer processes furnish with an inlet moisture content of less than or equal to 30 percent (by weight, dry basis) and operates with a dryer inlet temperature of less than or equal to 600 °F. You must designate and clearly identify each dry rotary dryer. You must record the inlet furnish moisture content (dry basis) and inlet dryer operating temperature according to §§ 63.2269(a), (b), and (c) and 63.2270 for a minimum of 30 calendar days. You must submit the highest recorded 24-hour average inlet furnish moisture content and the highest recorded 24-hour average dryer inlet temperature with your Notification of Compliance Status. In addition, you must submit with the Notification of Compliance Status a signed statement by a responsible official that certifies with truth, accuracy, and completeness that the dry rotary dryer will dry furnish with a maximum inlet moisture content less than or equal to 30 percent (by weight, dry basis) and will operate with a maximum inlet temperature of less than or equal to 600 °F in the future.

§ 63.2264 Initial compliance demonstration for a hardwood veneer dryer.

If you operate a hardwood veneer dryer, you must record the annual volume percentage of softwood veneer species processed in the dryer as follows:

- (a) Use Equation 1 of this section to calculate the annual volume percentage of softwood species dried:

$$SW_{\%} = \frac{SW}{T} (100) \quad (\text{Eq. 1})$$

Where:

SW% = annual volume percent softwood species dried;

SW = softwood veneer dried during the previous 12 months, thousand square feet (³/₈-inch basis);

T = total softwood and hardwood veneer dried during the previous 12 months, thousand square feet (³/₈-inch basis).

- (b) You must designate and clearly identify each hardwood veneer dryer. Submit with the Notification of Compliance Status the annual volume percentage of softwood species dried in the dryer based on your dryer production for the 12 months prior to the compliance date specified for your source in § 63.2233. If you did not dry any softwood species in the dryer during the 12 months prior to the compliance date, then

you need only to submit a statement indicating that no softwood species were dried. In addition, submit with the Notification of Compliance Status a signed statement by a responsible official that certifies with truth, accuracy, and completeness that the veneer dryer will be used to process less than 30 volume percent softwood species in the future.

§ 63.2265 Initial compliance demonstration for a softwood veneer dryer.

If you operate a softwood veneer dryer, you must develop a plan for review and approval for minimizing fugitive emissions from the veneer dryer heated zones, and you must submit the plan with your Notification of Compliance Status.

§ 63.2266 Initial compliance demonstration for a veneer redryer.

If you operate a veneer redryer, you must record the inlet moisture content of the veneer processed in the redryer according to §§ 63.2269(a) and (c) and 63.2270 for a minimum of 30 calendar days. You must designate and clearly identify each veneer redryer. You must submit the highest recorded 24-hour average inlet veneer moisture content with your Notification of Compliance Status to show that your veneer redryer processes veneer with an inlet moisture content of less than or equal to 25 percent (by weight, dry basis). In addition, submit with the Notification of Compliance Status a signed statement by a responsible official that certifies with truth, accuracy, and completeness that the veneer redryer will dry veneer with a moisture content less than 25 percent (by weight, dry basis) in the future.

§ 63.2267 Initial compliance demonstration for a reconstituted wood product press or board cooler.

If you operate a reconstituted wood product press at a new or existing affected source or a reconstituted wood product board cooler at a new affected source, then you must either use a wood products enclosure as defined in § 63.2292 or measure the capture efficiency of the capture device for the press or board cooler using Methods 204 and 204A through 204F of 40 CFR part 51, appendix M (as appropriate), or using the alternative tracer gas method contained in appendix A to this subpart. You must submit documentation that the wood products enclosure meets the press enclosure design criteria in § 63.2292 or the results of the capture efficiency verification with your Notification of Compliance Status.

§ 63.2268 Initial compliance demonstration for a wet control device.

If you use a wet control device as the sole means of reducing HAP emissions, you must develop and implement a plan for review and approval to address how organic HAP captured in the wastewater from the wet control device is contained or destroyed to minimize re-release to the atmosphere such that the desired emissions reductions are obtained. You must submit the plan with your Notification of Compliance Status.

§ 63.2269 What are my monitoring installation, operation, and maintenance requirements?

(a) **General continuous parameter monitoring requirements.** You must install, operate, and maintain each continuous parameter monitoring system (CPMS) according to paragraphs (a)(1) through (3) of this section.

(1) The CPMS must be capable of completing a minimum of one cycle of operation (sampling, analyzing, and recording) for each successive 15-minute period.

- (2) At all times, you must maintain the monitoring equipment including, but not limited to, maintaining necessary parts for routine repairs of the monitoring equipment.
 - (3) Record the results of each inspection, calibration, and validation check.
- (b) **Temperature monitoring.** For each temperature monitoring device, you must meet the requirements in paragraphs (a) and (b)(1) through (6) of this section.
 - (1) Locate the temperature sensor in a position that provides a representative temperature.
 - (2) Use a temperature sensor with a minimum accuracy of 4 °F or 0.75 percent of the temperature value, whichever is larger.
 - (3) If a chart recorder is used, it must have a sensitivity with minor divisions not more than 20 °F.
 - (4) Validate the temperature sensor's reading at least semiannually using the requirements of paragraph (b)(4)(i), (ii), (iii), (iv), or (v) of this section:
 - (i) Compare measured readings to a National Institute of Standards and Technology (NIST) traceable temperature measurement device or simulate a typical operating temperature using a NIST traceable temperature simulation device. When the temperature measurement device method is used, the sensor of the NIST traceable calibrated device must be placed as close as practicable to the process sensor, and both devices must be subjected to the same environmental conditions. The accuracy of the temperature measured must be 2.5 percent of the temperature measured by the NIST traceable device or 5 °F, whichever is greater.
 - (ii) Follow applicable procedures in the thermocouple manufacturer owner's manual.
 - (iii) Request thermocouple manufacturer to certify or re-certify electromotive force (electrical properties) of the thermocouple.
 - (iv) Replace thermocouple with a new certified thermocouple in lieu of validation.
 - (v) Permanently install a redundant temperature sensor as close as practicable to the process temperature sensor. The sensors must yield a reading within 30 °F of each other for thermal oxidizers and catalytic oxidizers; within 5 °F of each other for biofilters; and within 20 °F of each other for dry rotary dryers.
 - (5) Conduct validation checks using the procedures in paragraph (b)(4) of this section any time the sensor exceeds the manufacturer's specified maximum operating temperature range or install a new temperature sensor.
 - (6) At least quarterly, inspect all components for integrity and all electrical connections for continuity, oxidation, and galvanic corrosion.
- (c) **Wood moisture monitoring.** For each furnish or veneer moisture meter, you must meet the requirements in paragraphs (a)(1) through (3) and paragraphs (c)(1) through (5) of this section.
 - (1) For dry rotary dryers, use a continuous moisture monitor with a minimum accuracy of 1 percent (dry basis) moisture or better in the 25 to 35 percent (dry basis) moisture content range. For veneer redryers, use a continuous moisture monitor with a minimum accuracy of 3 percent (dry basis) moisture or better in the 15 to 25 percent (dry basis) moisture content range. Alternatively, you may use a continuous moisture monitor with a minimum accuracy of 5 percent (dry basis) moisture or better for dry rotary dryers used to dry furnish with less than 25 percent (dry basis) moisture or for veneer redryers used to redry veneer with less than 20 percent (dry basis) moisture.

- (2) Locate the moisture monitor in a position that provides a representative measure of furnish or veneer moisture.
- (3) Calibrate the moisture monitor based on the procedures specified by the moisture monitor manufacturer at least once per semiannual compliance period (or more frequently if recommended by the moisture monitor manufacturer).
- (4) At least quarterly, inspect all components of the moisture monitor for integrity and all electrical connections for continuity.
- (5) Use Equation 1 of this section to convert percent moisture measurements wet basis to a dry basis:

$$MC_{dry} = \frac{MC_{wet}/100}{1 - (MC_{wet}/100)} (100) \quad (\text{Eq. 1})$$

Where:

MC_{dry} = percent moisture content of wood material (weight percent, dry basis);

MC_{wet} = percent moisture content of wood material (weight percent, wet basis).

- (d) **Continuous emission monitoring system(s).** Each CEMS must be installed, operated, and maintained according to paragraphs (d)(1) through (4) of this section.
 - (1) Each CEMS for monitoring THC concentration must be installed, operated, and maintained according to Performance Specification 8 of 40 CFR part 60, appendix B. You must also comply with Procedure 1 of 40 CFR part 60, appendix F.
 - (2) You must conduct a performance evaluation of each CEMS according to the requirements in § 63.8 and according to Performance Specification 8 of 40 CFR part 60, appendix B.
 - (3) As specified in § 63.8(c)(4)(ii), each CEMS must complete a minimum of one cycle of operation (sampling, analyzing, and data recording) for each successive 15-minute period.
 - (4) The CEMS data must be reduced as specified in §§ 63.8(g)(2) and 63.2270(d) and (e).

[69 FR 46011, July 30, 2004, as amended at 71 FR 8372, Feb. 16, 2006; 85 FR 49456, Aug. 13, 2020]

CONTINUOUS COMPLIANCE REQUIREMENTS

§ 63.2270 How do I monitor and collect data to demonstrate continuous compliance?

- (a) You must monitor and collect data according to this section.
- (b) Except for, as appropriate, monitor malfunctions, associated repairs, and required quality assurance or control activities (including, as applicable, calibration checks and required zero and span adjustments), you must conduct all monitoring in continuous operation at all times that the process unit is operating. For purposes of calculating data averages, you must not use data recorded during monitoring malfunctions, associated repairs, out-of-control periods, or required quality assurance or control activities. You must use all the data collected during all other periods in assessing compliance. A monitoring malfunction is any sudden, infrequent, not reasonably preventable failure of the monitoring to provide

valid data. Monitoring failures that are caused in part by poor maintenance or careless operation are not malfunctions. Any period for which the monitoring system is out-of-control and data are not available for required calculations constitutes a deviation from the monitoring requirements.

- (c) You may not use data recorded during monitoring malfunctions, associated repairs, and required quality assurance or control activities or data recorded during periods of safety-related shutdown, pressurized refiner startup or shutdown, startup and shutdown of direct-fired softwood veneer dryer gas-fired burners, or control device downtime covered in any approved routine control device maintenance exemption in data averages and calculations used to report emission or operating levels, nor may such data be used in fulfilling a minimum data availability requirement, if applicable. You must use all the data collected during all other periods in assessing the operation of the control system.
- (d) Except as provided in paragraph (e) of this section, determine the 3-hour block average of all recorded readings, calculated after every 3 hours of operation as the average of the evenly spaced recorded readings in the previous 3 operating hours (excluding periods described in paragraphs (b) and (c) of this section).
- (e) For dry rotary dryer and veneer redryer wood moisture monitoring, dry rotary dryer temperature monitoring, biofilter bed temperature monitoring, and biofilter outlet THC monitoring, determine the 24-hour block average of all recorded readings, calculated after every 24 hours of operation as the average of the evenly spaced recorded readings in the previous 24 operating hours (excluding periods described in paragraphs (b) and (c) of this section).
- (f) To calculate the data averages for each 3-hour or 24-hour averaging period, you must have at least 75 percent of the required recorded readings for that period using only recorded readings that are based on valid data (i.e., not from periods described in paragraphs (b) and (c) of this section).

[69 FR 46011, July 30, 2004, as amended at 85 FR 49456, Aug. 13, 2020]

§ 63.2271 How do I demonstrate continuous compliance with the compliance options, operating requirements, and work practice requirements?

- (a) You must demonstrate continuous compliance with the compliance options, operating requirements, and work practice requirements in §§ 63.2240 and 63.2241 that apply to you according to the methods specified in Tables 7 and 8 to this subpart.
 - (b) You must report each instance in which you did not meet each compliance option, operating requirement, and work practice requirement in Tables 7 and 8 to this subpart that applies to you. This includes periods of startup, shutdown, and malfunction and periods of control device maintenance specified in paragraphs (b)(1) through (4) of this section. These instances are deviations from the compliance options, operating requirements, and work practice requirements in this subpart. These deviations must be reported according to the requirements in § 63.2281.
- (1)-(2) [Reserved]
- (3) Deviations that occur during periods of control device maintenance covered by any approved routine control device maintenance exemption are not violations if you demonstrate to the EPA Administrator's satisfaction that you were operating in accordance with the approved routine control device maintenance exemption.

- (4) Instances of safety-related shutdown, pressurized refiner startup and shutdown, and startup and shutdown of direct-fired softwood veneer dryer gas-fired burners subject to the work practice requirements in Table 3 to this subpart (rows 6 through 8) must be reported as required in § 63.2281(c)(4). Instances when the work practice requirements in Table 3 to this subpart (rows 6 through 8) are used are not considered to be deviations from (or violations of) the otherwise applicable compliance options, operating requirements and work practice requirements (in rows 1 through 5 of Table 3 to this subpart) as long as you do not exceed the minimum amount of time necessary for these events.

[69 FR 46011, July 30, 2004, as amended at 71 FR 20463, Apr. 20, 2006; 85 FR 49456, Aug. 13, 2020]

NOTIFICATIONS, REPORTS, AND RECORDS

§ 63.2280 What notifications must I submit and when?

- (a) You must submit all of the notifications in §§ 63.7(b) and (c), 63.8(e), (f)(4) and (f)(6), 63.9 (b) through (e), and (g) and (h) by the dates specified.
- (b) You must submit an Initial Notification no later than 120 calendar days after September 28, 2004, 120 calendar days after initial startup, or no later than 120 days after the source becomes subject to this subpart, whichever is later, as specified in § 63.9(b)(2). Initial Notifications required to be submitted after August 13, 2020, for affected sources that commence construction or reconstruction after September 6, 2019, and on and after August 13, 2021, for all other affected sources submitting initial notifications required in § 63.9(b) must be submitted following the procedure specified in § 63.2281(h), (k), and (l).
- (c) If you are required to conduct a performance test, you must submit a written notification of intent to conduct a performance test at least 60 calendar days before the performance test is scheduled to begin as specified in § 63.7(b)(1).
- (d) If you are required to conduct a performance test, design evaluation, or other compliance demonstration as specified in Tables 4, 5, and 6 to this subpart, or a repeat performance test as specified in Table 7 to this subpart, you must submit a Notification of Compliance Status as specified in § 63.9(h)(2)(ii). After August 13, 2020 for affected sources that commence construction or reconstruction after September 6, 2019, and on and after August 13, 2021 for all other affected sources, submit all subsequent Notifications of Compliance Status following the procedure specified in § 63.2281(h), (k), and (l).
- (1) For each initial compliance demonstration required in Table 5 or 6 to this subpart that does not include a performance test, you must submit the Notification of Compliance Status before the close of business on the 30th calendar day following the completion of the initial compliance demonstration.
- (2) For each compliance demonstration required in Tables 5, 6, and 7 to this subpart that includes a performance test conducted according to the requirements in Table 4 to this subpart, you must submit the Notification of Compliance Status, including a summary of the performance test results, before the close of business on the 60th calendar day following the completion of the performance test.
- (e) If you request a routine control device maintenance exemption according to § 63.2251, you must submit your request for the exemption no later than 30 days before the compliance date.

- (f) If you use the emissions averaging compliance option in § 63.2240(c), you must submit an Emissions Averaging Plan to the EPA Administrator for approval no later than 1 year before the compliance date or no later than 1 year before the date you would begin using an emissions average, whichever is later. The Emissions Averaging Plan must include the information in paragraphs (f)(1) through (6) of this section.
 - (1) Identification of all the process units to be included in the emissions average indicating which process units will be used to generate credits, and which process units that are subject to compliance options in Tables 1A and 1B to this subpart will be uncontrolled (used to generate debits) or under-controlled (used to generate debits and credits).
 - (2) Description of the control system used to generate emission credits for each process unit used to generate credits.
 - (3) Determination of the total HAP control efficiency for the control system used to generate emission credits for each credit-generating process unit.
 - (4) Calculation of the RMR and AMR, as calculated using Equations 1 through 3 of § 63.2240(c)(1).
 - (5) Documentation of total HAP measurements made according to § 63.2240(c)(2)(iv) and other relevant documentation to support calculation of the RMR and AMR.
 - (6) A summary of the operating parameters you will monitor and monitoring methods for each debit-generating and credit-generating process unit.
- (g) You must notify the EPA Administrator within 30 days before you take any of the actions specified in paragraphs (g)(1) through (3) of this section.
 - (1) You modify or replace the control system for any process unit subject to the compliance options and operating requirements in this subpart.
 - (2) You shut down any process unit included in your Emissions Averaging Plan.
 - (3) You change a continuous monitoring parameter or the value or range of values of a continuous monitoring parameter for any process unit or control device.

[69 FR 46011, July 30, 2004, as amended at 85 FR 49457, Aug. 13, 2020; 85 FR 73902, Nov. 19, 2020]

§ 63.2281 What reports must I submit and when?

- (a) You must submit each report in Table 9 to this subpart that applies to you.
- (b) Unless the EPA Administrator has approved a different schedule for submission of reports under § 63.10(a), you must submit each report by the date in Table 9 to this subpart and as specified in paragraphs (b)(1) through (6) of this section.
 - (1) The first compliance report must cover the period beginning on the compliance date that is specified for your affected source in § 63.2233 ending on June 30 or December 31, and lasting at least 6 months, but less than 12 months. For example, if your compliance date is March 1, then the first semiannual reporting period would begin on March 1 and end on December 31.
 - (2) The first compliance report must be postmarked or delivered no later than July 31 or January 31 for compliance periods ending on June 30 and December 31, respectively.
 - (3) Each subsequent compliance report must cover the semiannual reporting period from January 1 through June 30 or the semiannual reporting period from July 1 through December 31.

- (4) Each subsequent compliance report must be postmarked or delivered no later than July 31 or January 31 for the semiannual reporting period ending on June 30 and December 31, respectively.
 - (5) For each affected source that is subject to permitting regulations pursuant to 40 CFR part 70 or 40 CFR part 71, and if the permitting authority has established dates for submitting semiannual reports pursuant to § 70.6(a)(3)(iii)(A) or § 71.6(a)(3)(iii)(A), you may submit the first and subsequent compliance reports according to the dates the permitting authority has established instead of according to the dates in paragraphs (b)(1) through (4) of this section.
 - (6) After August 13, 2020 for affected sources that commenced construction or reconstruction after September 6, 2019, and on and after August 13, 2021 for all other affected sources, submit all subsequent reports following the procedure specified in paragraphs (h), (k) and (l) of this section.
- (c) The compliance report must contain the information in paragraphs (c)(1) through (8) of this section.
- (1) Company name and address.
 - (2) Statement by a responsible official with that official's name, title, and signature, certifying the truth, accuracy, and completeness of the content of the report.
 - (3) Date of report and beginning and ending dates of the reporting period.
 - (4) If you had a startup, shutdown, or malfunction during the reporting period and you took actions consistent with your SSMP, the compliance report must include the information specified in § 63.10(d)(5)(i) before August 13, 2021 for affected sources that commenced construction or reconstruction before September 6, 2019. After August 13, 2020 for affected sources that commenced construction or reconstruction after September 6, 2019, and on and after August 13, 2021 for all other affected sources, the compliance report must include the number of instances and total amount of time during the reporting period in which each of the startup/shutdown work practice requirements in Table 3 to this subpart (rows 6 through 8) is used in place of the otherwise applicable compliance options, operating requirements, and work practice requirements (in Table 3 to this subpart rows 1 through 5). If a startup/shutdown work practice in Table 3 to this subpart (rows 6 through 8) is used for more than a total of 100 hours during the semiannual reporting period, you must report the date, time and duration of each instance when that startup/shutdown work practice was used.
 - (5) A description of control device maintenance performed while the control device was offline and one or more of the process units controlled by the control device was operating, including the information specified in paragraphs (c)(5)(i) through (iii) of this section.
 - (i) The date and time when the control device was shut down and restarted.
 - (ii) Identification of the process units that were operating and the number of hours that each process unit operated while the control device was offline.
 - (iii) A statement of whether or not the control device maintenance was included in your approved routine control device maintenance exemption developed pursuant to § 63.2251. If the control device maintenance was included in your approved routine control device maintenance exemption, then you must report the information in paragraphs (c)(5)(iii)(A) through (C) of this section.

- (A) The total amount of time that each process unit controlled by the control device operated during the semiannual compliance period and during the previous semiannual compliance period.
- (B) The amount of time that each process unit controlled by the control device operated while the control device was down for maintenance covered under the routine control device maintenance exemption during the semiannual compliance period and during the previous semiannual compliance period.
- (C) Based on the information recorded under paragraphs (c)(5)(iii)(A) and (B) of this section for each process unit, compute the annual percent of process unit operating uptime during which the control device was offline for routine maintenance using Equation 1 of this section.

$$RM = \frac{DT_p + DT_c}{PU_p + PU_c} \quad (\text{Eq. 1})$$

Where:

RM = Annual percentage of process unit uptime during which control device is down for routine control device maintenance;

PU_p = Process unit uptime for the previous semiannual compliance period;

PU_c = Process unit uptime for the current semiannual compliance period;

DT_p = Control device downtime claimed under the routine control device maintenance exemption for the previous semiannual compliance period;

DT_c = Control device downtime claimed under the routine control device maintenance exemption for the current semiannual compliance period.

(6) [Reserved]

(7) If there are no deviations from any applicable compliance option or operating requirement, and there are no deviations from the requirements for work practice requirements in Table 8 to this subpart, a statement that there were no deviations from the compliance options, operating requirements, or work practice requirements during the reporting period.

(8) If there were no periods during which the continuous monitoring system (CMS), including CEMS and CPMS, was out-of-control as specified in § 63.8(c)(7), a statement that there were no periods during which the CMS was out-of-control during the reporting period.

(d) For each deviation from a compliance option or operating requirement and for each deviation from the work practice requirements in Table 8 to this subpart that occurs at an affected source where you are not using a CMS to comply with the compliance options, operating requirements, or work practice requirements in this subpart, the compliance report must contain the information in paragraphs (c)(1) through (6) of this section and in paragraphs (d)(1) and (2) of this section. This includes periods of startup, shutdown, and malfunction and routine control device maintenance.

- (1) The total operating time of each affected source during the reporting period.
- (2) Information on the date, time, duration, and cause of deviations (including unknown cause, if applicable), as applicable, and the corrective action taken.
- (e) For each deviation from a compliance option, operating requirement, or work practice requirement occurring at an affected source where you are using a CMS to comply with the compliance options, operating requirements, or work practice requirements in this subpart, you must include the information in paragraphs (c)(1) through (6) and (e)(1) through (13) of this section. This includes periods of startup, shutdown, and malfunction and routine control device maintenance.
 - (1) [Reserved]
 - (2) The date, time, and duration that each CMS was inoperative, except for zero (low-level) and high-level checks.
 - (3) The date, time, and duration that each CMS was out-of-control, including the information in § 63.8(c)(8).
 - (4) The date and time that each deviation started and stopped, and whether each deviation occurred during a period of startup, shutdown, or malfunction; during a period of control device maintenance covered in your approved routine control device maintenance exemption; or during another period.
 - (5) A summary of the total duration of the deviation during the reporting period and the total duration as a percent of the total source operating time during that reporting period.
 - (6) A breakdown of the total duration of the deviations during the reporting period into those that are due to startup, shutdown, control system problems, control device maintenance, process problems, other known causes, and other unknown causes.
 - (7) A summary of the total duration of CMS downtime during the reporting period and the total duration of CMS downtime as a percent of the total source operating time during that reporting period.
 - (8) A brief description of the process units.
 - (9) A brief description of the CMS.
 - (10) The date of the latest CMS certification or audit.
 - (11) A description of any changes in CMS, processes, or controls since the last reporting period.
 - (12) For any failure to meet a compliance option in § 63.2240, including the compliance options in Table 1A or 1B to this subpart or the emissions averaging compliance option, provide an estimate of the quantity of each regulated pollutant emitted over any emission limit, and a description of the method used to estimate the emissions.
 - (13) The total operating time of each affected source during the reporting period.
- (f) If you comply with the emissions averaging compliance option in § 63.2240(c), you must include in your semiannual compliance report calculations based on operating data from the semiannual reporting period that demonstrate that actual mass removal equals or exceeds the required mass removal.
- (g) Each affected source that has obtained a title V operating permit pursuant to 40 CFR part 70 or 40 CFR part 71 must report all deviations as defined in this subpart in the semiannual monitoring report required by § 70.6(a)(3)(iii)(A) or § 71.6(a)(3)(iii)(A). If an affected source submits a compliance report pursuant to Table 9 to this subpart along with, or as part of, the semiannual monitoring report required by §

70.6(a)(3)(iii)(A) or § 71.6(a)(3)(iii)(A), and the compliance report includes all required information concerning deviations from any compliance option, operating requirement, or work practice requirement in this subpart, submission of the compliance report shall be deemed to satisfy any obligation to report the same deviations in the semiannual monitoring report. However, submission of a compliance report shall not otherwise affect any obligation the affected source may have to report deviations from permit requirements to the permitting authority.

- (h) If you are required to submit reports following the procedure specified in this paragraph (h), you must submit reports to the EPA via the Compliance and Emissions Data Reporting Interface (CEDRI), which can be accessed through the EPA's Central Data Exchange (CDX) (<https://cdx.epa.gov/>). The EPA will make all the information submitted through CEDRI available to the public without further notice to you. Do not use CEDRI to submit information you claim as confidential business information (CBI). Anything submitted using CEDRI cannot later be claimed to be CBI. For semiannual compliance reports required in this section and Table 9 (row 1) to this subpart, you must use the appropriate electronic report template on the CEDRI website (<https://www.epa.gov/electronic-reporting-air-emissions/compliance-and-emissions-data-reporting-interface-cedri>) for this subpart once the reporting template has been available on the CEDRI website for 1 year. The date report templates become available will be listed on the CEDRI website. If the reporting form for the semiannual compliance report specific to this subpart is not available in CEDRI at the time that the report is due, you must submit the report to the Administrator at the appropriate addresses listed in § 63.13. You must begin submitting all subsequent reports via CEDRI in the first full reporting period after the report template for this subpart has been available in CEDRI for 1 year. Initial Notifications developed according to § 63.2280(b) and Notifications of Compliance Status developed according to § 63.2280(d) may be uploaded in a user-specified format such as portable document format (PDF). The report must be submitted by the deadline specified in this subpart, regardless of the method in which the report is submitted. Although we do not expect persons to assert a claim of CBI, if persons wish to assert a CBI claim, submit a complete report, including information claimed to be CBI, to the EPA. The report must be generated using the appropriate form on the CEDRI website. Submit the file on a compact disc, flash drive, or other commonly used electronic storage medium and clearly mark the medium as CBI. Mail the electronic medium to U.S. EPA/OAQPS/CORE CBI Office, Attention: Group Leader, Measurement Policy Group, MD C404-02, 4930 Old Page Rd., Durham, NC 27703. The same file with the CBI omitted must be submitted to the EPA via the EPA's CDX. All CBI claims must be asserted at the time of submission. Furthermore, under CAA section 114(c) emissions data is not entitled to confidential treatment and requires EPA to make emissions data available to the public. Thus, emissions data will not be protected as CBI and will be made publicly available.
- (i) Within 60 days after the date of completing each performance test required by this subpart, you must submit the results of the performance test following the procedures specified in paragraphs (i)(1) through (3) of this section.
- (1) ***Data collected using test methods supported by the EPA's Electronic Reporting Tool (ERT) as listed on the EPA's ERT website (<https://www.epa.gov/electronic-reporting-air-emissions/electronic-reporting-tool-ert>) at the time of the test.*** Submit the results of the performance test to the EPA via CEDRI, which can be accessed through the EPA's CDX (<https://cdx.epa.gov/>). The data must be submitted in a file format generated through the use of the EPA's ERT. Alternatively, you may submit an electronic file consistent with the extensible markup language (XML) schema listed on the EPA's ERT website.
- (2) ***Data collected using test methods that are not supported by the EPA's ERT as listed on the EPA's ERT website at the time of the test.*** The results of the performance test must be included as an attachment in the ERT or an alternate electronic file consistent with the XML schema listed on the EPA's ERT website. Submit the ERT generated package or alternative file to the EPA via CEDRI.

(3) **Confidential Business Information (CBI).** The EPA will make all the information submitted through CEDRI available to the public without further notice to you. Do not use CEDRI to submit information you claim as CBI. Anything submitted using CEDRI cannot later be claimed to be CBI. Although we do not expect persons to assert a claim of CBI, if you claim some of the information submitted under this paragraph (i) is CBI, you must submit a complete file, including information claimed to be CBI, to the EPA. The file must be generated through the use of the EPA's ERT or an alternate electronic file consistent with the XML schema listed on the EPA's ERT website. Submit the file on a compact disc, flash drive, or other commonly used electronic storage medium and clearly mark the medium as CBI. Mail the electronic medium to U.S. EPA/OAQPS/CORE CBI Office, Attention: Group Leader, Measurement Policy Group, MD C404-02, 4930 Old Page Rd., Durham, NC 27703. The same file with the CBI omitted must be submitted to the EPA via the EPA's CDX as described in this paragraph (i). All CBI claims must be asserted at the time of submission. Furthermore, under CAA section 114(c) emissions data is not entitled to confidential treatment and requires EPA to make emissions data available to the public. Thus, emissions data will not be protected as CBI and will be made publicly available.

(j) Within 60 days after the date of completing each continuous monitoring system (CMS) performance evaluation (as defined in § 63.2), you must submit the results of the performance evaluation following the procedures specified in paragraphs (j)(1) through (3) of this section.

(1) **Performance evaluations of CMS measuring relative accuracy test audit (RATA) pollutants that are supported by the EPA's ERT as listed on the EPA's ERT website at the time of the evaluation.** Submit the results of the performance evaluation to the EPA via CEDRI, which can be accessed through the EPA's CDX. The data must be submitted in a file format generated through the use of the EPA's ERT. Alternatively, you may submit an electronic file consistent with the XML schema listed on the EPA's ERT website.

(2) **Performance evaluations of CMS measuring RATA pollutants that are not supported by the EPA's ERT as listed on the EPA's ERT website at the time of the evaluation.** The results of the performance evaluation must be included as an attachment in the ERT or an alternate electronic file consistent with the XML schema listed on the EPA's ERT website. Submit the ERT generated package or alternative file to the EPA via CEDRI.

(3) **Confidential Business Information (CBI).** The EPA will make all the information submitted through CEDRI available to the public without further notice to you. Do not use CEDRI to submit information you claim as CBI. Anything submitted using CEDRI cannot later be claimed to be CBI. Although we do not expect persons to assert a claim of CBI, if you claim some of the information submitted under this paragraph (j) is CBI, you must submit a complete file, including information claimed to be CBI, to the EPA. The file must be generated through the use of the EPA's ERT or an alternate electronic file consistent with the XML schema listed on the EPA's ERT website. Submit the file on a compact disc, flash drive, or other commonly used electronic storage medium and clearly mark the medium as CBI. Mail the electronic medium to U.S. EPA/OAQPS/CORE CBI Office, Attention: Group Leader, Measurement Policy Group, MD C404-02, 4930 Old Page Rd., Durham, NC 27703. The same file with the CBI omitted must be submitted to the EPA via the EPA's CDX as described in this paragraph (j). All CBI claims must be asserted at the time of submission. Furthermore, under CAA section 114(c) emissions data is not entitled to confidential treatment and requires EPA to make emissions data available to the public. Thus, emissions data will not be protected as CBI and will be made publicly available.

- (k) If you are required to electronically submit a report or notification through CEDRI in the EPA's CDX by this subpart, you may assert a claim of EPA system outage for failure to timely comply with the electronic submittal reporting requirement in this section. To assert a claim of EPA system outage, you must meet the requirements outlined in paragraphs (k)(1) through (7) of this section.
- (1) You must have been or will be precluded from accessing CEDRI and submitting a required report within the time prescribed due to an outage of either the EPA's CEDRI or CDX systems.
 - (2) The outage must have occurred within the period of time beginning 5 business days prior to the date that the submission is due.
 - (3) The outage may be planned or unplanned.
 - (4) You must submit notification to the Administrator in writing as soon as possible following the date you first knew, or through due diligence should have known, that the event may cause or has caused a delay in reporting.
 - (5) You must provide to the Administrator a written description identifying:
 - (i) The date(s) and time(s) when CDX or CEDRI was accessed and the system was unavailable;
 - (ii) A rationale for attributing the delay in reporting beyond the regulatory deadline to EPA system outage;
 - (iii) Measures taken or to be taken to minimize the delay in reporting; and
 - (iv) The date by which you propose to report, or if you have already met the electronic submittal requirement in this subpart at the time of the notification, the date you submitted the report.
 - (6) The decision to accept the claim of EPA system outage and allow an extension to the reporting deadline is solely within the discretion of the Administrator.
 - (7) In any circumstance, the report must be submitted electronically as soon as possible after the outage is resolved.
- (l) If you are required to electronically submit a report through CEDRI in the EPA's CDX by this subpart, you may assert a claim of *force majeure* for failure to timely comply with the electronic submittal requirement in this section. To assert a claim of *force majeure*, you must meet the requirements outlined in paragraphs (l)(1) through (5) of this section.
- (1) You may submit a claim if a *force majeure* event is about to occur, occurs, or has occurred or there are lingering effects from such an event within the period of time beginning five business days prior to the date the submission is due. For the purposes of this section, a *force majeure* event is defined as an event that will be or has been caused by circumstances beyond the control of the affected facility, its contractors, or any entity controlled by the affected facility that prevents you from complying with the requirement to submit a report electronically within the time period prescribed. Examples of such events are acts of nature (e.g., hurricanes, earthquakes, or floods), acts of war or terrorism, or equipment failure or safety hazard beyond the control of the affected facility (e.g., large scale power outage).
 - (2) You must submit notification to the Administrator in writing as soon as possible following the date you first knew, or through due diligence should have known, that the event may cause or has caused a delay in reporting.
 - (3) You must provide to the Administrator:

- (i) A written description of the *force majeure* event;
 - (ii) A rationale for attributing the delay in reporting beyond the regulatory deadline to the *force majeure* event;
 - (iii) Measures taken or to be taken to minimize the delay in reporting; and
 - (iv) The date by which you propose to report, or if you have already met the electronic submittal requirement in this subpart at the time of the notification, the date you submitted the report.
- (4) The decision to accept the claim of *force majeure* and allow an extension to the reporting deadline is solely within the discretion of the Administrator.
- (5) In any circumstance, the reporting must occur as soon as possible after the *force majeure* event occurs.

[69 FR 46011, July 30, 2004, as amended at 85 FR 49457, Aug. 13, 2020]

§ 63.2282 What records must I keep?

- (a) You must keep the records listed in paragraphs (a)(1) through (4) of this section.
- (1) A copy of each notification and report that you submitted to comply with this subpart, including all documentation supporting any Initial Notification or Notification of Compliance Status that you submitted, according to the requirements in § 63.10(b)(2)(xiv).
 - (2) Before August 13, 2021, the records in § 63.6(e)(3)(iii) through (v) related to startup, shutdown, and malfunction for affected sources that commenced construction or reconstruction before September 6, 2019. After August 13, 2020 for affected sources that commenced construction or reconstruction after September 6, 2019, and on and after August 13, 2021 for all other affected sources, the records related to startup and shutdown, failures to meet the standard, and actions taken to minimize emissions, specified in paragraphs (a)(2)(i) through (iv) of this section.
 - (i) Record the date, time, and duration of each startup and/or shutdown period, including the periods when the affected source was subject to the standard applicable to startup and shutdown.
 - (ii) In the event that an affected unit fails to meet an applicable standard, record the number of failures; for each failure, record the date, time, cause and duration of each failure.
 - (iii) For each failure to meet an applicable standard, record and retain a list of the affected sources or equipment, and the following information:
 - (A) For any failure to meet a compliance option in § 63.2240, including the compliance options in Table 1A or 1B to this subpart or the emissions averaging compliance option, record an estimate of the quantity of each regulated pollutant emitted over any emission limit and a description of the method used to estimate the emissions.
 - (B) For each failure to meet an operating requirement in Table 2 to this subpart or work practice requirement in Table 3 to this subpart, maintain sufficient information to estimate the quantity of each regulated pollutant emitted over the emission limit. This information must be sufficient to provide a reliable emissions estimate if requested by the Administrator.

- (iv) Record actions taken to minimize emissions in accordance with § 63.2250(g), and any corrective actions taken to return the affected unit to its normal or usual manner of operation.
- (3) Documentation of your approved routine control device maintenance exemption, if you request such an exemption under § 63.2251.
- (4) Records of performance tests and performance evaluations as required in § 63.10(b)(2)(viii).
- (b) You must keep the records required in Tables 7 and 8 to this subpart to show continuous compliance with each compliance option, operating requirement, and work practice requirement that applies to you.
- (c) For each CEMS, you must keep the following records.
 - (1) Records described in § 63.10(b)(2)(vi) through (xi).
 - (2) Previous (i.e., superseded) versions of the performance evaluation plan, with the program of corrective action included in the plan required under § 63.8(d)(2).
 - (3) Request for alternatives to relative accuracy testing for CEMS as required in § 63.8(f)(6)(i).
 - (4) Records of the date and time that each deviation started and stopped, and whether the deviation occurred during a period of startup, shutdown, or malfunction or during another period.
- (d) If you comply with the emissions averaging compliance option in § 63.2240(c), you must keep records of all information required to calculate emission debits and credits.
- (e) If you operate a catalytic oxidizer, you must keep records of annual catalyst activity checks and subsequent corrective actions.
- (f) You must keep the written CMS quality control procedures required by § 63.8(d)(2) on record for the life of the affected source or until the affected source is no longer subject to the provisions of this subpart, to be made available for inspection, upon request, by the Administrator. If the performance evaluation plan is revised, you must keep previous (i.e., superseded) versions of the performance evaluation plan on record to be made available for inspection, upon request, by the Administrator, for a period of 5 years after each revision to the plan. The program of corrective action should be included in the plan required under § 63.8(d)(2).

[69 FR 46011, July 30, 2004, as amended at 85 FR 49459, Aug. 13, 2020; 85 FR 51668, Aug. 21, 2020]

§ 63.2283 In what form and how long must I keep my records?

- (a) Your records must be in a form suitable and readily available for expeditious review as specified in § 63.10(b)(1).
- (b) As specified in § 63.10(b)(1), you must keep each record for 5 years following the date of each occurrence, measurement, maintenance, corrective action, report, or record.
- (c) You must keep each record on site for at least 2 years after the date of each occurrence, measurement, maintenance, corrective action, report, or record according to § 63.10(b)(1). You can keep the records offsite for the remaining 3 years.
- (d) Any records required to be maintained by this part that are submitted electronically via the EPA's CEDRI may be maintained in electronic format. This ability to maintain electronic copies does not affect the requirement for facilities to make records, data, and reports available upon request to a delegated air agency or the EPA as part of an on-site compliance evaluation.

[69 FR 46011, July 30, 2004, as amended at 85 FR 49460, Aug. 13, 2020]

OTHER REQUIREMENTS AND INFORMATION

§ 63.2290 What parts of the general provisions apply to me?

Table 10 to this subpart shows which parts of the general provisions in §§ 63.1 through 63.16 apply to you.

[85 FR 49460, Aug. 13, 2020]

§ 63.2291 Who implements and enforces this subpart?

- (a) This subpart can be implemented and enforced by the U.S. EPA or a delegated authority such as your State, local, or tribal agency. If the EPA Administrator has delegated authority to your State, local, or tribal agency, then that agency has the authority to implement and enforce this subpart. You should contact your EPA Regional Office to find out if this subpart is delegated to your State, local, or tribal agency.
- (b) In delegating implementation and enforcement authority of this subpart to a State, local, or tribal agency under 40 CFR part 63, subpart E, the authorities contained in paragraph (c) of this section are retained by the EPA Administrator and are not transferred to the State, local, or tribal agency.
- (c) The authorities that will not be delegated to State, local, or tribal agencies are listed in paragraphs (c)(1) through (5) of this section.
 - (1) Approval of alternatives to the compliance options, operating requirements, and work practice requirements in §§ 63.2240 and 63.2241 as specified in § 63.6(g). For the purposes of delegation authority under 40 CFR part 63, subpart E, “compliance options” represent “emission limits”; “operating requirements” represent “operating limits”; and “work practice requirements” represent “work practice standards.”
 - (2) Approval of major alternatives to test methods as specified in § 63.7(e)(2)(ii) and (f) and as defined in § 63.90.
 - (3) Approval of major alternatives to monitoring as specified in § 63.8(f) and as defined in § 63.90.
 - (4) Approval of major alternatives to recordkeeping and reporting as specified in § 63.10(f) and as defined in § 63.90.
 - (5) Approval of an alternative to any electronic reporting to the EPA required by this subpart.

[69 FR 46011, July 30, 2004, as amended at 72 FR 61063, Oct. 29, 2007; 85 FR 49460, Aug. 13, 2020]

§ 63.2292 What definitions apply to this subpart?

Terms used in this subpart are defined in the Clean Air Act (CAA), in 40 CFR 63.2, the General Provisions, and in this section as follows:

Affected source means the collection of dryers, refiners, blenders, formers, presses, board coolers, and other process units associated with the manufacturing of plywood and composite wood products. The affected source includes, but is not limited to, green end operations, refining, drying operations (including any combustion unit exhaust stream routinely used to direct fire process unit(s)), resin preparation, blending and forming operations, pressing and board cooling operations, and miscellaneous finishing operations

(such as sanding, sawing, patching, edge sealing, and other finishing operations not subject to other NESHAP). The affected source also includes onsite storage of raw materials used in the manufacture of plywood and/or composite wood products, such as resins; onsite wastewater treatment operations specifically associated with plywood and composite wood products manufacturing; and miscellaneous coating operations (defined elsewhere in this section). The affected source includes lumber kilns at PCWP manufacturing facilities and at any other kind of facility.

Agricultural fiber means the fiber of an annual agricultural crop. Examples of agricultural fibers include, but are not limited to, wheat straw, rice straw, and bagasse.

Biofilter means an enclosed control system such as a tank or series of tanks with a fixed roof that contact emissions with a solid media (such as bark) and use microbiological activity to transform organic pollutants in a process exhaust stream to innocuous compounds such as carbon dioxide, water, and inorganic salts. Wastewater treatment systems such as aeration lagoons or activated sludge systems are not considered to be biofilters.

Capture device means a hood, enclosure, or other means of collecting emissions into a duct so that the emissions can be measured.

Capture efficiency means the fraction (expressed as a percentage) of the pollutants from an emission source that are collected by a capture device.

Catalytic oxidizer means a control system that combusts or oxidizes, in the presence of a catalyst, exhaust gas from a process unit. Catalytic oxidizers include regenerative catalytic oxidizers and thermal catalytic oxidizers.

Combustion unit means a dryer burner, process heater, or boiler. Combustion units may be used for combustion of organic HAP emissions.

Control device means any equipment that reduces the quantity of HAP emitted to the air. The device may destroy the HAP or secure the HAP for subsequent recovery. Control devices include, but are not limited to, thermal or catalytic oxidizers, combustion units that incinerate process exhausts, biofilters, and condensers.

Control system or add-on control system means the combination of capture and control devices used to reduce HAP emissions to the atmosphere.

Conveyor strand dryer means a conveyor dryer used to reduce the moisture of wood strands used in the manufacture of oriented strandboard, laminated strand lumber, or other wood strand-based products. A conveyor strand dryer is a process unit.

Conveyor strand dryer zone means each portion of a conveyor strand dryer with a separate heat exchange system and exhaust vent(s). Conveyor strand dryers contain multiple zones (e.g., three zones), which may be divided into multiple sections.

Deviation means any instance in which an affected source subject to this subpart, or an owner or operator of such a source:

- (1) Fails to meet any requirement or obligation established by this subpart including, but not limited to, any compliance option, operating requirement, or work practice requirement;
- (2) Fails to meet any term or condition that is adopted to implement an applicable requirement in this subpart, and that is included in the operating permit for any affected source required to obtain such a permit; or

- (3) Fails to meet any compliance option, operating requirement, or work practice requirement in this subpart during startup, shutdown, or malfunction, regardless of whether or not such failure is permitted by this subpart. A deviation is not always a violation. The determination of whether a deviation constitutes a violation of the standard is up to the discretion of the entity responsible for enforcement of the standards.

Direct-fired process unit means a process unit that is heated by the passing of combustion exhaust through the process unit such that the process material is contacted by the combustion exhaust.

Dryer heated zones means the zones of a softwood veneer dryer or fiberboard mat dryer that are equipped with heating and hot air circulation units. The cooling zone(s) of the dryer through which ambient air is blown are not part of the dryer heated zones.

Dry forming means the process of making a mat of resinated fiber to be compressed into a reconstituted wood product such as particleboard, oriented strandboard, medium density fiberboard, or hardboard.

Dry rotary dryer means a rotary dryer that dries wood particles or fibers with a maximum inlet moisture content of less than or equal to 30 percent (by weight, dry basis) and operates with a maximum inlet temperature of less than or equal to 600 °F. A dry rotary dryer is a process unit.

Engineered wood product means a product made with lumber, veneers, strands of wood, or from other small wood elements that are bound together with resin. Engineered wood products include, but are not limited to, laminated strand lumber, laminated veneer lumber, parallel strand lumber, wood I-joists, and glue-laminated beams.

Fiber means the discrete elements of wood or similar cellulosic material, which are separated by mechanical means, as in refining, that can be formed into boards.

Fiberboard means a composite panel composed of cellulosic fibers (usually wood or agricultural material) made by wet forming and compacting a mat of fibers. Fiberboard density generally is less than 0.50 grams per cubic centimeter (31.5 pounds per cubic foot).

Fiberboard mat dryer means a dryer used to reduce the moisture of wet-formed wood fiber mats by applying heat. A *fiberboard mat dryer* is a process unit.

Flame zone means the portion of the combustion chamber in a combustion unit that is occupied by the flame envelope.

Furnish means the fibers, particles, or strands used for making boards.

Glue-laminated beam means a structural wood beam made by bonding lumber together along its faces with resin.

Green rotary dryer means a rotary dryer that dries wood particles or fibers with an inlet moisture content of greater than 30 percent (by weight, dry basis) at any dryer inlet temperature or operates with an inlet temperature of greater than 600 °F with any inlet moisture content. A *green rotary dryer* is a process unit.

Group 1 miscellaneous coating operations means application of edge seals, nail lines, logo (or other information) paint, shelving edge fillers, trademark/gradestamp inks, and wood putty patches to plywood and composite wood products (except kiln-dried lumber) on the same site where the plywood and composite wood products are manufactured. Group 1 miscellaneous coating operations also include application of synthetic patches to plywood at new affected sources.

Hardboard means a composite panel composed of inter-felted cellulosic fibers made by dry or wet forming and pressing of a resinated fiber mat. Hardboard generally has a density of 0.50 grams per cubic centimeter (31.5 pounds per cubic foot) or greater.

Hardboard oven means an oven used to heat treat or temper hardboard after hot pressing. Humidification chambers are not considered as part of hardboard ovens. A *hardboard oven* is a process unit.

Hardwood means the wood of a broad-leaved tree, either deciduous or evergreen. Examples of hardwoods include, but are not limited to, aspen, birch, poplar, and oak.

Hardwood veneer dryer means a dryer that removes excess moisture from veneer by conveying the veneer through a heated medium on rollers, belts, cables, or wire mesh. Hardwood veneer dryers are used to dry veneer with less than 30 percent softwood species on an annual volume basis. Veneer kilns that operate as batch units, veneer dryers heated by radio frequency or microwaves that are used to redry veneer, and veneer redryers (defined elsewhere in this section) that are heated by conventional means are not considered to be hardwood veneer dryers. A *hardwood veneer dryer* is a process unit.

Kiln-dried lumber means solid wood lumber that has been dried in a lumber kiln.

Laminated strand lumber (LSL) means a composite product formed into a billet made of thin wood strands cut from whole logs, resinated, and pressed together with the grain of each strand oriented parallel to the length of the finished product.

Laminated veneer lumber (LVL) means a composite product formed into a billet made from layers of resinated wood veneer sheets or pieces pressed together with the grain of each veneer aligned primarily along the length of the finished product. *Laminated veneer lumber* is also known as parallel strand lumber (PSL).

Lumber means boards or planks sawed or split from logs or timber, including logs or timber processed for use as utility poles or other wood components. Lumber can be either green (non-dried) or dried. Lumber is typically either air-dried or kiln-dried.

Lumber kiln means an enclosed dryer operated by applying heat to reduce the moisture content of lumber.

Medium density fiberboard (MDF) means a composite panel composed of cellulosic fibers (usually wood or agricultural fiber) made by dry forming and pressing of a resinated fiber mat.

Method detection limit means the minimum concentration of an analyte that can be determined with 99 percent confidence that the true value is greater than zero.

Miscellaneous coating operations means application of any of the following to plywood or composite wood products: edge seals, moisture sealants, anti-skid coatings, company logos, trademark or grade stamps, nail lines, synthetic patches, wood patches, wood putty, concrete forming oils, glues for veneer composing, and shelving edge fillers. Miscellaneous coating operations also include the application of primer to oriented strandboard siding that occurs at the same site as oriented strandboard manufacture and application of asphalt, clay slurry, or titanium dioxide coatings to fiberboard at the same site of fiberboard manufacture.

Molded particleboard means a shaped composite product (other than a composite panel) composed primarily of cellulosic materials (usually wood or agricultural fiber) generally in the form of discrete pieces or particles, as distinguished from fibers, which are pressed together with resin.

MSF means thousand square feet (92.9 square meters). Square footage of panels is usually measured on a thickness basis, such as $\frac{3}{8}$ -inch, to define the total volume of panels. Equation 3 of § 63.2262(j) shows how to convert from one thickness basis to another.

Nondetect data means, for the purposes of this subpart, any value that is below the method detection limit.

Non-HAP coating means a coating with HAP contents below 0.1 percent by mass for Occupational Safety and Health Administration-defined carcinogens as specified in section A.6.4 of appendix A to 29 CFR 1910.1200, and below 1.0 percent by mass for other HAP compounds.

1-hour period means a 60-minute period.

Oriented strandboard (OSB) means a composite panel produced from thin wood strands cut from whole logs, formed into resinated layers (with the grain of strands in one layer oriented perpendicular to the strands in adjacent layers), and pressed.

Oven-dried ton(s) (ODT) means tons of wood dried until all of the moisture in the wood is removed. One oven-dried ton equals 907 oven-dried kilograms.

Parallel strand lumber (PSL) means a composite product formed into a billet made from layers of resinated wood veneer sheets or pieces pressed together with the grain of each veneer aligned primarily along the length of the finished product. *Parallel strand lumber* is also known as laminated veneer lumber (LVL).

Partial wood products enclosure means an enclosure that does not meet the design criteria for a wood products enclosure as defined in this subpart.

Particle means a discrete, small piece of cellulosic material (usually wood or agricultural fiber) produced mechanically and used as the aggregate for a particleboard.

Particleboard means a composite panel composed primarily of cellulosic materials (usually wood or agricultural fiber) generally in the form of discrete pieces or particles, as distinguished from fibers, which are pressed together with resin.

Plywood means a panel product consisting of layers of wood veneers hot pressed together with resin. Plywood includes panel products made by hot pressing (with resin) veneers to a substrate such as particleboard, medium density fiberboard, or lumber. Plywood products may be flat or curved.

Plywood and composite wood products (PCWP) manufacturing facility means a facility that manufactures plywood and/or composite wood products by bonding wood material (fibers, particles, strands, veneers, etc.) or agricultural fiber, generally with resin under heat and pressure, to form a panel, engineered wood product, or other product defined in § 63.2292. Plywood and composite wood products manufacturing facilities also include facilities that manufacture dry veneer and lumber kilns located at any facility. Plywood and composite wood products include, but are not limited to, plywood, veneer, particleboard, molded particleboard, oriented strandboard, hardboard, fiberboard, medium density fiberboard, laminated strand lumber, laminated veneer lumber, wood I-joists, kiln-dried lumber, and glue-laminated beams.

Press predryer means a dryer used to reduce the moisture and elevate the temperature by applying heat to a wet-formed fiber mat before the mat enters a hot press. A *press predryer* is a process unit.

Pressurized refiner means a piece of equipment operated under pressure for preheating (usually by steaming) wood material and refining (rubbing or grinding) the wood material into fibers. Pressurized refiners are operated with continuous infeed and outfeed of wood material and maintain elevated internal pressures (i.e., there is no pressure release) throughout the preheating and refining process. A *pressurized refiner* is a process unit.

Primary tube dryer means a single-stage tube dryer or the first stage of a multi-stage tube dryer. Tube dryer stages are separated by vents for removal of moist gases between stages (e.g., a product cyclone at the end of a single-stage dryer or between the first and second stages of a multi-stage tube dryer). The first

stage of a multi-stage tube dryer is used to remove the majority of the moisture from the wood furnish (compared to the moisture reduction in subsequent stages of the tube dryer). Blow-lines used to apply resin are considered part of the primary tube dryer. A *primary tube dryer* is a process unit.

Process unit means equipment classified according to its function such as a blender, dryer, press, former, or board cooler.

Reconstituted wood product board cooler means a piece of equipment designed to reduce the temperature of a board by means of forced air or convection within a controlled time period after the board exits the reconstituted wood product press unloader. Board coolers include wicket and star type coolers commonly found at medium density fiberboard and particleboard plants. Board coolers do not include cooling sections of dryers (e.g., veneer dryers or fiberboard mat dryers) or coolers integrated into or following hardboard bake ovens or humidifiers. A *reconstituted wood product board cooler* is a process unit.

Reconstituted wood product press means a press, including (if applicable) the press unloader, that presses a resinated mat of wood fibers, particles, or strands between hot platens or hot rollers to compact and set the mat into a panel by simultaneous application of heat and pressure. Reconstituted wood product presses are used in the manufacture of hardboard, medium density fiberboard, particleboard, and oriented strandboard. Extruders are not considered to be reconstituted wood product presses. A *reconstituted wood product press* is a process unit.

Representative operating conditions means operation of a process unit during performance testing under the conditions that the process unit will typically be operating in the future, including use of a representative range of materials (e.g., wood material of a typical species mix and moisture content or typical resin formulation) and representative operating temperature range. Representative operating conditions exclude periods of startup and shutdown.

Resin means the synthetic adhesive (including glue) or natural binder, including additives, used to bond wood or other cellulosic materials together to produce plywood and composite wood products.

Responsible official means responsible official as defined in 40 CFR 70.2 and 40 CFR 71.2.

Rotary strand dryer means a rotary dryer operated by applying heat and used to reduce the moisture of wood strands used in the manufacture of oriented strandboard, laminated strand lumber, or other wood strand-based products. A *rotary strand dryer* is a process unit.

Safety-related shutdown means an unscheduled shutdown of a process unit subject to a compliance option in Table 1B to this subpart (or a process unit with HAP control under an emissions averaging plan developed according to § 63.2240(c)) during which time emissions from the process unit cannot be safely routed to the control system in place to meet the compliance options or operating requirements in this subpart without imminent danger to the process, control system, or system operator.

Secondary tube dryer means the second stage and subsequent stages following the primary stage of a multi-stage tube dryer. Secondary tube dryers, also referred to as relay dryers, operate at lower temperatures than the primary tube dryer they follow. Secondary tube dryers are used to remove only a small amount of the furnish moisture compared to the furnish moisture reduction across the primary tube dryer. A *secondary tube dryer* is a process unit.

Softwood means the wood of a coniferous tree. Examples of softwoods include, but are not limited to, Southern yellow pine, Douglas fir, and White spruce.

Softwood veneer dryer means a dryer that removes excess moisture from veneer by conveying the veneer through a heated medium, generally on rollers, belts, cables, or wire mesh. Softwood veneer dryers are used to dry veneer with greater than or equal to 30 percent softwood species on an annual volume basis. Veneer kilns that operate as batch units, veneer dryers heated by radio frequency or microwaves that are used to redry veneer, and veneer redryers (defined elsewhere in this section) that are heated by conventional means are not considered to be softwood veneer dryers. A *softwood veneer dryer* is a process unit.

Startup means bringing equipment online and starting the production process.

Startup, initial means the first time equipment is put into operation. Initial startup does not include operation solely for testing equipment. Initial startup does not include subsequent startups (as defined in this section) following malfunction or shutdowns or following changes in product or between batch operations. Initial startup does not include startup of equipment that occurred when the source was an area source.

Strand means a long (with respect to thickness and width), flat wood piece specially cut from a log for use in oriented strandboard, laminated strand lumber, or other wood strand-based product.

Temporary total enclosure (TTE) means an enclosure constructed for the purpose of measuring the capture efficiency of pollutants emitted from a given source, as defined in Method 204 of 40 CFR part 51, appendix M.

Thermal oxidizer means a control system that combusts or oxidizes exhaust gas from a process unit. Thermal oxidizers include regenerative thermal oxidizers and combustion units.

Total hazardous air pollutant emissions means, for purposes of this subpart, the sum of the emissions of the following six compounds: acetaldehyde, acrolein, formaldehyde, methanol, phenol, and propionaldehyde.

Tube dryer means a single-stage or multi-stage dryer operated by applying heat to reduce the moisture of wood fibers or particles as they are conveyed (usually pneumatically) through the dryer. Resin may or may not be applied to the wood material before it enters the tube dryer. Tube dryers do not include pneumatic fiber transport systems that use temperature and humidity conditioned pneumatic system supply air in order to prevent cooling of the wood fiber as it is moved through the process. A *tube dryer* is a process unit.

Veneer means thin sheets of wood peeled or sliced from logs for use in the manufacture of wood products such as plywood, laminated veneer lumber, or other products.

Veneer redryer means a dryer heated by conventional means, such as direct wood-fired, direct-gas-fired, or steam heated, that is used to redry veneer that has been previously dried. Because the veneer dried in a veneer redryer has been previously dried, the inlet moisture content of the veneer entering the redryer is less than 25 percent (by weight, dry basis). Batch units used to redry veneer (such as redry cookers) are not considered to be veneer redryers. A *veneer redryer* is a process unit.

Wet control device means any equipment that uses water as a means of collecting an air pollutant. Wet control devices include scrubbers, wet electrostatic precipitators, and electrified filter beds. Wet control devices do not include biofilters or other equipment that destroys or degrades HAP.

Wet forming means the process of making a slurry of water, fiber, and additives into a mat of fibers to be compressed into a fiberboard or hardboard product.

Wood I-joists means a structural wood beam with an I-shaped cross section formed by bonding (with resin) wood or laminated veneer lumber flanges onto a web cut from a panel such as plywood or oriented strandboard.

Wood products enclosure means a permanently installed containment that was designed to meet the following physical design criteria:

- (1) Any natural draft opening shall be at least four equivalent opening diameters from each HAP-emitting point, except for where board enters and exits the enclosure, unless otherwise specified by the EPA Administrator.
- (2) The total area of all natural draft openings shall not exceed 5 percent of the surface area of the enclosure's four walls, floor, and ceiling.
- (3) The average facial velocity of air through all natural draft openings shall be at least 3,600 meters per hour (200 feet per minute). The direction of airflow through all natural draft openings shall be into the enclosure.
- (4) All access doors and windows whose areas are not included in item 2 of this definition and are not included in the calculation of facial velocity in item 3 of this definition shall be closed during routine operation of the process.
- (5) The enclosure is designed and maintained to capture all emissions for discharge through a control device.

Work practice requirement means any design, equipment, work practice, or operational standard, or combination thereof, that is promulgated pursuant to section 112(h) of the CAA.

[69 FR 46011, July 30, 2004, as amended at 71 FR 8372, Feb. 16, 2006; 85 FR 49460, Aug. 13, 2020]

Table 1A to Subpart DDDD of Part 63—Production-Based Compliance Options

For the following process units . . .	You must meet the following production-based compliance option (total HAP ^a basis) . . .
(1) Fiberboard mat dryer heated zones (at new affected sources only)	0.022 lb/MSF 1/2".
(2) Green rotary dryers	0.058 lb/ODT.
(3) Hardboard ovens	0.022 lb/MSF 1/8".
(4) Press predryers (at new affected sources only)	0.037 lb/MSF 1/2".
(5) Pressurized refiners	0.039 lb/ODT.
(6) Primary tube dryers	0.26 lb/ODT.

^a Total HAP, as defined in § 63.2292, includes acetaldehyde, acrolein, formaldehyde, methanol, phenol, and propionaldehyde. lb/ODT = pounds per oven-dried ton; lb/MSF = pounds per thousand square feet with a specified thickness basis (inches). Section 63.2262(j) shows how to convert from one thickness basis to another.

Note: There is no production-based compliance option for conveyor strand dryers.

For the following process units . . .	You must meet the following production-based compliance option (total HAP ^a basis) . . .
(7) Reconstituted wood product board coolers (at new affected sources only)	0.014 lb/MSF 3/4".
(8) Reconstituted wood product presses	0.30 lb/MSF 3/4".
(9) Softwood veneer dryer heated zones	0.022 lb/MSF 3/8".
(10) Rotary strand dryers	0.18 lb/ODT.
(11) Secondary tube dryers	0.010 lb/ODT.

^a Total HAP, as defined in § 63.2292, includes acetaldehyde, acrolein, formaldehyde, methanol, phenol, and propionaldehyde. lb/ODT = pounds per oven-dried ton; lb/MSF = pounds per thousand square feet with a specified thickness basis (inches). Section 63.2262(j) shows how to convert from one thickness basis to another.

Note: There is no production-based compliance option for conveyor strand dryers.

Table 1B to Subpart DDDD of Part 63—Add-on Control Systems Compliance Options

For each of the following process units . . .	You must comply with one of the following six compliance options by using an emissions control system . . .
Fiberboard mat dryer heated zones (at new affected sources only); green rotary dryers; hardboard ovens; press predryers (at new affected sources only); pressurized refiners; primary tube dryers; secondary tube dryers; reconstituted wood product board coolers (at new affected sources only); reconstituted wood product presses; softwood veneer dryer heated zones; rotary strand dryers; conveyor strand dryer zone one (at existing affected sources); and conveyor strand dryer zones one and two (at new affected sources)	<p>(1) Reduce emissions of total HAP, measured as THC (as carbon)^a, by 90 percent; or</p> <p>(2) Limit emissions of total HAP, measured as THC (as carbon)^a, to 20 ppmvd; or</p> <p>(3) Reduce methanol emissions by 90 percent; or</p> <p>(4) Limit methanol emissions to less than or equal to 1 ppmvd if uncontrolled methanol emissions entering the control device are greater than or equal to 10 ppmvd; or</p>

^a You may choose to subtract methane from THC as carbon measurements.

For each of the following process units . . .	You must comply with one of the following six compliance options by using an emissions control system . . .
	(5) Reduce formaldehyde emissions by 90 percent; or (6) Limit formaldehyde emissions to less than or equal to 1 ppmvd if uncontrolled formaldehyde emissions entering the control device are greater than or equal to 10 ppmvd.

^a You may choose to subtract methane from THC as carbon measurements.

Table 2 to Subpart DDDD of Part 63—Operating Requirements

If you operate a(n) . . .	You must . . .	Or you must . . .
(1) Thermal oxidizer	Maintain the 3-hour block average firebox temperature above the minimum temperature established during the performance test	Maintain the 3-hour block average THC concentration ¹ in the thermal oxidizer exhaust below the maximum concentration established during the performance test.
(2) Catalytic oxidizer	Maintain the 3-hour block average catalytic oxidizer temperature above the minimum temperature established during the performance test; AND check the activity level of a representative sample of the catalyst annually except as specified in footnote “2” to this table	Maintain the 3-hour block average THC concentration ¹ in the catalytic oxidizer exhaust below the maximum concentration established during the

¹ You may choose to subtract methane from THC measurements.

² You may forego the annual catalyst activity check during the calendar year when a performance test is conducted according to Table 4 to this subpart.

If you operate a(n) . . .	You must . . .	Or you must . . .
(3) Biofilter	Maintain the 24-hour block biofilter bed temperature within the range established according to § 63.2262(m)	performance test. Maintain the 24-hour block average THC concentration ¹ in the biofilter exhaust below the maximum concentration established during the performance test.
(4) Control device other than a thermal oxidizer, catalytic oxidizer, or biofilter	Petition the EPA Administrator for site-specific operating parameter(s) to be established during the performance test and maintain the average operating parameter(s) within the range(s) established during the performance test	Maintain the 3-hour block average THC concentration ¹ in the control device exhaust below the maximum concentration established during the performance test.
(5) Process unit that meets a compliance option in Table 1A to this subpart, or a process unit that generates debits in an emissions average without the use of a control device	Maintain on a daily basis the process unit controlling operating parameter(s) within the ranges established during the performance test according to § 63.2262(n)	Maintain the 3-hour block average THC concentration ¹ in the process unit exhaust below the maximum concentration established during the performance test.

¹ You may choose to subtract methane from THC measurements.

² You may forego the annual catalyst activity check during the calendar year when a performance test is conducted according to Table 4 to this subpart.

[85 FR 49460, Aug. 13, 2020]

Table 3 to Subpart DDDD of Part 63—Work Practice Requirements

For the following process units at existing or new affected sources . . .	You must . . .
(1) Dry rotary dryers	Process furnish with a 24-hour block average inlet moisture content

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with this requirement beginning on August 13, 2020 or upon initial startup, whichever is later.

For the following process units at existing or new affected sources . . .	You must . . .
<p>(2) Hardwood veneer dryers</p> <p>(3) Softwood veneer dryers</p> <p>(4) Veneer redryers</p> <p>(5) Group 1 miscellaneous coating operations</p> <p>(6) Process units and control systems undergoing safety-related shutdown on and after August 13, 2021 except as noted in footnote "1" to this table</p> <p>(7) Pressurized refiners undergoing startup or shutdown on and after August 13, 2021 except as noted in footnote "1" to this table</p> <p>(8) Direct-fired softwood veneer dryers undergoing startup or shutdown of gas-fired burners on and after August 13, 2021 except as noted in footnote "1" to this table</p>	<p>of less than or equal to 30 percent (by weight, dry basis); AND operate with a 24-hour block average inlet dryer temperature of less than or equal to 600 °F.</p> <p>Process less than 30 volume percent softwood species on an annual basis.</p> <p>Minimize fugitive emissions from the dryer doors through (proper maintenance procedures) and the green end of the dryers (through proper balancing of the heated zone exhausts).</p> <p>Process veneer that has been previously dried, such that the 24-hour block average inlet moisture content of the veneer is less than or equal to 25 percent (by weight, dry basis).</p> <p>Use non-HAP coatings as defined in § 63.2292.</p> <p>Follow documented site-specific procedures such as use of automated controls or other measures that you have developed to protect workers and equipment to ensure that the flow of raw materials (such as furnish or resin) and fuel or process heat (as applicable) ceases and that material is removed from the process unit(s) as expeditiously as possible given the system design to reduce air emissions.</p> <p>Route exhaust gases from the pressurized refiner to its dryer control system no later than 15 minutes after wood is fed to the pressurized refiner during startup. Stop wood flow into the pressurized refiner no more than 15 minutes after wood fiber and exhaust gases from the pressurized refiner stop being routed to the dryer during shutdown.</p> <p>Cease feeding green veneer into the softwood veneer dryer and minimize the amount of time direct gas-fired softwood veneer dryers are vented to the atmosphere due to the conditions described in § 63.2250(d).</p>

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with this requirement beginning on August 13, 2020 or upon initial startup, whichever is later.

[85 FR 49461, Aug. 13, 2020]

Table 4 to Subpart DDDD of Part 63—Requirements for Performance Tests

For . . .	You must . . .	Using . . .
(1) each process unit subject to a compliance option in table 1A or 1B to this subpart or used in calculation of an emissions average under § 63.2240(c)	select sampling port's location and the number of traverse ports	Method 1 or 1A of 40 CFR part 60, appendix A-1 (as appropriate).
(2) each process unit subject to a compliance option in table 1A or 1B to this subpart or used in calculation of an emissions average under § 63.2240(c)	determine velocity and volumetric flow rate	Method 2 in addition to Method 2A, 2C, 2D, 2F, or 2G in appendices A-1 and A-2 to 40 CFR part 60 (as appropriate).
(3) each process unit subject to a compliance option in table 1A or 1B to this subpart or used in calculation of an emissions average under § 63.2240(c)	conduct gas molecular weight analysis	Method 3, 3A, or 3B in appendix A-2 to 40 CFR part 60 (as appropriate).
(4) each process unit subject to a compliance option in table 1A or 1B to this subpart or used in calculation of an emissions average under § 63.2240(c)	measure moisture content of the stack gas	Method 4 in appendix A-3 to 40 CFR part 60; OR Method 320 in appendix A to this part; OR ASTM D6348-03 (IBR, see § 63.14).
(5) each process unit subject to a compliance option in table 1B to this subpart for which you choose to demonstrate compliance using a total HAP as THC compliance option	measure emissions of total HAP as THC	Method 25A in appendix A-7 to 40 CFR part 60. You may measure emissions of methane using EPA Method 18 in appendix A-6 to 40 CFR part 60 and subtract the methane emissions from the emissions of total HAP as THC.

For . . .	You must . . .	Using . . .
(6) each process unit subject to a compliance option in table 1A to this subpart; OR for each process unit used in calculation of an emissions average under § 63.2240(c)	measure emissions of total HAP (as defined in § 63.2292)	Method 320 in appendix A to this part; OR the NCASI Method IM/CAN/WP-99.02 (IBR, see § 63.14); OR the NCASI Method ISS/FP-A105.01 (IBR, see § 63.14); OR ASTM D6348-03 (IBR, see § 63.14) provided that percent R as determined in Annex A5 of ASTM D6348-03 is equal or greater than 70 percent and less than or equal to 130 percent.
(7) each process unit subject to a compliance option in table 1B to this subpart for which you choose to demonstrate compliance using a methanol compliance option	measure emissions of methanol	Method 308 in appendix A to this part; OR Method 320 in appendix A to this part; OR the NCASI Method CI/WP-98.01 (IBR, see § 63.14); OR the NCASI Method IM/CAN/WP-99.02 (IBR, see § 63.14); OR the NCASI Method ISS/FP-A105.01 (IBR, see § 63.14).
(8) each process unit subject to a compliance option in table 1B to this subpart for which you choose to demonstrate compliance using a formaldehyde compliance option	measure emissions of formaldehyde	Method 316 in appendix A to this part; OR Method 320 in appendix A to this part; OR Method 0011 in "Test Methods for Evaluating Solid Waste, Physical/Chemical Methods" (EPA Publication No. SW-846) for formaldehyde (IBR, see § 63.14); OR the NCASI Method CI/WP-98.01 (IBR, see § 63.14); OR the NCASI Method IM/CAN/WP-99.02 (IBR, see § 63.14); OR the NCASI Method ISS/FP-A105.01 (IBR, see § 63.14).
(9) each reconstituted wood product press at a new or existing affected source or reconstituted wood product board cooler at a new affected source subject to a compliance option in table 1B to this subpart or used in calculation of an	meet the design specifications included in the definition of wood products enclosure in § 63.2292; or determine the percent capture efficiency of the enclosure	Methods 204 and 204A through 204F of 40 CFR part 51, appendix M, to determine capture efficiency (except for wood products enclosures as defined in § 63.2292). Enclosures that meet the definition of wood products enclosure or that meet Method 204 requirements for a permanent total enclosure (PTE) are assumed to have a capture efficiency of 100 percent. Enclosures that do not meet either the PTE requirements or design criteria for a wood products enclosure must determine the capture efficiency by constructing a TTE according to the requirements of Method 204 and applying Methods 204A through 204F (as appropriate). As an alternative to Methods 204 and 204A through 204F, you may use the tracer gas method contained in appendix A to this subpart.

For . . .	You must . . .	Using . . .
emissions average under § 63.2240(c)	directing emissions to an add-on control device	
(10) each reconstituted wood product press at a new or existing affected source or reconstituted wood product board cooler at a new affected source subject to a compliance option in table 1A to this subpart	determine the percent capture efficiency	a TTE and Methods 204 and 204A through 204F (as appropriate) of 40 CFR part 51, appendix M. As an alternative to installing a TTE and using Methods 204 and 204A through 204F, you may use the tracer gas method contained in appendix A to this subpart. Enclosures that meet the design criteria (1) through (4) in the definition of wood products enclosure, or that meet Method 204 requirements for a PTE (except for the criteria specified in section 6.2 of Method 204) are assumed to have a capture efficiency of 100 percent. Measured emissions divided by the capture efficiency provides the emission rate.
(11) each process unit subject to a compliance option in tables 1A and 1B to this subpart or used in calculation of an emissions average under § 63.2240(c)	establish the site-specific operating requirements (including the parameter limits or THC concentration limits) in table 2 to this subpart	data from the parameter monitoring system or THC CEMS and the applicable performance test method(s).

[85 FR 49461, Aug. 13, 2020]

Table 5 to Subpart DDDD of Part 63—Performance Testing and Initial Compliance Demonstrations for the Compliance Options and Operating Requirements

For each . . .	For the following compliance options and operating requirements . . .	You have demonstrated initial compliance if . . .
(1) Process unit listed in Table 1A to this subpart	Meet the production-based compliance options listed in Table 1A to this subpart	The average total HAP emissions measured using the methods in Table 4 to this subpart over the 3-hour performance test are no greater than the compliance option in Table 1A to this subpart; AND you have a record of the operating requirement(s) listed in Table 2 to this subpart for the process unit over the performance test during which emissions did not exceed the compliance option value.
(2) Process unit listed in Table 1B to this subpart	Reduce emissions of total HAP, measured as THC, by 90 percent	Total HAP emissions, measured using the methods in Table 4 to this subpart over the 3-hour performance test, are reduced by at least 90 percent, as calculated using the procedures in § 63.2262; AND you have a record of the operating requirement(s) listed in Table 2 to this subpart for the process unit over the performance test during which emissions were reduced by at least 90 percent.
(3) Process unit listed in Table 1B to this subpart	Limit emissions of total HAP, measured as THC, to 20 ppmvd	The average total HAP emissions, measured using the methods in Table 4 to this subpart over the 3-hour performance test, do not exceed 20 ppmvd; AND you have a record of the operating requirement(s) listed in Table 2 to this subpart for the process unit over the performance test during which emissions did not exceed 20 ppmvd.
(4) Process unit listed in Table 1B to this subpart	Reduce methanol or formaldehyde emissions by 90 percent	The methanol or formaldehyde emissions measured using the methods in Table 4 to this subpart over the 3-hour performance test, are reduced by at least 90 percent, as calculated using the procedures in § 63.2262; AND you have a record of the operating requirement(s) listed in Table 2 to this subpart for the process unit over the performance test during which emissions were reduced by at least 90 percent.
(5) Process unit listed in Table 1B to this subpart	Limit methanol or formaldehyde emissions to less than or equal to 1 ppmvd (if uncontrolled emissions are	The average methanol or formaldehyde emissions, measured using the methods in Table 4 to this subpart over the 3-hour performance test, do not exceed 1 ppmvd; AND you have a record of the operating requirement(s) listed in Table 2 to this subpart for the process unit over the performance test during which emissions did not exceed 1 ppmvd. If the process unit is a reconstituted wood product press or a reconstituted wood product board cooler, your capture device either meets the EPA Method 204 criteria for a PTE or achieves a capture efficiency of

For each . . .	For the following compliance options and operating requirements . . .	You have demonstrated initial compliance if . . .
(6) Reconstituted wood product press at a new or existing affected source, or reconstituted wood product board cooler at a new affected source	greater than or equal to 10 ppmvd) Compliance options in Tables 1A and 1B to this subpart or the emissions averaging compliance option in § 63.2240(c)	greater than or equal to 95 percent. You submit the results of capture efficiency verification using the methods in Table 4 to this subpart with your Notification of Compliance Status.
(7) Process unit listed in Table 1B to this subpart controlled by routing exhaust to a combustion unit	Compliance options in Table 1B to this subpart or the emissions averaging compliance option in § 63.2240(c)	You submit with your Notification of Compliance Status documentation showing that the process exhausts controlled enter into the flame zone of your combustion unit.
(8) Process unit listed in Table 1B to this subpart using a wet control device as the sole means of reducing HAP emissions	Compliance options in Table 1B to this subpart or the emissions averaging compliance option in § 63.2240(c)	You submit with your Notification of Compliance Status your plan to address how organic HAP captured in the wastewater from the wet control device is contained or destroyed to minimize re-release to the atmosphere.

Table 6 to Subpart DDDD of Part 63—Initial Compliance Demonstrations for Work Practice Requirements

For each . . .	For the following work practice requirements . . .	You have demonstrated initial compliance if . . .
(1) Dry rotary dryer	Process furnish with an inlet moisture content less than or equal to 30 percent (by weight, dry basis) AND operate with an inlet dryer temperature of less than or equal to 600 °F	You meet the work practice requirement AND you submit a signed statement with the Notification of Compliance Status that the dryer meets the criteria of a "dry rotary dryer" AND you have a record of the inlet moisture content and inlet dryer temperature (as required in § 63.2263).
(2) Hardwood veneer dryer	Process less than 30 volume percent softwood species	You meet the work practice requirement AND you submit a signed statement with the Notification of Compliance Status that the dryer meets the criteria of a "hardwood veneer dryer" AND you have a record of the percentage of softwoods processed in the dryer (as required in § 63.2264).
(3) Softwood veneer dryer	Minimize fugitive emissions from the dryer doors and the green end	You meet the work practice requirement AND you submit with the Notification of Compliance Status a copy of your plan for minimizing fugitive emissions from the veneer dryer heated zones (as required in § 63.2265).
(4) Veneer redryers	Process veneer with an inlet moisture content of less than or equal to 25 percent (by weight, dry basis)	You meet the work practice requirement AND you submit a signed statement with the Notification of Compliance Status that the dryer operates only as a redryer AND you have a record of the veneer inlet moisture content of the veneer processed in the redryer (as required in § 63.2266).
(5) Group 1	Use non-HAP coatings as defined in §	You meet the work practice

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with this requirement beginning on August 13, 2020 or upon initial startup, whichever is later.

For each . . .	For the following work practice requirements . . .	You have demonstrated initial compliance if . . .
miscellaneous coating operations	63.2292	requirement AND you submit a signed statement with the Notification of Compliance Status that you are using non-HAP coatings AND you have a record showing that you are using non-HAP coatings.
(6) Process units and control systems undergoing safety-related shutdown on and after August 13, 2021, except as noted in footnote "1" to this table	Follow documented site-specific procedures to ensure the flow of raw materials and fuel or process heat ceases and that material is removed from the process unit(s) as expeditiously as possible given the system design to reduce air emissions	You meet the work practice requirement AND you have a record of safety-related shutdown procedures available for inspection by the delegated authority upon request.
(7) Pressurized refiners undergoing startup or shutdown on and after August 13, 2021, except as noted in footnote "1" to this table	Route exhaust gases from the pressurized refiner to its dryer control system no later than 15 minutes after wood is fed to the pressurized refiner during startup. Stop wood flow into the pressurized refiner no more than 15 minutes after wood fiber and exhaust gases from the pressurized refiner stop being routed to the dryer during shutdown	You meet the work practice requirement AND you have a record of pressurized refiner startup and shutdown procedures available for inspection by the delegated authority upon request.
(8) Direct-fired softwood veneer dryers undergoing startup or shutdown of gas-fired burners on and after August 13, 2021, except as noted in footnote "1" to this table	Cease feeding green veneer into the softwood veneer dryer and minimize the amount of time direct gas-fired softwood veneer dryers are vented to the atmosphere due to the conditions described in § 63.2250(d)	You meet the work practice requirement AND you have a record of the procedures for startup and shutdown of softwood veneer dryer gas-fired burners available for inspection by the delegated authority upon request.

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with this requirement beginning on August 13, 2020 or upon initial startup, whichever is later.

[85 FR 49462, Aug. 13, 2020]

Table 7 to Subpart DDDD of Part 63—Continuous Compliance With the Compliance Options and Operating Requirements

For . . .	For the following compliance options and operating requirements . . .	You must demonstrate continuous compliance by . . .
(1) Each process unit listed in Table 1B to this subpart or used in calculation of an emissions average under § 63.2240(c)	Compliance options in Table 1B to this subpart or the emissions averaging compliance option in § 63.2240(c) and the operating requirements in Table 2 to this subpart based on monitoring of operating parameters	Collecting and recording the operating parameter monitoring system data listed in Table 2 to this subpart for the process unit according to §§ 63.2269(a) through (b) and 63.2270; AND reducing the operating parameter monitoring system data to the specified averages in units of the applicable requirement according to calculations in § 63.2270; AND maintaining the average operating parameter at or above the minimum, at or below the maximum, or within the range (whichever applies) established according to § 63.2262.
(2) Each process unit listed in Tables 1A and 1B to this subpart or used in calculation of an emissions average under § 63.2240(c)	Compliance options in Tables 1A and 1B to this subpart or the emissions averaging compliance option in § 63.2240(c) and the operating requirements in Table 2 to this subpart based on THC CEMS data	Collecting and recording the THC monitoring data listed in Table 2 to this subpart for the process unit according to § 63.2269(d); AND reducing the CEMS data to 3-hour block averages according to calculations in § 63.2269(d); AND maintaining the 3-hour block average THC concentration in the exhaust gases less than or equal to the THC concentration established according to § 63.2262.
(3) Each process unit using a biofilter	Compliance options in Tables 1B to this subpart or the emissions averaging compliance option in § 63.2240(c)	Conducting a repeat performance test using the applicable method(s) specified in Table 4 to this subpart ¹ within 2 years following the previous performance test and within 180 days after each replacement of any portion of the biofilter bed media with a different type of media or each replacement of more than 50 percent (by volume) of the biofilter bed media with the same type of media.
(4) Each process unit	Compliance options in Table 1B to this subpart	Checking the activity level of a representative sample of the catalyst at least annually ² and taking any

¹ When conducting a repeat performance test, the capture efficiency demonstration required in Table 4 to this subpart, row 9 is not required to be repeated with the repeat emissions test if the capture device is maintained and operated consistent with its design as well as its operation during the previous capture efficiency demonstration conducted according to Table 4 to this subpart, row 9 as specified in § 63.2267.

² You may forego the annual catalyst activity check during the calendar year when a performance test is conducted according to Table 4 to this subpart.

For . . .	For the following compliance options and operating requirements . . .	You must demonstrate continuous compliance by . . .
using a catalytic oxidizer	or the emissions averaging compliance option in § 63.2240(c)	necessary corrective action to ensure that the catalyst is performing within its design range.
(5) Each process unit listed in Table 1A to this subpart, or each process unit without a control device used in calculation of an emissions averaging debit under § 63.2240(c)	Compliance options in Table 1A to this subpart or the emissions averaging compliance option in § 63.2240(c) and the operating requirements in Table 2 to this subpart based on monitoring of process unit controlling operating parameters	Collecting and recording on a daily basis process unit controlling operating parameter data; AND maintaining the operating parameter at or above the minimum, at or below the maximum, or within the range (whichever applies) established according to § 63.2262.
(6) Each Process unit listed in Table 1B to this subpart using a wet control device as the sole means of reducing HAP emissions	Compliance options in Table 1B to this subpart or the emissions averaging compliance option in § 63.2240(c)	Implementing your plan to address how organic HAP captured in the wastewater from the wet control device is contained or destroyed to minimize re-release to the atmosphere.
(7) Each process unit listed in Table 1B to this subpart using a control device other than a	Compliance options in Tables 1B to this subpart	Conducting a repeat performance test using the applicable method(s) specified in Table 4 to this subpart ¹ by August 13, 2023 or within 60 months following the previous performance test, whichever is later, and thereafter within 60 months following the previous performance test.

¹ When conducting a repeat performance test, the capture efficiency demonstration required in Table 4 to this subpart, row 9 is not required to be repeated with the repeat emissions test if the capture device is maintained and operated consistent with its design as well as its operation during the previous capture efficiency demonstration conducted according to Table 4 to this subpart, row 9 as specified in § 63.2267.

² You may forego the annual catalyst activity check during the calendar year when a performance test is conducted according to Table 4 to this subpart.

For . . .	For the following compliance options and operating requirements . . .	You must demonstrate continuous compliance by . . .
biofilter		

¹ When conducting a repeat performance test, the capture efficiency demonstration required in Table 4 to this subpart, row 9 is not required to be repeated with the repeat emissions test if the capture device is maintained and operated consistent with its design as well as its operation during the previous capture efficiency demonstration conducted according to Table 4 to this subpart, row 9 as specified in § 63.2267.

² You may forego the annual catalyst activity check during the calendar year when a performance test is conducted according to Table 4 to this subpart.

[85 FR 49463, Aug. 13, 2020]

Table 8 to Subpart DDDD of Part 63—Continuous Compliance With the Work Practice Requirements

For . . .	For the following work practice requirements . . .	You must demonstrate continuous compliance by . . .
(1) Dry rotary dryer	Process furnish with an inlet moisture content less than or equal to 30 percent (by weight, dry basis) AND operate with an inlet dryer temperature of less than or equal to 600 °F	Maintaining the 24-hour block average inlet furnish moisture content at less than or equal to 30 percent (by weight, dry basis) AND maintaining the 24-hour block average inlet dryer temperature at less than or equal to 600 °F; AND keeping records of the inlet temperature of furnish moisture content and inlet dryer temperature.
(2) Hardwood veneer dryer	Process less than 30 volume percent softwood species	Maintaining the volume percent softwood species processed below 30 percent AND keeping records of the volume percent softwood species processed.
(3) Softwood veneer dryer	Minimize fugitive emissions from the dryer doors and the green end	Following (and documenting that you are following) your plan for

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with this requirement beginning on August 13, 2020 or upon initial startup, whichever is later.

For . . .	For the following work practice requirements . . .	You must demonstrate continuous compliance by . . .
(4) Veneer redryers	Process veneer with an inlet moisture content of less than or equal to 25 percent (by weight, dry basis)	minimizing fugitive emissions. Maintaining the 24-hour block average inlet moisture content of the veneer processed at or below of less than or 25 percent AND keeping records of the inlet moisture content of the veneer processed.
(5) Group 1 miscellaneous coating operations	Use non-HAP coatings as defined in § 63.2292	Continuing to use non-HAP coatings AND keeping records showing that you are using non-HAP coatings.
(6) Process units and control systems undergoing safety-related shutdown on and after August 13, 2021, except as noted in footnote "1" to this table	Follow documented site-specific procedures to ensure the flow of raw materials and fuel or process heat ceases and that material is removed from the process unit(s) as expeditiously as possible given the system design to reduce air emissions	Keeping records showing that you are following the work practice requirements during safety-related shutdowns.
(7) Pressurized refiners undergoing startup or shutdown on and after August 13, 2021, except as noted in footnote "1" to this table	Route exhaust gases from the pressurized refiner to its dryer control system no later than 15 minutes after wood is fed to the pressurized refiner during startup. Stop wood flow into the pressurized refiner no more than 15 minutes after wood fiber and exhaust gases from the pressurized refiner stop being routed to the dryer during shutdown.	Keeping records showing that you are following the work practice requirements during pressurized refiner startup and shutdown events.
(8) Direct-fired softwood veneer dryers undergoing startup or shutdown of gas-fired burners on and after August 13, 2021, except	Cease feeding green veneer into the softwood veneer dryer and minimize the amount of time direct gas-fired softwood veneer dryers are vented to the atmosphere due to the conditions described in § 63.2250(d)	Keeping records showing that you are following the work practice requirements while undergoing startup or shutdown of softwood veneer dryer direct gas-fired burners.

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with this requirement beginning on August 13, 2020 or upon initial startup, whichever is later.

For . . .	For the following work practice requirements . . .	You must demonstrate continuous compliance by . . .
as noted in footnote “1” to this table		

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with this requirement beginning on August 13, 2020 or upon initial startup, whichever is later.

[85 FR 49464, Aug. 13, 2020]

Table 9 to Subpart DDDD of Part 63—Requirements for Reports

You must submit a(n) . . .	The report must contain . . .	You must submit the report . . .
(1) Compliance report	The information in § 63.2281(c) through (g)	Semiannually according to the requirements in § 63.2281(b).
(2) Immediate startup, shutdown, and malfunction report if you had a startup, shutdown, or malfunction during the reporting period that is not consistent with your SSMP before August 13, 2021. ¹	(i) Actions taken for the event (ii) The information in § 63.10(d)(5)(ii)	By fax or telephone within 2 working days after starting actions inconsistent with the plan. By letter within 7 working days after the end of the event unless you have made alternative arrangements with the permitting authority.
(3) Performance test report	The information required in § 63.7(g)	According to the requirements of § 63.2281(i).
(4) CMS performance evaluation, as required for CEMS under § 63.2269(d)(2)	The information required in §	According to the requirements of § 63.2281(j).

¹ The requirement for the SSM report in row 2 of this table does not apply for new or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019.

You must submit a(n) . . .	The report must contain . . .	You must submit the report . . .
	63.7(g)	

¹ The requirement for the SSM report in row 2 of this table does not apply for new or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019.

[85 FR 49465, Aug. 13, 2020]

Table 10 to Subpart DDDD of Part 63—Applicability of General Provisions to This Subpart

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote “1” to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote “1” to this table
§ 63.1	Applicability	Initial applicability determination; applicability after standard established; permit requirements; extensions, notifications	Yes	Yes.
§ 63.2	Definitions	Definitions for standards in this part	Yes	Yes.
§ 63.3	Units and Abbreviations	Units and abbreviations for standards in this part	Yes	Yes.
§ 63.4	Prohibited Activities and Circumvention	Prohibited activities; compliance date; circumvention, fragmentation	Yes	Yes.
§ 63.5	Preconstruction Review and Notification	Preconstruction review requirements of section 112(i)(1)	Yes	Yes.

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote "1" to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote "1" to this table
§ 63.6(a)	Requirements Applicability	GP apply unless compliance extension; GP apply to area sources that become major	Yes	Yes.
§ 63.6(b)(1)-(4)	Compliance Dates for New and Reconstructed Sources	Standards apply at effective date; 3 years after effective date; upon startup; 10 years after construction or reconstruction commences for section 112(f)	Yes	Yes.
§ 63.6(b)(5)	Notification	Must notify if commenced construction or reconstruction after proposal	Yes	Yes.
§ 63.6(b)(6)	[Reserved]			
§ 63.6(b)(7)	Compliance Dates for New and Reconstructed Area Sources that Become Major	Area sources that become major must comply with major source standards immediately upon becoming major, regardless of whether required to comply when they were an area source	Yes	Yes.
§ 63.6(c)(1)-(2)	Compliance Dates for Existing Sources	Comply according to date in subpart, which must be no later than 3 years after effective date; for section 112(f) standards, comply within 90 days of effective date unless compliance extension	Yes	Yes.
§ 63.6(c)(3)-(4)	[Reserved]			

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote "1" to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote "1" to this table
§ 63.6(c)(5)	Compliance Dates for Existing Area Sources that Become Major	Area sources that become major must comply with major source standards by date indicated in subpart or by equivalent time period (e.g., 3 years)	Yes	Yes.
§ 63.6(d)	[Reserved]			
§ 63.6(e)(1)(i)	General Duty to Minimize Emissions	You must operate and maintain affected source in a manner consistent with safety and good air pollution control practices for minimizing emissions	Yes	No, see § 63.2250 for general duty requirement.
§ 63.6(e)(1)(ii)	Requirement to Correct Malfunctions ASAP	You must correct malfunctions as soon as practicable after their occurrence	Yes	No.
§ 63.6(e)(1)(iii)	Operation and Maintenance Requirements	Operation and maintenance requirements are enforceable independent of emissions limitations or other requirements in relevant standards	Yes	Yes.
§ 63.6(e)(2)	[Reserved]			
§ 63.6(e)(3)	Startup, Shutdown, and Malfunction Plan (SSMP)	Requirement for SSM and SSMP; content of SSMP	Yes	No.
§ 63.6(f)(1)	SSM Exemption	You must comply with emission standards at all times except during SSM	No. See § 63.2250(a)	No.

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote "1" to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote "1" to this table
§ 63.6(f)(2)-(3)	Methods for Determining Compliance/ Finding of Compliance	Compliance based on performance test, operation and maintenance plans, records, inspection	Yes	Yes.
§ 63.6(g)(1)-(3)	Alternative Standard	Procedures for getting an alternative standard	Yes	Yes.
§ 63.6(h)(1)	SSM Exemption	You must comply with opacity and visible emission standards at all times except during SSM	NA	No.
§ 63.6(h)(2)-(9)	Opacity/Visible Emission (VE) Standards	Requirements for opacity and visible emission standards	NA	NA.
§ 63.6(i)(1)-(14)	Compliance Extension	Procedures and criteria for Administrator to grant compliance extension	Yes	Yes.
§ 63.6(i)(15)	[Reserved]			
§ 63.6(i)(16)	Compliance Extension	Compliance extension and Administrator's authority	Yes	Yes.
§ 63.6(j)	Presidential Compliance Exemption	President may exempt source category from requirement to comply with rule	Yes	Yes.
§ 63.7(a)(1)-(2)	Performance Test Dates	Dates for conducting initial performance testing and other compliance demonstrations; must conduct 180 days after first subject to rule	Yes	Yes.

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Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote "1" to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote "1" to this table
§ 63.7(a)(3)	Section 114 Authority	Administrator may require a performance test under CAA section 114 at any time	Yes	Yes.
§ 63.7(b)(1)	Notification of Performance Test	Must notify Administrator 60 days before the test	Yes	Yes.
§ 63.7(b)(2)	Notification of Rescheduling	If have to reschedule performance test, must notify Administrator as soon as practicable	Yes	Yes.
§ 63.7(c)	Quality Assurance/Test Plan	Requirement to submit site-specific test plan 60 days before the test or on date Administrator agrees with; test plan approval procedures; performance audit requirements; internal and external QA procedures for testing	Yes	Yes.
§ 63.7(d)	Testing Facilities	Requirements for testing facilities	Yes	Yes.
§ 63.7(e)(1)	Performance Testing	Performance tests must be conducted under representative conditions; cannot conduct performance tests during SSM; not a violation to exceed standard during SSM	Yes	No, see § 63.2262(a)-(b).
§ 63.7(e)(2)	Conditions for Conducting Performance	Must conduct according to rule and EPA test methods unless Administrator	Yes	Yes.

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote "1" to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote "1" to this table
§ 63.7(e)(3)	Tests Test Run Duration	approves alternative Must have three test runs for at least the time specified in the relevant standard; compliance is based on arithmetic mean of three runs; specifies conditions when data from an additional test run can be used	Yes	Yes.
§ 63.7(f)	Alternative Test Method	Procedures by which Administrator can grant approval to use an alternative test method	Yes	Yes.
§ 63.7(g)	Performance Test Data Analysis	Must include raw data in performance test report; must submit performance test data 60 days after end of test with the notification of compliance status; keep data for 5 years	Yes	Yes.
§ 63.7(h)	Waiver of Tests	Procedures for Administrator to waive performance test	Yes	Yes.
§ 63.8(a)(1)	Applicability of Monitoring Requirements	Subject to all monitoring requirements in standard	Yes	Yes.
§ 63.8(a)(2)	Performance Specifications	Performance specifications in appendix B of part 60 of this chapter apply	Yes	Yes.
§ 63.8(a)(3)	[Reserved]			

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote "1" to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote "1" to this table
§ 63.8(a)(4)	Monitoring with Flares	Requirements for flares in § 63.11 apply	NA	NA.
§ 63.8(b)(1)	Monitoring	Must conduct monitoring according to standard unless Administrator approves alternative	Yes	Yes.
§ 63.8(b)(2)-(3)	Multiple Effluents and Multiple Monitoring Systems	Specific requirements for installing monitoring systems; must install on each effluent before it is combined and before it is released to the atmosphere unless Administrator approves otherwise; if more than one monitoring system on an emission point, must report all monitoring system results, unless one monitoring system is a backup	Yes	Yes.
§ 63.8(c)(1)	Monitoring System Operation and Maintenance	Maintain monitoring system in a manner consistent with and good air pollution control practices	Yes	Yes.
§ 63.8(c)(1)(i)	Operation and Maintenance of CMS	Must maintain and operate CMS in accordance with § 63.6(e)(1)	Yes	No.
§ 63.8(c)(1)(ii)	Spare Parts for CMS	Must maintain spare parts for routine CMS repairs	Yes	Yes.
§ 63.8(c)(1)(iii)	Requirements to Develop	Must develop and implement SSMP for CMS	Yes	No.

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote "1" to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote "1" to this table
§ 63.8(c)(2)-(3)	SSMP for CMS Monitoring System Installation	Must install to get representative emission of parameter measurements; must verify operational status before or at performance test	Yes	Yes.
§ 63.8(c)(4)	CMS Requirements	CMS must be operating except during breakdown, out-of-control, repair, maintenance, and high-level calibration drifts; COMS must have a minimum of one cycle of sampling and analysis for each successive 10-second period and one cycle of data recording for each successive 6-minute period; CEMS must have a minimum of one cycle of operation for each successive 15-minute period	Yes	Yes.
§ 63.8(c)(5)	Continuous Opacity Monitoring System (COMS) Minimum Procedures	COMS minimum procedures	NA	NA.
§ 63.8(c)(6)-(8)	CMS Requirements	Zero and high-level calibration check requirements; out-of-control	Yes	Yes.

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote "1" to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote "1" to this table
§ 63.8(d)(1)-(2)	CMS Quality Control	periods Requirements for CMS quality control, including calibration, etc.	Yes. Refer to § 63.2269(a)-(c) for CPMS quality control procedures to be included in the quality control program	Yes. Refer to § 63.2269(a)-(c) for CPMS quality control procedures to be included in the quality control program.
§ 63.8(d)(3)	Written Procedures for CMS	Must keep quality control plan on record for 5 years. Keep old versions for 5 years after revisions. May incorporate as part of SSMP to avoid duplication.	Yes	No, see § 63.2282(f).
§ 63.8(e)	CMS Performance Evaluation	Notification, performance evaluation test plan, reports	Yes, for CEMS	Yes, for CEMS.
§ 63.8(f)(1)-(5)	Alternative Monitoring Method	Procedures for Administrator to approve alternative monitoring	Yes	Yes.
§ 63.8(f)(6)	Alternative to Relative Accuracy Test	Procedures for Administrator to approve alternative relative accuracy tests for CEMS	Yes, for CEMS	Yes, for CEMS.
§ 63.8(g)	Data Reduction	COMS 6-minute averages	Yes	Yes.

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote "1" to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote "1" to this table
§ 63.9(a)	Notification Requirements	calculated over at least 36 evenly spaced data points; CEMS 1 hour averages computed over at least 4 equally spaced data points; data that can't be used in average; rounding of data Applicability and State delegation	Yes	Yes.
§ 63.9(b)(1)-(2)	Initial Notifications	Submit notification 120 days after effective date; contents of notification	Yes	Yes.
§ 63.9(b)(3)	[Reserved]			
§ 63.9(b)(4)-(5)	Initial Notifications	Submit notification 120 days after effective date; notification of intent to construct/reconstruct; notification of commencement of construct/reconstruct; notification of startup; contents of each	Yes	Yes.
§ 63.9(c)	Request for Compliance Extension	Can request if cannot comply by date or if installed best available control technology/lowest achievable emission rate	Yes	Yes.
§ 63.9(d)	Notification of Special Compliance Requirements	For sources that commence construction between proposal and promulgation and want to comply 3 years	Yes	Yes.

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote "1" to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote "1" to this table
§ 63.9(e)	for New Source Notification of Performance Test	after effective date Notify EPA Administrator 60 days prior	Yes	Yes.
§ 63.9(f)	Notification of Visible Emissions/Opacity Test	Notify EPA Administrator 30 days prior	No	No.
§ 63.9(g)	Additional Notifications When Using CMS	Notification of performance evaluation; notification using COMS data; notification that exceeded criterion for relative accuracy	Yes	Yes.
§ 63.9(h)(1)-(6)	Notification of Compliance Status	Contents; due 60 days after end of performance test or other compliance demonstration, except for opacity/VE, which are due 30 days after; when to submit to Federal vs. State authority	Yes	Yes.
§ 63.9(i)	Adjustment of Submittal Deadlines	Procedures for Administrator to approve change in when notifications must be submitted	Yes	Yes.
§ 63.9(j)	Change in Previous Information	Must submit within 15 days after the change	Yes	Yes.
§ 63.9(k)	Electronic reporting procedures	Electronic reporting procedures	Yes, only as specified in § 63.9(j)	Yes, only as specified in § 63.9(j).

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote "1" to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote "1" to this table
§ 63.10(a)	Recordkeeping/ Reporting	Applies to all, unless compliance extension; when to submit to Federal vs. State authority; procedures for owners of more than one source	Yes	Yes.
§ 63.10(b)(1)	Recordkeeping/ Reporting	General Requirements; keep all records readily available; keep for 5 years	Yes	Yes.
§ 63.10(b)(2)(i)	Recordkeeping of Occurrence and Duration of Startups and Shutdowns	Records of occurrence and duration of each startup or shutdown that causes source to exceed emission limitation	Yes	No, see § 63.2282(a).
§ 63.10(b)(2)(ii)	Recordkeeping of Failures to Meet a Standard	Records of occurrence and duration of each malfunction of operation or air pollution control and monitoring equipment	Yes	No, see § 63.2282(a) for recordkeeping of (1) date, time and duration; (2) listing of affected source or equipment, and an estimate of the quantity of each regulated pollutant emitted over the standard; and (3) actions to minimize emissions and correct the failure.
§	Maintenance	Records of maintenance	Yes	Yes.

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote "1" to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote "1" to this table
63.10(b)(2)(iii)	Records	performed on air pollution control and monitoring equipment		
§ 63.10(b)(2)(iv)-(v)	Actions Taken to Minimize Emissions During SSM	Records of actions taken during SSM to minimize emissions	Yes	No.
§ 63.10(b)(2)(vi) and (x)-(xi)	CMS Records	Malfunctions, inoperative, out-of-control	Yes	Yes.
§ 63.10(b)(2)(vii)-(ix)	Records	Measurements to demonstrate compliance with compliance options and operating requirements; performance test, performance evaluation, and visible emission observation results; measurements to determine conditions of performance tests and performance evaluations	Yes	Yes.
§ 63.10(b)(2)(xii)	Records	Records when under waiver	Yes	Yes.
§ 63.10(b)(2)(xiii)	Records	Records when using alternative to relative accuracy test	Yes	Yes.
§ 63.10(b)(2)(xiv)	Records	All documentation supporting initial notification and notification of compliance status	Yes	Yes.
§ 63.10(b)(3)	Records	Applicability determinations	Yes	Yes.

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote "1" to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote "1" to this table
§ 63.10(c)(1)-(6), (9)-(14)	Records	Additional records for CMS	Yes	Yes.
§ 63.10(c)(7)-(8)	Records	Records of excess emissions and parameter monitoring exceedances for CMS	No	No.
§ 63.10(c)(15)	Use of SSMP	Use SSMP to satisfy recordkeeping requirements for identification of malfunction, correction action taken, and nature of repairs to CMS	Yes	No.
§ 63.10(d)(1)	General Reporting Requirements	Requirement to report	Yes	Yes.
§ 63.10(d)(2)	Report of Performance Test Results	When to submit to Federal or State authority	Yes	Yes.
§ 63.10(d)(3)	Reporting Opacity or VE Observations	What to report and when	NA	NA.
§ 63.10(d)(4)	Progress Reports	Must submit progress reports on schedule if under compliance extension	Yes	Yes.
§ 63.10(d)(5)(i)	Periodic SSM Reports	Contents and submission of periodic SSM reports	Yes	No, see § 63.2281(d)-(e) for malfunction reporting requirements.
§	Immediate SSM	Contents and submission of	Yes	No.

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote “1” to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote “1” to this table
63.10(d)(5)(ii) § 63.10(e)(1)-(2)	Reports Additional CMS Reports	immediate SSM reports Must report results for each CEM on a unit; written copy of performance evaluation; 3 copies of COMS performance evaluation	Yes	Yes.
§ 63.10(e)(3)	Reports	Excess emission reports	No	No.
§ 63.10(e)(4)	Reporting COMS Data	Must submit COMS data with performance test data	NA	NA.
§ 63.10(f)	Waiver for Recordkeeping/ Reporting	Procedures for EPA Administrator to waive	Yes	Yes.
§ 63.11	Control Device and Work Practice Requirements	Requirements for flares and alternative work practice for equipment leaks	NA	NA.
§ 63.12	State Authority and Delegations	State authority to enforce standards	Yes	Yes.
§ 63.13	Addresses	Addresses where reports, notifications, and requests are sent	Yes	Yes.
§ 63.14	Incorporations by Reference	Test methods incorporated by reference	Yes	Yes.
§ 63.15	Availability of Information and Confidentiality	Public and confidential information	Yes	Yes.
§ 63.16	Performance Track	Requirements for Performance Track member	Yes	Yes.

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

Citation	Subject	Brief description	Applies to this subpart before August 13, 2021, except as noted in footnote “1” to this table	Applies to this subpart on and after August 13, 2021, except as noted in footnote “1” to this table
	Provisions	facilities		

¹ New or reconstructed affected sources that commenced construction or reconstruction after September 6, 2019 must comply with the requirements in column 5 of this table beginning on August 13, 2020 or upon initial startup, whichever is later.

[85 FR 49465, Aug. 13, 2020, as amended at 85 FR 73902, Nov. 19, 2020]

Appendix A to Subpart DDDD of Part 63—Alternative Procedure To Determine Capture Efficiency From Enclosures Around Hot Presses in the Plywood and Composite Wood Products Industry Using Sulfur Hexafluoride Tracer Gas

1.0 Scope and Application

This procedure has been developed specifically for the rule for the plywood and composite wood products (PCWP) industry and is used to determine the capture efficiency of a partial hot press enclosure in that industry. This procedure is applicable for the determination of capture efficiency for enclosures around hot presses and is an alternative to the construction of temporary total enclosures (TTE). Sulfur hexafluoride (SF₆) is used as a tracer gas (other tracer gases may be used if approved by the EPA Administrator). This gas is not indigenous to the ambient atmosphere and is nonreactive.

This procedure uses infrared spectrometry (IR) as the analytical technique. When the infrared spectrometer used is a Fourier-Transform Infrared spectrometer (FTIR), an alternate instrument calibration procedure may be used; the alternate calibration procedure is the calibration transfer standard (CTS) procedure of EPA Method 320 (appendix A to 40 CFR part 63). Other analytical techniques which are capable of equivalent Method Performance (Section 13.0) also may be used. Specifically, gas chromatography with electron capture detection (GC/ECD) is an applicable technique for analysis of SF₆.

2.0 Summary of Method

A constant mass flow rate of SF₆ tracer gas is released through manifolds at multiple locations within the enclosure to mimic the release of hazardous air pollutants during the press process. This test method requires a minimum of three SF₆ injection points (two at the press unloader and one at the press) and provides details about considerations for locating the injection points. A GC/ECD is used to measure the

concentration of SF₆ at the inlet duct to the control device (outlet duct from enclosure). Simultaneously, EPA Method 2 (appendix A to 40 CFR part 60) is used to measure the flow rate at the inlet duct to the control device. The concentration and flow rate measurements are used to calculate the mass emission rate of SF₆ at the control device inlet. Through calculation of the mass of SF₆ released through the manifolds and the mass of SF₆ measured at the inlet to the control device, the capture efficiency of the enclosure is calculated.

In addition, optional samples of the ambient air may be taken at locations around the perimeter of the enclosure to quantify the ambient concentration of SF₆ and to identify those areas of the enclosure that may be performing less efficiently; these samples would be taken using disposable syringes and would be analyzed using a GC/ECD.

Finally, in addition to the requirements specified in this procedure, the data quality objectives (DQO) or lower confidence limit (LCL) criteria specified in appendix A to 40 CFR part 63, subpart KK, Data Quality Objective and Lower Confidence Limit Approaches for Alternative Capture Efficiency Protocols and Test Methods, must also be satisfied. A minimum of three test runs are required for this procedure; however, additional test runs may be required based on the results of the DQO or LCL analysis.

3.0 Definitions

- 3.1 Capture efficiency (CE). The weight per unit time of SF₆ entering the control device divided by the weight per unit time of SF₆ released through manifolds at multiple locations within the enclosure.
- 3.2 Control device (CD). The equipment used to reduce, by destruction or removal, press exhaust air pollutants prior to discharge to the ambient air.
- 3.3 Control/destruction efficiency (DE). The volatile organic compound or HAP removal efficiency of the control device.
- 3.4 Data Quality Objective (DQO) Approach. A statistical procedure to determine the precision of the data from a test series and to qualify the data in the determination of capture efficiency for compliance purposes. If the results of the DQO analysis of the initial three test runs do not satisfy the DQO criterion, the LCL approach can be used or additional test runs must be conducted. If additional test runs are conducted, then the DQO or LCL analysis is conducted using the data from both the initial test runs and all additional test runs.
- 3.5 Lower Confidence Limit (LCL) Approach. An alternative statistical procedure that can be used to qualify data in the determination of capture efficiency for compliance purposes. If the results of the LCL approach produce a CE that is too low for demonstrating compliance, then additional test runs must be conducted until the LCL or DQO is met. As with the DQO, data from all valid test runs must be used in the calculation.
- 3.6 Minimum Measurement Level (MML). The minimum tracer gas concentration expected to be measured during the test series. This value is selected by the tester based on the capabilities of the IR spectrometer (or GC/ECD) and the other known or measured parameters of the hot press enclosure to be tested. The selected MML must be above the low-level calibration standard and preferably below the mid-level calibration standard.
- 3.7 Method 204. The U.S. EPA Method 204, "Criteria For and Verification of a Permanent or Temporary Total Enclosure" (40 CFR part 51, appendix M).

- 3.8 Method 205. The U.S. EPA Method 205, "Verification of Gas Dilution Systems for Field Instrument Calibrations" (40 CFR part 51, appendix M).
- 3.9 Method 320. The U.S. EPA Method 320, "Measurement of Vapor Phase Organic and Inorganic Emissions by Extractive Fourier Transform Infrared (FTIR) Spectroscopy" (40 CFR part 63, appendix A).
- 3.10 Overall capture and control efficiency (CCE). The collection and control/destruction efficiency of both the PPE and CD combined. The CCE is calculated as the product of the CE and DE.
- 3.11 Partial press enclosure (PPE). The physical barrier that "partially" encloses the press equipment, captures a significant amount of the associated emissions, and transports those emissions to the CD.
- 3.12 Test series. A minimum of three test runs or, when more than three runs are conducted, all of the test runs conducted.

4.0 Interferences

There are no known interferences.

5.0 Safety

Sulfur hexafluoride is a colorless, odorless, nonflammable liquefied gas. It is stable and nonreactive and, because it is noncorrosive, most structural materials are compatible with it. The Occupational Safety and Health Administration Permissible Emission Limit-Time Weighted Average (PEL-TWA) and Threshold Limit Value-Time Weighted Average (TLV-TWA) concentrations are 1,000 parts per million. Sulfur hexafluoride is an asphyxiant. Exposure to an oxygen-deficient atmosphere (less than 19.5 percent oxygen) may cause dizziness, drowsiness, nausea, vomiting, excess salivation, diminished mental alertness, loss of consciousness, and death. Exposure to atmospheres containing less than 12 percent oxygen will bring about unconsciousness without warning and so quickly that the individuals cannot help themselves. Contact with liquid or cold vapor may cause frostbite. Avoid breathing sulfur hexafluoride gas. Self-contained breathing apparatus may be required by rescue workers. Sulfur hexafluoride is not listed as a carcinogen or a potential carcinogen.

6.0 Equipment and Supplies

This method requires equipment and supplies for: (a) the injection of tracer gas into the enclosure, (b) the measurement of the tracer gas concentration in the exhaust gas entering the control device, and (c) the measurement of the volumetric flow rate of the exhaust gas entering the control device. In addition, the requisite equipment needed for EPA Methods 1-4 in appendix A to 40 CFR part 60 will be required. Equipment and supplies for optional ambient air sampling are discussed in Section 8.6.

- 6.1 Tracer Gas Injection.

6.1.1 Manifolds. This method requires the use of tracer gas supply cylinder(s) along with the appropriate flow control elements. Figure 1 shows a schematic drawing of the injection system showing potential locations for the tracer gas manifolds. Figure 2 shows a schematic drawing of the recommended configuration of the injection manifold. Three tracer gas discharge manifolds are required at a minimum.

6.1.2 Flow Control Meter. Flow control and measurement meter for measuring the quantity of tracer gas injected. A mass flow, volumetric flow, or critical orifice control meter can be used for this method. The meter must be accurate to within ± 5 percent at the flow rate used. This means that the flow meter must be calibrated against a primary standard for flow measurement at the appropriate flow rate.

6.2 Measurement of Tracer Gas Concentration.

6.2.1 Sampling Probes. Use Pyrex or stainless steel sampling probes of sufficient length to reach the traverse points calculated according to EPA Method 1 (appendix A to 40 CFR part 60).

6.2.2 Sampling Line. Use a heated Teflon sampling line to transport the sample to the analytical instrument.

6.2.3 Sampling Pump. Use a sampling pump capable of extracting sufficient sample from the duct and transporting to the analytical instrument.

6.2.4 Sample Conditioning System. Use a particulate filter sufficient to protect the sampling pump and analytical instrument. At the discretion of the tester and depending on the equipment used and the moisture content of the exhaust gas, it may be necessary to further condition the sample by removing moisture using a condenser.

6.2.5 Analytical Instrument. Use one of the following analytical instruments.

6.2.5.1 Spectrometer. Use an infrared spectrometer designed to measuring SF₆ tracer gas and capable of meeting or exceeding the specifications of this procedure. An FTIR meeting the specifications of Method 320 in appendix A to 40 CFR part 63 may be used.

6.2.5.2 GC/ECD. Use a GC/ECD designed to measure SF₆ tracer gas and capable of meeting or exceeding the specifications of this procedure.

6.2.6 Recorder. At a minimum, use a recorder with linear strip chart. An automated data acquisition system (DAS) is recommended.

6.3 Exhaust Gas Flow Rate Measurement. Use equipment specified for EPA Methods 2, 3, and 4 in appendix A to 40 CFR part 60 for measuring flow rate of exhaust gas at the inlet to the control device.

7.0 Reagents and Standards

7.1 Tracer Gas. Use SF₆ as the tracer gas. The manufacturer of the SF₆ tracer gas should provide a recommended shelf life for the tracer gas cylinder over which the concentration does not change more than ± 2 percent from the certified value. A gas mixture of SF₆ diluted with nitrogen should be used; based on experience and calculations, pure SF₆ gas is not necessary to conduct tracer gas testing. Select a concentration and flow rate that is appropriate for the analytical instrument's detection limit, the MML, and the exhaust gas flow rate from the enclosure (see section 8.1.1). You

may use a tracer gas other than SF₆ with the prior approval of the EPA Administrator. If you use an approved tracer gas other than SF₆, all references to SF₆ in this protocol instead refer to the approved tracer gas.

- 7.2 Calibration Gases. The SF₆ calibration gases required will be dependent on the selected MML and the appropriate span selected for the test. Commercial cylinder gases certified by the manufacturer to be accurate to within 1 percent of the certified label value are preferable, although cylinder gases certified by the manufacturer to 2 percent accuracy are allowed. Additionally, the manufacturer of the SF₆ calibration gases should provide a recommended shelf life for each calibration gas cylinder over which the concentration does not change more than ± 2 percent from the certified value. Another option allowed by this method is for the tester to obtain high concentration certified cylinder gases and then use a dilution system meeting the requirements of EPA Method 205, 40 CFR part 51, appendix M, to make multi-level calibration gas standards. Low-level, mid-level, and high-level calibration gases will be required. The MML must be above the low-level standard, the high-level standard must be no more than four times the low-level standard, and the mid-level standard must be approximately halfway between the high- and low-level standards. See section 12.1 for an example calculation of this procedure.

Note: If using an FTIR as the analytical instrument, the tester has the option of following the CTS procedures of Method 320 in appendix A to 40 CFR part 63; the calibration standards (and procedures) specified in Method 320 may be used in lieu of the calibration standards and procedures in this protocol.

- 7.2.1 Zero Gas. High purity nitrogen.
- 7.2.2 Low-Level Calibration Gas. An SF₆ calibration gas in nitrogen with a concentration equivalent to 20 to 30 percent of the applicable span value.
- 7.2.3 Mid-Level Calibration Gas. An SF₆ calibration gas in nitrogen with a concentration equivalent to 45 to 55 percent of the applicable span value.
- 7.2.4 High-Level Calibration Gas. An SF₆ calibration gas in nitrogen with a concentration equivalent to 80 to 90 percent of the applicable span value.

8.0 Sample Collection, Preservation, Storage, and Transport

8.1 Test Design.

8.1.1 Determination of Minimum Tracer Gas Flow Rate.

- 8.1.1.1 Determine (via design calculations or measurements) the approximate flow rate of the exhaust gas through the enclosure, actual cubic feet per minute (acfm).
- 8.1.1.2 Calculate the minimum tracer gas injection rate necessary to assure a detectable SF₆ concentration at the exhaust gas measurement point (see section 12.1 for calculation).
- 8.1.1.3 Select a flow meter for the injection system with an operating range appropriate for the injection rate selected.

8.1.2 Determination of the Approximate Time to Reach Equilibrium.

- 8.1.2.1 Determine the volume of the enclosure.

- 8.1.2.2 Calculate the air changes per minute of the enclosure by dividing the approximate exhaust flow rate (8.1.1.1 above) by the enclosed volume (8.1.2.1 above).
- 8.1.2.3 Calculate the time at which the tracer concentration in the enclosure will achieve approximate equilibrium. Divide 3 by the air changes per minute (8.1.2.2 above) to establish this time. This is the approximate length of time for the system to come to equilibrium. Concentration equilibrium occurs when the tracer concentration in the enclosure stops changing as a function of time for a constant tracer release rate. Because the press is continuously cycling, equilibrium may be exhibited by a repeating, but stable, cyclic pattern rather than a single constant concentration value. Assure sufficient tracer gas is available to allow the system to come to equilibrium, and to sample for a minimum of 20 minutes and repeat the procedure for a minimum of three test runs. Additional test runs may be required based on the results of the DQO and LCL analyses described in 40 CFR part 63, subpart KK, appendix A.
- 8.1.3 Location of Injection Points. This method requires a minimum of three tracer gas injection points. The injection points should be located within leak prone, volatile organic compound/ hazardous air pollutant (VOC/HAP) producing areas around the press, or horizontally within 12 inches of the defined equipment. One potential configuration of the injection points is depicted in Figure 1. The effect of wind, exfiltration through the building envelope, and air flowing through open building doors should be considered when locating tracer gas injection points within the enclosure. The injection points should also be located at a vertical elevation equal to the VOC/HAP generating zones. The injection points should not be located beneath obstructions that would prevent a natural dispersion of the gas. Document the selected injection points in a drawing(s).
- 8.1.4 Location of Flow Measurement and Tracer Sampling. Accurate CD inlet gas flow rate measurements are critical to the success of this procedure. Select a measurement location meeting the criteria of EPA Method 1 (40 CFR part 60, appendix A), Sampling and Velocity Traverses for Stationary Sources. Also, when selecting the measurement location, consider whether stratification of the tracer gas is likely at the location (e.g., do not select a location immediately after a point of air in-leakage to the duct).
- 8.2 Tracer Gas Release. Release the tracer gas at a calculated flow rate (see section 12.1 for calculation) through a minimum of three injection manifolds located as described above in 8.1.3. The tracer gas delivery lines must be routed into the enclosure and attached to the manifolds without violating the integrity of the enclosure.
- 8.3 Pretest Measurements.
- 8.3.1 Location of Sampling Point(s). If stratification is not suspected at the measurement location, select a single sample point located at the centroid of the CD inlet duct or at a point no closer to the CD inlet duct walls than 1 meter. If stratification is suspected, establish a "measurement line" that passes through the centroidal area and in the direction of any expected stratification. Locate three traverse points at 16.7, 50.0 and 83.3 percent of the measurement line and sample from each of these three points during each run, or follow the procedure in section 8.3.2 to verify whether stratification does or does not exist.
- 8.3.2 Stratification Verification. The presence or absence of stratification can be verified by using the following procedure. While the facility is operating normally, initiate tracer gas release into the enclosure. For rectangular ducts, locate at least nine sample points in the cross section such

that the sample points are the centroids of similarly-shaped, equal area divisions of the cross section. Measure the tracer gas concentration at each point. Calculate the mean value for all sample points. For circular ducts, conduct a 12-point traverse (i.e., six points on each of the two perpendicular diameters) locating the sample points as described in 40 CFR part 60, appendix A, Method 1. Perform the measurements and calculations as described above. Determine if the mean pollutant concentration is more than 10 percent different from any single point. If so, the cross section is considered to be stratified, and the tester may not use a single sample point location, but must use the three traverse points at 16.7, 50.0, and 83.3 percent of the entire measurement line. Other traverse points may be selected, provided that they can be shown to the satisfaction of the Administrator to provide a representative sample over the stack or duct cross section.

8.4 CD Inlet Gas Flow Rate Measurements. The procedures of EPA Methods 1-4 (40 CFR part 60, appendix A) are used to determine the CD inlet gas flow rate. Molecular weight (Method 3) and moisture (Method 4) determinations are only required once for each test series. However, if the test series is not completed within 24 hours, then the molecular weight and moisture measurements should be repeated daily. As a minimum, velocity measurements are conducted according to the procedures of Methods 1 and 2 before and after each test run, as close to the start and end of the run as practicable. A velocity measurement between two runs satisfies both the criterion of "after" the run just completed and "before" the run to be initiated. Accurate exhaust gas flow rate measurements are critical to the success of this procedure. If significant temporal variations of flow rate are anticipated during the test run under normal process operating conditions, take appropriate steps to accurately measure the flow rate during the test. Examples of steps that might be taken include:

- (1) conducting additional velocity traverses during the test run; or
- (2) continuously monitoring a single point of average velocity during the run and using these data, in conjunction with the pre- and post-test traverses, to calculate an average velocity for the test run.

8.5 Tracer Gas Measurement Procedure.

8.5.1 Calibration Error Test. Immediately prior to the emission test (within 2 hours of the start of the test), introduce zero gas and high-level calibration gas at the calibration valve assembly. Zero and calibrate the analyzer according to the manufacturer's procedures using, respectively, nitrogen and the calibration gases. Calculate the predicted response for the low-level and mid-level gases based on a linear response line between the zero and high-level response. Then introduce the low-level and mid-level calibration gases successively to the measurement system. Record the analyzer responses for the low-level and mid-level calibration gases and determine the differences between the measurement system responses and the predicted responses using the equation in section 12.3. These differences must be less than 5 percent of the respective calibration gas value. If not, the measurement system must be replaced or repaired prior to testing. No adjustments to the measurement system shall be conducted after the calibration and before the drift determination (section 8.5.4). If adjustments are necessary before the completion of the test series, perform the drift checks prior to the required adjustments and repeat the calibration following the adjustments. If multiple electronic ranges are to be used, each additional range must be checked with a mid-level calibration gas to verify the multiplication factor.

Note: If using an FTIR for the analytical instrument, you may choose to follow the pretest preparation, evaluation, and calibration procedures of Method 320 (section 8.0) (40 CFR part 63, appendix A) in lieu of the above procedure.

- 8.5.2** Response Time Test. Conduct this test once prior to each test series. Introduce zero gas into the measurement system at the calibration valve assembly. When the system output has stabilized, switch quickly to the high-level calibration gas. Record the time from the concentration change to the measurement system response equivalent to 95 percent of the step change. Repeat the test three times and average the results.
- 8.5.3** SF₆ Measurement. Sampling of the enclosure exhaust gas at the inlet to the CD should begin at the onset of tracer gas release. If necessary, adjust the tracer gas injection rate such that the measured tracer gas concentration at the CD inlet is within the spectrometer's calibration range (*i.e.*, between the MML and the span value). Once the tracer gas concentration reaches equilibrium, the SF₆ concentration should be measured using the infrared spectrometer continuously for at least 20 minutes per run. Continuously record (*i.e.*, record at least once per minute) the concentration. Conduct at least three test runs. On the recording chart, in the data acquisition system, or in a log book, make a note of periods of process interruption or cyclic operation such as the cycles of the hot press operation. Table 1 to this appendix summarizes the physical measurements required for the enclosure testing.

Note: If a GC/ECD is used as the analytical instrument, a continuous record (at least once per minute) likely will not be possible; make a minimum of five injections during each test run. Also, the minimum test run duration criterion of 20 minutes applies.

- 8.5.4** Drift Determination. Immediately following the completion of the test run, reintroduce the zero and mid-level calibration gases, one at a time, to the measurement system at the calibration valve assembly. (Make no adjustments to the measurement system until both the zero and calibration drift checks are made.) Record the analyzer responses for the zero and mid-level calibration gases and determine the difference between the instrument responses for each gas prior to and after the emission test run using the equation in section 12.4. If the drift values exceed the specified limits (section 13), invalidate the test results preceding the check and repeat the test following corrections to the measurement system. Alternatively, recalibrate the test measurement system as in section 8.5.1 and report the results using both sets of calibration data (*i.e.*, data determined prior to the test period and data determined following the test period). Note: If using an FTIR for the analytical instrument, you may choose to follow the post-test calibration procedures of Method 320 in appendix A to 40 CFR part 63 (section 8.11.2) in lieu of the above procedures.
- 8.6** Ambient Air Sampling (Optional). Sampling the ambient air surrounding the enclosure is optional. However, taking these samples during the capture efficiency testing will identify those areas of the enclosure that may be performing less efficiently.

- 8.6.1 Location of Ambient Samples Outside the Enclosure (Optional). In selecting the sampling locations for collecting samples of the ambient air surrounding the enclosure, consider potential leak points, the direction of the release, and laminar flow characteristics in the area surrounding the enclosure. Samples should be collected from all sides of the enclosure, downstream in the prevailing room air flow, and in the operating personnel occupancy areas.
- 8.6.2 Collection of Ambient Samples (Optional). During the tracer gas release, collect ambient samples from the area surrounding the enclosure perimeter at predetermined location using disposable syringes or some other type of containers that are non-absorbent, inert, and that have low permeability (*i.e.*, polyvinyl fluoride film or polyester film sample bags or polyethylene, polypropylene, nylon or glass bottles). The use of disposable syringes allows samples to be injected directly into a gas chromatograph. Concentration measurements taken around the perimeter of the enclosure provide evidence of capture performance and will assist in the identification of those areas of the enclosure that are performing less efficiently.
- 8.6.3 Analysis and Storage of Ambient Samples (Optional). Analyze the ambient samples using an analytical instrument calibrated and operated according to the procedures in this appendix or ASTM E 260 and ASTM E 697. Samples may be analyzed immediately after a sample is taken, or they may be stored for future analysis. Experience has shown no degradation of concentration in polypropylene syringes when stored for several months as long as the needle or syringe is plugged. Polypropylene syringes should be discarded after one use to eliminate the possibility of cross contamination of samples.

9.0 Quality Control

- 9.1 Sampling, System Leak Check. A sampling system leak check should be conducted prior to and after each test run to ensure the integrity of the sampling system.
- 9.2 Zero and Calibration Drift Tests.

Section	Quality control measure	Effect
8.5.4	Zero and calibration drift tests	Ensures that bias introduced by drift in the measurement system output during the run is no greater than 3 percent of span.

10.0 Calibration and Standardization

- 10.1 Control Device Inlet Air Flow Rate Measurement Equipment. Follow the equipment calibration requirements specified in Methods 2, 3, and 4 (appendix A to 40 CFR part 60) for measuring the velocity, molecular weight, and moisture of the control device inlet air.
- 10.2 Tracer Gas Injection Rate. A dry gas volume flow meter, mass flow meter, or orifice can be used to measure the tracer gas injection flow rate. The selected flow measurement device must have an accuracy of greater than ±5 percent at the field operating range. Prior to the test, verify the calibration of the selected flow measurement device using either a wet test meter, spirometer, or

liquid displacement meter as the calibration device. Select a minimum of two flow rates to bracket the expected field operating range of the flow meter. Conduct three calibration runs at each of the two selected flow rates. For each run, note the exact quantity of gas as determined by the calibration standard and the gas volume indicated by the flow meter. For each flow rate, calculate the average percent difference of the indicated flow compared to the calibration standard.

- 10.3 Spectrometer. Follow the calibration requirements specified by the equipment manufacturer for infrared spectrometer measurements and conduct the pretest calibration error test specified in section 8.5.1. Note: if using an FTIR analytical instrument see Method 320, section 10 (appendix A to 40 CFR part 63).
- 10.4 Gas Chromatograph. Follow the pre-test calibration requirements specified in section 8.5.1.
- 10.5 Gas Chromatograph for Ambient Sampling (Optional). For the optional ambient sampling, follow the calibration requirements specified in section 8.5.1 or ASTM E 260 and E 697 and by the equipment manufacturer for gas chromatograph measurements.

11.0 Analytical Procedures

The sample collection and analysis are concurrent for this method (see section 8.0).

12.0 Calculations and Data Analysis

- 12.1 Estimate MML and Span. The MML is the minimum measurement level. The selection of this level is at the discretion of the tester. However, the MML must be higher than the low-level calibration standard, and the tester must be able to measure at this level with a precision of ≤ 10 percent. As an example, select the MML as 10 times the instrument's published detection limit. The detection limit of one instrument is 0.01 parts per million by volume (ppmv). Therefore, the MML would be 0.10 ppmv. Select the low-level calibration standard as 0.08 ppmv. The high-level standard would be four times the low-level standard or 0.32 ppmv. A reasonable mid-level standard would then be 0.20 ppmv (halfway between the low-level standard and the high-level standard). Finally, the span value would be approximately 0.40 ppmv (the high-level value is 80 percent of the span). In this example, the following MML, calibration standards, and span values would apply:

MML = 0.10 ppmv

Low-level standard = 0.08 ppmv

Mid-level standard = 0.20 ppmv

High-level standard = 0.32 ppmv

Span value = 0.40 ppmv

- 12.2 Estimate Tracer Gas Injection Rate for the Given Span. To estimate the minimum and maximum tracer gas injection rate, assume a worst case capture efficiency of 80 percent, and calculate the tracer gas flow rate based on known or measured parameters. To estimate the minimum tracer gas injection rate, assume that the MML concentration (10 times the IR detection limit in this example) is desired at the measurement location. The following equation can be used to estimate the minimum tracer gas injection rate:

$$((Q_{T-MIN} \times 0.8)/Q_E) \times (C_T \div 100) \times 10^6 = MML$$

$$Q_{T-MIN} = 1.25 \times MML \times (Q_E/C_T) \times 10^{-4}$$

Where:

Q_{T-MIN} = minimum volumetric flow rate of tracer gas injected, standard cubic feet per minute (scfm);

Q_E = volumetric flow rate of exhaust gas, scfm;

C_T = Tracer gas (SF_6) concentration in gas blend, percent by volume;

MML = minimum measured level, ppmv = $10 \times IR_{DL}$ (for this example);

IR_{DL} = IR detection limit, ppmv.

Standard conditions: 20 °C, 760 millimeters of mercury (mm Hg).

To estimate the maximum tracer gas injection rate, assume that the span value is desired at the measurement location. The following equation can be used to estimate the maximum tracer gas injection rate:

$$((Q_{T-MAX} \times 0.8)/Q_E) \times (C_T \div 100) \times 10^6 = \text{span value}$$

$$Q_{T-MAX} = 1.25 \times \text{span value} \times (Q_E/C_T) \times 10^{-4}$$

Where:

Q_{T-MAX} = maximum volumetric flow rate of tracer gas injected, scfm;

Span value = instrument span value, ppmv.

The following example illustrates this calculation procedure:

Find the range of volumetric flow rate of tracer gas to be injected when the following parameters are known:

Q_E = 60,000 scfm (typical exhaust gas flow rate from an enclosure);

C_T = 2 percent SF_6 in nitrogen;

IR_{DL} = 0.01 ppmv (per manufacturer's specifications);

MML = $10 \times IR_{DL}$ = 0.10 ppmv;

Span value = 0.40 ppmv;

Q_T = ?

Minimum tracer gas volumetric flow rate:

$$Q_{T-MIN} = 1.25 \times MML \times (Q_E/C_T) \times 10^{-4}$$

$$Q_{T-MIN} = 1.25 \times 0.10 \times (60,000/2) \times 10^{-4} = 0.375 \text{ scfm}$$

Maximum tracer gas volumetric flow rate:

$$Q_{T-MAX} = 1.25 \times \text{span value} \times (Q_E/C_T) \times 10^{-4}$$

$$Q_{T-MAX} = 1.25 \times 0.40 \times (60,000/2) \times 10^{-4} = 1.5 \text{ scfm}$$

In this example, the estimated total volumetric flow rate of the two percent SF₆ tracer gas injected through the manifolds in the enclosure lies between 0.375 and 1.5 scfm.

- 12.3** Calibration Error. Calculate the calibration error for the low-level and mid-level calibration gases using the following equation:

$$\text{Err} = |C_{\text{std}} - C_{\text{meas}}| \div C_{\text{std}} \times 100$$

Where:

Err = calibration error, percent;

C_{std} = low-level or mid-level calibration gas value, ppmv;

C_{meas} = measured response to low-level or mid-level concentration gas, ppmv.

- 12.4** Calibration Drift. Calculate the calibration drift for the zero and low-level calibration gases using the following equation:

$$D = |C_{\text{initial}} - C_{\text{final}}| \div C_{\text{span}} \times 100$$

Where:

D = calibration drift, percent;

C_{initial} = low-level or mid-level calibration gas value measured before test run, ppmv;

C_{final} = low-level or mid-level calibration gas value measured after test run, ppmv;

C_{span} = span value, ppmv.

- 12.5** Calculate Capture Efficiency. The equation to calculate enclosure capture efficiency is provided below:

$$\text{CE} = (\text{SF}_{6\text{-CD}} \div \text{SF}_{6\text{-INJ}}) \times 100$$

Where:

CE = capture efficiency;

SF_{6-CD} = mass of SF₆ measured at the inlet to the CD;

SF_{6-INJ} = mass of SF₆ injected from the tracer source into the enclosure.

Calculate the CE for each of the initial three test runs. Then follow the procedures outlined in section 12.6 to calculate the overall capture efficiency.

- 12.6 Calculate Overall Capture Efficiency. After calculating the capture efficiency for each of the initial three test runs, follow the procedures in 40 CFR part 63, subpart KK, appendix A, to determine if the results of the testing can be used in determining compliance with the requirements of the rule. There are two methods that can be used: the DQO and LCL methods. The DQO method is described in section 3 of 40 CFR part 63, subpart KK, appendix A, and provides a measure of the precision of the capture efficiency testing conducted. Section 3 of 40 CFR part 63, subpart KK, appendix A, provides an example calculation using results from a facility. If the DQO criteria are met using the first set of three test runs, then the facility can use the average capture efficiency of these test results to determine the capture efficiency of the enclosure. If the DQO criteria are not met, then the facility can conduct another set of three runs and run the DQO analysis again using the results from the six runs OR the facility can elect to use the LCL approach.

The LCL method is described in section 4 of 40 CFR part 63, subpart KK, appendix A, and provides sources that may be performing much better than their regulatory requirement, a screening option by which they can demonstrate compliance. The LCL approach compares the 80 percent lower confidence limit for the mean measured CE value to the applicable regulatory requirement. If the LCL capture efficiency is higher than the applicable limit, then the facility is in initial compliance and would use the LCL capture efficiency as the capture efficiency to determine compliance. If the LCL capture efficiency is lower than the applicable limit, then the facility must perform additional test runs and re-run the DQO or LCL analysis.

13.0 Method Performance

- 13.1 Measurement System Performance Specifications.
- 13.1.1 Zero Drift. Less than ± 3 percent of the span value.
 - 13.1.2 Calibration Drift. Less than ± 3 percent of the span value.
 - 13.1.3 Calibration Error. Less than ± 5 percent of the calibration gas value.
- 13.2 Flow Measurement Specifications. The mass flow, volumetric flow, or critical orifice control meter used should have an accuracy of greater than ± 5 percent at the flow rate used.
- 13.3 Calibration and Tracer Gas Specifications. The manufacturer of the calibration and tracer gases should provide a recommended shelf life for each calibration gas cylinder over which the concentration does not change more than ± 2 percent from the certified value.

14.0 Pollution Prevention [Reserved]

15.0 Waste Management [Reserved]

16.0 References

1. 40 CFR part 60, appendix A, EPA Method 1—Sample and velocity traverses for stationary sources.
2. 40 CFR part 60, appendix A, EPA Method 2—Determination of stack gas velocity and volumetric flow rate.
3. 40 CFR part 60, appendix A, EPA Method 3—Gas analysis for the determination of dry molecular weight.
4. 40 CFR part 60, appendix A, EPA Method 4—Determination of moisture content in stack gases.
5. SEMI F15-93 Test Method for Enclosures Using Sulfur Hexafluoride Tracer Gas and Gas Chromatography.
6. Memorandum from John S. Seitz, Director, Office of Air Quality Planning and Standards, to EPA Regional Directors, Revised Capture Efficiency Guidance for Control of Volatile Organic Compound Emissions, February 7, 1995. (That memorandum contains an attached technical document from Candace Sorrell, Emission Monitoring and Analysis Division, "Guidelines for Determining Capture Efficiency," January 9, 1994).
7. Technical Systems Audit of Testing at Plant "C," EPA-454/R-00-26, May 2000.
8. Material Safety Data Sheet for SF₆ Air Products and Chemicals, Inc. Website: www3.airproducts.com. October 2001.

17.0 Tables, Diagrams, Flowcharts, and Validation Data

TABLE 1 TO APPENDIX A—SUMMARY OF CRITICAL PHYSICAL MEASUREMENTS FOR ENCLOSURE TESTING

Measurement	Measurement instrumentation	Measurement frequency	Measurement site
Tracer gas injection rate	Mass flow meter, volumetric flow meter or critical orifice	Continuous	Injection manifolds (cylinder gas).
Tracer gas concentration at control device inlet	Infrared Spectrometer or GC/ECD	Continuous (at least one reading per minute) for a minimum of 20 minutes	Inlet duct to the control device (outlet duct of enclosure).
Volumetric air flow rate	EPA Methods 1, 2, 3, 4 (40 CFR part 60, appendix A) <ul style="list-style-type: none"> • Velocity sensor (Manometer/Pitot tube) • Thermocouple • Midget Impinger 	Each test run for velocity (minimum); Daily for moisture and molecular weight	Inlet duct to the control device (outlet duct of enclosure).

Measurement	Measurement instrumentation	Measurement frequency	Measurement site
	sampler		

Measurement	Measurement instrumentation	Measurement frequency	Measurement site
	• Orsat or Fyrite		

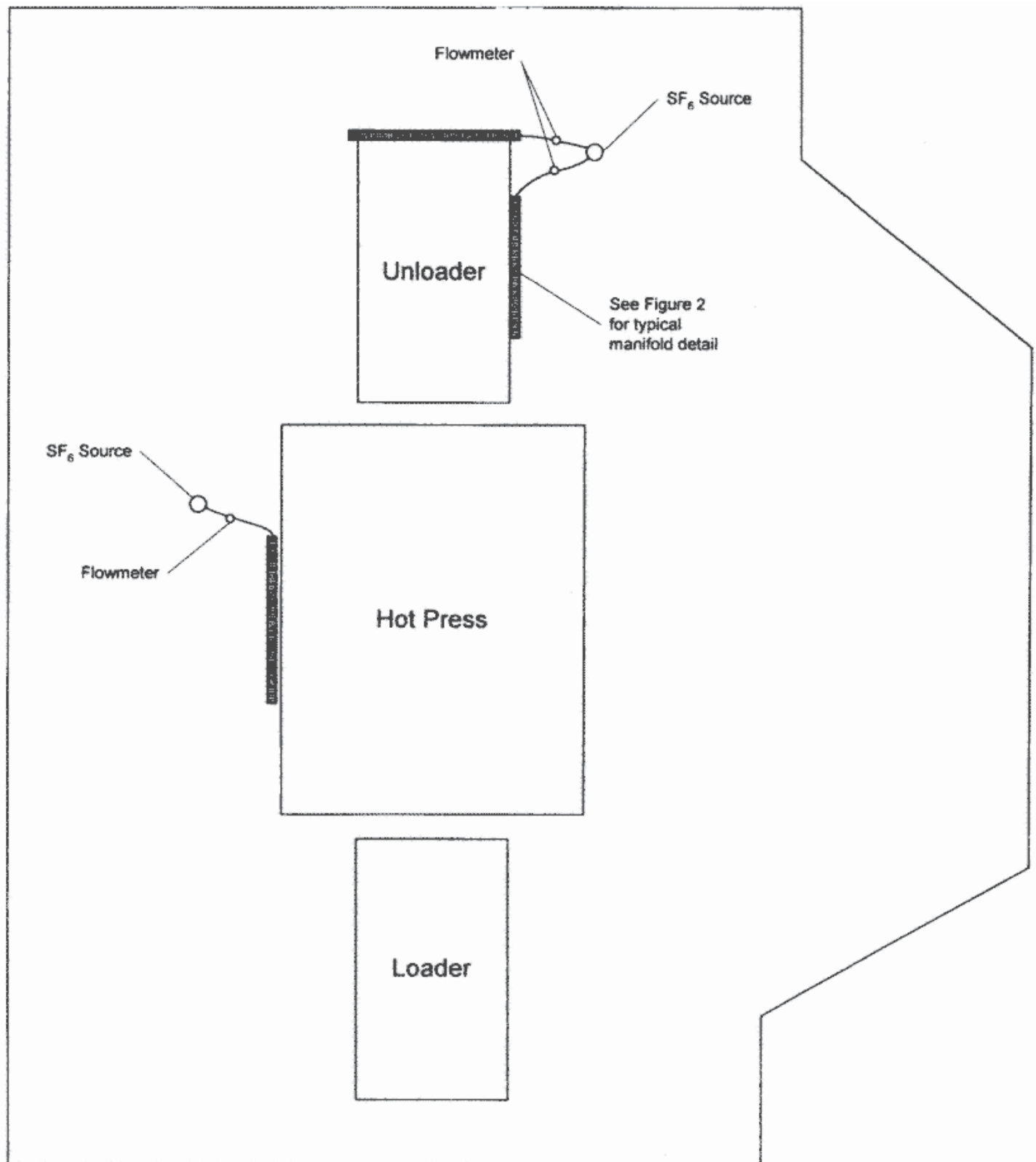


Figure 1. Plan view schematic of hot press and enclosure showing SF_6 manifold locations.

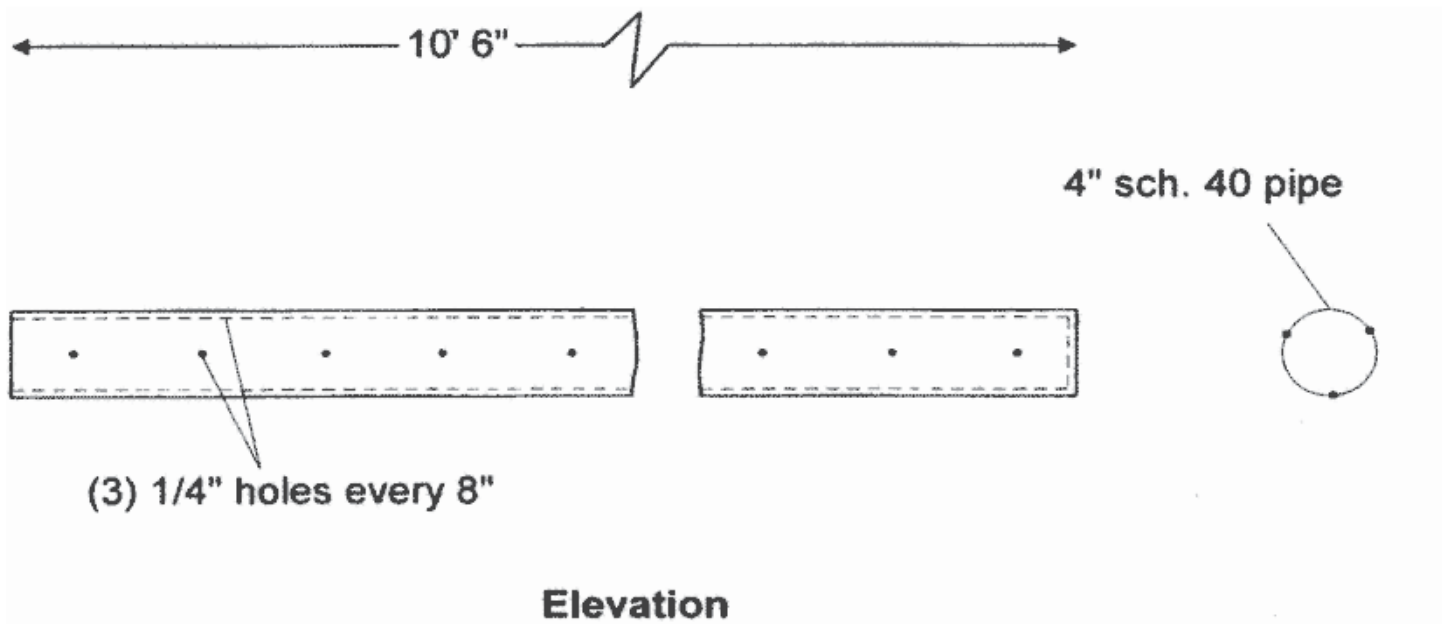


Figure 2. Schematic detail for manifold system for SF₆ injection.

[69 FR 46011, July 30, 2004, as amended at 71 FR 8375, Feb. 16, 2006]

Appendix C

40 C.F.R. § 60 Subpart III—*Standards of Performance for Stationary Compression Ignition
Internal Combustion Engines*

This content is from the eCFR and is authoritative but unofficial.

Title 40 —Protection of Environment

Chapter I —Environmental Protection Agency

Subchapter C —Air Programs

Part 60 —Standards of Performance for New Stationary Sources

Authority: 42 U.S.C. 7401 *et seq.*

Source: 36 FR 24877, Dec. 23, 1971, unless otherwise noted.

Subpart IIII Standards of Performance for Stationary Compression Ignition Internal
Combustion Engines

What This Subpart Covers

§ 60.4200 Am I subject to this subpart?

Emission Standards for Manufacturers

§ 60.4201 What emission standards must I meet for non-emergency engines if I am a stationary CI internal combustion engine manufacturer?

§ 60.4202 What emission standards must I meet for emergency engines if I am a stationary CI internal combustion engine manufacturer?

§ 60.4203 How long must my engines meet the emission standards if I am a manufacturer of stationary CI internal combustion engines?

Emission Standards for Owners and Operators

§ 60.4204 What emission standards must I meet for non-emergency engines if I am an owner or operator of a stationary CI internal combustion engine?

§ 60.4205 What emission standards must I meet for emergency engines if I am an owner or operator of a stationary CI internal combustion engine?

§ 60.4206 How long must I meet the emission standards if I am an owner or operator of a stationary CI internal combustion engine?

Fuel Requirements for Owners and Operators

§ 60.4207 What fuel requirements must I meet if I am an owner or operator of a stationary CI internal combustion engine subject to this subpart?

Other Requirements for Owners and Operators

§ 60.4208 What is the deadline for importing or installing stationary CI ICE produced in previous model years?

§ 60.4209 What are the monitoring requirements if I am an owner or operator of a stationary CI internal combustion engine?

Compliance Requirements

§ 60.4210 What are my compliance requirements if I am a stationary CI internal combustion engine manufacturer?

§ 60.4211 What are my compliance requirements if I am an owner or operator of a stationary CI internal combustion engine?

Testing Requirements for Owners and Operators

§ 60.4212 What test methods and other procedures must I use if I am an owner or operator of a stationary CI internal combustion engine with a displacement of less than 30 liters per cylinder?

§ 60.4213 What test methods and other procedures must I use if I am an owner or operator of a stationary CI internal combustion engine with a displacement of greater than or equal to 30 liters per cylinder?

Notification, Reports, and Records for Owners and Operators

§ 60.4214 What are my notification, reporting, and recordkeeping requirements if I am an owner or operator of a stationary CI internal combustion engine?

Special Requirements

- § 60.4215 What requirements must I meet for engines used in Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands?
- § 60.4216 What requirements must I meet for engines used in Alaska?
- § 60.4217 What emission standards must I meet if I am an owner or operator of a stationary internal combustion engine using special fuels?

General Provisions

- § 60.4218 What General Provisions and confidential information provisions apply to me?

Definitions

- § 60.4219 What definitions apply to this subpart?

Table 1 to Subpart IIII of Part 60

Emission Standards for Stationary Pre-2007 Model Year Engines
With a Displacement of <10 Liters per Cylinder and 2007-2010
Model Year Engines >2,237 KW (3,000 HP) and With a
Displacement of <10 Liters per Cylinder

Table 2 to Subpart IIII of Part 60

Emission Standards for 2008 Model Year and Later Emergency
Stationary CI ICE <37 KW (50 HP) With a Displacement of <10
Liters per Cylinder

Table 3 to Subpart IIII of Part 60

Certification Requirements for Stationary Fire Pump Engines

Table 4 to Subpart IIII of Part 60

Emission Standards for Stationary Fire Pump Engines

Table 5 to Subpart IIII of Part 60

Labeling and Recordkeeping Requirements for New Stationary
Emergency Engines

Table 6 to Subpart IIII of Part 60

Optional 3-Mode Test Cycle for Stationary Fire Pump Engines

Table 7 to Subpart IIII of Part 60

Requirements for Performance Tests for Stationary CI ICE With a
Displacement of ≥30 Liters per Cylinder

Table 8 to Subpart IIII of Part 60

Applicability of General Provisions to Subpart IIII

Subpart IIII—Standards of Performance for Stationary Compression Ignition Internal Combustion Engines

Source: 71 FR 39172, July 11, 2006, unless otherwise noted.

WHAT THIS SUBPART COVERS

§ 60.4200 Am I subject to this subpart?

- (a) The provisions of this subpart are applicable to manufacturers, owners, and operators of stationary compression ignition (CI) internal combustion engines (ICE) and other persons as specified in paragraphs (a)(1) through (4) of this section. For the purposes of this subpart, the date that construction commences is the date the engine is ordered by the owner or operator.
 - (1) Manufacturers of stationary CI ICE with a displacement of less than 30 liters per cylinder where the model year is:
 - (i) 2007 or later, for engines that are not fire pump engines;
 - (ii) The model year listed in Table 3 to this subpart or later model year, for fire pump engines.
 - (2) Owners and operators of stationary CI ICE that commence construction after July 11, 2005, where the stationary CI ICE are:
 - (i) Manufactured after April 1, 2006, and are not fire pump engines, or
 - (ii) Manufactured as a certified National Fire Protection Association (NFPA) fire pump engine after July 1, 2006.
 - (3) Owners and operators of any stationary CI ICE that are modified or reconstructed after July 11, 2005 and any person that modifies or reconstructs any stationary CI ICE after July 11, 2005.
 - (4) The provisions of § 60.4208 of this subpart are applicable to all owners and operators of stationary CI ICE that commence construction after July 11, 2005.
- (b) The provisions of this subpart are not applicable to stationary CI ICE being tested at a stationary CI ICE test cell/stand.
- (c) If you are an owner or operator of an area source subject to this subpart, you are exempt from the obligation to obtain a permit under 40 CFR part 70 or 40 CFR part 71, provided you are not required to obtain a permit under 40 CFR 70.3(a) or 40 CFR 71.3(a) for a reason other than your status as an area source under this subpart. Notwithstanding the previous sentence, you must continue to comply with the provisions of this subpart applicable to area sources.
- (d) Stationary CI ICE may be eligible for exemption from the requirements of this subpart as described in 40 CFR part 1068, subpart C, except that owners and operators, as well as manufacturers, may be eligible to request an exemption for national security.
- (e) Owners and operators of facilities with CI ICE that are acting as temporary replacement units and that are located at a stationary source for less than 1 year and that have been properly certified as meeting the standards that would be applicable to such engine under the appropriate nonroad engine provisions, are not required to meet any other provisions under this subpart with regard to such engines.

[71 FR 39172, July 11, 2006, as amended at 76 FR 37967, June 28, 2011; 86 FR 34357, June 29, 2021]

EMISSION STANDARDS FOR MANUFACTURERS

§ 60.4201 What emission standards must I meet for non-emergency engines if I am a stationary CI internal combustion engine manufacturer?

- (a) Stationary CI internal combustion engine manufacturers must certify their 2007 model year and later non-emergency stationary CI ICE with a maximum engine power less than or equal to 2,237 kilowatt (KW) (3,000 horsepower (HP)) and a displacement of less than 10 liters per cylinder to the certification emission standards for new nonroad CI engines in 40 CFR 1039.101, 1039.102, 1039.104, 1039.105, 1039.107, and 1039.115 and 40 CFR part 1039, appendix I, as applicable, for all pollutants, for the same model year and maximum engine power.
- (b) Stationary CI internal combustion engine manufacturers must certify their 2007 through 2010 model year non-emergency stationary CI ICE with a maximum engine power greater than 2,237 KW (3,000 HP) and a displacement of less than 10 liters per cylinder to the emission standards in table 1 to this subpart, for all pollutants, for the same maximum engine power.
- (c) Stationary CI internal combustion engine manufacturers must certify their 2011 model year and later non-emergency stationary CI ICE with a maximum engine power greater than 2,237 KW (3,000 HP) and a displacement of less than 10 liters per cylinder to the certification emission standards for new nonroad CI engines in 40 CFR 1039.101, 40 CFR 1039.102, 40 CFR 1039.104, 40 CFR 1039.105, 40 CFR 1039.107, and 40 CFR 1039.115, as applicable, for all pollutants, for the same maximum engine power.
- (d) Stationary CI internal combustion engine manufacturers must certify the following non-emergency stationary CI ICE to the appropriate Tier 2 emission standards for new marine CI engines as described in 40 CFR part 1042, appendix I, for all pollutants, for the same displacement and rated power:
 - (1) Their 2007 model year through 2012 non-emergency stationary CI ICE with a displacement of greater than or equal to 10 liters per cylinder and less than 30 liters per cylinder;
 - (2) Their 2013 model year non-emergency stationary CI ICE with a maximum engine power greater than or equal to 3,700 KW (4,958 HP) and a displacement of greater than or equal to 10 liters per cylinder and less than 15 liters per cylinder; and
 - (3) Their 2013 model year non-emergency stationary CI ICE with a displacement of greater than or equal to 15 liters per cylinder and less than 30 liters per cylinder.
- (e) Stationary CI internal combustion engine manufacturers must certify the following non-emergency stationary CI ICE to the certification emission standards and other requirements for new marine CI engines in 40 CFR 1042.101, 40 CFR 1042.107, 40 CFR 1042.110, 40 CFR 1042.115, 40 CFR 1042.120, and 40 CFR 1042.145, as applicable, for all pollutants, for the same displacement and maximum engine power:
 - (1) Their 2013 model year non-emergency stationary CI ICE with a maximum engine power less than 3,700 KW (4,958 HP) and a displacement of greater than or equal to 10 liters per cylinder and less than 15 liters per cylinder; and
 - (2) Their 2014 model year and later non-emergency stationary CI ICE with a displacement of greater than or equal to 10 liters per cylinder and less than 30 liters per cylinder.
- (f) Notwithstanding the requirements in paragraphs (a) through (c) of this section, stationary non-emergency CI ICE identified in paragraphs (a) and (c) of this section may be certified to the provisions of 40 CFR part 1042 for commercial engines that are applicable for the engine's model year, displacement, power density, and maximum engine power if the engines will be used solely in either or both of the following locations:

- (1) Remote areas of Alaska; and
- (2) Marine offshore installations.
- (g) Notwithstanding the requirements in paragraphs (a) through (f) of this section, stationary CI internal combustion engine manufacturers are not required to certify reconstructed engines; however manufacturers may elect to do so. The reconstructed engine must be certified to the emission standards specified in paragraphs (a) through (e) of this section that are applicable to the model year, maximum engine power, and displacement of the reconstructed stationary CI ICE.
- (h) Stationary CI ICE certified to the standards in 40 CFR part 1039 and equipped with auxiliary emission control devices (AECDs) as specified in 40 CFR 1039.665 must meet the Tier 1 certification emission standards for new nonroad CI engines in 40 CFR part 1039, appendix I, while the AECD is activated during a qualified emergency situation. A qualified emergency situation is defined in 40 CFR 1039.665. When the qualified emergency situation has ended and the AECD is deactivated, the engine must resume meeting the otherwise applicable emission standard specified in this section.

[71 FR 39172, July 11, 2006, as amended at 76 FR 37967, June 28, 2011; 81 FR 44219, July 7, 2016; 86 FR 34357, June 29, 2021]

§ 60.4202 What emission standards must I meet for emergency engines if I am a stationary CI internal combustion engine manufacturer?

- (a) Stationary CI internal combustion engine manufacturers must certify their 2007 model year and later emergency stationary CI ICE with a maximum engine power less than or equal to 2,237 KW (3,000 HP) and a displacement of less than 10 liters per cylinder that are not fire pump engines to the emission standards specified in paragraphs (a)(1) through (2) of this section.
 - (1) For engines with a maximum engine power less than 37 KW (50 HP):
 - (i) The Tier 2 emission standards for new nonroad CI engines for the appropriate rated power as described in 40 CFR part 1039, appendix I, for all pollutants and the smoke standards as specified in 40 CFR 1039.105 for model year 2007 engines; and
 - (ii) The certification emission standards for new nonroad CI engines in 40 CFR 1039.104, 40 CFR 1039.105, 40 CFR 1039.107, 40 CFR 1039.115, and table 2 to this subpart, for 2008 model year and later engines.
 - (2) For engines with a rated power greater than or equal to 37 KW (50 HP), the Tier 2 or Tier 3 emission standards for new nonroad CI engines for the same rated power as described in 40 CFR part 1039, appendix I, for all pollutants and the smoke standards as specified in 40 CFR 1039.105 beginning in model year 2007.
- (b) Stationary CI internal combustion engine manufacturers must certify their 2007 model year and later emergency stationary CI ICE with a maximum engine power greater than 2,237 KW (3,000 HP) and a displacement of less than 10 liters per cylinder that are not fire pump engines to the emission standards specified in paragraphs (b)(1) through (2) of this section.
 - (1) For 2007 through 2010 model years, the emission standards in table 1 to this subpart, for all pollutants, for the same maximum engine power.
 - (2) For 2011 model year and later, the Tier 2 emission standards as described in 40 CFR part 1039, appendix I, for all pollutants and the smoke standards as specified in 40 CFR 1039.105.

- (c) [Reserved]
- (d) Beginning with the model years in table 3 to this subpart, stationary CI internal combustion engine manufacturers must certify their fire pump stationary CI ICE to the emission standards in table 4 to this subpart, for all pollutants, for the same model year and NFPA nameplate power.
- (e) Stationary CI internal combustion engine manufacturers must certify the following emergency stationary CI ICE that are not fire pump engines to the appropriate Tier 2 emission standards for new marine CI engines as described in 40 CFR part 1042, appendix I, for all pollutants, for the same displacement and rated power:
 - (1) Their 2007 model year through 2012 emergency stationary CI ICE with a displacement of greater than or equal to 10 liters per cylinder and less than 30 liters per cylinder;
 - (2) Their 2013 model year and later emergency stationary CI ICE with a maximum engine power greater than or equal to 3,700 KW (4,958 HP) and a displacement of greater than or equal to 10 liters per cylinder and less than 15 liters per cylinder;
 - (3) Their 2013 model year emergency stationary CI ICE with a displacement of greater than or equal to 15 liters per cylinder and less than 30 liters per cylinder; and
 - (4) Their 2014 model year and later emergency stationary CI ICE with a maximum engine power greater than or equal to 2,000 KW (2,682 HP) and a displacement of greater than or equal to 15 liters per cylinder and less than 30 liters per cylinder.
- (f) Stationary CI internal combustion engine manufacturers must certify the following emergency stationary CI ICE to the certification emission standards and other requirements applicable to Tier 3 new marine CI engines in 40 CFR 1042.101, 40 CFR 1042.107, 40 CFR 1042.115, 40 CFR 1042.120, and 40 CFR 1042.145, for all pollutants, for the same displacement and maximum engine power:
 - (1) Their 2013 model year and later emergency stationary CI ICE with a maximum engine power less than 3,700 KW (4,958 HP) and a displacement of greater than or equal to 10 liters per cylinder and less than 15 liters per cylinder; and
 - (2) Their 2014 model year and later emergency stationary CI ICE with a maximum engine power less than 2,000 KW (2,682 HP) and a displacement of greater than or equal to 15 liters per cylinder and less than 30 liters per cylinder.
- (g) Notwithstanding the requirements in paragraphs (a) through (d) of this section, stationary emergency CI ICE identified in paragraphs (a) and (c) of this section may be certified to the provisions of 40 CFR part 1042 for commercial engines that are applicable for the engine's model year, displacement, power density, and maximum engine power if the engines will be used solely in either or both of the locations identified in paragraphs (g)(1) and (2) of this section. Engines that would be subject to the Tier 4 standards in 40 CFR part 1042 that are used solely in either or both of the locations identified in paragraphs (g)(1) and (2) of this section may instead continue to be certified to the previous tier of standards in 40 CFR part 1042. The previous tier is Tier 3 in most cases; however, the previous tier is Tier 2 if there are no Tier 3 standards specified for engines of a certain size or power rating.
 - (1) Remote areas of Alaska; and
 - (2) Marine offshore installations.

- (h) Notwithstanding the requirements in paragraphs (a) through (f) of this section, stationary CI internal combustion engine manufacturers are not required to certify reconstructed engines; however manufacturers may elect to do so. The reconstructed engine must be certified to the emission standards specified in paragraphs (a) through (f) of this section that are applicable to the model year, maximum engine power and displacement of the reconstructed emergency stationary CI ICE.

[71 FR 39172, July 11, 2006, as amended at 76 FR 37968, June 28, 2011; 81 FR 44219, July 7, 2016; 86 FR 34358, June 29, 2021; 88 FR 4471, Jan. 24, 2023]

§ 60.4203 How long must my engines meet the emission standards if I am a manufacturer of stationary CI internal combustion engines?

Engines manufactured by stationary CI internal combustion engine manufacturers must meet the emission standards as required in §§ 60.4201 and 60.4202 during the certified emissions life of the engines.

[76 FR 37968, June 28, 2011]

EMISSION STANDARDS FOR OWNERS AND OPERATORS

§ 60.4204 What emission standards must I meet for non-emergency engines if I am an owner or operator of a stationary CI internal combustion engine?

- (a) Owners and operators of pre-2007 model year non-emergency stationary CI ICE with a displacement of less than 10 liters per cylinder must comply with the emission standards in table 1 to this subpart. Owners and operators of pre-2007 model year non-emergency stationary CI ICE with a displacement of greater than or equal to 10 liters per cylinder and less than 30 liters per cylinder must comply with the Tier 1 emission standards in 40 CFR part 1042, appendix I.
- (b) Owners and operators of 2007 model year and later non-emergency stationary CI ICE with a displacement of less than 30 liters per cylinder must comply with the emission standards for new CI engines in § 60.4201 for their 2007 model year and later stationary CI ICE, as applicable.
- (c) Owners and operators of non-emergency stationary CI engines with a displacement of greater than or equal to 30 liters per cylinder must meet the following requirements:
- (1) For engines installed prior to January 1, 2012, limit the emissions of NO_x in the stationary CI internal combustion engine exhaust to the following:
 - (i) 17.0 grams per kilowatt-hour (g/KW-hr) (12.7 grams per horsepower-hr (g/HP-hr)) when maximum engine speed is less than 130 revolutions per minute (rpm);
 - (ii) $45 \cdot n^{-0.2}$ g/KW-hr ($34 \cdot n^{-0.2}$ g/HP-hr) when maximum engine speed is 130 or more but less than 2,000 rpm, where n is maximum engine speed; and
 - (iii) 9.8 g/KW-hr (7.3 g/HP-hr) when maximum engine speed is 2,000 rpm or more.
 - (2) For engines installed on or after January 1, 2012 and before January 1, 2016, limit the emissions of NO_x in the stationary CI internal combustion engine exhaust to the following:
 - (i) 14.4 g/KW-hr (10.7 g/HP-hr) when maximum engine speed is less than 130 rpm;

- (ii) $44 \cdot n^{-0.23}$ g/KW-hr ($33 \cdot n^{-0.23}$ g/HP-hr) when maximum engine speed is greater than or equal to 130 but less than 2,000 rpm and where n is maximum engine speed; and
 - (iii) 7.7 g/KW-hr (5.7 g/HP-hr) when maximum engine speed is greater than or equal to 2,000 rpm.
- (3) For engines installed on or after January 1, 2016, limit the emissions of NO_x in the stationary CI internal combustion engine exhaust to the following:
 - (i) 3.4 g/KW-hr (2.5 g/HP-hr) when maximum engine speed is less than 130 rpm;
 - (ii) $9.0 \cdot n^{-0.20}$ g/KW-hr ($6.7 \cdot n^{-0.20}$ g/HP-hr) where n (maximum engine speed) is 130 or more but less than 2,000 rpm; and
 - (iii) 2.0 g/KW-hr (1.5 g/HP-hr) where maximum engine speed is greater than or equal to 2,000 rpm.
- (4) Reduce particulate matter (PM) emissions by 60 percent or more, or limit the emissions of PM in the stationary CI internal combustion engine exhaust to 0.15 g/KW-hr (0.11 g/HP-hr).
- (d) Owners and operators of non-emergency stationary CI ICE with a displacement of less than 30 liters per cylinder who conduct performance tests in-use must meet the not-to-exceed (NTE) standards as indicated in § 60.4212.
- (e) Owners and operators of any modified or reconstructed non-emergency stationary CI ICE subject to this subpart must meet the emission standards applicable to the model year, maximum engine power, and displacement of the modified or reconstructed non-emergency stationary CI ICE that are specified in paragraphs (a) through (d) of this section.
- (f) Owners and operators of stationary CI ICE certified to the standards in 40 CFR part 1039 and equipped with AECDs as specified in 40 CFR 1039.665 must meet the Tier 1 certification emission standards for new nonroad CI engines in 40 CFR part 1039, appendix I, while the AECD is activated during a qualified emergency situation. A qualified emergency situation is defined in 40 CFR 1039.665. When the qualified emergency situation has ended and the AECD is deactivated, the engine must resume meeting the otherwise applicable emission standard specified in this section.

[71 FR 39172, July 11, 2006, as amended at 76 FR 37968, June 28, 2011; 81 FR 44219, July 7, 2016; 86 FR 34358, June 29, 2021]

§ 60.4205 What emission standards must I meet for emergency engines if I am an owner or operator of a stationary CI internal combustion engine?

- (a) Owners and operators of pre-2007 model year emergency stationary CI ICE with a displacement of less than 10 liters per cylinder that are not fire pump engines must comply with the emission standards in Table 1 to this subpart. Owners and operators of pre-2007 model year emergency stationary CI ICE with a displacement of greater than or equal to 10 liters per cylinder and less than 30 liters per cylinder that are not fire pump engines must comply with the Tier 1 emission standards in 40 CFR part 1042, appendix I.
- (b) Owners and operators of 2007 model year and later emergency stationary CI ICE with a displacement of less than 30 liters per cylinder that are not fire pump engines must comply with the emission standards for new nonroad CI engines in § 60.4202, for all pollutants, for the same model year and maximum engine power for their 2007 model year and later emergency stationary CI ICE.
- (c) Owners and operators of fire pump engines with a displacement of less than 30 liters per cylinder must comply with the emission standards in table 4 to this subpart, for all pollutants.

- (d) Owners and operators of emergency stationary CI engines with a displacement of greater than or equal to 30 liters per cylinder must meet the requirements in this section.
 - (1) For engines installed prior to January 1, 2012, limit the emissions of NO_x in the stationary CI internal combustion engine exhaust to the following:
 - (i) 17.0 g/KW-hr (12.7 g/HP-hr) when maximum engine speed is less than 130 rpm;
 - (ii) $45 \cdot n^{-0.2}$ g/KW-hr ($34 \cdot n^{-0.2}$ g/HP-hr) when maximum engine speed is 130 or more but less than 2,000 rpm, where n is maximum engine speed; and
 - (iii) 9.8 g/kW-hr (7.3 g/HP-hr) when maximum engine speed is 2,000 rpm or more.
 - (2) For engines installed on or after January 1, 2012, limit the emissions of NO_x in the stationary CI internal combustion engine exhaust to the following:
 - (i) 14.4 g/KW-hr (10.7 g/HP-hr) when maximum engine speed is less than 130 rpm;
 - (ii) $44 \cdot n^{-0.23}$ g/KW-hr ($33 \cdot n^{-0.23}$ g/HP-hr) when maximum engine speed is greater than or equal to 130 but less than 2,000 rpm and where n is maximum engine speed; and
 - (iii) 7.7 g/KW-hr (5.7 g/HP-hr) when maximum engine speed is greater than or equal to 2,000 rpm.
 - (3) Limit the emissions of PM in the stationary CI internal combustion engine exhaust to 0.40 g/KW-hr (0.30 g/HP-hr).
- (e) Owners and operators of emergency stationary CI ICE with a displacement of less than 30 liters per cylinder who conduct performance tests in-use must meet the NTE standards as indicated in § 60.4212.
- (f) Owners and operators of any modified or reconstructed emergency stationary CI ICE subject to this subpart must meet the emission standards applicable to the model year, maximum engine power, and displacement of the modified or reconstructed CI ICE that are specified in paragraphs (a) through (e) of this section.

[71 FR 39172, July 11, 2006, as amended at 76 FR 37969, June 28, 2011; 86 FR 34358, June 29, 2021]

§ 60.4206 How long must I meet the emission standards if I am an owner or operator of a stationary CI internal combustion engine?

Owners and operators of stationary CI ICE must operate and maintain stationary CI ICE that achieve the emission standards as required in §§ 60.4204 and 60.4205 over the entire life of the engine.

[76 FR 37969, June 28, 2011]

FUEL REQUIREMENTS FOR OWNERS AND OPERATORS

§ 60.4207 What fuel requirements must I meet if I am an owner or operator of a stationary CI internal combustion engine subject to this subpart?

- (a) [Reserved]

- (b) Beginning October 1, 2010, owners and operators of stationary CI ICE subject to this subpart with a displacement of less than 30 liters per cylinder that use diesel fuel must use diesel fuel that meets the requirements of 40 CFR 1090.305 for nonroad diesel fuel, except that any existing diesel fuel purchased (or otherwise obtained) prior to October 1, 2010, may be used until depleted.
- (c) [Reserved]
- (d) Beginning June 1, 2012, owners and operators of stationary CI ICE subject to this subpart with a displacement of greater than or equal to 30 liters per cylinder must use diesel fuel that meets a maximum per-gallon sulfur content of 1,000 parts per million (ppm).
- (e) Stationary CI ICE that have a national security exemption under § 60.4200(d) are also exempt from the fuel requirements in this section.

[71 FR 39172, July 11, 2006, as amended at 76 FR 37969, June 28, 2011; 78 FR 6695, Jan. 30, 2013; 85 FR 78463, Dec. 4, 2020]

OTHER REQUIREMENTS FOR OWNERS AND OPERATORS

§ 60.4208 What is the deadline for importing or installing stationary CI ICE produced in previous model years?

- (a) After December 31, 2008, owners and operators may not install stationary CI ICE (excluding fire pump engines) that do not meet the applicable requirements for 2007 model year engines.
- (b) After December 31, 2009, owners and operators may not install stationary CI ICE with a maximum engine power of less than 19 KW (25 HP) (excluding fire pump engines) that do not meet the applicable requirements for 2008 model year engines.
- (c) After December 31, 2014, owners and operators may not install non-emergency stationary CI ICE with a maximum engine power of greater than or equal to 19 KW (25 HP) and less than 56 KW (75 HP) that do not meet the applicable requirements for 2013 model year non-emergency engines.
- (d) After December 31, 2013, owners and operators may not install non-emergency stationary CI ICE with a maximum engine power of greater than or equal to 56 KW (75 HP) and less than 130 KW (175 HP) that do not meet the applicable requirements for 2012 model year non-emergency engines.
- (e) After December 31, 2012, owners and operators may not install non-emergency stationary CI ICE with a maximum engine power of greater than or equal to 130 KW (175 HP), including those above 560 KW (750 HP), that do not meet the applicable requirements for 2011 model year non-emergency engines.
- (f) After December 31, 2016, owners and operators may not install non-emergency stationary CI ICE with a maximum engine power of greater than or equal to 560 KW (750 HP) that do not meet the applicable requirements for 2015 model year non-emergency engines.
- (g) After December 31, 2018, owners and operators may not install non-emergency stationary CI ICE with a maximum engine power greater than or equal to 600 KW (804 HP) and less than 2,000 KW (2,680 HP) and a displacement of greater than or equal to 10 liters per cylinder and less than 30 liters per cylinder that do not meet the applicable requirements for 2017 model year non-emergency engines.

- (h) In addition to the requirements specified in §§ 60.4201, 60.4202, 60.4204, and 60.4205, it is prohibited to import stationary CI ICE with a displacement of less than 30 liters per cylinder that do not meet the applicable requirements specified in paragraphs (a) through (g) of this section after the dates specified in paragraphs (a) through (g) of this section.
- (i) The requirements of this section do not apply to owners or operators of stationary CI ICE that have been modified, reconstructed, and do not apply to engines that were removed from one existing location and reinstalled at a new location.

[71 FR 39172, July 11, 2006, as amended at 76 FR 37969, June 28, 2011]

§ 60.4209 What are the monitoring requirements if I am an owner or operator of a stationary CI internal combustion engine?

If you are an owner or operator, you must meet the monitoring requirements of this section. In addition, you must also meet the monitoring requirements specified in § 60.4211.

- (a) If you are an owner or operator of an emergency stationary CI internal combustion engine that does not meet the standards applicable to non-emergency engines, you must install a non-resettable hour meter prior to startup of the engine.
- (b) If you are an owner or operator of a stationary CI internal combustion engine equipped with a diesel particulate filter to comply with the emission standards in § 60.4204, the diesel particulate filter must be installed with a backpressure monitor that notifies the owner or operator when the high backpressure limit of the engine is approached.

[71 FR 39172, July 11, 2006, as amended at 76 FR 37969, June 28, 2011]

COMPLIANCE REQUIREMENTS

§ 60.4210 What are my compliance requirements if I am a stationary CI internal combustion engine manufacturer?

- (a) Stationary CI internal combustion engine manufacturers must certify their stationary CI ICE with a displacement of less than 10 liters per cylinder to the emission standards specified in §§ 60.4201(a) through (c) and 60.4202(a), (b), and (d) using the certification procedures required in 40 CFR part 1039, subpart C, and must test their engines as specified in 40 CFR part 1039. For the purposes of this subpart, engines certified to the standards in Table 1 to this subpart shall be subject to the same certification procedures required for engines certified to the Tier 1 standards in 40 CFR part 1039, appendix I. For the purposes of this subpart, engines certified to the standards in Table 4 to this subpart shall be subject to the same certification procedures required for engines certified to the Tier 1 standards in 40 CFR part 1039, appendix I, except that engines with NFPA nameplate power of less than 37 KW (50 HP) certified to model year 2011 or later standards shall be subject to the same requirements as engines certified to the standards in 40 CFR part 1039.

- (b) Stationary CI internal combustion engine manufacturers must certify their stationary CI ICE with a displacement of greater than or equal to 10 liters per cylinder and less than 30 liters per cylinder to the emission standards specified in §§ 60.4201(d) and (e) and 60.4202(e) and (f) using the certification procedures required in 40 CFR part 1042, subpart C, and must test their engines as specified in 40 CFR part 1042.
- (c) Stationary CI internal combustion engine manufacturers must meet the requirements of 40 CFR 1039.120, 1039.125, 1039.130, and 1039.135 and 40 CFR part 1068 for engines that are certified to the emission standards in 40 CFR part 1039. Stationary CI internal combustion engine manufacturers must meet the corresponding provisions of 40 CFR part 1042 for engines that would be covered by that part if they were nonroad (including marine) engines. Labels on such engines must refer to stationary engines, rather than or in addition to nonroad or marine engines, as appropriate. Stationary CI internal combustion engine manufacturers must label their engines according to paragraphs (c)(1) through (3) of this section.
 - (1) Stationary CI internal combustion engines manufactured from January 1, 2006 to March 31, 2006 (January 1, 2006 to June 30, 2006 for fire pump engines), other than those that are part of certified engine families under the nonroad CI engine regulations, must be labeled according to 40 CFR 1039.20.
 - (2) Stationary CI internal combustion engines manufactured from April 1, 2006 to December 31, 2006 (or, for fire pump engines, July 1, 2006 to December 31 of the year preceding the year listed in table 3 to this subpart) must be labeled according to paragraphs (c)(2)(i) through (iii) of this section:
 - (i) Stationary CI internal combustion engines that are part of certified engine families under the nonroad regulations must meet the labeling requirements for nonroad CI engines, but do not have to meet the labeling requirements in 40 CFR 1039.20.
 - (ii) Stationary CI internal combustion engines that meet Tier 1 requirements (or requirements for fire pumps) under this subpart, but do not meet the requirements applicable to nonroad CI engines must be labeled according to 40 CFR 1039.20. The engine manufacturer may add language to the label clarifying that the engine meets Tier 1 requirements (or requirements for fire pumps) of this subpart.
 - (iii) Stationary CI internal combustion engines manufactured after April 1, 2006 that do not meet Tier 1 requirements of this subpart, or fire pumps engines manufactured after July 1, 2006 that do not meet the requirements for fire pumps under this subpart, may not be used in the U.S. If any such engines are manufactured in the U.S. after April 1, 2006 (July 1, 2006 for fire pump engines), they must be exported or must be brought into compliance with the appropriate standards prior to initial operation. The export provisions of 40 CFR 1068.230 would apply to engines for export and the manufacturers must label such engines according to 40 CFR 1068.230.
 - (3) Stationary CI internal combustion engines manufactured after January 1, 2007 (for fire pump engines, after January 1 of the year listed in table 3 to this subpart, as applicable) must be labeled according to paragraphs (c)(3)(i) through (iii) of this section.
 - (i) Stationary CI internal combustion engines that meet the requirements of this subpart and the corresponding requirements for nonroad (including marine) engines of the same model year and HP must be labeled according to the provisions in 40 CFR part 1039 or 1042, as appropriate.

- (ii) Stationary CI internal combustion engines that meet the requirements of this subpart, but are not certified to the standards applicable to nonroad (including marine) engines of the same model year and HP must be labeled according to the provisions in 40 CFR part 1039 or 1042, as appropriate, but the words "stationary" must be included instead of "nonroad" or "marine" on the label. In addition, such engines must be labeled according to 40 CFR 1039.20.
- (iii) Stationary CI internal combustion engines that do not meet the requirements of this subpart must be labeled according to 40 CFR 1068.230 and must be exported under the provisions of 40 CFR 1068.230.
- (d) An engine manufacturer certifying an engine family or families to standards under this subpart that are identical to standards applicable under 40 CFR part 1039 or 1042 for that model year may certify any such family that contains both nonroad (including marine) and stationary engines as a single engine family and/or may include any such family containing stationary engines in the averaging, banking, and trading provisions applicable for such engines under those parts.
- (e) Manufacturers of engine families discussed in paragraph (d) of this section may meet the labeling requirements referred to in paragraph (c) of this section for stationary CI ICE by either adding a separate label containing the information required in paragraph (c) of this section or by adding the words "and stationary" after the word "nonroad" or "marine," as appropriate, to the label.
- (f) Starting with the model years shown in table 5 to this subpart, stationary CI internal combustion engine manufacturers must add a permanent label stating that the engine is for stationary emergency use only to each new emergency stationary CI internal combustion engine greater than or equal to 19 KW (25 HP) that meets all the emission standards for emergency engines in § 60.4202 but does not meet all the emission standards for non-emergency engines in § 60.4201. The label must be added according to the labeling requirements specified in 40 CFR 1039.135(b). Engine manufacturers must specify in the owner's manual that operation of emergency engines is limited to emergency operations and required maintenance and testing.
- (g) Manufacturers of fire pump engines may use the test cycle in table 6 to this subpart for testing fire pump engines and may test at the NFPA certified nameplate HP, provided that the engine is labeled as "Fire Pump Applications Only".
- (h) Engine manufacturers, including importers, may introduce into commerce uncertified engines or engines certified to earlier standards that were manufactured before the new or changed standards took effect until inventories are depleted, as long as such engines are part of normal inventory. For example, if the engine manufacturers' normal industry practice is to keep on hand a one-month supply of engines based on its projected sales, and a new tier of standards starts to apply for the 2009 model year, the engine manufacturer may manufacture engines based on the normal inventory requirements late in the 2008 model year, and sell those engines for installation. The engine manufacturer may not circumvent the provisions of § 60.4201 or § 60.4202 by stockpiling engines that are built before new or changed standards take effect. Stockpiling of such engines beyond normal industry practice is a violation of this subpart.
- (i) The replacement engine provisions of 40 CFR 1068.240 are applicable to stationary CI engines replacing existing equipment that is less than 15 years old.
- (j) Stationary CI ICE manufacturers may equip their stationary CI internal combustion engines certified to the emission standards in 40 CFR part 1039 with AECDs for qualified emergency situations according to the requirements of 40 CFR 1039.665. Manufacturers of stationary CI ICE equipped with AECDs as allowed by 40 CFR 1039.665 must meet all the requirements in 40 CFR 1039.665 that apply to manufacturers.

Manufacturers must document that the engine complies with the Tier 1 standard in 40 CFR part 1039, appendix I, when the AECD is activated. Manufacturers must provide any relevant testing, engineering analysis, or other information in sufficient detail to support such statement when applying for certification (including amending an existing certificate) of an engine equipped with an AECD as allowed by 40 CFR 1039.665.

- (k) Manufacturers of any size may certify their emergency stationary CI internal combustion engines under this section using assigned deterioration factors established by EPA, consistent with 40 CFR 1039.240 and 1042.240.

[71 FR 39172, July 11, 2006, as amended at 76 FR 37969, June 28, 2011; 81 FR 44219, July 7, 2016; 86 FR 34358, June 29, 2021]

§ 60.4211 What are my compliance requirements if I am an owner or operator of a stationary CI internal combustion engine?

- (a) If you are an owner or operator and must comply with the emission standards specified in this subpart, you must do all of the following, except as permitted under paragraph (g) of this section:
 - (1) Operate and maintain the stationary CI internal combustion engine and control device according to the manufacturer's emission-related written instructions;
 - (2) Change only those emission-related settings that are permitted by the manufacturer; and
 - (3) Meet the requirements of 40 CFR part 1068, as they apply to you.
- (b) If you are an owner or operator of a pre-2007 model year stationary CI internal combustion engine and must comply with the emission standards specified in § 60.4204(a) or § 60.4205(a), or if you are an owner or operator of a CI fire pump engine that is manufactured prior to the model years in table 3 to this subpart and must comply with the emission standards specified in § 60.4205(c), you must demonstrate compliance according to one of the methods specified in paragraphs (b)(1) through (5) of this section.
 - (1) Purchasing an engine certified to emission standards for the same model year and maximum engine power as described in 40 CFR parts 1039 and 1042, as applicable. The engine must be installed and configured according to the manufacturer's specifications.
 - (2) Keeping records of performance test results for each pollutant for a test conducted on a similar engine. The test must have been conducted using the same methods specified in this subpart and these methods must have been followed correctly.
 - (3) Keeping records of engine manufacturer data indicating compliance with the standards.
 - (4) Keeping records of control device vendor data indicating compliance with the standards.
 - (5) Conducting an initial performance test to demonstrate compliance with the emission standards according to the requirements specified in § 60.4212, as applicable.
- (c) If you are an owner or operator of a 2007 model year and later stationary CI internal combustion engine and must comply with the emission standards specified in § 60.4204(b) or § 60.4205(b), or if you are an owner or operator of a CI fire pump engine that is manufactured during or after the model year that applies to your fire pump engine power rating in table 3 to this subpart and must comply with the emission standards specified in § 60.4205(c), you must comply by purchasing an engine certified to the emission standards in § 60.4204(b), or § 60.4205(b) or (c), as applicable, for the same model year and

maximum (or in the case of fire pumps, NFPA nameplate) engine power. The engine must be installed and configured according to the manufacturer's emission-related specifications, except as permitted in paragraph (g) of this section.

(d) If you are an owner or operator and must comply with the emission standards specified in § 60.4204(c) or § 60.4205(d), you must demonstrate compliance according to the requirements specified in paragraphs (d)(1) through (3) of this section.

(1) Conducting an initial performance test to demonstrate initial compliance with the emission standards as specified in § 60.4213.

(2) Establishing operating parameters to be monitored continuously to ensure the stationary internal combustion engine continues to meet the emission standards. The owner or operator must petition the Administrator for approval of operating parameters to be monitored continuously. The petition must include the information described in paragraphs (d)(2)(i) through (v) of this section.

(i) Identification of the specific parameters you propose to monitor continuously;

(ii) A discussion of the relationship between these parameters and NO_x and PM emissions, identifying how the emissions of these pollutants change with changes in these parameters, and how limitations on these parameters will serve to limit NO_x and PM emissions;

(iii) A discussion of how you will establish the upper and/or lower values for these parameters which will establish the limits on these parameters in the operating limitations;

(iv) A discussion identifying the methods and the instruments you will use to monitor these parameters, as well as the relative accuracy and precision of these methods and instruments; and

(v) A discussion identifying the frequency and methods for recalibrating the instruments you will use for monitoring these parameters.

(3) For non-emergency engines with a displacement of greater than or equal to 30 liters per cylinder, conducting annual performance tests to demonstrate continuous compliance with the emission standards as specified in § 60.4213.

(e) If you are an owner or operator of a modified or reconstructed stationary CI internal combustion engine and must comply with the emission standards specified in § 60.4204(e) or § 60.4205(f), you must demonstrate compliance according to one of the methods specified in paragraphs (e)(1) or (2) of this section.

(1) Purchasing, or otherwise owning or operating, an engine certified to the emission standards in § 60.4204(e) or § 60.4205(f), as applicable.

(2) Conducting a performance test to demonstrate initial compliance with the emission standards according to the requirements specified in § 60.4212 or § 60.4213, as appropriate. The test must be conducted within 60 days after the engine commences operation after the modification or reconstruction.

(f) If you own or operate an emergency stationary ICE, you must operate the emergency stationary ICE according to the requirements in paragraphs (f)(1) through (3) of this section. In order for the engine to be considered an emergency stationary ICE under this subpart, any operation other than emergency operation, maintenance and testing, and operation in non-emergency situations for 50 hours per year, as

described in paragraphs (f)(1) through (3), is prohibited. If you do not operate the engine according to the requirements in paragraphs (f)(1) through (3), the engine will not be considered an emergency engine under this subpart and must meet all requirements for non-emergency engines.

- (1) There is no time limit on the use of emergency stationary ICE in emergency situations.
- (2) You may operate your emergency stationary ICE for the purpose specified in paragraph (f)(2)(i) of this section for a maximum of 100 hours per calendar year. Any operation for non-emergency situations as allowed by paragraph (f)(3) of this section counts as part of the 100 hours per calendar year allowed by this paragraph (f)(2).
 - (i) Emergency stationary ICE may be operated for maintenance checks and readiness testing, provided that the tests are recommended by federal, state or local government, the manufacturer, the vendor, the regional transmission organization or equivalent balancing authority and transmission operator, or the insurance company associated with the engine. The owner or operator may petition the Administrator for approval of additional hours to be used for maintenance checks and readiness testing, but a petition is not required if the owner or operator maintains records indicating that federal, state, or local standards require maintenance and testing of emergency ICE beyond 100 hours per calendar year.
- (ii)-(iii) [Reserved]
- (3) Emergency stationary ICE may be operated for up to 50 hours per calendar year in non-emergency situations. The 50 hours of operation in non-emergency situations are counted as part of the 100 hours per calendar year for maintenance and testing provided in paragraph (f)(2) of this section. Except as provided in paragraph (f)(3)(i) of this section, the 50 hours per calendar year for non-emergency situations cannot be used for peak shaving or non-emergency demand response, or to generate income for a facility to an electric grid or otherwise supply power as part of a financial arrangement with another entity.
 - (i) The 50 hours per year for non-emergency situations can be used to supply power as part of a financial arrangement with another entity if all of the following conditions are met:
 - (A) The engine is dispatched by the local balancing authority or local transmission and distribution system operator;
 - (B) The dispatch is intended to mitigate local transmission and/or distribution limitations so as to avert potential voltage collapse or line overloads that could lead to the interruption of power supply in a local area or region.
 - (C) The dispatch follows reliability, emergency operation or similar protocols that follow specific NERC, regional, state, public utility commission or local standards or guidelines.
 - (D) The power is provided only to the facility itself or to support the local transmission and distribution system.
 - (E) The owner or operator identifies and records the entity that dispatches the engine and the specific NERC, regional, state, public utility commission or local standards or guidelines that are being followed for dispatching the engine. The local balancing authority or local transmission and distribution system operator may keep these records on behalf of the engine owner or operator.

(ii) [Reserved]

- (g) If you do not install, configure, operate, and maintain your engine and control device according to the manufacturer's emission-related written instructions, or you change emission-related settings in a way that is not permitted by the manufacturer, you must demonstrate compliance as follows:
- (1) If you are an owner or operator of a stationary CI internal combustion engine with maximum engine power less than 100 HP, you must keep a maintenance plan and records of conducted maintenance to demonstrate compliance and must, to the extent practicable, maintain and operate the engine in a manner consistent with good air pollution control practice for minimizing emissions. In addition, if you do not install and configure the engine and control device according to the manufacturer's emission-related written instructions, or you change the emission-related settings in a way that is not permitted by the manufacturer, you must conduct an initial performance test to demonstrate compliance with the applicable emission standards within 1 year of such action.
 - (2) If you are an owner or operator of a stationary CI internal combustion engine greater than or equal to 100 HP and less than or equal to 500 HP, you must keep a maintenance plan and records of conducted maintenance and must, to the extent practicable, maintain and operate the engine in a manner consistent with good air pollution control practice for minimizing emissions. In addition, you must conduct an initial performance test to demonstrate compliance with the applicable emission standards within 1 year of startup, or within 1 year after an engine and control device is no longer installed, configured, operated, and maintained in accordance with the manufacturer's emission-related written instructions, or within 1 year after you change emission-related settings in a way that is not permitted by the manufacturer.
 - (3) If you are an owner or operator of a stationary CI internal combustion engine greater than 500 HP, you must keep a maintenance plan and records of conducted maintenance and must, to the extent practicable, maintain and operate the engine in a manner consistent with good air pollution control practice for minimizing emissions. In addition, you must conduct an initial performance test to demonstrate compliance with the applicable emission standards within 1 year of startup, or within 1 year after an engine and control device is no longer installed, configured, operated, and maintained in accordance with the manufacturer's emission-related written instructions, or within 1 year after you change emission-related settings in a way that is not permitted by the manufacturer. You must conduct subsequent performance testing every 8,760 hours of engine operation or 3 years, whichever comes first, thereafter to demonstrate compliance with the applicable emission standards.
- (h) The requirements for operators and prohibited acts specified in 40 CFR 1039.665 apply to owners or operators of stationary CI ICE equipped with AECDs for qualified emergency situations as allowed by 40 CFR 1039.665.

[71 FR 39172, July 11, 2006, as amended at 76 FR 37970, June 28, 2011; 78 FR 6695, Jan. 30, 2013; 81 FR 44219, July 7, 2016; 86 FR 34359, June 29, 2021; 87 FR 48605, Aug. 10, 2022]

TESTING REQUIREMENTS FOR OWNERS AND OPERATORS

§ 60.4212 What test methods and other procedures must I use if I am an owner or operator of a stationary CI internal combustion engine with a displacement of less than 30 liters per cylinder?

Owners and operators of stationary CI ICE with a displacement of less than 30 liters per cylinder who conduct performance tests pursuant to this subpart must do so according to paragraphs (a) through (e) of this section.

- (a) The performance test must be conducted according to the in-use testing procedures in 40 CFR part 1039, subpart F, for stationary CI ICE with a displacement of less than 10 liters per cylinder, and according to 40 CFR part 1042, subpart F, for stationary CI ICE with a displacement of greater than or equal to 10 liters per cylinder and less than 30 liters per cylinder. Alternatively, stationary CI ICE that are complying with Tier 2 or Tier 3 emission standards as described in 40 CFR part 1039, appendix I, or with Tier 2 emission standards as described in 40 CFR part 1042, appendix I, may follow the testing procedures specified in § 60.4213, as appropriate.
- (b) Exhaust emissions from stationary CI ICE that are complying with the emission standards for new CI engines in 40 CFR part 1039 must not exceed the not-to-exceed (NTE) standards for the same model year and maximum engine power as required in 40 CFR 1039.101(e) and 40 CFR 1039.102(g)(1), except as specified in 40 CFR 1039.104(d). This requirement starts when NTE requirements take effect for nonroad diesel engines under 40 CFR part 1039.
- (c) Exhaust emissions from stationary CI ICE subject to Tier 2 or Tier 3 emission standards as described in 40 CFR part 1039, appendix I, or Tier 2 emission standards as described in 40 CFR part 1042, appendix I, must not exceed the NTE numerical requirements, rounded to the same number of decimal places as the applicable standard, determined from the following equation:

$$\text{NTE requirement for each pollutant} = (1.25) \times (\text{STD}) \text{ (Eq. 1)}$$

Where:

STD = The standard specified for that pollutant in 40 CFR part 1039 or 1042, as applicable.

- (d) Exhaust emissions from stationary CI ICE that are complying with the emission standards for pre-2007 model year engines in § 60.4204(a), § 60.4205(a), or § 60.4205(c) must not exceed the NTE numerical requirements, rounded to the same number of decimal places as the applicable standard in § 60.4204(a), § 60.4205(a), or § 60.4205(c), determined from the equation in paragraph (c) of this section.

Where:

STD = The standard specified for that pollutant in § 60.4204(a), § 60.4205(a), or § 60.4205(c).

Alternatively, stationary CI ICE that are complying with the emission standards for pre-2007 model year engines in § 60.4204(a), § 60.4205(a), or § 60.4205(c) may follow the testing procedures specified in § 60.4213, as appropriate.

- (e) Exhaust emissions from stationary CI ICE that are complying with the emission standards for new CI engines in 40 CFR part 1042 must not exceed the NTE standards for the same model year and maximum engine power as required in 40 CFR 1042.101(c).

[71 FR 39172, July 11, 2006, as amended at 76 FR 37971, June 28, 2011; 86 FR 34359, June 29, 2021]

§ 60.4213 What test methods and other procedures must I use if I am an owner or operator of a stationary CI internal combustion engine with a displacement of greater than or equal to 30 liters per cylinder?

Owners and operators of stationary CI ICE with a displacement of greater than or equal to 30 liters per cylinder must conduct performance tests according to paragraphs (a) through (f) of this section.

- (a) Each performance test must be conducted according to the requirements in § 60.8 and under the specific conditions that this subpart specifies in table 7. The test must be conducted within 10 percent of 100 percent peak (or the highest achievable) load.
- (b) You may not conduct performance tests during periods of startup, shutdown, or malfunction, as specified in § 60.8(c).
- (c) You must conduct three separate test runs for each performance test required in this section, as specified in § 60.8(f). Each test run must last at least 1 hour.
- (d) To determine compliance with the percent reduction requirement, you must follow the requirements as specified in paragraphs (d)(1) through (3) of this section.
 - (1) You must use Equation 2 of this section to determine compliance with the percent reduction requirement:

$$\frac{C_i - C_o}{C_i} \times 100 = R \quad (\text{Eq. 2})$$

Where:

C_i = concentration of NO_x or PM at the control device inlet,

C_o = concentration of NO_x or PM at the control device outlet, and

R = percent reduction of NO_x or PM emissions.

- (2) You must normalize the NO_x or PM concentrations at the inlet and outlet of the control device to a dry basis and to 15 percent oxygen (O_2) using Equation 3 of this section, or an equivalent percent carbon dioxide (CO_2) using the procedures described in paragraph (d)(3) of this section.

$$C_{\text{adj}} = C_d \frac{5.9}{20.9 - \% \text{ O}_2} \quad (\text{Eq. 3})$$

Where:

C_{adj} = Calculated NO_x or PM concentration adjusted to 15 percent O_2 .

C_d = Measured concentration of NO_x or PM, uncorrected.

5.9 = 20.9 percent O_2 –15 percent O_2 , the defined O_2 correction value, percent.

$\% \text{O}_2$ = Measured O_2 concentration, dry basis, percent.

- (3) If pollutant concentrations are to be corrected to 15 percent O_2 and CO_2 concentration is measured in lieu of O_2 concentration measurement, a CO_2 correction factor is needed. Calculate the CO_2 correction factor as described in paragraphs (d)(3)(i) through (iii) of this section.

- (i) Calculate the fuel-specific F_o value for the fuel burned during the test using values obtained from Method 19, Section 5.2, and the following equation:

$$F_o = \frac{0.209 F_d}{F_c} \quad (\text{Eq. 4})$$

Where:

F_o = Fuel factor based on the ratio of O_2 volume to the ultimate CO_2 volume produced by the fuel at zero percent excess air.

0.209 = Fraction of air that is O_2 , percent/100.

F_d = Ratio of the volume of dry effluent gas to the gross calorific value of the fuel from Method 19, dsm^3/J ($\text{dscf}/10^6 \text{ Btu}$).

F_c = Ratio of the volume of CO_2 produced to the gross calorific value of the fuel from Method 19, dsm^3/J ($\text{dscf}/10^6 \text{ Btu}$).

- (ii) Calculate the CO_2 correction factor for correcting measurement data to 15 percent O_2 , as follows:

$$X_{CO_2} = \frac{5.9}{F_o} \quad (\text{Eq. 5})$$

Where:

X_{CO_2} = CO_2 correction factor, percent.

5.9 = 20.9 percent O_2 –15 percent O_2 , the defined O_2 correction value, percent.

- (iii) Calculate the NO_x and PM gas concentrations adjusted to 15 percent O_2 using CO_2 as follows:

$$C_{adj} = C_d \frac{X_{CO_2}}{\%CO_2} \quad (\text{Eq. 6})$$

Where:

C_{adj} = Calculated NO_x or PM concentration adjusted to 15 percent O_2 .

C_d = Measured concentration of NO_x or PM, uncorrected.

$\%CO_2$ = Measured CO_2 concentration, dry basis, percent.

- (e) To determine compliance with the NO_x mass per unit output emission limitation, convert the concentration of NO_x in the engine exhaust using Equation 7 of this section:

$$ER = \frac{C_d \times 1.912 \times 10^{-3} \times Q \times T}{\text{KW-hour}} \quad (\text{Eq. 7})$$

Where:

ER = Emission rate in grams per KW-hour.

C_d = Measured NO_x concentration in ppm.

1.912×10^{-3} = Conversion constant for ppm NO_x to grams per standard cubic meter at 25 degrees Celsius.

Q = Stack gas volumetric flow rate, in standard cubic meter per hour.

T = Time of test run, in hours.

KW-hour = Brake work of the engine, in KW-hour.

- (f) To determine compliance with the PM mass per unit output emission limitation, convert the concentration of PM in the engine exhaust using Equation 8 of this section:

$$ER = \frac{C_{adj} \times Q \times T}{\text{KW-hour}} \quad (\text{Eq. 8})$$

Where:

ER = Emission rate in grams per KW-hour.

C_{adj} = Calculated PM concentration in grams per standard cubic meter.

Q = Stack gas volumetric flow rate, in standard cubic meter per hour.

T = Time of test run, in hours.

KW-hour = Energy output of the engine, in KW.

[71 FR 39172, July 11, 2006, as amended at 76 FR 37971, June 28, 2011]

NOTIFICATION, REPORTS, AND RECORDS FOR OWNERS AND OPERATORS

§ 60.4214 What are my notification, reporting, and recordkeeping requirements if I am an owner or operator of a stationary CI internal combustion engine?

- (a) Owners and operators of non-emergency stationary CI ICE that are greater than 2,237 KW (3,000 HP), or have a displacement of greater than or equal to 10 liters per cylinder, or are pre-2007 model year engines that are greater than 130 KW (175 HP) and not certified, must meet the requirements of paragraphs (a)(1) and (2) of this section.

- (1) Submit an initial notification as required in § 60.7(a)(1). The notification must include the information in paragraphs (a)(1)(i) through (v) of this section. Beginning on February 26, 2025, submit the notification electronically according to paragraph (g) of this section.
 - (i) Name and address of the owner or operator;
 - (ii) The address of the affected source;
 - (iii) Engine information including make, model, engine family, serial number, model year, maximum engine power, and engine displacement;
 - (iv) Emission control equipment; and
 - (v) Fuel used.
- (2) Keep records of the information in paragraphs (a)(2)(i) through (iv) of this section.
 - (i) All notifications submitted to comply with this subpart and all documentation supporting any notification.
 - (ii) Maintenance conducted on the engine.
 - (iii) If the stationary CI internal combustion is a certified engine, documentation from the manufacturer that the engine is certified to meet the emission standards.
 - (iv) If the stationary CI internal combustion is not a certified engine, documentation that the engine meets the emission standards.
- (b) If the stationary CI internal combustion engine is an emergency stationary internal combustion engine, the owner or operator is not required to submit an initial notification. Starting with the model years in table 5 to this subpart, if the emergency engine does not meet the standards applicable to non-emergency engines in the applicable model year, the owner or operator must keep records of the operation of the engine in emergency and non-emergency service that are recorded through the non-resettable hour meter. The owner must record the time of operation of the engine and the reason the engine was in operation during that time.
- (c) If the stationary CI internal combustion engine is equipped with a diesel particulate filter, the owner or operator must keep records of any corrective action taken after the backpressure monitor has notified the owner or operator that the high backpressure limit of the engine is approached.
- (d) If you own or operate an emergency stationary CI ICE with a maximum engine power more than 100 HP that operates for the purpose specified in § 60.4211(f)(3)(i), you must submit an annual report according to the requirements in paragraphs (d)(1) through (3) of this section.
 - (1) The report must contain the following information:
 - (i) Company name and address where the engine is located.
 - (ii) Date of the report and beginning and ending dates of the reporting period.
 - (iii) Engine site rating and model year.
 - (iv) Latitude and longitude of the engine in decimal degrees reported to the fifth decimal place.
 - (v)-(vi) [Reserved]

- (vii) Hours spent for operation for the purposes specified in § 60.4211(f)(3)(i), including the date, start time, and end time for engine operation for the purposes specified in § 60.4211(f)(3)(i). The report must also identify the entity that dispatched the engine and the situation that necessitated the dispatch of the engine.
- (2) The first annual report must cover the calendar year 2015 and must be submitted no later than March 31, 2016. Subsequent annual reports for each calendar year must be submitted no later than March 31 of the following calendar year.
- (3) The annual report must be submitted electronically using the subpart specific reporting form in the Compliance and Emissions Data Reporting Interface (CEDRI) that is accessed through EPA's Central Data Exchange (CDX) (<https://cdx.epa.gov/>). However, if the reporting form specific to this subpart is not available in CEDRI at the time that the report is due, the written report must be submitted to the Administrator at the appropriate address listed in § 60.4. Beginning on February 26, 2025, submit annual report electronically according to paragraph (g) of this section.
- (e) Owners or operators of stationary CI ICE equipped with AECDs pursuant to the requirements of 40 CFR 1039.665 must report the use of AECDs as required by 40 CFR 1039.665(e).
- (f) Beginning on February 26, 2025, within 60 days after the date of completing each performance test required by this subpart, you must submit the results of the performance test required under this section following the procedures specified in paragraphs (f)(1) and (2) of this section.
 - (1) **Data collected using test methods supported by the EPA's Electronic Reporting Tool (ERT) as listed on the EPA's ERT website (<https://www.epa.gov/electronic-reporting-air-emissions/electronic-reporting-tool-ert>) at the time of the test.** Submit the results of the performance test to the EPA via the Compliance and Emissions Data Reporting Interface (CEDRI), according to paragraph (g) of this section. The data must be submitted in a file format generated using the EPA's ERT. Alternatively, you may submit an electronic file consistent with the extensible markup language (XML) schema listed on the EPA's ERT website.
 - (2) **Data collected using test methods that are not supported by the EPA's ERT as listed on the EPA's ERT website at the time of the test.** The results of the performance test must be included as an attachment in the ERT or an alternate electronic file consistent with the XML schema listed on the EPA's ERT website. Submit the ERT generated package or alternative file to the EPA via CEDRI according to paragraph (g) of this section.
- (g) If you are required to submit notifications or reports following the procedure specified in this paragraph (g), you must submit notifications or reports to the EPA via the Compliance and Emissions Data Reporting Interface (CEDRI), which can be accessed through the EPA's Central Data Exchange (CDX) (<https://cdx.epa.gov/>). The EPA will make all the information submitted through CEDRI available to the public without further notice to you. Do not use CEDRI to submit information you claim as CBI. Although we do not expect persons to assert a claim of CBI, if you wish to assert a CBI claim for some of the information in the report or notification, you must submit a complete file in the format specified in this subpart, including information claimed to be CBI, to the EPA following the procedures in paragraphs (g)(1) and (2) of this section. Clearly mark the part or all of the information that you claim to be CBI. Information not marked as CBI may be authorized for public release without prior notice. Information marked as CBI will not be disclosed except in accordance with procedures set forth in 40 CFR part 2. All CBI claims must be asserted at the time of submission. Anything submitted using CEDRI cannot later be claimed CBI. Furthermore, under CAA section 114(c), emissions data is not entitled to confidential treatment, and the

EPA is required to make emissions data available to the public. Thus, emissions data will not be protected as CBI and will be made publicly available. You must submit the same file submitted to the CBI office with the CBI omitted to the EPA via the EPA's CDX as described earlier in this paragraph (g).

- (1) The preferred method to receive CBI is for it to be transmitted electronically using email attachments, File Transfer Protocol, or other online file sharing services. Electronic submissions must be transmitted directly to the OAQPS CBI Office at the email address oaqpscbi@epa.gov, and as described in paragraph (g) of this section, should include clear CBI markings. ERT files should be flagged to the attention of the Group Leader, Measurement Policy Group; all other files should be flagged to the attention of the Stationary Compression Ignition Internal Combustion Engine Sector Lead. If assistance is needed with submitting large electronic files that exceed the file size limit for email attachments, and if you do not have your own file sharing service, please email oaqpscbi@epa.gov to request a file transfer link.
 - (2) If you cannot transmit the file electronically, you may send CBI information through the postal service to the following address: OAQPS Document Control Officer (C404-02), OAQPS, U.S. Environmental Protection Agency, 109 T.W. Alexander Drive, P.O. Box 12055, Research Triangle Park, North Carolina 27711. ERT files should be sent to the attention of the Group Leader, Measurement Policy Group, and all other files should be sent to the attention of the Stationary Compression Ignition Internal Combustion Engine Sector Lead. The mailed CBI material should be double wrapped and clearly marked. Any CBI markings should not show through the outer envelope.
- (h) If you are required to electronically submit a report through CEDRI in the EPA's CDX, you may assert a claim of EPA system outage for failure to timely comply with that reporting requirement. To assert a claim of EPA system outage, you must meet the requirements outlined in paragraphs (h)(1) through (7) of this section.
- (1) You must have been or will be precluded from accessing CEDRI and submitting a required report within the time prescribed due to an outage of either the EPA's CEDRI or CDX systems.
 - (2) The outage must have occurred within the period of time beginning five business days prior to the date that the submission is due.
 - (3) The outage may be planned or unplanned.
 - (4) You must submit notification to the Administrator in writing as soon as possible following the date you first knew, or through due diligence should have known, that the event may cause or has caused a delay in reporting.
 - (5) You must provide to the Administrator a written description identifying:
 - (i) The date(s) and time(s) when CDX or CEDRI was accessed and the system was unavailable;
 - (ii) A rationale for attributing the delay in reporting beyond the regulatory deadline to EPA system outage;
 - (iii) A description of measures taken or to be taken to minimize the delay in reporting; and
 - (iv) The date by which you propose to report, or if you have already met the reporting requirement at the time of the notification, the date you reported.
 - (6) The decision to accept the claim of EPA system outage and allow an extension to the reporting deadline is solely within the discretion of the Administrator.

- (7) In any circumstance, the report must be submitted electronically as soon as possible after the outage is resolved.
- (i) If you are required to electronically submit a report through CEDRI in the EPA's CDX, you may assert a claim of force majeure for failure to timely comply with that reporting requirement. To assert a claim of force majeure, you must meet the requirements outlined in paragraphs (i)(1) through (5) of this section.
 - (1) You may submit a claim if a force majeure event is about to occur, occurs, or has occurred or there are lingering effects from such an event within the period of time beginning five business days prior to the date the submission is due. For the purposes of this section, a force majeure event is defined as an event that will be or has been caused by circumstances beyond the control of the affected facility, its contractors, or any entity controlled by the affected facility that prevents you from complying with the requirement to submit a report electronically within the time period prescribed. Examples of such events are acts of nature (e.g., hurricanes, earthquakes, or floods), acts of war or terrorism, or equipment failure or safety hazard beyond the control of the affected facility (e.g., large scale power outage).
 - (2) You must submit notification to the Administrator in writing as soon as possible following the date you first knew, or through due diligence should have known, that the event may cause or has caused a delay in reporting.
 - (3) You must provide to the Administrator:
 - (i) A written description of the force majeure event;
 - (ii) A rationale for attributing the delay in reporting beyond the regulatory deadline to the force majeure event;
 - (iii) A description of measures taken or to be taken to minimize the delay in reporting; and
 - (iv) The date by which you propose to report, or if you have already met the reporting requirement at the time of the notification, the date you reported.
 - (4) The decision to accept the claim of force majeure and allow an extension to the reporting deadline is solely within the discretion of the Administrator.
 - (5) In any circumstance, the reporting must occur as soon as possible after the force majeure event occurs.
- (j) Any records required to be maintained by this subpart that are submitted electronically via the EPA's CEDRI may be maintained in electronic format. This ability to maintain electronic copies does not affect the requirement for facilities to make records, data, and reports available upon request to a delegated air agency or the EPA as part of an on-site compliance evaluation.

[71 FR 39172, July 11, 2006, as amended at 78 FR 6696, Jan. 30, 2013; 81 FR 44219, July 7, 2016; 87 FR 48606, Aug. 10, 2022; 89 FR 70512, Aug. 30, 2024]

SPECIAL REQUIREMENTS

§ 60.4215 What requirements must I meet for engines used in Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands?

- (a) Stationary CI ICE with a displacement of less than 30 liters per cylinder that are used in Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands are required to meet the applicable emission standards in §§ 60.4202 and 60.4205.
- (b) Stationary CI ICE that are used in Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands are not required to meet the fuel requirements in § 60.4207.
- (c) Stationary CI ICE with a displacement of greater than or equal to 30 liters per cylinder that are used in Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands are required to meet the following emission standards:
 - (1) For engines installed prior to January 1, 2012, limit the emissions of NO_x in the stationary CI internal combustion engine exhaust to the following:
 - (i) 17.0 g/KW-hr (12.7 g/HP-hr) when maximum engine speed is less than 130 rpm;
 - (ii) $45 \cdot n^{-0.2}$ g/KW-hr ($34 \cdot n^{-0.2}$ g/HP-hr) when maximum engine speed is 130 or more but less than 2,000 rpm, where n is maximum engine speed; and
 - (iii) 9.8 g/KW-hr (7.3 g/HP-hr) when maximum engine speed is 2,000 rpm or more.
 - (2) For engines installed on or after January 1, 2012, limit the emissions of NO_x in the stationary CI internal combustion engine exhaust to the following:
 - (i) 14.4 g/KW-hr (10.7 g/HP-hr) when maximum engine speed is less than 130 rpm;
 - (ii) $44 \cdot n^{-0.23}$ g/KW-hr ($33 \cdot n^{-0.23}$ g/HP-hr) when maximum engine speed is greater than or equal to 130 but less than 2,000 rpm and where n is maximum engine speed; and
 - (iii) 7.7 g/KW-hr (5.7 g/HP-hr) when maximum engine speed is greater than or equal to 2,000 rpm.
 - (3) Limit the emissions of PM in the stationary CI internal combustion engine exhaust to 0.40 g/KW-hr (0.30 g/HP-hr).

[71 FR 39172, July 11, 2006, as amended at 76 FR 37971, June 28, 2011]

§ 60.4216 What requirements must I meet for engines used in Alaska?

- (a) Prior to December 1, 2010, owners and operators of stationary CI ICE with a displacement of less than 30 liters per cylinder located in areas of Alaska not accessible by the FAHS should refer to 40 CFR part 69 to determine the diesel fuel requirements applicable to such engines.
- (b) Except as indicated in paragraph (c) of this section, manufacturers, owners and operators of stationary CI ICE with a displacement of less than 10 liters per cylinder located in remote areas of Alaska may meet the requirements of this subpart by manufacturing and installing engines meeting the Tier 2 or Tier 3 emission standards described in 40 CFR part 1042 for the same model year, displacement, and maximum engine power, as appropriate, rather than the otherwise applicable requirements of 40 CFR part 1039, as indicated in §§ 60.4201(f) and 60.4202(g).

- (c) Manufacturers, owners, and operators of stationary CI ICE that are located in remote areas of Alaska may choose to meet the applicable emission standards for emergency engines in §§ 60.4202 and 60.4205, and not those for non-emergency engines in §§ 60.4201 and 60.4204, except that for 2014 model year and later nonemergency CI ICE, the owner or operator of any such engine must have that engine certified as meeting at least the Tier 3 PM standards identified in appendix I of 40 CFR part 1039 or in 40 CFR 1042.101.
- (d) The provisions of § 60.4207 do not apply to owners and operators of pre-2014 model year stationary CI ICE subject to this subpart that are located in remote areas of Alaska.
- (e) The provisions of § 60.4208(a) do not apply to owners and operators of stationary CI ICE subject to this subpart that are located in areas of Alaska not accessible by the FAHS until after December 31, 2009.
- (f) The provisions of this section and § 60.4207 do not prevent owners and operators of stationary CI ICE subject to this subpart that are located in remote areas of Alaska from using fuels mixed with used lubricating oil, in volumes of up to 1.75 percent of the total fuel. The sulfur content of the used lubricating oil must be less than 200 parts per million. The used lubricating oil must meet the on-specification levels and properties for used oil in 40 CFR 279.11.

[76 FR 37971, June 28, 2011, as amended at 81 FR 44219, July 7, 2016; 86 FR 34359, June 29, 2021]

§ 60.4217 What emission standards must I meet if I am an owner or operator of a stationary internal combustion engine using special fuels?

Owners and operators of stationary CI ICE that do not use diesel fuel may petition the Administrator for approval of alternative emission standards, if they can demonstrate that they use a fuel that is not the fuel on which the manufacturer of the engine certified the engine and that the engine cannot meet the applicable standards required in § 60.4204 or § 60.4205 using such fuels and that use of such fuel is appropriate and reasonably necessary, considering cost, energy, technical feasibility, human health and environmental, and other factors, for the operation of the engine.

[76 FR 37972, June 28, 2011]

GENERAL PROVISIONS

§ 60.4218 What General Provisions and confidential information provisions apply to me?

- (a) Table 8 to this subpart shows which parts of the General Provisions in §§ 60.1 through 60.19 apply to you.
- (b) The provisions of 40 CFR 1068.10 and 1068.11 apply for engine manufacturers. For others, the general confidential business information (CBI) provisions apply as described in 40 CFR part 2.

[88 FR 4471, Jan. 24, 2023]

DEFINITIONS

§ 60.4219 What definitions apply to this subpart?

As used in this subpart, all terms not defined herein shall have the meaning given them in the CAA and in subpart A of this part.

Alaska Railbelt Grid means the service areas of the six regulated public utilities that extend from Fairbanks to Anchorage and the Kenai Peninsula. These utilities are Golden Valley Electric Association; Chugach Electric Association; Matanuska Electric Association; Homer Electric Association; Anchorage Municipal Light & Power; and the City of Seward Electric System.

Certified emissions life means the period during which the engine is designed to properly function in terms of reliability and fuel consumption, without being remanufactured, specified as a number of hours of operation or calendar years, whichever comes first. The values for certified emissions life for stationary CI ICE with a displacement of less than 10 liters per cylinder are given in 40 CFR 1039.101(g). The values for certified emissions life for stationary CI ICE with a displacement of greater than or equal to 10 liters per cylinder and less than 30 liters per cylinder are given in 40 CFR 1042.101(e).

Combustion turbine means all equipment, including but not limited to the turbine, the fuel, air, lubrication and exhaust gas systems, control systems (except emissions control equipment), and any ancillary components and sub-components comprising any simple cycle combustion turbine, any regenerative/recuperative cycle combustion turbine, the combustion turbine portion of any cogeneration cycle combustion system, or the combustion turbine portion of any combined cycle steam/electric generating system.

Compression ignition means relating to a type of stationary internal combustion engine that is not a spark ignition engine.

Date of manufacture means one of the following things:

- (1) For freshly manufactured engines and modified engines, date of manufacture means the date the engine is originally produced.
- (2) For reconstructed engines, date of manufacture means the date the engine was originally produced, except as specified in paragraph (3) of this definition.
- (3) Reconstructed engines are assigned a new date of manufacture if the fixed capital cost of the new and refurbished components exceeds 75 percent of the fixed capital cost of a comparable entirely new facility. An engine that is produced from a previously used engine block does not retain the date of manufacture of the engine in which the engine block was previously used if the engine is produced using all new components except for the engine block. In these cases, the date of manufacture is the date of reconstruction or the date the new engine is produced.

Diesel fuel means any liquid obtained from the distillation of petroleum with a boiling point of approximately 150 to 360 degrees Celsius. One commonly used form is number 2 distillate oil.

Diesel particulate filter means an emission control technology that reduces PM emissions by trapping the particles in a flow filter substrate and periodically removes the collected particles by either physical action or by oxidizing (burning off) the particles in a process called regeneration.

Emergency stationary internal combustion engine means any stationary reciprocating internal combustion engine that meets all of the criteria in paragraphs (1) through (3) of this definition. All emergency stationary ICE must comply with the requirements specified in § 60.4211(f) in order to be considered emergency stationary ICE. If the engine does not comply with the requirements specified in § 60.4211(f), then it is not considered to be an emergency stationary ICE under this subpart.

- (1) The stationary ICE is operated to provide electrical power or mechanical work during an emergency situation. Examples include stationary ICE used to produce power for critical networks or equipment (including power supplied to portions of a facility) when electric power from the local utility (or the normal power source, if the facility runs on its own power production) is interrupted, or stationary ICE used to pump water in the case of fire or flood, etc.
- (2) The stationary ICE is operated under limited circumstances for situations not included in paragraph (1) of this definition, as specified in § 60.4211(f).
- (3) The stationary ICE operates as part of a financial arrangement with another entity in situations not included in paragraph (1) of this definition only as allowed in § 60.4211(f)(3)(i).

Engine manufacturer means the manufacturer of the engine. See the definition of "manufacturer" in this section.

Fire pump engine means an emergency stationary internal combustion engine certified to NFPA requirements that is used to provide power to pump water for fire suppression or protection.

Freshly manufactured engine means an engine that has not been placed into service. An engine becomes freshly manufactured when it is originally produced.

Installed means the engine is placed and secured at the location where it is intended to be operated.

Manufacturer has the meaning given in section 216(1) of the Act. In general, this term includes any person who manufactures a stationary engine for sale in the United States or otherwise introduces a new stationary engine into commerce in the United States. This includes importers who import stationary engines for sale or resale.

Maximum engine power means maximum engine power as defined in 40 CFR 1039.801.

Model year means the calendar year in which an engine is manufactured (see "date of manufacture"), except as follows:

- (1) Model year means the annual new model production period of the engine manufacturer in which an engine is manufactured (see "date of manufacture"), if the annual new model production period is different than the calendar year and includes January 1 of the calendar year for which the model year is named. It may not begin before January 2 of the previous calendar year and it must end by December 31 of the named calendar year.
- (2) For an engine that is converted to a stationary engine after being placed into service as a nonroad or other non-stationary engine, model year means the calendar year or new model production period in which the engine was manufactured (see "date of manufacture").

Other internal combustion engine means any internal combustion engine, except combustion turbines, which is not a reciprocating internal combustion engine or rotary internal combustion engine.

Reciprocating internal combustion engine means any internal combustion engine which uses reciprocating motion to convert heat energy into mechanical work.

Remote areas of Alaska means areas of Alaska that meet either paragraph (1) or (2) of this definition.

- (1) Areas of Alaska that are not accessible by the Federal Aid Highway System (FAHS).
- (2) Areas of Alaska that meet all of the following criteria:

- (i) The only connection to the FAHS is through the Alaska Marine Highway System, or the stationary CI ICE operation is within an isolated grid in Alaska that is not connected to the statewide electrical grid referred to as the Alaska Railbelt Grid.
- (ii) At least 10 percent of the power generated by the stationary CI ICE on an annual basis is used for residential purposes.
- (iii) The generating capacity of the source is less than 12 megawatts, or the stationary CI ICE is used exclusively for backup power for renewable energy.

Rotary internal combustion engine means any internal combustion engine which uses rotary motion to convert heat energy into mechanical work.

Spark ignition means relating to a gasoline, natural gas, or liquefied petroleum gas fueled engine or any other type of engine with a spark plug (or other sparking device) and with operating characteristics significantly similar to the theoretical Otto combustion cycle. Spark ignition engines usually use a throttle to regulate intake air flow to control power during normal operation. Dual-fuel engines in which a liquid fuel (typically diesel fuel) is used for CI and gaseous fuel (typically natural gas) is used as the primary fuel at an annual average ratio of less than 2 parts diesel fuel to 100 parts total fuel on an energy equivalent basis are spark ignition engines.

Stationary internal combustion engine means any internal combustion engine, except combustion turbines, that converts heat energy into mechanical work and is not mobile. Stationary ICE differ from mobile ICE in that a stationary internal combustion engine is not a nonroad engine as defined at 40 CFR 1068.30 (excluding paragraph (2)(ii) of that definition), and is not used to propel a motor vehicle, aircraft, or a vehicle used solely for competition. Stationary ICE include reciprocating ICE, rotary ICE, and other ICE, except combustion turbines.

Subpart means 40 CFR part 60, subpart IIII.

[71 FR 39172, July 11, 2006, as amended at 76 FR 37972, June 28, 2011; 78 FR 6696, Jan. 30, 2013; 81 FR 44219, July 7, 2016; 86 FR 34360, June 29, 2021; 87 FR 48606, Aug. 10, 2022]

Table 1 to Subpart IIII of Part 60—Emission Standards for Stationary Pre-2007 Model Year Engines With a Displacement of <10 Liters per Cylinder and 2007-2010 Model Year Engines >2,237 KW (3,000 HP) and With a Displacement of <10 Liters per Cylinder

[AS STATED IN §§ 60.4201(B), 60.4202(B), 60.4204(A), AND 60.4205(A), YOU MUST COMPLY WITH THE FOLLOWING EMISSION STANDARDS]

Maximum engine power	Emission standards for stationary pre-2007 model year engines with a displacement of <10 liters per cylinder and 2007-2010 model year engines >2,237 KW (3,000 HP) and with a displacement of <10 liters per cylinder in g/KW-hr (g/HP-hr)				
	NMHC + NO _x	HC	NO _x	CO	PM
KW<8	10.5 (7.8)			8.0 (6.0)	1.0 (0.75)

Maximum engine power	Emission standards for stationary pre-2007 model year engines with a displacement of <10 liters per cylinder and 2007-2010 model year engines >2,237 KW (3,000 HP) and with a displacement of <10 liters per cylinder in g/KW-hr (g/HP-hr)				
	NMHC + NO _x	HC	NO _x	CO	PM
(HP<11)					
8≤KW<19 (11≤HP<25)	9.5 (7.1)			6.6 (4.9)	0.80 (0.60)
19≤KW<37 (25≤HP<50)	9.5 (7.1)			5.5 (4.1)	0.80 (0.60)
37≤KW<56 (50≤HP<75)			9.2 (6.9)		
56≤KW<75 (75≤HP<100)			9.2 (6.9)		
75≤KW<130 (100≤HP<175)			9.2 (6.9)		
130≤KW<225 (175≤HP<300)		1.3 (1.0)	9.2 (6.9)	11.4 (8.5)	0.54 (0.40)
225≤KW<450 (300≤HP<600)		1.3 (1.0)	9.2 (6.9)	11.4 (8.5)	0.54 (0.40)
450≤KW≤560 (600≤HP≤750)		1.3 (1.0)	9.2 (6.9)	11.4 (8.5)	0.54 (0.40)
KW>560 (HP>750)		1.3 (1.0)	9.2 (6.9)	11.4 (8.5)	0.54 (0.40)

Table 2 to Subpart IIII of Part 60—Emission Standards for 2008 Model Year and Later Emergency Stationary CI ICE <37 KW (50 HP) With a Displacement of <10 Liters per Cylinder

[AS STATED IN § 60.4202(A)(1), YOU MUST COMPLY WITH THE FOLLOWING EMISSION STANDARDS]

Engine power	Emission standards for 2008 model year and later emergency stationary CI ICE <37 KW (50 HP) with a displacement of <10 liters per cylinder in g/KW-hr (g/HP-hr)			
	Model year(s)	NO _x + NMHC	CO	PM
KW<8 (HP<11)	2008 +	7.5 (5.6)	8.0 (6.0)	0.40 (0.30)
8≤KW<19 (11≤HP<25)	2008 +	7.5 (5.6)	6.6 (4.9)	0.40 (0.30)

Engine power	Emission standards for 2008 model year and later emergency stationary CI ICE <37 KW (50 HP) with a displacement of <10 liters per cylinder in g/KW-hr (g/HP-hr)			
	Model year(s)	NO _x + NMHC	CO	PM
19≤KW<37 (25≤HP<50)	2008 +	7.5 (5.6)	5.5 (4.1)	0.30 (0.22)

Table 3 to Subpart IIII of Part 60—Certification Requirements for Stationary Fire Pump Engines

AS STATED IN § 60.4202(d), YOU MUST CERTIFY NEW STATIONARY FIRE PUMP ENGINES BEGINNING WITH THE FOLLOWING MODEL YEARS:

Engine power	Starting model year engine manufacturers must certify new stationary fire pump engines according to § 60.4202(d) ¹
KW<75 (HP<100)	2011
75≤KW<130 (100≤HP<175)	2010
130≤KW≤560 (175≤HP≤750)	2009
KW>560 (HP>750)	2008

¹ Manufacturers of fire pump stationary CI ICE with a maximum engine power greater than or equal to 37 kW (50 HP) and less than 450 KW (600 HP) and a rated speed of greater than 2,650 revolutions per minute (rpm) are not required to certify such engines until three model years following the model year indicated in this Table 3 for engines in the applicable engine power category.

[71 FR 39172, July 11, 2006, as amended at 76 FR 37972, June 28, 2011]

Table 4 to Subpart IIII of Part 60—Emission Standards for Stationary Fire Pump Engines

[AS STATED IN §§ 60.4202(D) AND 60.4205(C), YOU MUST COMPLY WITH THE FOLLOWING EMISSION STANDARDS FOR STATIONARY FIRE PUMP ENGINES]

Maximum engine power	Model year(s)	Emission standards for stationary fire pump engines in g/KW-hr (g/HP-hr)		
		NMHC + NO _x	CO	PM
KW<8 (HP<11)	2010 and earlier	10.5 (7.8)	8.0 (6.0)	1.0 (0.75)
KW<8 (HP<11)	2011 +	7.5 (5.6)	8.0 (6.0)	0.40 (0.30)
8≤KW<19 (11≤HP<25)	2010 and earlier	9.5 (7.1)	6.6 (4.9)	0.80 (0.60)
8≤KW<19 (11≤HP<25)	2011 +	7.5 (5.6)	6.6 (4.9)	0.40 (0.30)
19≤KW<37 (25≤HP<50)	2010 and earlier	9.5 (7.1)	5.5 (4.1)	0.80 (0.60)
19≤KW<37 (25≤HP<50)	2011 +	7.5 (5.6)	5.5 (4.1)	0.30 (0.22)
37≤KW<56 (50≤HP<75)	2010 and earlier	10.5 (7.8)	5.0 (3.7)	0.80 (0.60)
37≤KW<56 (50≤HP<75)	2011 + ¹	4.7 (3.5)	5.0 (3.7)	0.40 (0.30)
56≤KW<75 (75≤HP<100)	2010 and earlier	10.5 (7.8)	5.0 (3.7)	0.80 (0.60)
56≤KW<75 (75≤HP<100)	2011 + ¹	4.7 (3.5)	5.0 (3.7)	0.40 (0.30)
75≤KW<130 (100≤HP<175)	2009 and earlier	10.5 (7.8)	5.0 (3.7)	0.80 (0.60)
75≤KW<130 (100≤HP<175)	2010 + ²	4.0 (3.0)	5.0 (3.7)	0.30 (0.22)
130≤KW<225 (175≤HP<300)	2008 and earlier	10.5 (7.8)	3.5 (2.6)	0.54 (0.40)
130≤KW<225 (175≤HP<300)	2009 + ³	4.0 (3.0)	3.5 (2.6)	0.20 (0.15)
225≤KW<450	2008 and	10.5 (7.8)	3.5 (2.6)	0.54 (0.40)

¹ For model years 2011-2013, manufacturers, owners and operators of fire pump stationary CI ICE in this engine power category with a rated speed of greater than 2,650 revolutions per minute (rpm) may comply with the emission limitations for 2010 model year engines.

² For model years 2010-2012, manufacturers, owners and operators of fire pump stationary CI ICE in this engine power category with a rated speed of greater than 2,650 rpm may comply with the emission limitations for 2009 model year engines.

³ In model years 2009-2011, manufacturers of fire pump stationary CI ICE in this engine power category with a rated speed of greater than 2,650 rpm may comply with the emission limitations for 2008 model year engines.

Maximum engine power	Model year(s)	Emission standards for stationary fire pump engines in g/KW-hr (g/HP-hr)		
		NMHC + NO _x	CO	PM
(300≤HP<600) 225≤KW<450 (300≤HP<600) 450≤KW≤560 (600≤HP≤750) 450≤KW≤560 (600≤HP≤750) KW>560 (HP>750) KW>560 (HP>750)	earlier 2009 + ³ 2008 and earlier 2009 + 2007 and earlier 2008 +	 4.0 (3.0) 10.5 (7.8) 4.0 (3.0) 10.5 (7.8) 6.4 (4.8)	 3.5 (2.6) 3.5 (2.6) 3.5 (2.6) 3.5 (2.6) 3.5 (2.6)	 0.20 (0.15) 0.54 (0.40) 0.20 (0.15) 0.54 (0.40) 0.20 (0.15)

¹ For model years 2011-2013, manufacturers, owners and operators of fire pump stationary CI ICE in this engine power category with a rated speed of greater than 2,650 revolutions per minute (rpm) may comply with the emission limitations for 2010 model year engines.

² For model years 2010-2012, manufacturers, owners and operators of fire pump stationary CI ICE in this engine power category with a rated speed of greater than 2,650 rpm may comply with the emission limitations for 2009 model year engines.

³ In model years 2009-2011, manufacturers of fire pump stationary CI ICE in this engine power category with a rated speed of greater than 2,650 rpm may comply with the emission limitations for 2008 model year engines.

[89 FR 70513, Aug. 30, 2024]

Table 5 to Subpart IIII of Part 60—Labeling and Recordkeeping Requirements for New Stationary Emergency Engines

[YOU MUST COMPLY WITH THE LABELING REQUIREMENTS IN § 60.4210(F) AND THE RECORDKEEPING REQUIREMENTS IN § 60.4214(B) FOR NEW EMERGENCY STATIONARY CI ICE BEGINNING IN THE FOLLOWING MODEL YEARS:]

Engine power	Starting model year
19≤KW<56 (25≤HP<75)	2013
56≤KW<130 (75≤HP<175)	2012
KW≥130 (HP≥175)	2011

Table 6 to Subpart IIII of Part 60—Optional 3-Mode Test Cycle for Stationary Fire Pump Engines

[AS STATED IN § 60.4210(G), MANUFACTURERS OF FIRE PUMP ENGINES MAY USE THE FOLLOWING TEST CYCLE FOR TESTING FIRE PUMP ENGINES:]

Mode No.	Engine speed ¹	Torque (percent) ²	Weighting factors
1	Rated	100	0.30
2	Rated	75	0.50
3	Rated	50	0.20

¹ Engine speed: ± 2 percent of point.

² Torque: NFPA certified nameplate HP for 100 percent point. All points should be ± 2 percent of engine percent load value.

Table 7 to Subpart IIII of Part 60—Requirements for Performance Tests for Stationary CI ICE With a Displacement of ≥ 30 Liters per Cylinder

As stated in § 60.4213, you must comply with the following requirements for performance tests for stationary CI ICE with a displacement of ≥ 30 liters per cylinder:

Each	Complying with the requirement to	You must	Using	According to the following requirements
1. Stationary CI internal combustion engine with a displacement of ≥ 30 liters per cylinder	a. Reduce NO _x emissions by 90 percent or more;	i. Select the sampling port location and number/location of traverse points at the inlet and outlet of the control device;		(a) For NO _x , O ₂ , and moisture measurement, ducts ≤ 6 inches in diameter may be sampled at a single point located at the duct centroid and ducts > 6 and ≤ 12 inches in diameter may be sampled at 3 traverse points located at 16.7, 50.0, and 83.3% of the measurement line ('3-point long line'). If the duct is > 12 inches in diameter and the sampling port location meets the two and half-diameter criterion of Section 11.1.1 of Method 1 of 40 CFR part 60, appendix A-1, the duct may be sampled at '3-point long line'; otherwise, conduct the stratification

Each	Complying with the requirement to	You must	Using	According to the following requirements
		<p>ii. Measure O₂ at the inlet and outlet of the control device;</p> <p>iii. If necessary, measure moisture content at the inlet and outlet of the control device; and</p> <p>iv. Measure NO_x at the inlet and outlet of the control device.</p>	<p>(1) Method 3, 3A, or 3B of 40 CFR part 60, appendix A-2</p> <p>(2) Method 4 of 40 CFR part 60, appendix A-3, Method 320 of 40 CFR part 63, appendix A, or ASTM D 6348-03 (incorporated by reference, see § 60.17)</p> <p>(3) Method 7E of 40 CFR part 60, appendix A-4, Method 320 of 40 CFR part 63, appendix A, or ASTM D 6348-03 (incorporated by reference, see § 60.17)</p>	<p>testing and select sampling points according to Section 8.1.2 of Method 7E of 40 CFR part 60, appendix A-4.</p> <p>(b) Measurements to determine O₂ concentration must be made at the same time as the measurements for NO_x concentration.</p> <p>(c) Measurements to determine moisture content must be made at the same time as the measurements for NO_x concentration.</p> <p>(d) NO_x concentration must be at 15 percent O₂, dry basis. Results of this test consist of the average of the three 1-hour or longer runs.</p> <p>(a) For NO_x, O₂, and moisture measurement, ducts ≤6 inches in diameter may be sampled at a single point located at the duct centroid and ducts >6 and ≤12 inches in diameter may be sampled at 3</p>
	b. Limit the concentration of NO _x in the stationary CI internal combustion	i. Select the sampling port location and number/location of traverse		

Each	Complying with the requirement to	You must	Using	According to the following requirements
	engine exhaust.	<p>points at the exhaust of the stationary internal combustion engine;</p> <p>ii. Determine the O₂ concentration of the stationary internal combustion engine exhaust at the sampling port location;</p> <p>iii. If necessary, measure moisture content of the stationary internal combustion engine exhaust at the sampling port location; and</p> <p>iv. Measure NO_x at the exhaust of</p>	<p>(1) Method 3, 3A, or 3B of 40 CFR part 60, appendix A-2</p> <p>(2) Method 4 of 40 CFR part 60, appendix A-3, Method 320 of 40 CFR part 63, appendix A, or ASTM D 6348-03 (incorporated by reference, see § 60.17)</p> <p>(3) Method 7E of 40 CFR part 60,</p>	<p>traverse points located at 16.7, 50.0, and 83.3% of the measurement line ('3-point long line'). If the duct is >12 inches in diameter <i>and</i> the sampling port location meets the two and half-diameter criterion of Section 11.1.1 of Method 1 of 40 CFR part 60, appendix A-1, the duct may be sampled at '3-point long line'; otherwise, conduct the stratification testing and select sampling points according to Section 8.1.2 of Method 7E of 40 CFR part 60, appendix A-4.</p> <p>(b) Measurements to determine O₂ concentration must be made at the same time as the measurement for NO_x concentration.</p> <p>(c) Measurements to determine moisture content must be made at the same time as the measurement for NO_x concentration.</p> <p>(d) NO_x concentration must be at 15 percent O₂, dry basis. Results of this test consist of the average of the</p>

Each	Complying with the requirement to	You must	Using	According to the following requirements
		the stationary internal combustion engine; if using a control device, the sampling site must be located at the outlet of the control device.	appendix A-4, Method 320 of 40 CFR part 63, appendix A, or ASTM D 6348-03 (incorporated by reference, see § 60.17)	three 1-hour or longer runs.
	c. Reduce PM emissions by 60 percent or more	i. Select the sampling port location and the number of traverse points; ii. Measure O ₂ at the inlet and outlet of the control device; iii. If necessary, measure moisture content at the inlet and outlet of the control device; and iv. Measure PM at the inlet and outlet of the control device.	(1) Method 1 or 1A of 40 CFR part 60, appendix A-1 (2) Method 3, 3A, or 3B of 40 CFR part 60, appendix A-2 (3) Method 4 of 40 CFR part 60, appendix A-3 (4) Method 5 of 40 CFR part 60, appendix A-3	(a) Sampling sites must be located at the inlet and outlet of the control device. (b) Measurements to determine O ₂ concentration must be made at the same time as the measurements for PM concentration. (c) Measurements to determine and moisture content must be made at the same time as the measurements for PM concentration. (d) PM concentration must be at 15 percent O ₂ , dry basis. Results of this test consist of the average of the three 1-hour or longer runs.
	d. Limit the	i. Select the	(1) Method 1	(a) If using a control device, the

Each	Complying with the requirement to	You must	Using	According to the following requirements
	concentration of PM in the stationary CI internal combustion engine exhaust	<p>sampling port location and the number of traverse points;</p> <p>ii. Determine the O₂ concentration of the stationary internal combustion engine exhaust at the sampling port location;</p> <p>iii. If necessary, measure moisture content of the stationary internal combustion engine exhaust at the sampling port location; and</p> <p>iv. Measure PM at the exhaust of the stationary internal combustion engine.</p>	<p>or 1A of 40 CFR part 60, appendix A-1</p> <p>(2) Method 3, 3A, or 3B of 40 CFR part 60, appendix A-2</p> <p>(3) Method 4 of 40 CFR part 60, appendix A-3</p> <p>(4) Method 5 of 40 CFR part 60, appendix A-3</p>	<p>sampling site must be located at the outlet of the control device.</p> <p>(b) Measurements to determine O₂ concentration must be made at the same time as the measurements for PM concentration.</p> <p>(c) Measurements to determine moisture content must be made at the same time as the measurements for PM concentration.</p> <p>(d) PM concentration must be at 15 percent O₂, dry basis. Results of this test consist of the average of the three 1-hour or longer runs.</p>

[79 FR 11251, Feb. 27, 2014]

Table 8 to Subpart IIII of Part 60—Applicability of General Provisions to Subpart IIII

[AS STATED IN § 60.4218, YOU MUST COMPLY WITH THE FOLLOWING APPLICABLE GENERAL PROVISIONS:]

General Provisions citation	Subject of citation	Applies to subpart	Explanation
§ 60.1	General applicability of the General Provisions	Yes	Additional terms defined in § 60.4219.
§ 60.2	Definitions	Yes	
§ 60.3	Units and abbreviations	Yes	
§ 60.4	Address	Yes	
§ 60.5	Determination of construction or modification	Yes	
§ 60.6	Review of plans	Yes	Except that § 60.7 only applies as specified in § 60.4214(a).
§ 60.7	Notification and Recordkeeping	Yes	
§ 60.8	Performance tests	Yes	Except that § 60.8 only applies to stationary CI ICE with a displacement of (≥30 liters per cylinder and engines that are not certified.
§ 60.9	Availability of information	Yes	Requirements are specified in subpart IIII.
§ 60.10	State Authority	Yes	
§ 60.11	Compliance with standards and maintenance requirements	No	
§ 60.12	Circumvention	Yes	Except that § 60.13 only applies to stationary CI ICE with a displacement of (≥30 liters per cylinder.
§ 60.13	Monitoring requirements	Yes	
§ 60.14	Modification	Yes	
§ 60.15	Reconstruction	Yes	
§ 60.16	Priority list	Yes	
§ 60.17	Incorporations by reference	Yes	No
§ 60.18	General control device requirements	No	
§ 60.19	General notification and reporting	Yes	

General Provisions citation	Subject of citation	Applies to subpart	Explanation
	requirements		

Appendix D

40 C.F.R. § 60 Subpart JJJ—*Standards of Performance for Stationary Spark Ignition Internal Combustion Engines*

This content is from the eCFR and is authoritative but unofficial.

Title 40 —Protection of Environment

Chapter I —Environmental Protection Agency

Subchapter C —Air Programs

Part 60 —Standards of Performance for New Stationary Sources

Authority: 42 U.S.C. 7401 et seq.

Source: 36 FR 24877, Dec. 23, 1971, unless otherwise noted.

Subpart JJJJ Standards of Performance for Stationary Spark Ignition Internal Combustion Engines

What This Subpart Covers

§ 60.4230 Am I subject to this subpart?

Emission Standards for Manufacturers

§ 60.4231 What emission standards must I meet if I am a manufacturer of stationary SI internal combustion engines or equipment containing such engines?

§ 60.4232 How long must my engines meet the emission standards if I am a manufacturer of stationary SI internal combustion engines?

Emission Standards for Owners and Operators

§ 60.4233 What emission standards must I meet if I am an owner or operator of a stationary SI internal combustion engine?

§ 60.4234 How long must I meet the emission standards if I am an owner or operator of a stationary SI internal combustion engine?

Other Requirements for Owners and Operators

§ 60.4235 What fuel requirements must I meet if I am an owner or operator of a stationary SI gasoline fired internal combustion engine subject to this subpart?

§ 60.4236 What is the deadline for importing or installing stationary SI ICE produced in previous model years?

§ 60.4237 What are the monitoring requirements if I am an owner or operator of an emergency stationary SI internal combustion engine?

Compliance Requirements for Manufacturers

§ 60.4238 What are my compliance requirements if I am a manufacturer of stationary SI internal combustion engines ≤19 KW (25 HP) or a manufacturer of equipment containing such engines?

§ 60.4239 What are my compliance requirements if I am a manufacturer of stationary SI internal combustion engines >19 KW (25 HP) that use gasoline or a manufacturer of equipment containing such engines?

§ 60.4240 What are my compliance requirements if I am a manufacturer of stationary SI internal combustion engines >19 KW (25 HP) that are rich burn engines that use LPG or a manufacturer of equipment containing such engines?

§ 60.4241 What are my compliance requirements if I am a manufacturer of stationary SI internal combustion engines participating in the voluntary certification program or a manufacturer of equipment containing such engines?

§ 60.4242 What other requirements must I meet if I am a manufacturer of stationary SI internal combustion engines or equipment containing stationary SI internal combustion engines or a manufacturer of equipment containing such engines?

Compliance Requirements for Owners and Operators

§ 60.4243 What are my compliance requirements if I am an owner or operator of a stationary SI internal combustion engine?

Testing Requirements for Owners and Operators

§ 60.4244 What test methods and other procedures must I use if I am an owner or operator of a stationary SI internal combustion engine?

Notification, Reports, and Records for Owners and Operators

§ 60.4245 What are my notification, reporting, and recordkeeping requirements if I am an owner or operator of a stationary SI internal combustion engine?

General Provisions

§ 60.4246 What General Provisions and confidential information provisions apply to me?

Mobile Source Provisions

§ 60.4247 What parts of the mobile source provisions apply to me if I am a manufacturer of stationary SI internal combustion engines or a manufacturer of equipment containing such engines?

Definitions

§ 60.4248 What definitions apply to this subpart?

Table 1 to Subpart JJJJ of Part 60

NO_x, CO, and VOC Emission Standards for Stationary Non-Emergency SI Engines ≥100 HP (Except Gasoline and Rich Burn LPG), Stationary SI Landfill/Digester Gas Engines, and Stationary Emergency Engines >25 HP

Table 2 to Subpart JJJJ of Part 60

Requirements for Performance Tests

Table 3 to Subpart JJJJ of Part 60

Applicability of General Provisions to Subpart JJJJ

Table 4 to Subpart JJJJ of Part 60

Applicability of Mobile Source Provisions for Manufacturers Participating in the Voluntary Certification Program and Certifying Stationary SI ICE to Emission Standards in Table 1 of Subpart JJJJ

Subpart JJJJ—Standards of Performance for Stationary Spark Ignition Internal Combustion Engines

Source: 73 FR 3591, Jan. 18, 2008, unless otherwise noted.

WHAT THIS SUBPART COVERS

§ 60.4230 Am I subject to this subpart?

- (a) The provisions of this subpart are applicable to manufacturers, owners, and operators of stationary spark ignition (SI) internal combustion engines (ICE) as specified in paragraphs (a)(1) through (6) of this section. For the purposes of this subpart, the date that construction commences is the date the engine is ordered by the owner or operator.
 - (1) Manufacturers of stationary SI ICE with a maximum engine power less than or equal to 19 kilowatt (KW) (25 horsepower (HP)) that are manufactured on or after July 1, 2008.
 - (2) Manufacturers of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) that are gasoline fueled or that are rich burn engines fueled by liquefied petroleum gas (LPG), where the date of manufacture is:
 - (i) On or after July 1, 2008; or
 - (ii) On or after January 1, 2009, for emergency engines.
 - (3) Manufacturers of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) that are not gasoline fueled and are not rich burn engines fueled by LPG, where the manufacturer participates in the voluntary manufacturer certification program described in this subpart and where the date of manufacture is:
 - (i) On or after July 1, 2007, for engines with a maximum engine power greater than or equal to 500 HP (except lean burn engines with a maximum engine power greater than or equal to 500 HP and less than 1,350 HP);
 - (ii) On or after January 1, 2008, for lean burn engines with a maximum engine power greater than or equal to 500 HP and less than 1,350 HP;
 - (iii) On or after July 1, 2008, for engines with a maximum engine power less than 500 HP; or
 - (iv) On or after January 1, 2009, for emergency engines.
 - (4) Owners and operators of stationary SI ICE that commence construction after June 12, 2006, where the stationary SI ICE are manufactured:
 - (i) On or after July 1, 2007, for engines with a maximum engine power greater than or equal to 500 HP (except lean burn engines with a maximum engine power greater than or equal to 500 HP and less than 1,350 HP);
 - (ii) on or after January 1, 2008, for lean burn engines with a maximum engine power greater than or equal to 500 HP and less than 1,350 HP;
 - (iii) on or after July 1, 2008, for engines with a maximum engine power less than 500 HP; or

- (iv) on or after January 1, 2009, for emergency engines with a maximum engine power greater than 19 KW (25 HP).
- (5) Owners and operators of stationary SI ICE that are modified or reconstructed after June 12, 2006, and any person that modifies or reconstructs any stationary SI ICE after June 12, 2006.
- (6) The provisions of § 60.4236 of this subpart are applicable to all owners and operators of stationary SI ICE that commence construction after June 12, 2006.
- (b) The provisions of this subpart are not applicable to stationary SI ICE being tested at an engine test cell/stand.
- (c) If you are an owner or operator of an area source subject to this subpart, you are exempt from the obligation to obtain a permit under 40 CFR part 70 or 40 CFR part 71, provided you are not required to obtain a permit under 40 CFR 70.3(a) or 40 CFR 71.3(a) for a reason other than your status as an area source under this subpart. Notwithstanding the previous sentence, you must continue to comply with the provisions of this subpart as applicable.
- (d) For the purposes of this subpart, stationary SI ICE using alcohol-based fuels are considered gasoline engines.
- (e) Stationary SI ICE may be eligible for exemption from the requirements of this subpart as described in 40 CFR part 1068, subpart C (or the exemptions described in 40 CFR parts 1048 and 1054, for engines that would need to be certified to standards in those parts), except that owners and operators, as well as manufacturers, may be eligible to request an exemption for national security.
- (f) Owners and operators of facilities with internal combustion engines that are acting as temporary replacement units and that are located at a stationary source for less than 1 year and that have been properly certified as meeting the standards that would be applicable to such engine under the appropriate nonroad engine provisions, are not required to meet any other provisions under this subpart with regard to such engines.

[73 FR 3591, Jan. 18, 2008, as amended at 76 FR 37972, June 28, 2011; 86 FR 34360, June 29, 2021]

EMISSION STANDARDS FOR MANUFACTURERS

§ 60.4231 What emission standards must I meet if I am a manufacturer of stationary SI internal combustion engines or equipment containing such engines?

- (a) Stationary SI internal combustion engine manufacturers must certify their stationary SI ICE with a maximum engine power less than or equal to 19 KW (25 HP) manufactured on or after July 1, 2008 to the certification emission standards and other requirements for new nonroad SI engines in 40 CFR part 1054, as follows:

If engine displacement is . . .	and manufacturing dates are . . .	the engine must meet the following non-handheld emission standards identified in 40 CFR part 1054 and related requirements:
(1) Below 225	July 1, 2008 to	Phase 2.

If engine displacement is . . .	and manufacturing dates are . . .	the engine must meet the following non-handheld emission standards identified in 40 CFR part 1054 and related requirements:
cc	December 31, 2011	
(2) Below 225 cc	January 1, 2012 or later	Phase 3.
(3) At or above 225 cc	July 1, 2008 to December 31, 2010	Phase 2.
(4) At or above 225 cc	January 1, 2011 or later	Phase 3.

- (b) Stationary SI internal combustion engine manufacturers must certify their stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) (except emergency stationary ICE with a maximum engine power greater than 25 HP and less than 130 HP) that use gasoline and that are manufactured on or after the applicable date in § 60.4230(a)(2), or manufactured on or after the applicable date in § 60.4230(a)(4) for emergency stationary ICE with a maximum engine power greater than or equal to 130 HP, to the certification emission standards and other requirements for new nonroad SI engines in 40 CFR part 1048. Stationary SI internal combustion engine manufacturers must certify their emergency stationary SI ICE with a maximum engine power greater than 25 HP and less than 130 HP that use gasoline and that are manufactured on or after the applicable date in § 60.4230(a)(4) to the Phase 1 emission standards in 40 CFR part 1054, appendix I, applicable to class II engines, and other requirements for new nonroad SI engines in 40 CFR part 1054. Stationary SI internal combustion engine manufacturers may certify their stationary SI ICE with a maximum engine power less than or equal to 30 KW (40 HP) with a total displacement less than or equal to 1,000 cubic centimeters (cc) that use gasoline to the certification emission standards and other requirements as appropriate for new nonroad SI engines in 40 CFR part 1054.
- (c) Stationary SI internal combustion engine manufacturers must certify their stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) (except emergency stationary ICE with a maximum engine power greater than 25 HP and less than 130 HP) that are rich burn engines that use LPG and that are manufactured on or after the applicable date in § 60.4230(a)(2), or manufactured on or after the applicable date in § 60.4230(a)(4) for emergency stationary ICE with a maximum engine power greater than or equal to 130 HP, to the certification emission standards and other requirements for new nonroad SI engines in 40 CFR part 1048. Stationary SI internal combustion engine manufacturers must certify their emergency stationary SI ICE greater than 25 HP and less than 130 HP that are rich burn engines that use LPG and that are manufactured on or after the applicable date in § 60.4230(a)(4) to the Phase 1 emission standards in 40 CFR part 1054, appendix I, applicable to class II engines, and other requirements for new nonroad SI engines in 40 CFR part 1054. Stationary SI internal combustion engine manufacturers may certify their stationary SI ICE with a maximum engine power less than or equal to 30 KW (40 HP) with a total displacement less than or equal to 1,000 cc that are rich burn engines that use LPG to the certification emission standards and other requirements as appropriate for new nonroad SI engines in 40 CFR part 1054.

- (d) Stationary SI internal combustion engine manufacturers who choose to certify their stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) and less than 75 KW (100 HP) (except gasoline and rich burn engines that use LPG and emergency stationary ICE with a maximum engine power greater than 25 HP and less than 130 HP) under the voluntary manufacturer certification program described in this subpart must certify those engines to the certification emission standards for new nonroad SI engines in 40 CFR part 1048. Stationary SI internal combustion engine manufacturers who choose to certify their emergency stationary SI ICE greater than 25 HP and less than 130 HP (except gasoline and rich burn engines that use LPG), must certify those engines to the Phase 1 emission standards in 40 CFR part 1054, appendix I, applicable to class II engines, for new nonroad SI engines in 40 CFR part 1054. Stationary SI internal combustion engine manufacturers may certify their stationary SI ICE with a maximum engine power less than or equal to 30 KW (40 HP) with a total displacement less than or equal to 1,000 cc (except gasoline and rich burn engines that use LPG) to the certification emission standards and other requirements as appropriate for new nonroad SI engines in 40 CFR part 1054. For stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) and less than 75 KW (100 HP) (except gasoline and rich burn engines that use LPG and emergency stationary ICE with a maximum engine power greater than 25 HP and less than 130 HP) manufactured prior to January 1, 2011, manufacturers may choose to certify these engines to the standards in Table 1 to this subpart applicable to engines with a maximum engine power greater than or equal to 100 HP and less than 500 HP.
- (e) Stationary SI internal combustion engine manufacturers who choose to certify their stationary SI ICE with a maximum engine power greater than or equal to 75 KW (100 HP) (except gasoline and rich burn engines that use LPG) under the voluntary manufacturer certification program described in this subpart must certify those engines to the emission standards in Table 1 to this subpart. Stationary SI internal combustion engine manufacturers may certify their stationary SI ICE with a maximum engine power greater than or equal to 75 KW (100 HP) that are lean burn engines that use LPG to the certification emission standards for new nonroad SI engines in 40 CFR part 1048. For stationary SI ICE with a maximum engine power greater than or equal to 100 HP (75 KW) and less than 500 HP (373 KW) manufactured prior to January 1, 2011, and for stationary SI ICE with a maximum engine power greater than or equal to 500 HP (373 KW) manufactured prior to July 1, 2010, manufacturers may choose to certify these engines to the certification emission standards for new nonroad SI engines in 40 CFR part 1048 applicable to engines that are not severe duty engines.
- (f) Manufacturers of equipment containing stationary SI internal combustion engines meeting the provisions of 40 CFR part 1054 must meet the provisions of 40 CFR part 1060, to the extent they apply to equipment manufacturers.
- (g) Notwithstanding the requirements in paragraphs (a) through (c) of this section, stationary SI internal combustion engine manufacturers are not required to certify reconstructed engines; however manufacturers may elect to do so. The reconstructed engine must be certified to the emission standards specified in paragraphs (a) through (e) of this section that are applicable to the model year, maximum engine power and displacement of the reconstructed stationary SI ICE.

[73 FR 3591, Jan. 18, 2008, as amended at 73 FR 59175, Oct. 8, 2008; 76 FR 37973, June 28, 2011; 78 FR 6697, Jan. 30, 2013; 86 FR 34360, June 29, 2021]

§ 60.4232 How long must my engines meet the emission standards if I am a manufacturer of stationary SI internal combustion engines?

Engines manufactured by stationary SI internal combustion engine manufacturers must meet the emission standards as required in § 60.4231 during the certified emissions life of the engines.

EMISSION STANDARDS FOR OWNERS AND OPERATORS

§ 60.4233 What emission standards must I meet if I am an owner or operator of a stationary SI internal combustion engine?

- (a) Owners and operators of stationary SI ICE with a maximum engine power less than or equal to 19 KW (25 HP) manufactured on or after July 1, 2008, must comply with the emission standards in § 60.4231(a) for their stationary SI ICE.
- (b) Owners and operators of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) manufactured on or after the applicable date in § 60.4230(a)(4) that use gasoline must comply with the emission standards in § 60.4231(b) for their stationary SI ICE.
- (c) Owners and operators of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) manufactured on or after the applicable date in § 60.4230(a)(4) that are rich burn engines that use LPG must comply with the emission standards in § 60.4231(c) for their stationary SI ICE.
- (d) Owners and operators of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) and less than 75 KW (100 HP) (except gasoline and rich burn engines that use LPG) must comply with the emission standards for field testing in 40 CFR 1048.101(c) for their non-emergency stationary SI ICE and with the emission standards in Table 1 to this subpart for their emergency stationary SI ICE. Owners and operators of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) and less than 75 KW (100 HP) manufactured prior to January 1, 2011, that were certified to the standards in Table 1 to this subpart applicable to engines with a maximum engine power greater than or equal to 100 HP and less than 500 HP, may optionally choose to meet those standards.
- (e) Owners and operators of stationary SI ICE with a maximum engine power greater than or equal to 75 KW (100 HP) (except gasoline and rich burn engines that use LPG) must comply with the emission standards in Table 1 to this subpart for their stationary SI ICE. For owners and operators of stationary SI ICE with a maximum engine power greater than or equal to 100 HP (except gasoline and rich burn engines that use LPG) manufactured prior to January 1, 2011 that were certified to the certification emission standards in 40 CFR part 1048 applicable to engines that are not severe duty engines, if such stationary SI ICE was certified to a carbon monoxide (CO) standard above the standard in Table 1 to this subpart, then the owners and operators may meet the CO certification (not field testing) standard for which the engine was certified.
- (f) Owners and operators of any modified or reconstructed stationary SI ICE subject to this subpart must meet the requirements as specified in paragraphs (f)(1) through (5) of this section.
 - (1) Owners and operators of stationary SI ICE with a maximum engine power less than or equal to 19 KW (25 HP), that are modified or reconstructed after June 12, 2006, must comply with emission standards in § 60.4231(a) for their stationary SI ICE. Engines with a date of manufacture prior to July 1, 2008 must comply with the emission standards specified in § 60.4231(a) applicable to engines manufactured on July 1, 2008.

- (2) Owners and operators of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) that are gasoline engines and are modified or reconstructed after June 12, 2006, must comply with the emission standards in § 60.4231(b) for their stationary SI ICE. Engines with a date of manufacture prior to July 1, 2008 (or January 1, 2009 for emergency engines) must comply with the emission standards specified in § 60.4231(b) applicable to engines manufactured on July 1, 2008 (or January 1, 2009 for emergency engines).
- (3) Owners and operators of stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) that are rich burn engines that use LPG, that are modified or reconstructed after June 12, 2006, must comply with the same emission standards as those specified in § 60.4231(c). Engines with a date of manufacture prior to July 1, 2008 (or January 1, 2009 for emergency engines) must comply with the emission standards specified in § 60.4231(c) applicable to engines manufactured on July 1, 2008 (or January 1, 2009 for emergency engines).
- (4) Owners and operators of stationary SI natural gas and lean burn LPG engines with a maximum engine power greater than 19 KW (25 HP), that are modified or reconstructed after June 12, 2006, must comply with the same emission standards as those specified in paragraph (d) or (e) of this section, except that such owners and operators of non-emergency engines and emergency engines greater than or equal to 130 HP must meet a nitrogen oxides (NO_x) emission standard of 3.0 grams per HP-hour (g/HP-hr), a CO emission standard of 4.0 g/HP-hr (5.0 g/HP-hr for non-emergency engines less than 100 HP), and a volatile organic compounds (VOC) emission standard of 1.0 g/HP-hr, or a NO_x emission standard of 250 ppmvd at 15 percent oxygen (O₂), a CO emission standard 540 ppmvd at 15 percent O₂ (675 ppmvd at 15 percent O₂ for non-emergency engines less than 100 HP), and a VOC emission standard of 86 ppmvd at 15 percent O₂, where the date of manufacture of the engine is:
 - (i) Prior to July 1, 2007, for non-emergency engines with a maximum engine power greater than or equal to 500 HP (except lean burn natural gas engines and LPG engines with a maximum engine power greater than or equal to 500 HP and less than 1,350 HP);
 - (ii) Prior to July 1, 2008, for non-emergency engines with a maximum engine power less than 500 HP;
 - (iii) Prior to January 1, 2009, for emergency engines;
 - (iv) Prior to January 1, 2008, for non-emergency lean burn natural gas engines and LPG engines with a maximum engine power greater than or equal to 500 HP and less than 1,350 HP.
- (5) Owners and operators of stationary SI landfill/digester gas ICE engines with a maximum engine power greater than 19 KW (25 HP), that are modified or reconstructed after June 12, 2006, must comply with the same emission standards as those specified in paragraph (e) of this section for stationary landfill/digester gas engines. Engines with maximum engine power less than 500 HP and a date of manufacture prior to July 1, 2008 must comply with the emission standards specified in paragraph (e) of this section for stationary landfill/digester gas ICE with a maximum engine power less than 500 HP manufactured on July 1, 2008. Engines with a maximum engine power greater than or equal to 500 HP (except lean burn engines greater than or equal to 500 HP and less than 1,350 HP) and a date of manufacture prior to July 1, 2007 must comply with the emission standards specified in paragraph (e) of this section for stationary landfill/digester gas ICE with a maximum engine power greater than or equal to 500 HP (except lean burn engines greater than or equal to 500 HP and less than 1,350 HP) manufactured on July 1, 2007. Lean burn engines greater than or equal to 500 HP and less than 1,350 HP with a date of manufacture prior to January 1, 2008 must comply

with the emission standards specified in paragraph (e) of this section for stationary landfill/digester gas ICE that are lean burn engines greater than or equal to 500 HP and less than 1,350 HP and manufactured on January 1, 2008.

- (g) Owners and operators of stationary SI wellhead gas ICE engines may petition the Administrator for approval on a case-by-case basis to meet emission standards no less stringent than the emission standards that apply to stationary emergency SI engines greater than 25 HP and less than 130 HP due to the presence of high sulfur levels in the fuel, as specified in Table 1 to this subpart. The request must, at a minimum, demonstrate that the fuel has high sulfur levels that prevent the use of aftertreatment controls and also that the owner has reasonably made all attempts possible to obtain an engine that will meet the standards without the use of aftertreatment controls. The petition must request the most stringent standards reasonably applicable to the engine using the fuel.
- (h) Owners and operators of stationary SI ICE that are required to meet standards that reference 40 CFR 1048.101 must, if testing their engines in use, meet the standards in that section applicable to field testing, except as indicated in paragraph (e) of this section.

[73 FR 3591, Jan. 18, 2008, as amended at 76 FR 37973, June 28, 2011]

§ 60.4234 How long must I meet the emission standards if I am an owner or operator of a stationary SI internal combustion engine?

Owners and operators of stationary SI ICE must operate and maintain stationary SI ICE that achieve the emission standards as required in § 60.4233 over the entire life of the engine.

OTHER REQUIREMENTS FOR OWNERS AND OPERATORS

§ 60.4235 What fuel requirements must I meet if I am an owner or operator of a stationary SI gasoline fired internal combustion engine subject to this subpart?

Owners and operators of stationary SI ICE subject to this subpart that use gasoline must use gasoline that meets the per gallon sulfur limit in 40 CFR 1090.205.

[73 FR 3591, Jan. 18, 2008, as amended at 85 FR 78463, Dec. 4, 2020]

§ 60.4236 What is the deadline for importing or installing stationary SI ICE produced in previous model years?

- (a) After July 1, 2010, owners and operators may not install stationary SI ICE with a maximum engine power of less than 500 HP that do not meet the applicable requirements in § 60.4233.
- (b) After July 1, 2009, owners and operators may not install stationary SI ICE with a maximum engine power of greater than or equal to 500 HP that do not meet the applicable requirements in § 60.4233, except that lean burn engines with a maximum engine power greater than or equal to 500 HP and less than 1,350 HP that do not meet the applicable requirements in § 60.4233 may not be installed after January 1, 2010.
- (c) For emergency stationary SI ICE with a maximum engine power of greater than 19 KW (25 HP), owners and operators may not install engines that do not meet the applicable requirements in § 60.4233 after January 1, 2011.

- (d) In addition to the requirements specified in §§ 60.4231 and 60.4233, it is prohibited to import stationary SI ICE less than or equal to 19 KW (25 HP), stationary rich burn LPG SI ICE, and stationary gasoline SI ICE that do not meet the applicable requirements specified in paragraphs (a), (b), and (c) of this section, after the date specified in paragraph (a), (b), and (c) of this section.
- (e) The requirements of this section do not apply to owners and operators of stationary SI ICE that have been modified or reconstructed, and they do not apply to engines that were removed from one existing location and reinstalled at a new location.

§ 60.4237 What are the monitoring requirements if I am an owner or operator of an emergency stationary SI internal combustion engine?

- (a) Starting on July 1, 2010, if the emergency stationary SI internal combustion engine that is greater than or equal to 500 HP that was built on or after July 1, 2010, does not meet the standards applicable to non-emergency engines, the owner or operator must install a non-resettable hour meter.
- (b) Starting on January 1, 2011, if the emergency stationary SI internal combustion engine that is greater than or equal to 130 HP and less than 500 HP that was built on or after January 1, 2011, does not meet the standards applicable to non-emergency engines, the owner or operator must install a non-resettable hour meter.
- (c) If you are an owner or operator of an emergency stationary SI internal combustion engine that is less than 130 HP, was built on or after July 1, 2008, and does not meet the standards applicable to non-emergency engines, you must install a non-resettable hour meter upon startup of your emergency engine.

COMPLIANCE REQUIREMENTS FOR MANUFACTURERS

§ 60.4238 What are my compliance requirements if I am a manufacturer of stationary SI internal combustion engines ≤19 KW (25 HP) or a manufacturer of equipment containing such engines?

Stationary SI internal combustion engine manufacturers who are subject to the emission standards specified in § 60.4231(a) must certify their stationary SI ICE using the certification and testing procedures required in 40 CFR part 1054, subparts C and F. Manufacturers of equipment containing stationary SI internal combustion engines meeting the provisions of 40 CFR part 1054 must meet the provisions of 40 CFR part 1060, subpart C, to the extent they apply to equipment manufacturers.

[86 FR 34361, June 29, 2021]

§ 60.4239 What are my compliance requirements if I am a manufacturer of stationary SI internal combustion engines >19 KW (25 HP) that use gasoline or a manufacturer of equipment containing such engines?

Stationary SI internal combustion engine manufacturers who are subject to the emission standards specified in § 60.4231(b) must certify their stationary SI ICE using the certification procedures required in 40 CFR part 1048, subpart C, and must test their engines as specified in that part. Stationary SI internal combustion engine manufacturers who certify their stationary SI ICE with a maximum engine power less than or equal to 30 KW (40 HP) with a total displacement less than or equal to 1,000 cc to the certification emission standards and other requirements for new nonroad SI engines in 40 CFR part 1054, and manufacturers of stationary SI emergency engines that are greater than 25 HP and less than 130 HP who meet the Phase 1 emission standards in 40 CFR part

1054, appendix I, applicable to class II engines, must certify their stationary SI ICE using the certification and testing procedures required in 40 CFR part 1054, subparts C and F. Manufacturers of equipment containing stationary SI internal combustion engines meeting the provisions of 40 CFR part 1054 must meet the provisions of 40 CFR part 1060, subpart C, to the extent they apply to equipment manufacturers.

[86 FR 34361, June 29, 2021]

§ 60.4240 What are my compliance requirements if I am a manufacturer of stationary SI internal combustion engines >19 KW (25 HP) that are rich burn engines that use LPG or a manufacturer of equipment containing such engines?

Stationary SI internal combustion engine manufacturers who are subject to the emission standards specified in § 60.4231(c) must certify their stationary SI ICE using the certification procedures required in 40 CFR part 1048, subpart C, and must test their engines as specified in that part. Stationary SI internal combustion engine manufacturers who certify their stationary SI ICE with a maximum engine power less than or equal to 30 KW (40 HP) with a total displacement less than or equal to 1,000 cc to the certification emission standards and other requirements for new nonroad SI engines in 40 CFR part 1054, and manufacturers of stationary SI emergency engines that are greater than 25 HP and less than 130 HP who meet the Phase 1 emission standards in 40 CFR part 1054, appendix I, applicable to class II engines, must certify their stationary SI ICE using the certification and testing procedures required in 40 CFR part 1054, subparts C and F. Manufacturers of equipment containing stationary SI internal combustion engines meeting the provisions of 40 CFR part 1054 must meet the provisions of 40 CFR part 1060, subpart C, to the extent they apply to equipment manufacturers.

[86 FR 34361, June 29, 2021]

§ 60.4241 What are my compliance requirements if I am a manufacturer of stationary SI internal combustion engines participating in the voluntary certification program or a manufacturer of equipment containing such engines?

- (a) Manufacturers of stationary SI internal combustion engines with a maximum engine power greater than 19 KW (25 HP) that do not use gasoline and are not rich burn engines that use LPG can choose to certify their engines to the emission standards in § 60.4231(d) or (e), as applicable, under the voluntary certification program described in this subpart. Manufacturers who certify their engines under the voluntary certification program must meet the requirements as specified in paragraphs (b) through (g) of this section. In addition, manufacturers of stationary SI internal combustion engines who choose to certify their engines under the voluntary certification program, must also meet the requirements as specified in § 60.4247. Manufacturers of stationary SI internal combustion engines who choose not to certify their engines under this section must notify the ultimate purchaser that testing requirements apply as described in § 60.4243(b)(2); manufacturers must keep a copy of this notification for five years after shipping each engine and make those documents available to EPA upon request.
- (b) Manufacturers of engines other than those certified to standards in 40 CFR part 1054 must certify their stationary SI ICE using the certification procedures required in 40 CFR part 1048, subpart C, and must follow the same test procedures that apply to Large SI nonroad engines under 40 CFR part 1048, but must use the D-1 cycle of International Organization for Standardization 8178-4: 1996(E) (incorporated by reference, see § 60.17) or the test cycle requirements specified in Table 3 to 40 CFR 1048.505, except that Table 3 of 40 CFR 1048.505 applies to high load engines only. Manufacturers of any size may certify their stationary emergency engines at or above 130 hp using assigned deterioration factors established by

EPA, consistent with 40 CFR 1048.240. Stationary SI internal combustion engine manufacturers who certify their stationary SI ICE with a maximum engine power less than or equal to 30 KW (40 HP) with a total displacement less than or equal to 1,000 cc to the certification emission standards and other requirements for new nonroad SI engines in 40 CFR part 1054, and manufacturers of emergency engines that are greater than 25 HP and less than 130 HP who meet the Phase 1 standards in 40 CFR part 1054, appendix I, applicable to class II engines, must certify their stationary SI ICE using the certification and testing procedures required in 40 CFR part 1054, subparts C and F. Manufacturers of equipment containing stationary SI internal combustion engines meeting the provisions of 40 CFR part 1054 must meet the provisions of 40 CFR part 1060, subpart C, to the extent they apply to equipment manufacturers.

- (c) Certification of stationary SI ICE to the emission standards specified in § 60.4231(d) or (e), as applicable, is voluntary, but manufacturers who decide to certify are subject to all of the requirements indicated in this subpart with regard to the engines included in their certification. Manufacturers must clearly label their stationary SI engines as certified or non-certified engines.
- (d) Manufacturers of natural gas fired stationary SI ICE who conduct voluntary certification of stationary SI ICE to the emission standards specified in § 60.4231(d) or (e), as applicable, must certify their engines for operation using fuel that meets the definition of pipeline-quality natural gas. The fuel used for certifying stationary SI natural gas engines must meet the definition of pipeline-quality natural gas as described in § 60.4248. In addition, the manufacturer must provide information to the owner and operator of the certified stationary SI engine including the specifications of the pipeline-quality natural gas to which the engine is certified and what adjustments the owner or operator must make to the engine when installed in the field to ensure compliance with the emission standards.
- (e) Manufacturers of stationary SI ICE that are lean burn engines fueled by LPG who conduct voluntary certification of stationary SI ICE to the emission standards specified in § 60.4231(d) or (e), as applicable, must certify their engines for operation using fuel that meets the specifications in 40 CFR 1065.720.
- (f) Manufacturers may certify their engines for operation using gaseous fuels in addition to pipeline-quality natural gas; however, the manufacturer must specify the properties of that fuel and provide testing information showing that the engine will meet the emission standards specified in § 60.4231(d) or (e), as applicable, when operating on that fuel. The manufacturer must also provide instructions for configuring the stationary engine to meet the emission standards on fuels that do not meet the pipeline-quality natural gas definition. The manufacturer must also provide information to the owner and operator of the certified stationary SI engine regarding the configuration that is most conducive to reduced emissions where the engine will be operated on gaseous fuels with different quality than the fuel that it was certified to.
- (g) A stationary SI engine manufacturer may certify an engine family solely to the standards applicable to landfill/digester gas engines as specified in § 60.4231(d) or (e), as applicable, but must certify their engines for operation using landfill/digester gas and must add a permanent label stating that the engine is for use only in landfill/digester gas applications. The label must be added according to the labeling requirements specified in 40 CFR 1048.135(b).
- (h) For purposes of this subpart, when calculating emissions of volatile organic compounds, emissions of formaldehyde should not be included.
- (i) For engines being certified to the voluntary certification standards in Table 1 of this subpart, the VOC measurement shall be made by following the procedures in 40 CFR part 1065, subpart C, to determine the total NMHC emissions. As an alternative, manufacturers may measure ethane, as well as methane, for excluding such levels from the total VOC measurement.

[73 FR 3591, Jan. 18, 2008, as amended at 73 FR 59176, Oct. 8, 2008; 76 FR 37974, June 28, 2011; 86 FR 34361, June 29, 2021]

§ 60.4242 What other requirements must I meet if I am a manufacturer of stationary SI internal combustion engines or equipment containing stationary SI internal combustion engines or a manufacturer of equipment containing such engines?

- (a) Stationary SI internal combustion engine manufacturers must meet the provisions of 40 CFR parts 1048, 1054, and 1068, as applicable, except that engines certified pursuant to the voluntary certification procedures in § 60.4241 are subject only to the provisions indicated in § 60.4247 and are permitted to provide instructions to owners and operators allowing for deviations from certified configurations, if such deviations are consistent with the provisions of § 60.4241(c) through (f). Manufacturers of equipment containing stationary SI internal combustion engines meeting the provisions of 40 CFR part 1054 must meet the provisions of 40 CFR part 1060, as applicable. Labels on engines certified to 40 CFR part 1048 must refer to stationary engines, rather than or in addition to nonroad engines, as appropriate.
- (b) An engine manufacturer certifying an engine family or families to standards under this subpart that are identical to standards identified in 40 CFR part 1048 or 1054 for that model year may certify any such family that contains both nonroad and stationary engines as a single engine family and/or may include any such family containing stationary engines in the averaging, banking and trading provisions applicable for such engines under those parts. This paragraph (b) also applies to equipment or component manufacturers certifying to standards under 40 CFR part 1060.
- (c) Manufacturers of engine families certified to 40 CFR part 1048 may meet the labeling requirements referred to in paragraph (a) of this section for stationary SI ICE by either adding a separate label containing the information required in paragraph (a) of this section or by adding the words "and stationary" after the word "nonroad" to the label.
- (d) For all engines manufactured on or after January 1, 2011, and for all engines with a maximum engine power greater than 25 HP and less than 130 HP manufactured on or after July 1, 2008, a stationary SI engine manufacturer that certifies an engine family solely to the standards applicable to emergency engines must add a permanent label stating that the engines in that family are for emergency use only. The label must be added according to the labeling requirements specified in 40 CFR 1048.135(b).
- (e) All stationary SI engines subject to mandatory certification that do not meet the requirements of this subpart must be labeled and exported according to 40 CFR 1068.230. Manufacturers of stationary engines with a maximum engine power greater than 25 HP that are not certified to standards and other requirements under 40 CFR part 1048 are subject to the labeling provisions of 40 CFR 1048.20 pertaining to excluded stationary engines.
- (f) For manufacturers of gaseous-fueled stationary engines required to meet the warranty provisions in 40 CFR 1054.120, we may establish an hour-based warranty period equal to at least the certified emissions life of the engines (in engine operating hours) if we determine that these engines are likely to operate for a number of hours greater than the applicable useful life within 24 months. We will not approve an alternate warranty under this paragraph (f) for nonroad engines. An alternate warranty period approved under this paragraph (f) will be the specified number of engine operating hours or two years, whichever comes first. The engine manufacturer shall request this alternate warranty period in its application for certification or in an earlier submission. We may approve an alternate warranty period for an engine family subject to the following conditions:
 - (1) The engines must be equipped with non-resettable hour meters.

- (2) The engines must be designed to operate for a number of hours substantially greater than the applicable certified emissions life.
- (3) The emission-related warranty for the engines may not be shorter than any published warranty offered by the manufacturer without charge for the engines. Similarly, the emission-related warranty for any component shall not be shorter than any published warranty offered by the manufacturer without charge for that component.

[86 FR 34362, June 29, 2021]

COMPLIANCE REQUIREMENTS FOR OWNERS AND OPERATORS

§ 60.4243 What are my compliance requirements if I am an owner or operator of a stationary SI internal combustion engine?

- (a) If you are an owner or operator of a stationary SI internal combustion engine that is manufactured after July 1, 2008, and must comply with the emission standards specified in § 60.4233(a) through (c), you must comply by purchasing an engine certified to the emission standards in § 60.4231(a) through (c), as applicable, for the same engine class and maximum engine power. In addition, you must meet one of the requirements specified in (a)(1) and (2) of this section.
 - (1) If you operate and maintain the certified stationary SI internal combustion engine and control device according to the manufacturer's emission-related written instructions, you must keep records of conducted maintenance to demonstrate compliance, but no performance testing is required if you are an owner or operator. You must also meet the requirements as specified in 40 CFR part 1068, subparts A through D, as they apply to you. If you adjust engine settings according to and consistent with the manufacturer's instructions, your stationary SI internal combustion engine will not be considered out of compliance.
 - (2) If you do not operate and maintain the certified stationary SI internal combustion engine and control device according to the manufacturer's emission-related written instructions, your engine will be considered a non-certified engine, and you must demonstrate compliance according to (a)(2)(i) through (iii) of this section, as appropriate.
 - (i) If you are an owner or operator of a stationary SI internal combustion engine less than 100 HP, you must keep a maintenance plan and records of conducted maintenance to demonstrate compliance and must, to the extent practicable, maintain and operate the engine in a manner consistent with good air pollution control practice for minimizing emissions, but no performance testing is required if you are an owner or operator.
 - (ii) If you are an owner or operator of a stationary SI internal combustion engine greater than or equal to 100 HP and less than or equal to 500 HP, you must keep a maintenance plan and records of conducted maintenance and must, to the extent practicable, maintain and operate the engine in a manner consistent with good air pollution control practice for minimizing emissions. In addition, you must conduct an initial performance test within 1 year of engine startup to demonstrate compliance.
 - (iii) If you are an owner or operator of a stationary SI internal combustion engine greater than 500 HP, you must keep a maintenance plan and records of conducted maintenance and must, to the extent practicable, maintain and operate the engine in a manner consistent with good air

pollution control practice for minimizing emissions. In addition, you must conduct an initial performance test within 1 year of engine startup and conduct subsequent performance testing every 8,760 hours or 3 years, whichever comes first, thereafter to demonstrate compliance.

- (b) If you are an owner or operator of a stationary SI internal combustion engine and must comply with the emission standards specified in § 60.4233(d) or (e), you must demonstrate compliance according to one of the methods specified in paragraphs (b)(1) and (2) of this section.
 - (1) Purchasing an engine certified according to procedures specified in this subpart, for the same model year and demonstrating compliance according to one of the methods specified in paragraph (a) of this section.
 - (2) Purchasing a non-certified engine and demonstrating compliance with the emission standards specified in § 60.4233(d) or (e) and according to the requirements specified in § 60.4244, as applicable, and according to paragraphs (b)(2)(i) and (ii) of this section.
 - (i) If you are an owner or operator of a stationary SI internal combustion engine greater than 25 HP and less than or equal to 500 HP, you must keep a maintenance plan and records of conducted maintenance and must, to the extent practicable, maintain and operate the engine in a manner consistent with good air pollution control practice for minimizing emissions. In addition, you must conduct an initial performance test to demonstrate compliance.
 - (ii) If you are an owner or operator of a stationary SI internal combustion engine greater than 500 HP, you must keep a maintenance plan and records of conducted maintenance and must, to the extent practicable, maintain and operate the engine in a manner consistent with good air pollution control practice for minimizing emissions. In addition, you must conduct an initial performance test and conduct subsequent performance testing every 8,760 hours or 3 years, whichever comes first, thereafter to demonstrate compliance.
- (c) If you are an owner or operator of a stationary SI internal combustion engine that must comply with the emission standards specified in § 60.4233(f), you must demonstrate compliance according paragraph (b)(2)(i) or (ii) of this section, except that if you comply according to paragraph (b)(2)(i) of this section, you demonstrate that your non-certified engine complies with the emission standards specified in § 60.4233(f).
- (d) If you own or operate an emergency stationary ICE, you must operate the emergency stationary ICE according to the requirements in paragraphs (d)(1) through (3) of this section. In order for the engine to be considered an emergency stationary ICE under this subpart, any operation other than emergency operation, maintenance and testing, and operation in non-emergency situations for 50 hours per year, as described in paragraphs (d)(1) through (3), is prohibited. If you do not operate the engine according to the requirements in paragraphs (d)(1) through (3), the engine will not be considered an emergency engine under this subpart and must meet all requirements for non-emergency engines.
 - (1) There is no time limit on the use of emergency stationary ICE in emergency situations.
 - (2) You may operate your emergency stationary ICE for the purpose specified in paragraph (d)(2)(i) of this section for a maximum of 100 hours per calendar year. Any operation for non-emergency situations as allowed by paragraph (d)(3) of this section counts as part of the 100 hours per calendar year allowed by this paragraph (d)(2).

- (i) Emergency stationary ICE may be operated for maintenance checks and readiness testing, provided that the tests are recommended by federal, state or local government, the manufacturer, the vendor, the regional transmission organization or equivalent balancing authority and transmission operator, or the insurance company associated with the engine. The owner or operator may petition the Administrator for approval of additional hours to be used for maintenance checks and readiness testing, but a petition is not required if the owner or operator maintains records indicating that federal, state, or local standards require maintenance and testing of emergency ICE beyond 100 hours per calendar year.

(ii)-(iii) [Reserved]

- (3) Emergency stationary ICE may be operated for up to 50 hours per calendar year in non-emergency situations. The 50 hours of operation in non-emergency situations are counted as part of the 100 hours per calendar year for maintenance and testing provided in paragraph (d)(2) of this section. Except as provided in paragraph (d)(3)(i) of this section, the 50 hours per year for non-emergency situations cannot be used for peak shaving or non-emergency demand response, or to generate income for a facility to an electric grid or otherwise supply power as part of a financial arrangement with another entity.

- (i) The 50 hours per year for non-emergency situations can be used to supply power as part of a financial arrangement with another entity if all of the following conditions are met:

- (A) The engine is dispatched by the local balancing authority or local transmission and distribution system operator;
- (B) The dispatch is intended to mitigate local transmission and/or distribution limitations so as to avert potential voltage collapse or line overloads that could lead to the interruption of power supply in a local area or region.
- (C) The dispatch follows reliability, emergency operation or similar protocols that follow specific NERC, regional, state, public utility commission or local standards or guidelines.
- (D) The power is provided only to the facility itself or to support the local transmission and distribution system.
- (E) The owner or operator identifies and records the entity that dispatches the engine and the specific NERC, regional, state, public utility commission or local standards or guidelines that are being followed for dispatching the engine. The local balancing authority or local transmission and distribution system operator may keep these records on behalf of the engine owner or operator.

(ii) [Reserved]

- (e) Owners and operators of stationary SI natural gas fired engines may operate their engines using propane for a maximum of 100 hours per year as an alternative fuel solely during emergency operations, but must keep records of such use. If propane is used for more than 100 hours per year in an engine that is not certified to the emission standards when using propane, the owners and operators are required to conduct a performance test to demonstrate compliance with the emission standards of § 60.4233.
- (f) If you are an owner or operator of a stationary SI internal combustion engine that is less than or equal to 500 HP and you purchase a non-certified engine or you do not operate and maintain your certified stationary SI internal combustion engine and control device according to the manufacturer's written emission-related instructions, you are required to perform initial performance testing as indicated in this

section, but you are not required to conduct subsequent performance testing unless the stationary engine undergoes rebuild, major repair or maintenance. Engine rebuilding means to overhaul an engine or to otherwise perform extensive service on the engine (or on a portion of the engine or engine system). For the purpose of this paragraph (f), perform extensive service means to disassemble the engine (or portion of the engine or engine system), inspect and/or replace many of the parts, and reassemble the engine (or portion of the engine or engine system) in such a manner that significantly increases the service life of the resultant engine.

- (g) It is expected that air-to-fuel ratio controllers will be used with the operation of three-way catalysts/non-selective catalytic reduction. The AFR controller must be maintained and operated appropriately in order to ensure proper operation of the engine and control device to minimize emissions at all times.
- (h) If you are an owner/operator of an stationary SI internal combustion engine with maximum engine power greater than or equal to 500 HP that is manufactured after July 1, 2007 and before July 1, 2008, and must comply with the emission standards specified in sections 60.4233(b) or (c), you must comply by one of the methods specified in paragraphs (h)(1) through (h)(4) of this section.
 - (1) Purchasing an engine certified according to 40 CFR part 1048. The engine must be installed and configured according to the manufacturer's specifications.
 - (2) Keeping records of performance test results for each pollutant for a test conducted on a similar engine. The test must have been conducted using the same methods specified in this subpart and these methods must have been followed correctly.
 - (3) Keeping records of engine manufacturer data indicating compliance with the standards.
 - (4) Keeping records of control device vendor data indicating compliance with the standards.
- (i) If you are an owner or operator of a modified or reconstructed stationary SI internal combustion engine and must comply with the emission standards specified in § 60.4233(f), you must demonstrate compliance according to one of the methods specified in paragraphs (i)(1) or (2) of this section.
 - (1) Purchasing, or otherwise owning or operating, an engine certified to the emission standards in § 60.4233(f), as applicable.
 - (2) Conducting a performance test to demonstrate initial compliance with the emission standards according to the requirements specified in § 60.4244. The test must be conducted within 60 days after the engine commences operation after the modification or reconstruction.

[73 FR 3591, Jan. 18, 2008, as amended at 76 FR 37974, June 28, 2011; 78 FR 6697, Jan. 30, 2013; 86 FR 34362, June 29, 2021; 87 FR 48606, Aug. 10, 2022]

TESTING REQUIREMENTS FOR OWNERS AND OPERATORS

§ 60.4244 What test methods and other procedures must I use if I am an owner or operator of a stationary SI internal combustion engine?

Owners and operators of stationary SI ICE who conduct performance tests must follow the procedures in paragraphs (a) through (f) of this section.

- (a) Each performance test must be conducted within 10 percent of 100 percent peak (or the highest achievable) load and according to the requirements in § 60.8 and under the specific conditions that are specified by Table 2 to this subpart.
- (b) You may not conduct performance tests during periods of startup, shutdown, or malfunction, as specified in § 60.8(c). If your stationary SI internal combustion engine is non-operational, you do not need to startup the engine solely to conduct a performance test; however, you must conduct the performance test immediately upon startup of the engine.
- (c) You must conduct three separate test runs for each performance test required in this section, as specified in § 60.8(f). Each test run must be conducted within 10 percent of 100 percent peak (or the highest achievable) load and last at least 1 hour.
- (d) To determine compliance with the NO_x mass per unit output emission limitation, convert the concentration of NO_x in the engine exhaust using Equation 1 of this section:

$$ER = \frac{C_d \times 1.912 \times 10^{-1} \times Q \times T}{HP - hr} \quad (Eq. 1)$$

Where:

ER = Emission rate of NO_x in g/HP-hr.

C_d = Measured NO_x concentration in parts per million by volume (ppmv).

1.912 × 10⁻³ = Conversion constant for ppm NO_x to grams per standard cubic meter at 20 degrees Celsius.

Q = Stack gas volumetric flow rate, in standard cubic meter per hour, dry basis.

T = Time of test run, in hours.

HP-hr = Brake work of the engine, horsepower-hour (HP-hr).

- (e) To determine compliance with the CO mass per unit output emission limitation, convert the concentration of CO in the engine exhaust using Equation 2 of this section:

$$ER = \frac{C_d \times 1.164 \times 10^{-1} \times Q \times T}{HP - hr} \quad (Eq. 2)$$

Where:

ER = Emission rate of CO in g/HP-hr.

C_d = Measured CO concentration in ppmv.

1.164 × 10⁻³ = Conversion constant for ppm CO to grams per standard cubic meter at 20 degrees Celsius.

Q = Stack gas volumetric flow rate, in standard cubic meters per hour, dry basis.

T = Time of test run, in hours.

HP-hr = Brake work of the engine, in HP-hr.

- (f) For purposes of this subpart, when calculating emissions of VOC, emissions of formaldehyde should not be included. To determine compliance with the VOC mass per unit output emission limitation, convert the concentration of VOC in the engine exhaust using Equation 3 of this section:

$$ER = \frac{C_d \times 1.833 \times 10^{-3} \times Q \times T}{HP-hr} \quad (Eq. 3)$$

Where:

ER = Emission rate of VOC in g/HP-hr.

C_d = VOC concentration measured as propane in ppmv.

1.833×10^{-3} = Conversion constant for ppm VOC measured as propane, to grams per standard cubic meter at 20 degrees Celsius.

Q = Stack gas volumetric flow rate, in standard cubic meters per hour, dry basis.

T = Time of test run, in hours.

HP-hr = Brake work of the engine, in HP-hr.

- (g) If the owner/operator chooses to measure VOC emissions using either Method 18 of 40 CFR part 60, appendix A, or Method 320 of 40 CFR part 63, appendix A, then it has the option of correcting the measured VOC emissions to account for the potential differences in measured values between these methods and Method 25A. The results from Method 18 and Method 320 can be corrected for response factor differences using Equations 4 and 5 of this section. The corrected VOC concentration can then be placed on a propane basis using Equation 6 of this section.

$$RF_i = \frac{C_{vi}}{C_{Ai}} \quad (Eq. 4)$$

Where:

RF_i = Response factor of compound i when measured with EPA Method 25A.

C_{Mi} = Measured concentration of compound i in ppmv as carbon.

C_{Ai} = True concentration of compound i in ppmv as carbon.

$$C_{Mi} = RF_i \times C_{Ai} \quad (Eq. 5)$$

Where:

$C_{i\text{corr}}$ = Concentration of compound i corrected to the value that would have been measured by EPA Method 25A, ppmv as carbon.

$C_{i\text{meas}}$ = Concentration of compound i measured by EPA Method 320, ppmv as carbon.

$$C_{\text{Peq}} = 0.6098 \times C_{i\text{corr}} \quad (\text{Eq. 6})$$

Where:

C_{Peq} = Concentration of compound i in mg of propane equivalent per DSCM.

NOTIFICATION, REPORTS, AND RECORDS FOR OWNERS AND OPERATORS

§ 60.4245 What are my notification, reporting, and recordkeeping requirements if I am an owner or operator of a stationary SI internal combustion engine?

Owners or operators of stationary SI ICE must meet the following notification, reporting and recordkeeping requirements.

- (a) Owners and operators of all stationary SI ICE must keep records of the information in paragraphs (a)(1) through (4) of this section.
 - (1) All notifications submitted to comply with this subpart and all documentation supporting any notification.
 - (2) Maintenance conducted on the engine.
 - (3) If the stationary SI internal combustion engine is a certified engine, documentation from the manufacturer that the engine is certified to meet the emission standards and information as required in 40 CFR parts 1048, 1054, and 1060, as applicable.
 - (4) If the stationary SI internal combustion engine is not a certified engine or is a certified engine operating in a non-certified manner and subject to § 60.4243(a)(2), documentation that the engine meets the emission standards.
- (b) For all stationary SI emergency ICE greater than or equal to 500 HP manufactured on or after July 1, 2010, that do not meet the standards applicable to non-emergency engines, the owner or operator of must keep records of the hours of operation of the engine that is recorded through the non-resettable hour meter. For all stationary SI emergency ICE greater than or equal to 130 HP and less than 500 HP manufactured on or after July 1, 2011 that do not meet the standards applicable to non-emergency engines, the owner or operator of must keep records of the hours of operation of the engine that is recorded through the non-resettable hour meter. For all stationary SI emergency ICE greater than 25 HP and less than 130 HP manufactured on or after July 1, 2008, that do not meet the standards applicable to non-emergency engines, the owner or operator of must keep records of the hours of operation of the engine that is recorded through the non-resettable hour meter. The owner or operator must document how many hours are spent for emergency operation, including what classified the operation as emergency and how many hours are spent for non-emergency operation.

- (c) Owners and operators of stationary SI ICE greater than or equal to 500 HP that have not been certified by an engine manufacturer to meet the emission standards in § 60.4231 must submit an initial notification as required in § 60.7(a)(1). The notification must include the information in paragraphs (c)(1) through (5) of this section. Beginning on February 26, 2025 submit the notification electronically according to paragraph (g) of this section.
 - (1) Name and address of the owner or operator;
 - (2) The address of the affected source;
 - (3) Engine information including make, model, engine family, serial number, model year, maximum engine power, and engine displacement;
 - (4) Emission control equipment; and
 - (5) Fuel used.
- (d) Owners and operators of stationary SI ICE that are subject to performance testing must submit a copy of each performance test as conducted in § 60.4244 within 60 days after the test has been completed. Performance test reports using EPA Method 18, EPA Method 320, or ASTM D6348-03 (incorporated by reference—see 40 CFR 60.17) to measure VOC require reporting of all QA/QC data. For Method 18, report results from sections 8.4 and 11.1.1.4; for Method 320, report results from sections 8.6.2, 9.0, and 13.0; and for ASTM D6348-03 report results of all QA/QC procedures in Annexes 1-7. Beginning on February 26, 2025, performance tests must be reported electronically according to paragraph (f) of this section.
- (e) If you own or operate an emergency stationary SI ICE with a maximum engine power more than 100 HP that operates for the purpose specified in § 60.4243(d)(3)(i), you must submit an annual report according to the requirements in paragraphs (e)(1) through (3) of this section.
 - (1) The report must contain the following information:
 - (i) Company name and address where the engine is located.
 - (ii) Date of the report and beginning and ending dates of the reporting period.
 - (iii) Engine site rating and model year.
 - (iv) Latitude and longitude of the engine in decimal degrees reported to the fifth decimal place.
 - (v)-(vi) [Reserved]
 - (vii) Hours spent for operation for the purposes specified in § 60.4243(d)(3)(i), including the date, start time, and end time for engine operation for the purposes specified in § 60.4243(d)(3)(i). The report must also identify the entity that dispatched the engine and the situation that necessitated the dispatch of the engine.
 - (2) The first annual report must cover the calendar year 2015 and must be submitted no later than March 31, 2016. Subsequent annual reports for each calendar year must be submitted no later than March 31 of the following calendar year.
 - (3) The annual report must be submitted electronically using the subpart specific reporting form in the Compliance and Emissions Data Reporting Interface (CEDRI) that is accessed through EPA's Central Data Exchange (CDX) (<https://cdx.epa.gov/>). However, if the reporting form specific to this subpart is

not available in CEDRI at the time that the report is due, the written report must be submitted to the Administrator at the appropriate address listed in § 60.4. Beginning on February 26, 2025, submit annual report electronically according to paragraph (g) of this section.

- (f) Beginning on February 26, 2025, within 60 days after the date of completing each performance test, you must submit the results following the procedures specified in paragraph (g) of this section. Data collected using test methods that are supported by the EPA's Electronic Reporting Tool (ERT) as listed on the EPA's ERT website (<https://www.epa.gov/electronic-reporting-air-emissions/electronic-reporting-tool-ert>) at the time of the test must be submitted in a file format generated using the EPA's ERT. Alternatively, you may submit an electronic file consistent with the extensible markup language (XML) schema listed on the EPA's ERT website. Data collected using test methods that are not supported by the EPA's ERT as listed on the EPA's ERT website at the time of the test must be included as an attachment in the ERT or an alternate electronic file.
- (g) If you are required to submit notifications or reports following the procedure specified in this paragraph (g), you must submit notifications or reports to the EPA via the Compliance and Emissions Data Reporting Interface (CEDRI), which can be accessed through the EPA's Central Data Exchange (CDX) (<https://cdx.epa.gov/>). The EPA will make all the information submitted through CEDRI available to the public without further notice to you. Do not use CEDRI to submit information you claim as CBI. Although we do not expect persons to assert a claim of CBI, if you wish to assert a CBI claim for some of the information in the report or notification, you must submit a complete file in the format specified in this subpart, including information claimed to be CBI, to the EPA following the procedures in paragraphs (g)(1) and (2) of this section. Clearly mark the part or all of the information that you claim to be CBI. Information not marked as CBI may be authorized for public release without prior notice. Information marked as CBI will not be disclosed except in accordance with procedures set forth in 40 CFR part 2. All CBI claims must be asserted at the time of submission. Anything submitted using CEDRI cannot later be claimed CBI. Furthermore, under CAA section 114(c), emissions data is not entitled to confidential treatment, and the EPA is required to make emissions data available to the public. Thus, emissions data will not be protected as CBI and will be made publicly available. You must submit the same file submitted to the CBI office with the CBI omitted to the EPA via the EPA's CDX as described earlier in this paragraph (g).
- (1) The preferred method to receive CBI is for it to be transmitted electronically using email attachments, File Transfer Protocol, or other online file sharing services. Electronic submissions must be transmitted directly to the OAQPS CBI Office at the email address oaqpscbi@epa.gov, and as described in paragraph (g) of this section, should include clear CBI markings. ERT files should be flagged to the attention of the Group Leader, Measurement Policy Group; all other files should be flagged to the attention of the Stationary Spark Ignition Internal Combustion Engine Sector Lead. If assistance is needed with submitting large electronic files that exceed the file size limit for email attachments, and if you do not have your own file sharing service, please email oaqpscbi@epa.gov to request a file transfer link.
- (2) If you cannot transmit the file electronically, you may send CBI information through the postal service to the following address: OAQPS Document Control Officer (C404-02), OAQPS, U.S. Environmental Protection Agency, 109 T.W. Alexander Drive, P.O. Box 12055, Research Triangle Park, North Carolina 27711. ERT files should be sent to the attention of the Group Leader, Measurement Policy Group, and all other files should be sent to the attention of the Stationary Spark Ignition Internal Combustion Engine Sector Lead. The mailed CBI material should be double wrapped and clearly marked. Any CBI markings should not show through the outer envelope.

- (h) If you are required to electronically submit a report through CEDRI in the EPA's CDX, you may assert a claim of EPA system outage for failure to timely comply with that reporting requirement. To assert a claim of EPA system outage, you must meet the requirements outlined in paragraphs (h)(1) through (7) of this section.
 - (1) You must have been or will be precluded from accessing CEDRI and submitting a required report within the time prescribed due to an outage of either the EPA's CEDRI or CDX systems.
 - (2) The outage must have occurred within the period of time beginning five business days prior to the date that the submission is due.
 - (3) The outage may be planned or unplanned.
 - (4) You must submit notification to the Administrator in writing as soon as possible following the date you first knew, or through due diligence should have known, that the event may cause or has caused a delay in reporting.
 - (5) You must provide to the Administrator a written description identifying:
 - (i) The date(s) and time(s) when CDX or CEDRI was accessed and the system was unavailable;
 - (ii) A rationale for attributing the delay in reporting beyond the regulatory deadline to EPA system outage;
 - (iii) A description of measures taken or to be taken to minimize the delay in reporting; and
 - (iv) The date by which you propose to report, or if you have already met the reporting requirement at the time of the notification, the date you reported.
 - (6) The decision to accept the claim of EPA system outage and allow an extension to the reporting deadline is solely within the discretion of the Administrator.
 - (7) In any circumstance, the report must be submitted electronically as soon as possible after the outage is resolved.
- (i) If you are required to electronically submit a report through CEDRI in the EPA's CDX, you may assert a claim of force majeure for failure to timely comply with that reporting requirement. To assert a claim of force majeure, you must meet the requirements outlined in paragraphs (i)(1) through (5) of this section.
 - (1) You may submit a claim if a force majeure event is about to occur, occurs, or has occurred or there are lingering effects from such an event within the period of time beginning five business days prior to the date the submission is due. For the purposes of this section, a force majeure event is defined as an event that will be or has been caused by circumstances beyond the control of the affected facility, its contractors, or any entity controlled by the affected facility that prevents you from complying with the requirement to submit a report electronically within the time period prescribed. Examples of such events are acts of nature (e.g., hurricanes, earthquakes, or floods), acts of war or terrorism, or equipment failure or safety hazard beyond the control of the affected facility (e.g., large scale power outage).
 - (2) You must submit notification to the Administrator in writing as soon as possible following the date you first knew, or through due diligence should have known, that the event may cause or has caused a delay in reporting.
 - (3) You must provide to the Administrator:

- (i) A written description of the force majeure event;
 - (ii) A rationale for attributing the delay in reporting beyond the regulatory deadline to the force majeure event;
 - (iii) A description of measures taken or to be taken to minimize the delay in reporting; and
 - (iv) The date by which you propose to report, or if you have already met the reporting requirement at the time of the notification, the date you reported.
- (4) The decision to accept the claim of force majeure and allow an extension to the reporting deadline is solely within the discretion of the Administrator.
- (5) In any circumstance, the reporting must occur as soon as possible after the force majeure event occurs.
- (j) Any records required to be maintained by this subpart that are submitted electronically via the EPA's CEDRI may be maintained in electronic format. This ability to maintain electronic copies does not affect the requirement for facilities to make records, data, and reports available upon request to a delegated air agency or the EPA as part of an on-site compliance evaluation.

[73 FR 3591, Jan. 18, 2008, as amended at 73 FR 59177, Oct. 8, 2008; 78 FR 6697, Jan. 30, 2013; 81 FR 59809, Aug. 30, 2016; 86 FR 34362, June 29, 2021; 87 FR 48606, Aug. 10, 2022; 89 FR 70514, Aug. 30, 2024]

GENERAL PROVISIONS

§ 60.4246 What General Provisions and confidential information provisions apply to me?

- (a) Table 3 to this subpart shows which parts of the General Provisions in §§ 60.1 through 60.19 apply to you.
- (b) The provisions of 40 CFR 1068.10 and 1068.11 apply for engine manufacturers. For others, the general confidential business information (CBI) provisions apply as described in 40 CFR part 2.

[88 FR 4471, Jan. 24, 2023]

MOBILE SOURCE PROVISIONS

§ 60.4247 What parts of the mobile source provisions apply to me if I am a manufacturer of stationary SI internal combustion engines or a manufacturer of equipment containing such engines?

- (a) Manufacturers certifying to emission standards in 40 CFR part 1054 must meet the provisions of 40 CFR part 1054. Note that 40 CFR part 1054, appendix I, describes various provisions that do not apply for engines meeting Phase 1 standards in 40 CFR part 1054. Manufacturers of equipment containing stationary SI internal combustion engines meeting the provisions of 40 CFR part 1054 must meet the provisions of 40 CFR part 1060 to the extent they apply to equipment manufacturers.
- (b) Manufacturers required to certify to emission standards in 40 CFR part 1048 must meet the provisions of 40 CFR part 1048. Manufacturers certifying to emission standards in 40 CFR part 1048 pursuant to the voluntary certification program must meet the requirements in Table 4 to this subpart as well as the standards in 40 CFR 1048.101.

- (c) For manufacturers of stationary SI internal combustion engines participating in the voluntary certification program and certifying engines to Table 1 to this subpart, Table 4 to this subpart shows which parts of the mobile source provisions in 40 CFR parts 1048, 1065, and 1068 apply to you. Compliance with the deterioration factor provisions under 40 CFR 1048.205(n) and 1048.240 will be required for engines built new on and after January 1, 2010. Prior to January 1, 2010, manufacturers of stationary internal combustion engines participating in the voluntary certification program have the option to develop their own deterioration factors based on an engineering analysis.

[73 FR 3591, Jan. 18, 2008, as amended at 73 FR 59177, Oct. 8, 2008; 86 FR 34362, June 29, 2021]

DEFINITIONS

§ 60.4248 What definitions apply to this subpart?

As used in this subpart, all terms not defined herein shall have the meaning given them in the CAA and in subpart A of this part.

Certified emissions life means the period during which the engine is designed to properly function in terms of reliability and fuel consumption, without being remanufactured, specified as a number of hours of operation or calendar years, whichever comes first. The values for certified emissions life for stationary SI ICE with a maximum engine power less than or equal to 19 KW (25 HP) are given in 40 CFR 1054.107 and 1060.101, as appropriate. The values for certified emissions life for stationary SI ICE with a maximum engine power greater than 19 KW (25 HP) certified to 40 CFR part 1048 are given in 40 CFR 1048.101(g). The certified emissions life for stationary SI ICE with a maximum engine power greater than 75 KW (100 HP) certified under the voluntary manufacturer certification program of this subpart is 5,000 hours or 7 years, whichever comes first. You may request in your application for certification that we approve a shorter certified emissions life for an engine family. We may approve a shorter certified emissions life, in hours of engine operation but not in years, if we determine that these engines will rarely operate longer than the shorter certified emissions life. If engines identical to those in the engine family have already been produced and are in use, your demonstration must include documentation from such in-use engines. In other cases, your demonstration must include an engineering analysis of information equivalent to such in-use data, such as data from research engines or similar engine models that are already in production. Your demonstration must also include any overhaul interval that you recommend, any mechanical warranty that you offer for the engine or its components, and any relevant customer design specifications. Your demonstration may include any other relevant information. The certified emissions life value may not be shorter than any of the following:

- (1) 1,000 hours of operation.
- (2) Your recommended overhaul interval.
- (3) Your mechanical warranty for the engine.

Certified stationary internal combustion engine means an engine that belongs to an engine family that has a certificate of conformity that complies with the emission standards and requirements in this part, or of 40 CFR part 1048 or 1054, as appropriate.

Combustion turbine means all equipment, including but not limited to the turbine, the fuel, air, lubrication and exhaust gas systems, control systems (except emissions control equipment), and any ancillary components and sub-components comprising any simple cycle combustion turbine, any regenerative/recuperative cycle combustion turbine, the combustion turbine portion of any cogeneration cycle combustion system, or the combustion turbine portion of any combined cycle steam/electric generating system.

Compression ignition means relating to a type of stationary internal combustion engine that is not a spark ignition engine.

Date of manufacture means one of the following things:

- (1) For freshly manufactured engines and modified engines, date of manufacture means the date the engine is originally produced.
- (2) For reconstructed engines, date of manufacture means the date the engine was originally produced, except as specified in paragraph (3) of this definition.
- (3) Reconstructed engines are assigned a new date of manufacture if the fixed capital cost of the new and refurbished components exceeds 75 percent of the fixed capital cost of a comparable entirely new facility. An engine that is produced from a previously used engine block does not retain the date of manufacture of the engine in which the engine block was previously used if the engine is produced using all new components except for the engine block. In these cases, the date of manufacture is the date of reconstruction or the date the new engine is produced.

Diesel fuel means any liquid obtained from the distillation of petroleum with a boiling point of approximately 150 to 360 degrees Celsius. One commonly used form is number 2 distillate oil.

Digester gas means any gaseous by-product of wastewater treatment typically formed through the anaerobic decomposition of organic waste materials and composed principally of methane and carbon dioxide (CO₂).

Emergency stationary internal combustion engine means any stationary reciprocating internal combustion engine that meets all of the criteria in paragraphs (1) through (3) of this definition. All emergency stationary ICE must comply with the requirements specified in § 60.4243(d) in order to be considered emergency stationary ICE. If the engine does not comply with the requirements specified in § 60.4243(d), then it is not considered to be an emergency stationary ICE under this subpart.

- (1) The stationary ICE is operated to provide electrical power or mechanical work during an emergency situation. Examples include stationary ICE used to produce power for critical networks or equipment (including power supplied to portions of a facility) when electric power from the local utility (or the normal power source, if the facility runs on its own power production) is interrupted, or stationary ICE used to pump water in the case of fire or flood, etc.
- (2) The stationary ICE is operated under limited circumstances for situations not included in paragraph (1) of this definition, as specified in § 60.4243(d).
- (3) The stationary ICE operates as part of a financial arrangement with another entity in situations not included in paragraph (1) of this definition only as allowed in § 60.4243(d)(3)(i).

Engine manufacturer means the manufacturer of the engine. See the definition of "manufacturer" in this section.

Four-stroke engine means any type of engine which completes the power cycle in two crankshaft revolutions, with intake and compression strokes in the first revolution and power and exhaust strokes in the second revolution.

Freshly manufactured engine means an engine that has not been placed into service. An engine becomes freshly manufactured when it is originally produced.

Gasoline means any fuel sold in any State for use in motor vehicles and motor vehicle engines, or nonroad or stationary engines, and commonly or commercially known or sold as gasoline.

Installed means the engine is placed and secured at the location where it is intended to be operated.

Landfill gas means a gaseous by-product of the land application of municipal refuse typically formed through the anaerobic decomposition of waste materials and composed principally of methane and CO₂.

Lean burn engine means any two-stroke or four-stroke spark ignited engine that does not meet the definition of a rich burn engine.

Liquefied petroleum gas means any liquefied hydrocarbon gas obtained as a by-product in petroleum refining or natural gas production.

Manufacturer has the meaning given in section 216(1) of the Clean Air Act. In general, this term includes any person who manufactures a stationary engine for sale in the United States or otherwise introduces a new stationary engine into commerce in the United States. This includes importers who import stationary engines for resale.

Maximum engine power means maximum engine power as defined in 40 CFR 1048.801.

Model year means the calendar year in which an engine is manufactured (see "date of manufacture"), except as follows:

- (1) Model year means the annual new model production period of the engine manufacturer in which an engine is manufactured (see "date of manufacture"), if the annual new model production period is different than the calendar year and includes January 1 of the calendar year for which the model year is named. It may not begin before January 2 of the previous calendar year and it must end by December 31 of the named calendar year.
- (2) For an engine that is converted to a stationary engine after being placed into service as a nonroad or other non-stationary engine, model year means the calendar year or new model production period in which the engine was manufactured (see "date of manufacture").

Natural gas means a naturally occurring mixture of hydrocarbon and non-hydrocarbon gases found in geologic formations beneath the Earth's surface, of which the principal constituent is methane. Natural gas may be field or pipeline quality.

Other internal combustion engine means any internal combustion engine, except combustion turbines, which is not a reciprocating internal combustion engine or rotary internal combustion engine.

Pipeline-quality natural gas means a naturally occurring fluid mixture of hydrocarbons (e.g., methane, ethane, or propane) produced in geological formations beneath the Earth's surface that maintains a gaseous state at standard atmospheric temperature and pressure under ordinary conditions, and which is provided by a supplier through a pipeline. Pipeline-quality natural gas must either be composed of at least 70 percent methane by volume or have a gross calorific value between 950 and 1,100 British thermal units per standard cubic foot.

Rich burn engine means any four-stroke spark ignited engine where the manufacturer's recommended operating air/fuel ratio divided by the stoichiometric air/fuel ratio at full load conditions is less than or equal to 1.1. Engines originally manufactured as rich burn engines, but modified prior to June 12, 2006, with passive emission control technology for NO_x (such as pre-combustion chambers) will be considered lean burn engines. Also, existing engines where there are no manufacturer's recommendations regarding air/fuel ratio will be considered a rich burn engine if the excess oxygen content of the exhaust at full load conditions is less than or equal to 2 percent.

Rotary internal combustion engine means any internal combustion engine which uses rotary motion to convert heat energy into mechanical work.

Spark ignition means relating to either: a gasoline-fueled engine; or any other type of engine with a spark plug (or other sparking device) and with operating characteristics significantly similar to the theoretical Otto combustion cycle. Spark ignition engines usually use a throttle to regulate intake air flow to control power during normal operation. Dual-fuel engines in which a liquid fuel (typically diesel fuel) is used for compression ignition and gaseous fuel (typically natural gas) is used as the primary fuel at an annual average ratio of less than 2 parts diesel fuel to 100 parts total fuel on an energy equivalent basis are spark ignition engines.

Stationary internal combustion engine means any internal combustion engine, except combustion turbines, that converts heat energy into mechanical work and is not mobile. Stationary ICE differ from mobile ICE in that a stationary internal combustion engine is not a nonroad engine as defined at 40 CFR 1068.30 (excluding paragraph (2)(ii) of that definition), and is not used to propel a motor vehicle, aircraft, or a vehicle used solely for competition. Stationary ICE include reciprocating ICE, rotary ICE, and other ICE, except combustion turbines.

Stationary internal combustion engine test cell/stand means an engine test cell/stand, as defined in 40 CFR part 63, subpart P, that tests stationary ICE.

Stoichiometric means the theoretical air-to-fuel ratio required for complete combustion.

Subpart means 40 CFR part 60, subpart JJJJ.

Two-stroke engine means a type of engine which completes the power cycle in single crankshaft revolution by combining the intake and compression operations into one stroke and the power and exhaust operations into a second stroke. This system requires auxiliary scavenging and inherently runs lean of stoichiometric.

Volatile organic compounds means volatile organic compounds as defined in 40 CFR 51.100(s).

Voluntary certification program means an optional engine certification program that manufacturers of stationary SI internal combustion engines with a maximum engine power greater than 19 KW (25 HP) that do not use gasoline and are not rich burn engines that use LPG can choose to participate in to certify their engines to the emission standards in § 60.4231(d) or (e), as applicable.

[73 FR 3591, Jan. 18, 2008, as amended at 73 FR 59177, Oct. 8, 2008; 76 FR 37974, June 28, 2011; 78 FR 6698, Jan. 30, 2013; 86 FR 34363, June 29, 2021; 87 FR 48606, Aug. 10, 2022]

Table 1 to Subpart JJJJ of Part 60—NO_x, CO, and VOC Emission Standards for Stationary Non-Emergency SI Engines ≥100 HP (Except Gasoline and Rich Burn LPG), Stationary SI Landfill/Digester Gas Engines, and Stationary Emergency Engines >25 HP

Engine type and fuel	Maximum engine power	Manufacture date	Emission standards ^a					
			g/HP-hr			ppmvd at 15% O ₂		
			NO _x	CO	VOC ^d	NO _x	CO	VOC ^d
Non-Emergency SI Natural Gas ^b and Non-Emergency SI Lean Burn LPG ^b	100≤HP<500	7/1/2008	2.0	4.0	1.0	160	540	86
		1/1/2011	1.0	2.0	0.7	82	270	60
Non-Emergency SI Lean Burn Natural Gas and LPG	500≤HP<1,350	1/1/2008	2.0	4.0	1.0	160	540	86
		7/1/2010	1.0	2.0	0.7	82	270	60
Non-Emergency SI Natural Gas and Non-Emergency SI Lean Burn LPG (except lean burn 500≤HP<1,350)	HP≥500	7/1/2007	2.0	4.0	1.0	160	540	86
		7/1/2010	1.0	2.0	0.7	82	270	60
Landfill/Digester Gas (except lean burn 500≤HP<1,350)	HP<500	7/1/2008	3.0	5.0	1.0	220	610	80
		1/1/2011	2.0	5.0	1.0	150	610	80
	HP≥500	7/1/2007	3.0	5.0	1.0	220	610	80
		7/1/2010	2.0	5.0	1.0	150	610	80
	500≤HP<1,350	1/1/2008	3.0	5.0	1.0	220	610	80
		7/1/2010	2.0	5.0	1.0	150	610	80
Landfill/Digester Gas Lean Burn	500≤HP<1,350	1/1/2008	3.0	5.0	1.0	220	610	80
		7/1/2010	2.0	5.0	1.0	150	610	80
Emergency	25<HP<130	1/1/2009	^c 10	387	N/A	N/A	N/A	N/A
	HP≥130		2.0	4.0	1.0	160	540	86

^a Owners and operators of stationary non-certified SI engines may choose to comply with the emission standards in units of either g/HP-hr or ppmvd at 15 percent O₂.

^b Owners and operators of new or reconstructed non-emergency lean burn SI stationary engines with a site rating of greater than or equal to 250 brake HP located at a major source that are meeting the requirements of 40 CFR part 63, subpart ZZZZ, Table 2a do not have to comply with the CO emission standards of Table 1 of this subpart.

^c The emission standards applicable to emergency engines between 25 HP and 130 HP are in terms of NO_x + HC.

^d For purposes of this subpart, when calculating emissions of volatile organic compounds, emissions of formaldehyde should not be included.

[76 FR 37975, June 28, 2011]

Table 2 to Subpart JJJJ of Part 60—Requirements for Performance Tests

As stated in § 60.4244, you must comply with the following requirements for performance tests within 10 percent of 100 percent peak (or the highest achievable) load].

For each	Complying with the requirement to	You must	Using	According to the following requirements
1. Stationary SI internal combustion engine demonstrating compliance according to § 60.4244	a. Limit the concentration of NO _x in the stationary SI internal combustion engine exhaust	<p>i. Select the sampling port location and the number/ location of traverse points at the exhaust of the stationary internal combustion engine;</p> <p>ii. Determine the O₂</p>	<p>(1) Method 1 or 1A of 40 CFR part 60, appendix A-1, if measuring flow rate</p> <p>(2) Method 3, 3A, or 3B^b of</p>	<p>(a) Alternatively, for NO_x, O₂, and moisture measurement, ducts ≤6 inches in diameter may be sampled at a single point located at the duct centroid and ducts >6 and ≤12 inches in diameter may be sampled at 3 traverse points located at 16.7, 50.0, and 83.3% of the measurement line ('3-point long line'). If the duct is >12 inches in diameter <i>and</i> the sampling port location meets the two and half-diameter criterion of Section 11.1.1 of Method 1 of 40 CFR part 60, Appendix A, the duct may be sampled at '3-point long line'; otherwise, conduct the stratification testing and select sampling points according to Section 8.1.2 of Method 7E of 40 CFR part 60, Appendix A.</p> <p>(b) Measurements to determine O₂ concentration must be made at the</p>

^a Also, you may petition the Administrator for approval to use alternative methods for portable analyzer.

^b You may use ASME PTC 19.10-1981, Flue and Exhaust Gas Analyses, for measuring the O₂ content of the exhaust gas as an alternative to EPA Method 3B. AMSE PTC 19.10-1981 incorporated by reference, see 40 CFR 60.17

^c You may use EPA Method 18 of 40 CFR part 60, appendix A-6, provided that you conduct an adequate pre-survey test prior to the emissions test, such as the one described in OTM 11 on EPA's website (<http://www.epa.gov/ttn/emc/prelim/otm11.pdf>).

^d Incorporated by reference; see 40 CFR 60.17.

^e You must meet the requirements in § 60.4245(d).

For each	Complying with the requirement to	You must	Using	According to the following requirements
		concentration of the stationary internal combustion engine exhaust at the sampling port location; iii. If necessary, determine the exhaust flowrate of the stationary internal combustion engine exhaust; iv. If necessary, measure moisture content of the stationary internal combustion engine exhaust at the sampling port location;	40 CFR part 60, appendix A-2 or ASTM Method D6522-00 (Reapproved 2005) ^{a d} (3) Method 2 or 2C of 40 CFR part 60, appendix A-1 or Method 19 of 40 CFR part 60, appendix A-7 (4) Method 4 of 40 CFR part 60, appendix A-3, Method 320 of 40 CFR part 63, appendix A, ^e or ASTM Method D6348-03 ^{d e}	same time as the measurements for NO _x concentration. (c) Measurements to determine the exhaust flowrate must be made (1) at the same time as the measurement for NO _x concentration or, alternatively (2) according to the option in Section 11.1.2 of Method 1A of 40 CFR part 60, Appendix A-1, if applicable. (d) Measurements to determine moisture must be made at the same time as the measurement for NO _x concentration.

^a Also, you may petition the Administrator for approval to use alternative methods for portable analyzer.

^b You may use ASME PTC 19.10-1981, Flue and Exhaust Gas Analyses, for measuring the O₂ content of the exhaust gas as an alternative to EPA Method 3B. AMSE PTC 19.10-1981 incorporated by reference, see 40 CFR 60.17

^c You may use EPA Method 18 of 40 CFR part 60, appendix A-6, provided that you conduct an adequate pre-survey test prior to the emissions test, such as the one described in OTM 11 on EPA's website (<http://www.epa.gov/ttn/emc/prelim/otm11.pdf>).

^d Incorporated by reference; see 40 CFR 60.17.

^e You must meet the requirements in § 60.4245(d).

For each	Complying with the requirement to	You must	Using	According to the following requirements
		and v. Measure NO _x at the exhaust of the stationary internal combustion engine; if using a control device, the sampling site must be located at the outlet of the control device	(5) Method 7E of 40 CFR part 60, appendix A-4, ASTM Method D6522-00 (Reapproved 2005), ^{a d} Method 320 of 40 CFR part 63, appendix A, ^e or ASTM Method D6348-03 ^{d e}	(e) Results of this test consist of the average of the three 1-hour or longer runs.
	b. Limit the concentration of CO in the stationary SI internal combustion engine exhaust	i. Select the sampling port location and the number/ location of traverse points at the exhaust of the stationary internal combustion engine;	(1) Method 1 or 1A of 40 CFR part 60, appendix A-1, if measuring flow rate	(a) Alternatively, for CO, O ₂ , and moisture measurement, ducts ≤6 inches in diameter may be sampled at a single point located at the duct centroid and ducts >6 and ≤12 inches in diameter may be sampled at 3 traverse points located at 16.7, 50.0, and 83.3% of the measurement line ('3-point long line'). If the duct is >12 inches in diameter <i>and</i> the sampling port location meets the two and half-diameter criterion of Section 11.1.1 of Method 1 of 40 CFR part 60,

^a Also, you may petition the Administrator for approval to use alternative methods for portable analyzer.

^b You may use ASME PTC 19.10-1981, Flue and Exhaust Gas Analyses, for measuring the O₂ content of the exhaust gas as an alternative to EPA Method 3B. AMSE PTC 19.10-1981 incorporated by reference, see 40 CFR 60.17

^c You may use EPA Method 18 of 40 CFR part 60, appendix A-6, provided that you conduct an adequate pre-survey test prior to the emissions test, such as the one described in OTM 11 on EPA's website (<http://www.epa.gov/ttn/emc/prelim/otm11.pdf>).

^d Incorporated by reference; see 40 CFR 60.17.

^e You must meet the requirements in § 60.4245(d).

For each	Complying with the requirement to	You must	Using	According to the following requirements
		<p>ii. Determine the O₂ concentration of the stationary internal combustion engine exhaust at the sampling port location;</p> <p>iii. If necessary, determine the exhaust flowrate of the stationary internal combustion engine exhaust;</p> <p>iv. If necessary, measure</p>	<p>(2) Method 3, 3A, or 3B^b of 40 CFR part 60, appendix A-2 or ASTM Method D6522-00 (Reapproved 2005)^{a d}</p> <p>(3) Method 2 or 2C of 40 CFR 60, appendix A-1 or Method 19 of 40 CFR part 60, appendix A-7</p> <p>(4) Method 4 of 40 CFR part 60,</p>	<p>Appendix A, the duct may be sampled at '3-point long line'; otherwise, conduct the stratification testing and select sampling points according to Section 8.1.2 of Method 7E of 40 CFR part 60, Appendix A.</p> <p>(b) Measurements to determine O₂ concentration must be made at the same time as the measurements for CO concentration.</p> <p>(c) Measurements to determine the exhaust flowrate must be made (1) at the same time as the measurement for CO concentration or, alternatively (2) according to the option in Section 11.1.2 of Method 1A of 40 CFR part 60, Appendix A-1, if applicable.</p> <p>(d) Measurements to determine moisture must be made at the same time as the measurement for</p>

^a Also, you may petition the Administrator for approval to use alternative methods for portable analyzer.

^b You may use ASME PTC 19.10-1981, Flue and Exhaust Gas Analyses, for measuring the O₂ content of the exhaust gas as an alternative to EPA Method 3B. AMSE PTC 19.10-1981 incorporated by reference, see 40 CFR 60.17

^c You may use EPA Method 18 of 40 CFR part 60, appendix A-6, provided that you conduct an adequate pre-survey test prior to the emissions test, such as the one described in OTM 11 on EPA's website (<http://www.epa.gov/ttn/emc/prelim/otm11.pdf>).

^d Incorporated by reference; see 40 CFR 60.17.

^e You must meet the requirements in § 60.4245(d).

^a Also, you may petition the Administrator for approval to use alternative methods for portable analyzer.

^b You may use ASME PTC 19.10-1981, Flue and Exhaust Gas Analyses, for measuring the O₂ content of the exhaust gas as an alternative to EPA Method 3B. AMSE PTC 19.10-1981 incorporated by reference, see 40 CFR 60.17

^c You may use EPA Method 18 of 40 CFR part 60, appendix A-6, provided that you conduct an adequate pre-survey test prior to the emissions test, such as the one described in OTM 11 on EPA's website (<http://www.epa.gov/ttn/emc/prelim/otm11.pdf>).

^d Incorporated by reference; see 40 CFR 60.17.

^e You must meet the requirements in § 60.4245(d).

^a Also, you may petition the Administrator for approval to use alternative methods for portable analyzer.

^b You may use ASME PTC 19.10-1981, Flue and Exhaust Gas Analyses, for measuring the O₂ content of the exhaust gas as an alternative to EPA Method 3B. AMSE PTC 19.10-1981 incorporated by reference, see 40 CFR 60.17

^c You may use EPA Method 18 of 40 CFR part 60, appendix A-6, provided that you conduct an adequate pre-survey test prior to the emissions test, such as the one described in OTM 11 on EPA's website (<http://www.epa.gov/ttn/emc/prelim/otm11.pdf>).

^d Incorporated by reference; see 40 CFR 60.17.

^e You must meet the requirements in § 60.4245(d).

For each	Complying with the requirement to	You must	Using	According to the following requirements
		<p>flowrate of the stationary internal combustion engine exhaust;</p> <p>iv. If necessary, measure moisture content of the stationary internal combustion engine exhaust at the sampling port location; and</p> <p>v. Measure VOC at the exhaust of the stationary internal combustion engine; if using a control device, the sampling site must be</p>	<p>or Method 19 of 40 CFR part 60, appendix A-7</p> <p>(4) Method 4 of 40 CFR part 60, appendix A-3, Method 320 of 40 CFR part 63, appendix A,^e or ASTM Method D6348-03^{d e}</p> <p>(5) Methods 25A and 18 of 40 CFR part 60, appendices A-6 and A-7, Method 25A with the use of a hydrocarbon cutter as described in</p>	<p>concentration or, alternatively (2) according to the option in Section 11.1.2 of Method 1A of 40 CFR part 60, Appendix A-1, if applicable.</p> <p>(d) Measurements to determine moisture must be made at the same time as the measurement for VOC concentration.</p> <p>(e) Results of this test consist of the average of the three 1-hour or longer runs.</p>

^a Also, you may petition the Administrator for approval to use alternative methods for portable analyzer.

^b You may use ASME PTC 19.10-1981, Flue and Exhaust Gas Analyses, for measuring the O₂ content of the exhaust gas as an alternative to EPA Method 3B. AMSE PTC 19.10-1981 incorporated by reference, see 40 CFR 60.17

^c You may use EPA Method 18 of 40 CFR part 60, appendix A-6, provided that you conduct an adequate pre-survey test prior to the emissions test, such as the one described in OTM 11 on EPA's website (<http://www.epa.gov/ttn/emc/prelim/otm11.pdf>).

^d Incorporated by reference; see 40 CFR 60.17.

^e You must meet the requirements in § 60.4245(d).

For each	Complying with the requirement to	You must	Using	According to the following requirements
		located at the outlet of the control device	40 CFR 1065.265, Method 18 of 40 CFR part 60, appendix A-6, ^{c e} Method 320 of 40 CFR part 63, appendix A, ^e or ASTM Method D6348-03 ^{d e}	

^a Also, you may petition the Administrator for approval to use alternative methods for portable analyzer.

^b You may use ASME PTC 19.10-1981, Flue and Exhaust Gas Analyses, for measuring the O₂ content of the exhaust gas as an alternative to EPA Method 3B. AMSE PTC 19.10-1981 incorporated by reference, see 40 CFR 60.17

^c You may use EPA Method 18 of 40 CFR part 60, appendix A-6, provided that you conduct an adequate pre-survey test prior to the emissions test, such as the one described in OTM 11 on EPA's website (<http://www.epa.gov/ttn/emc/prelim/otm11.pdf>).

^d Incorporated by reference; see 40 CFR 60.17.

^e You must meet the requirements in § 60.4245(d).

[85 FR 63408, Oct. 7, 2020]

Table 3 to Subpart JJJJ of Part 60—Applicability of General Provisions to Subpart JJJJ

[AS STATED IN § 60.4246, YOU MUST COMPLY WITH THE FOLLOWING APPLICABLE GENERAL PROVISIONS]

General provisions citation	Subject of citation	Applies to subpart	Explanation
§ 60.1	General applicability of the General Provisions	Yes	

General provisions citation	Subject of citation	Applies to subpart	Explanation
§ 60.2	Definitions	Yes	Additional terms defined in § 60.4248.
§ 60.3	Units and abbreviations	Yes	
§ 60.4	Address	Yes	
§ 60.5	Determination of construction or modification	Yes	
§ 60.6	Review of plans	Yes	
§ 60.7	Notification and Recordkeeping	Yes	Except that § 60.7 only applies as specified in § 60.4245.
§ 60.8	Performance tests	Yes	Except that § 60.8 only applies to owners and operators who are subject to performance testing in subpart JJJJ.
§ 60.9	Availability of information	Yes	Requirements are specified in subpart JJJJ.
§ 60.10	State Authority	Yes	
§ 60.11	Compliance with standards and maintenance requirements	Yes	
§ 60.12	Circumvention	Yes	
§ 60.13	Monitoring requirements	No	
§ 60.14	Modification	Yes	
§ 60.15	Reconstruction	Yes	
§ 60.16	Priority list	Yes	
§ 60.17	Incorporations by reference	Yes	
§ 60.18	General control device requirements	No	
§ 60.19	General notification and reporting requirements	Yes	

Table 4 to Subpart JJJJ of Part 60—Applicability of Mobile Source Provisions for Manufacturers Participating in the Voluntary Certification Program and Certifying Stationary SI ICE to Emission Standards in Table 1 of Subpart JJJJ

[AS STATED IN § 60.4247, YOU MUST COMPLY WITH THE FOLLOWING APPLICABLE MOBILE SOURCE PROVISIONS IF YOU ARE A MANUFACTURER PARTICIPATING IN THE VOLUNTARY CERTIFICATION PROGRAM AND CERTIFYING STATIONARY SI ICE TO EMISSION STANDARDS IN TABLE 1 OF SUBPART JJJJ]

Mobile source provisions citation	Subject of citation	Applies to subpart	Explanation
1048 subpart A	Overview and Applicability	Yes	Except for the specific sections below.
1048 subpart B	Emission Standards and Related Requirements	Yes	
1048.101	Exhaust Emission Standards	No	
1048.105	Evaporative Emission Standards	No	Except for the specific sections below.
1048.110	Diagnosing Malfunctions	No	
1048.140	Certifying Blue Sky Series Engines	No	
1048.145	Interim Provisions	No	Except for the specific sections below.
1048 subpart C	Certifying Engine Families	Yes	
1048.205(b)	AECD reporting	Yes	
1048.205(c)	OBD Requirements	No	Except as indicated in 60.4247(c).
1048.205(n)	Deterioration Factors	Yes	
1048.205(p)(1)	Deterioration Factor Discussion	Yes	
1048.205(p)(2)	Liquid Fuels as they require	No	Except as indicated in 60.4247(c).
1048.240(b)(c)(d)	Deterioration Factors	Yes	
1048 subpart D	Testing Production-Line Engines	Yes	
1048 subpart E	Testing In-Use Engines	No	Except as indicated in 60.4247(c).
1048 subpart F	Test Procedures	Yes	
1065.5(a)(4)	Raw sampling (refers reader back to the specific emissions regulation for guidance)	Yes	
1048 subpart G	Compliance Provisions	Yes	Except as indicated in 60.4247(c).
1048 subpart H	Reserved		
1048 subpart I	Definitions and Other Reference Information	Yes	
1048 appendix I	Yes		

Mobile source provisions citation	Subject of citation	Applies to subpart	Explanation
and II			
1065 (all subparts)	Engine Testing Procedures	Yes	Except for the specific section below.
1065.715	Test Fuel Specifications for Natural Gas	No	
1068 (all subparts)	General Compliance Provisions for Nonroad Programs	Yes	Except for the specific sections below.
1068.245	Hardship Provisions for Unusual Circumstances	No	
1068.250	Hardship Provisions for Small-Volume Manufacturers	No	
1068.255	Hardship Provisions for Equipment Manufacturers and Secondary Engine Manufacturers	No	