



June 25, 2018

Arkansas Department of Environmental Quality  
Water Division  
5301 Northshore Drive  
Little Rock, AR 72118-5317  
(501) 682-0744

**Subject: Unimin Corporation Name Change – Guion Facility  
Stormwater General Permit No. ARR00B148  
Wastewater Permit No. AR0001899  
AFIN 33-00002**

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Dear Sir or Madam:

Please accept this correspondence as formal notice that, effective June 1, 2018, Unimin Corporation changed its name from "UNIMIN CORPORATION" to "COVIA HOLDINGS CORPORATION". This name change is administrative and does not reflect a change in control or EIN.

We respectfully request an update to the referenced permits to reflect this administrative change. Supplemental information is enclosed, as required.

Should you have any questions or require additional information, please contact Alex Hebel at [alex.hebel@coviacorp.com](mailto:alex.hebel@coviacorp.com) or (815) 431-2241.

Sincerely,  
COVIA HOLDINGS CORPORATION

A handwritten signature in black ink, appearing to read 'D. Losee', with a horizontal line extending to the right.

Douglas S. Losee  
V.P. Environmental

Enclosures: Permit Transfer Forms

eCopies to:  
Gordon Bull – Plant Manager ([gordon.bull@coviacorp.com](mailto:gordon.bull@coviacorp.com))

**PERMIT TRANSFER FORM**

(Revised 2017)

Please select one of the following options:

A. Permittee (legal name) change

B. Facility name change

C. Responsible official name change

A

B

C

A & B

A & C

B & C

A & B & C

PERMIT NUMBER: AR0001899

**I. CURRENT PERMITTEE INFORMATION**

Permittee (legal name):

Unimin Corporation

Facility Name:

Unimin Corporation - Guion Facility

Responsible Official Name (see Section IV below):

Richard M. Solazzo

Is the permittee identified above, the owner of the facility?

Yes  No

If you mark No, please list the name of the owner:

**II. NEW PERMITTEE INFORMATION**

Permittee (legal name):

Covia Corporation

Facility Name (if different from Permittee Name):

Covia Corporation - Guion Facility

Is the permittee identified above, the owner of the facility?

Yes  No

If you mark No, please list the name of the owner:

Responsible Official Name (see Section IV below):

Douglas S. Losee

Official Title of Responsible Officer:

V.P. Environmental

E-mail:

doug.losee@coviacorp.com

Owner Type:

Permittee Address:

121 St. Andrews Court

STATE

PARTNERSHIP

Permittee City:

Mankato

FEDERAL

CORPORATION

Permittee State:

MN Zip: 56001

SOLE PROPRIETORSHIP

Permittee Telephone No.:

(507) 386 - 2111

Is the new permittee registered with the Arkansas Secretary of State?

Yes  No

If yes, please provide the full name of corporation if different than the legal permittee name listed above.

Facility Mailing Address:

P.O. Box 29

Facility City:

Guion

Facility State:

AR

Zip:

72540-0029

Facility Contact Person Name:

Gordon Bull

Contact Person Title:

Plant Manager

Telephone Number:

(870) 346-5301

Fax Number:

(870) 346-5707

E-mail:

gordon.bull@coviacorp.com

Invoice Contact Person:

Gordon Bull

City:

Guion

Invoice Mailing Address:

P.O. Box 29

State:

AR

Zip:

72540-0029

Invoice Mailing Address:

Telephone:

(870) 346-5301

Cognizant Official Name\*:

Gordon Bull

Cognizant Official Title:

Plant Manager

Telephone Number:

(870) 346-5301

Fax Number:

(870) 346-5707

E-mail:

gordon.bull@coviacorp.com

\* Duly Authorized Representative as outlined in 40 CFR 122.22(b)

ARKANSAS DEPARTMENT OF ENVIRONMENTAL QUALITY

5301 NORTHSHORE DRIVE / NORTH LITTLE ROCK / ARKANSAS 72118-5317 / TELEPHONE 501-682-0744 / FAX 501-682-0880

www.adeq.state.ar.us

**PERMIT TRANSFER FORM**

(Revised 2017)

**III. OWNERSHIP CHANGE AGREEMENT**

Please note you must complete this Section (III.) only if the permit has a new owner or a new ownership.

Please specify the closing date for this transaction: \_\_\_\_\_

**Current Permittee (Seller):** \_\_\_\_\_

Signature of Responsible Corporate Officer: \_\_\_\_\_

Title of Responsible Corporate Officer: \_\_\_\_\_

Printed Name of Responsible Corporate Officer: \_\_\_\_\_

Date: \_\_\_\_\_

**New Permittee (Buyer):** \_\_\_\_\_

Signature of Responsible Corporate Officer: \_\_\_\_\_

Title of Responsible Corporate Officer: \_\_\_\_\_

Printed Name of Responsible Corporate Officer: \_\_\_\_\_

Date: \_\_\_\_\_

**Disclosure Statement:**

Disclosure Statement must be submitted for new permittee. **Disclosure Statement is not required for Stormwater Permits.** The form may be obtained from the ADEQ web site at:

[https://www.adeg.state.ar.us/ADEQ\\_Disclosure\\_Statement.pdf](https://www.adeg.state.ar.us/ADEQ_Disclosure_Statement.pdf)

Is Disclosure Statement enclosed:     Yes     No

**Trust Fund Requirements:**

If this facility is subject to the trust fund requirements (Ark. Code Ann. §8-4-203(b)(1)(B)), the permittee must also submit the ADEQ Trust Fund Requirements form with this transfer form. A form may be obtained from the ADEQ website:

<https://www.adeg.state.ar.us/water/permits/npdes/individual/pdfs/ndstw-trust-fund-certification-form.pdf>

**Land Use Contract:**

For **land application permits** you must submit a new land use contracts for all the sites permitted under the current permit for land application. The new land use contract must be signed by the new permittee and land owner.

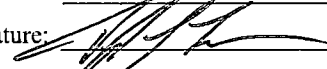
**IV. CERTIFICATION OF NEW PERMITTEE**

"I certify that the cognizant official designated in this Permit Transfer Form (Section II) is qualified to act as a duly authorized representative under the provisions of 40 CFR Part 122.22(b). If no cognizant official has been designated, I understand that the Department will accept reports signed by the applicant. I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."

In addition, I certify that there will be no operational changes that warrant a permit modification. (Please note that if there are changes that warrant a permit modification, then you must submit a complete application, updated plans, design calculations and specifications, and the permit modification fee along with this Ownership Change Form. The transfer may be made effective prior to permit modification.)

Typed or Printed Name: Douglas S. Losee

Title: V.P. Environmental

Signature: 

Date: 6/29/18

ARKANSAS DEPARTMENT OF ENVIRONMENTAL QUALITY

5301 NORTHSORE DRIVE / NORTH LITTLE ROCK / ARKANSAS 72118-5317 / TELEPHONE 501-682-0744 / FAX 501-682-0880

[www.adeg.state.ar.us](http://www.adeg.state.ar.us)

**Archived:** Monday, July 09, 2018 7:51:53 AM

**From:** Pemberton, Layne

**Sent:** Friday, July 06, 2018 11:48:11 AM

**To:** Imamura, Kai

**Cc:** Healey, Richard; Johnson, Miles

**Subject:** RE: ARR00B148 & AR0001899 - Unimin Corporation - Guion Facility - Permit Transfer Request

**Importance:** Normal

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Kai,

ARR00B148 & AR0001899 An inspection conducted 3/17/18 noted several violations, the department received a response on 5/10/18 but the inspection has not been closed. Complaints from 05/11/18 and 05/17/18 proved to be unfounded.

There are no apparent enforcement actions at this time.

Thank you,

Layne Pemberton  
Enforcement Analyst  
Arkansas Department of Environmental Quality  
Enforcement Branch  
Phone: 501- 82-0144  
Fax: 501- 82-0880  
[pemberton\\_lade@adeq.state.ar.us](mailto:pemberton_lade@adeq.state.ar.us)



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**From:** Imamura, Kai

**Sent:** Thursday, July 05, 2018 3:30 PM

**To:** Pemberton, Layne

**Cc:** Healey, Richard; Johnson, Miles

**Subject:** ARR00B148 & AR0001899 - Unimin Corporation - Guion Facility - Permit Transfer Request

Layne,

Are there any enforcement issue permit No. ARR00B148 & AR0001899 Unimin Corporation?  
This is for permit transfer request.

Thanks,

Kai Imamura, E.I.  
Staff Engineer  
Arkansas Department of Environmental Quality  
NPDES Branch, Office of Water Quality  
(501) 682-0045  
[Kai.Imamura@adeq.state.ar.us](mailto:Kai.Imamura@adeq.state.ar.us)

**Archived:** Monday, July 23, 2018 2:31:37 PM  
**From:** Alex Hebel  
**Sent:** Thursday, July 12, 2018 9:00:22 AM  
**To:** Imamura, Kai  
**Cc:** Gordon Bull  
**Subject:** RE: ARR00B148 & AR0001899 - Permit Transfer Form  
**Response requested:** Yes  
**Importance:** Normal  
**Attachments:**  
[GUI\\_2018-07-11\\_Amended\\_Name\\_Change\\_Sbmtl.pdf](#)

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Although a little redundant, here's an update to my previous reply with comments below in red along with the attached amended submittal.

As always, feel free to contact me to discuss.  
Alex Hebel  
(815) 431-2241

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**From:** Imamura, Kai [mailto:Kai.Imamura@adeq.state.ar.us]  
**Sent:** Thursday, July 5, 2018 4:18 PM  
**To:** Alex Hebel <Alex.Hebel@coviacorp.com>  
**Cc:** Gordon Bull <Gordon.Bull@coviacorp.com>  
**Subject:** ARR00B148 & AR0001899 - Permit Transfer Form

Mr. Alex Hebel,

The Department has received a permit transfer request for permit No. ARR00B148 & AR0001899. Attached is the application that I received. There are several clarification that we request:

- These transfer forms are for change in legal and facility name only and the ownership is staying the same?

That is correct, only the legal and facility name are changing. Sibelco remains the owner by being the majority shareholder. Submittal of the Disclosure Statement should also help in understanding the structure. Essentially, Sibelco-owned Unimin Corporation combined with Fairmount Santrol to form Covia Holdings Corporation, still Sibelco-owned.

- The letter wants the permit to be transferred to Covia Holdings Corporation while the forms to Covia Corporation.

My apologies, it should say Covia Holdings Corporation. The documents have been amended and are attached.

- As of today, I do not see Covia Holdings Corporation registered on Arkansas Secretary of State.

Our internal Legal department has still not finished the process with the Arkansas SoS although that is in-progress. If you'd like, I can provide you with that correspondence once complete.

- Please submit a disclosure statement for Covia Holdings Corporation.

I am currently working with our Legal department to gather the required information associated with the Disclosure Statement which will be submitted separately. I'm hoping for next week.

If you have any question, please feel free to contact me. Thanks,

Kai Imamura, E.I.  
Staff Engineer  
Arkansas Department of Environmental Quality  
NPDES Branch, Office of Water Quality  
(501) 682-0045  
[Kai.Imamura@adeq.state.ar.us](mailto:Kai.Imamura@adeq.state.ar.us)

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Please Note Email Address Change: On June 1, 2018, Unimin and Fairmount Santrol merged to form Covia. Please update your contact records to reflect the New Company Name and Email Address Change.

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LLC Member information is now confidential per Act 865 of 2007

Use your browser's back button to return to the Search Results

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For service of process contact the [Secretary of State's office](#).

Corporation Name	COVIA HOLDINGS CORPORATION
Fictitious Names	
Filing #	100072096
Filing Type	Foreign For Profit Corporation
Filed under Act	For Bus Corp; 958 of 1987
Status	Good Standing
Principal Address	
Reg. Agent	THE CORPORATION COMPANY
Agent Address	124 WEST CAPITOL AVENUE SUITE 1900 LITTLE ROCK, AR 72201
Date Filed	07/12/1988
Officers	SEE FILE, Incorporator/Organizer CAMPBELL JONES , President RICHARD SOLAZZO , Secretary ANDREW EICH , Vice-President DOUGLAS ZOVE , Treasurer MEGHAN DEMASI , Controller
Foreign Name	N/A
Foreign Address	
State of Origin	DE

[Purchase a Certificate of Good Standing for this Entity](#)

[Pay Franchise Tax for this corporation](#)

# Delaware

## The First State

*I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "COVIA HOLDINGS CORPORATION" IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE FIRST DAY OF JUNE, A.D. 2018.*

*AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.*

*AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.*



  
Jeffrey W. Bullock, Secretary of State

739808 8300

SR# 20184851224

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 202803588

Date: 06-01-18

# ARKANSAS DEPARTMENT OF ENVIRONMENTAL QUALITY DISCLOSURE STATEMENT

## Instructions for the Completion of this Document:

- A. Individuals, firms or other legal entities with no changes to an ADEQ Disclosure Statement, complete items 1 through 5 and 18.**
- B. Individuals who never submitted an ADEQ Disclosure Statement, complete items 1 through 4, 6, 7, and 16 through 18.**
- C. Firms or other legal entities who never submitted an ADEQ Disclosure Statement, complete 1 through 4, and 6 through 18.**

If Not Submitting by ePortal, Mail Original to:

ADEQ

DISCLOSURE STATEMENT

[List Proper Division(s)]

5301 Northshore Drive

North Little Rock, AR 72118-5317

1. APPLICANT: (Full Name)

Covia Holdings Corporation

2. MAILING ADDRESS: (Number and Street, P.O.Box Or Rural Route)

121 St. Andrews Court

3. CITY, STATE, AND ZIPCODE:

Mankato, MN 56001

4a. Applicant Type:

Individual  Corporate or Other Entity

4b. Reason for Submission:

Permit  License  Certification  Operational Authority

New Application  Modification  Renewal Application (If no changes from previous disclosure statement, complete number 5 and 18.)

4c. Programs:

Air  Water  Hazardous Waste  Regulated Storage Tank  Mining  Solid Waste  Used Tire Program

5. Declaration of No Changes:

The violation history, experience and credentials, involvement in current or pending environmental lawsuits, civil and criminal, have not changed since the last Disclosure Statement that was filed with ADEQ on \_\_\_\_\_

6. Describe the experience and credentials of the Applicant, including the receipt of any past or present permits, licenses, certifications or operational authorization relating to environmental regulation. (Attach additional pages, if necessary.)

Covia Holdings Corporation is the combination between Unimin Corporation and Fairmount Santrol. It has an Environmental department staffed with professionals who handle permitting, auditing, and training. U.S. permitting includes surface mining, NPDES, air (Title V, synthetic minor and true minor), radiation, water appropriation, Army Corps 404 and local Conditional Use Permits. Covia also has UST's registered, AST's permitted and registered, as well as storm water and SPCC plans.

7. List and explain all civil or criminal legal actions by government agencies involving environmental protection laws or regulations against the Applicant \* in the last ten (10) years including:

1. Administrative enforcement actions resulting in the imposition of sanctions;
2. Permit or license revocations or denials issued by any state or federal authority;
3. Actions that have resulted in a finding or a settlement of a violation; and
4. Pending actions.

(Attach additional pages, if necessary.)

(See Schedule K - Attached)

\* Firms or other legal entities shall also include this information for all persons and legal entities identified in sections 8-16 of this Disclosure Statement.

**8. List all officers of the Applicant. (add additional pages, if necessary.)**

NAME: SEE SCHEDULE A (Attached) TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

**9. List all directors of the Applicant. (Add additional pages, if necessary.)**

NAME: SEE SCHEDULE B (Attached) TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

**10. List all partners of the Applicant. (Add additional pages, if necessary.)**

NAME: Not Applicable TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

**11. List all persons employed by the Applicant in a supervisory capacity or with authority over operations of the facility subject to this application.**

NAME: SEE SCHEDULE D (Attached) TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

**12. List all persons or legal entities, who own or control more than five percent (5%) of the Applicant's debt or equity.**

NAME: SCR-Sibelco N.V. TITLE: \_\_\_\_\_

STREET: Plantin en Moretuslei 1A

CITY, STATE, ZIP: 2018 Antwerpen, Belgium

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

**13. List all legal entities, in which the Applicant holds a debt or equity interest of more than five percent (5%).**

NAME: SEE SCHEDULE G (Attached) TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

**14. List any parent company of the Applicant. Describe the parent company's ongoing organizational relationship with the Applicant.**

NAME: Not Applicable

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

**Organizational Relationship:**

**15. List any subsidiary of the Applicant. Describe the subsidiary's ongoing organizational relationship with the Applicant.**

NAME: SEE SCHEDULE G (Attached)

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

**Organizational Relationship:**

16. List any person who is not now in compliance or has a history of noncompliance with the environmental law or regulations of this state or any other jurisdiction and who through relationship by blood or marriage or through any other relationship could be reasonably expected to significantly influence the Applicant in a manner which could adversely affect the environment.

NAME: NONE TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_

STREET: \_\_\_\_\_

CITY, STATE, ZIP: \_\_\_\_\_

17. List all federal environmental agencies and any other environmental agencies outside this state that have or have had regulatory responsibility over the Applicant.

SEE SCHEDULE L (Attached)

## 18. VERIFICATION AND ACKNOWLEDGEMENT

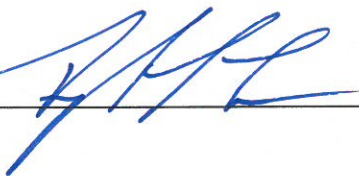
The Applicant agrees to provide any other information the director of the Arkansas Department of Environmental Quality may require at any time to comply with the provisions of the Disclosure Law and any regulations promulgated thereto. The Applicant further agrees to provide the Arkansas Department of Environmental Quality with any changes, modifications, deletions, additions or amendments to any part of this Disclosure Statement as they occur by filing an amended Disclosure Statement.

**DELIBERATE FALSIFICATION OR OMISSION OF RELEVANT INFORMATION FROM DISCLOSURE STATEMENTS SHALL BE GROUNDS FOR CIVIL OR CRIMINAL ENFORCEMENT ACTION OR ADMINISTRATIVE DENIAL OF A PERMIT, LICENSE, CERTIFICATION OR OPERATIONAL AUTHORIZATION.**

**COMPLETE THIS SECTION ONLY IF SUBMITTING OTHER THAN BY EPORTAL:**

I, Douglas S. Losee, certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fines and imprisonment for knowing violation.

APPLICANT  
SIGNATURE: \_\_\_\_\_



TITLE: Vice President - Environmental

DATE: 10/23/18

## SCHEDULE K

On March 21, 2007, Unimin entered into an Agreed Order with the Mississippi Department of Environmental Quality for alleged violation of failure to conduct concurrent reclamation. To resolve the issue Unimin agreed to increase the reclamation bond amounts on the outstanding permits, and to commit to an accelerated reclamation schedule.

On May 31, 2007, Unimin entered into an Agreed Order with the Indiana Department of Environmental Management to resolve alleged fugitive emission violations, and the failure to document calibration of monitoring devices. Unimin agreed to pay a \$3,800 fine.

On September 29, 2007, Unimin received an Administrative Order from the New Jersey Department of Environmental Protection alleging operating a stationary source without a permit (a sand dredge), and various reporting violations. That Administrative Order was amended by the Department in 2010 to reflect that all violations were withdrawn except the pressure drop violations (related to the wet scrubber and baghouse). Ultimately, on January 6, 2011 a Settlement Agreement was executed and Unimin agreed to pay a penalty of \$560.00.

On December 7, 2010 the Illinois Department of Natural Resources affirmed a violation related to a failure to control ground vibration during blasting and assessed a \$450.00 civil penalty.

On February 9, 2011, Texas Commission on Environmental Quality approved an Agreed Order with Unimin for alleged industrial waste discharges that exceeded the permitted effluent limits. The allegations were resolved and Unimin paid an administrative penalty of \$7,056.

On November 19, 2012, the New Jersey Department of Environmental Protection issued an Administrative Order and Notice of Civil Administrative Penalty Assessment relating to the alleged failure to annually inspect and adjust as necessary the combustion process. The Department assessed a \$2000 penalty.

On March 4, 2015, Texas Commission on Environmental Quality approved an Agreed Order for alleged failure to comply with the maximum contaminant level for nitrates. Unimin agreed to pay a \$660 fine which was deferred contingent on satisfaction of the terms of the Agreed Order; execution of those terms/activities is underway and on schedule.

On August 26, 2016 the New Jersey Department of Environmental Protection executed a Settlement Agreement with Unimin Corporation for alleged operation of a wet scrubber for a dryer outside the permitted flow rate. Unimin agreed to pay a \$750 penalty.

On March 7, 2017, the Alabama Department of Environmental Management executed a Consent Order with Unimin Lime LCC for alleged failure to operate a control device so as to minimize the emissions. Unimin agreed to pay a \$12,000 penalty.

[Please note that this response is limited to the United States.]

**Covia Holdings Corporation**

**Officers**

Jenniffer D. Deckard	President, Chief Executive Officer	3 Summit Park Drive, Suite 700 Independence, OH 44131
Gerald L. Clancey	Executive VP, Chief Commercial Officer	3 Summit Park Drive, Suite 700 Independence, OH 44131
Andrew D. Eich	Executive VP, Chief Financial Officer	258 Elm Street, New Canaan, CT 06840
Campbell J. Jones	Executive VP, Chief Operating Officer	258 Elm Street, New Canaan, CT 06840
Brian J. Richardson	Executive VP, Chief Administrative Officer & Secretary	3 Summit Park Drive, Suite 700 Independence, OH 44131
Mark Barrus	Vice President/Tax	3 Summit Park Drive, Suite 700 Independence, OH 44131
Meghan DeMasi	Vice President, Accounting & Controls	258 Elm Street, New Canaan, CT 06840
Eric Sherman	Assistant Secretary	258 Elm Street, New Canaan, CT 06840
Matthew Pollack	Assistant Secretary	3 Summit Park Drive, Suite 700 Independence, OH 44131
Open	General Counsel	
Rob Hauzie	Vice President/Information Technology	3 Summit Park Drive, Suite 700 Independence, OH 44131

Keith Feicks	Vice President/Compensation & Benefits	3 Summit Park Drive, Suite 700 Independence, OH 44131
Richard Solazzo	Vice President, Deputy General Counsel	258 Elm Street New Canaan, CT 06840
Jennifer Fox	Vice President/Human Resources	258 Elm Street New Canaan, CT 06840
Indrani Egleston	Vice President/Financial Planning & Analysis	3 Summit Park Drive, Suite 700 Independence, OH 44131
Michelle Pezanoski	Vice President/Accounting	3 Summit Park Drive, Suite 700 Independence, OH 44131
Michael Biehl	Executive Vice President	3 Summit Park Drive, Suite 700 Independence, OH 44131
George Magaud	Executive Vice President, Chief Strategy Officer	3 Summit Park Drive, Suite 700 Independence, OH 44131
Bob Larson	Senior Vice President/Engineering	3 Summit Park Drive, Suite 700 Independence, OH 44131
Dan Gerber	Senior Vice President/Operations	3 Summit Park Drive, Suite 700 Independence, OH 44131
Cameron Berry	Senior Vice President/Supply Chain	2002 Timberloch Place Suite 450 The Woodlands, TX 77380
Steve Westmoreland	Vice President/Operations	121 St. Andrews Ct. Mankato, MN 56001
Scott Atkins	Vice President/Operations	121 St. Andrews Ct. Mankato, MN 56001

Joaquin Duran	Vice President & General Director	Grupo Materias Primas Materias Primas Monterrey S de R.L. de C.V. Loma Larga No. 2621 Col. Obispado Monterrey, Nuevo Leon MX 64060
Andrew O'Brien	Vice President/Safety & Health	48 West Boscawen Street Winchester, VA 22601
Douglas Losee	Vice President/Environmental Affairs	121 St. Andrews Ct. Mankato, MN 56001
Steve Lind	Vice President/Quality	3 Summit Park Drive, Suite 700 Independence, OH 44131
Jack Miraval	Vice President/Procurement	258 Elm Street New Canaan, CT 06840
Charles Collins	Vice President/Operations Strategy	121 St. Andrews Ct. Mankato, MN 56001
Open	Senior Vice President/Industrial	
Stan Albaugh	Senior Vice President/Energy	3 Summit Park Drive, Suite 700 Independence, OH 44131
Mark Oskam	Senior Vice President/Strategy & Development	258 Elm Street New Canaan, CT 06840
Janet Kilbane	Vice President/Commercial Services	258 Elm Street New Canaan, CT 06840
Mark Styers	Vice President/Sales – Key Accounts	3 Summit Park Drive, Suite 700 Independence, OH 44131
Lia Sedillos	Vice President/Technical Sales	3 Summit Park Drive, Suite 700 Independence, OH 44131
Joe Migyanko	Vice President/Sales	Rivertech Office Works 3810 South Water Street Pittsburgh, PA 15203

Erik Nystrom	Vice President/Marketing	2002 Timberloch Place Suite 450 The Woodlands, TX 77380
Mike Lenahan	Vice President/Sales	3 Summit Park Drive, Suite 700 Independence, OH 44131
Bryan Aown	Vice President/Marketing	3 Summit Park Drive, Suite 700 Independence, OH 44131
Greg Mullet	Vice President/Sales	Home Office
Manuel Garza	Vice President/Sales	Grupo Materias Primas Materias Primas Monterrey S de R.L. de C.V. Loma Larga No. 2621 Col. Obispado Monterrey, Nuevo Leon MX 64060
Vinay Mehta	Vice President/R&D	3 Summit Park Drive, Suite 700 Independence, OH 44131
Eric Westbrook	Vice President/R&D Services	2002 Timberloch Place Suite 450 The Woodlands, TX 77380

August 2, 2018

**Covia Holdings Corporation - Board of Directors**

Richard A. Navarre
Jennifer D. Deckard
William E. Conway
Charles D. Fowler
Stephen J. Hadden
William P. Kelly
Matthew F. LeBaron
Jeffrey B. Scofield
Jean-Pierre Labroue
Jean-Luc Deleersnyder
Kurt Decat
Michel Delloye
Olivier Lambrechts

August 2, 2018

SCHEDULE D

SUPERVISORY EMPLOYEES

<u>Name</u>	<u>Title</u>	<u>Address</u>
Jennifer Deckard	President/Chief Executive Officer	3 Summit Park Drive Independence, OH 44131
Campbell Jones	Chief Operating Officer	121 E. Andrews Court Mankato, MN 56001
William McTee	Regional General Manager	121 E. Andrews Court Mankato, MN 56001
Mike Smith	Gen. Mngr. Resin Manufacturing	3400 Woodhaven Dr. Midland, TX 79707
Gordon Bull	Plant Manager	P.O. Box 29 Guion, AR 72540
Mike Foster	Plant Superintendent (resin)	P.O. Box 29 Guion, AR 72540
Bill Savell	Production & Shipping Supervisor	P.O. Box 29 Guion, AR 72540
Marc Sanford	Production & Shipping Supervisor	P.O. Box 29 Guion, AR 72540
George Rainey	Production & Shipping Supervisor	P.O. Box 29 Guion, AR 72540
Kenneth Wheeley	Production/Mobile Equipment Maintenance Supervisor	P.O. Box 29 Guion, AR 72540
Jerry Dale	Production & Shipping Supervisor	P.O. Box 29 Guion, AR 72540
Mike Holder	Maintenance Superintendent	P.O. Box 29 Guion, AR 72540
Jerry Frazier	Purchasing/Warehouse Supervisor	P.O. Box 29 Guion, AR 72540
Eddie Haley	Maintenance Supervisor	P.O. Box 29 Guion, AR 72540

## SCHEDULE D

Daniel Delgado	Continuous Improvement Manager	P.O. Box 29 Guion, AR 72540
Gary Dale	Drilling& Blasting Supervisor	P.O. Box 29 Guion, AR 72540
Michael (Richard) Starr	Mine Supervisor	P.O. Box 29 Guion, AR 72540
Marc Sanford	Production & Shipping Supervisor	P.O. Box 29 Guion, AR 72540
Jerry Dale	Production & Shipping Supervisor	P.O. Box 29 Guion, AR 72540
Bill Savell	Production & Shipping Supervisor	P.O. Box 29 Guion, AR 72540
Donnie Attaway	Production & Shipping Supervisor	P.O. Box 29 Guion, AR 72540
Jesse McIntyre	Maintenance Planner	P.O. Box 29 Guion, AR 72540

As of October 23, 2018

**SCHEDULE 4.02  
TO CREDIT AND GUARANTY AGREEMENT**

**Equity Interests and Ownership**

Ownership Interests:

**Covia Holdings Corporation (f/k/a Unimin Corporation):**

<b>Shareholder</b>	<b>Ownership Percentage</b>
SCR-Sibelco N.V.	65%
	35%

**Unimin Patriot Holdings LLC:**

<b>Member</b>	<b>Membership Interests</b>
Covia Holdings Corporation (f/k/a Unimin Corporation)	100%

**Patriot Proppants Arkansas LLC:**

<b>Member</b>	<b>Membership Interests</b>
Unimin Patriot Holdings LLC	100%

**Blue Earth Properties LLC:**

<b>Member</b>	<b>Membership Interests</b>
Covia Holdings Corporation (f/k/a Unimin Corporation)	100%

**Aquila Mineral Company:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Covia Holdings Corporation (f/k/a Unimin Corporation)	100%

**Unimin Specialty Minerals Inc.:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Covia Holdings Corporation (f/k/a Unimin Corporation)	100%

**Unimin Wisconsin Equipment Corporation:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Covia Holdings Corporation (f/k/a Unimin Corporation)	100%

**Unimin Lime LLC (d/b/a Southern Lime):**

<b>Member</b>	<b>Membership Interests</b>
Covia Holdings Corporation (f/k/a Unimin Corporation)	100%

**Polymorphous Mineral Investment Corporation:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Covia Holdings Corporation (f/k/a Unimin Corporation)	100%

**Tinaco Feldspar Company LLC:**

<b>Member</b>	<b>Membership Interests</b>
Polymorphous Mineral Investment Corporation	100%

**Winchester and Western Railroad Company:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Covia Holdings Corporation (f/k/a Unimin Corporation)	100%

**Unisil Corporation:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Covia Holdings Corporation (f/k/a Unimin Corporation)	100%

**Unimin Canada Ltd.:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Covia Holdings Corporation (f/k/a Unimin Corporation)	100%

**Unimin Finance Company LLC:**

<b>Member</b>	<b>Membership Interests</b>
Unimin Canada Ltd.	100%

**909273 Ontario, Inc.:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Covia Holdings Corporation (f/k/a Unimin Corporation)	100%

**Grupo Materias Primas S. de R L de C. V.:**

<b>Shareholder</b>	<b>Quota in the Capital</b>
Unimin Finance Company LLC	50.01%
Unimin Canada Ltd.	49.99%

**Materias Primas Monterrey S. de R L de C. V.:**

<b>Shareholder</b>	<b>Quota in the Capital</b>
Unimin Finance Company LLC	0.001%
Grupo Materias Primas S. de R L de C. V.	99.999%

**Materias Primas Minerales de Ahuazotepec S. de R L de C. V.:**

<b>Shareholder</b>	<b>Quota in the Capital</b>
Unimin Finance Company LLC	0.001%
Grupo Materias Primas S. de R L de C. V.	99.999%

**Servicios Integrales Lampazos S. de R L de C. V.:**

<b>Shareholder</b>	<b>Quota in the Capital</b>
Unimin Finance Company LLC	0.001%
Grupo Materias Primas S. de R L de C. V.	99.999%

**Bison Merger Sub I, LLC:**

<b>Member</b>	<b>Membership Interests</b>
Covia Holdings Corporation (f/k/a Unimin Corporation)	100%

**FMSA Inc.:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Bison Merger Sub I, LLC	100%

**Fairmount Santrol Inc.:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
FMSA Inc.	100%

**Lake Shore Sand Company (Ontario) Ltd.:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Cheyenne Sand Corp.	100%

**Best Sand Corporation:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Fairmount Santrol Inc.	100%

**Best Sand of Pennsylvania, Inc.:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Best Sand Corporation	100%

**Cheyenne Sand Corp.:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Fairmount Santrol Inc.	100%

**Standard Sand Corporation:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Cheyenne Sand Corp.	100%

**Construction Aggregates Corporation of Michigan, Inc.:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Cheyenne Sand Corp.	100%

**Fairmount Logistics LLC:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Fairmount Santrol Inc.	100%

**Specialty Sands, Inc.:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Cheyenne Sand Corp.	100%

**Wedron Silica Company:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Fairmount Santrol Inc.	100%

**Wexford Sand Co.:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Fairmount Santrol Inc.	100%

**Mineral Visions Inc.:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Fairmount Santrol Inc.	100%

**Fairmount Water Solutions, LLC:**

<b>Member</b>	<b>Membership Interests</b>
Fairmount Santrol Inc.	100%

**TechniSand, Inc.:**

<b>Stockholder</b>	<b>Ownership Percentage</b>
Fairmount Santrol Inc.	100%

**Wisconsin Industrial Sand Company, L.L.C.:**

<b>Member</b>	<b>Membership Interests</b>
TechniSand, Inc.	100%

**Wisconsin Specialty Sands, Inc.:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Wisconsin Industrial Sand Company, L.L.C.	100%

**Technimat LLC:**

<b>Member</b>	<b>Membership Interests</b>
TechniSand, Inc.	90%

**Fairmount Minerals, LLC:**

<b>Member</b>	<b>Membership Interests</b>
Fairmount Santrol Inc.	100%

**Alpha Resins, LLC:**

<b>Member</b>	<b>Membership Interests</b>
Technisand, Inc.	100%

**Black Lab LLC:**

<b>Member</b>	<b>Membership Interests</b>
Fairmount Santrol Inc.	100%

**Self-Suspending Proppant LLC:**

<b>Member</b>	<b>Membership Interests</b>
Fairmount Santrol Inc.	100%***

\*\*\*Fairmount Santrol Inc.'s share ownership could be reduced in the event certain earn-out calculation thresholds are not met in the future.

**Shakopee Sand LLC:**

<b>Member</b>	<b>Membership Interests</b>
Fairmount Santrol Inc.	100%

**FML Sand, LLC:**

<b>Member</b>	<b>Membership Interests</b>
Fairmount Santrol Inc.	100%

**Dewey Resources LLC:**

<b>Member</b>	<b>Membership Interests</b>
FML Sand, LLC	100%

**West Texas Housing LLC:**

<b>Member</b>	<b>Membership Interests</b>
FML Sand, LLC	100%

**FML Resin, LLC:**

<b>Member</b>	<b>Membership Interests</b>
Fairmount Santrol Inc.	100%

**FML Terminal Logistics, LLC:**

<b>Member</b>	<b>Membership Interests</b>
Fairmount Santrol Inc.	100%

**FML Alabama Resin, Inc.:**

<b>Member</b>	<b>Ownership Percentage</b>
Fairmount Santrol Inc.	100%

**Santrol (Yixing) Proppant Company, Ltd.:**

<b>Shareholder</b>	<b>Interests</b>
Technimat LLC	70%

**Fairmount Minerals Sales de Mexico, S. de R.L. de C.V. :**

<b>Shareholder</b>	<b>Quota in the Capital</b>
Fairmount Santrol Inc.	99.67%
TechniSand, Inc.	0.33%

**Santrol de Mexico, S. de R.L. de C.V. :**

<b>Shareholder</b>	<b>Series A Equity Quota (fixed and variable)</b>	<b>Series B Equity Quota (fixed)</b>
Fairmount Minerals Sales de Mexico, S. de R.L. de C.V.	99.985%	---
Fairmount Santrol Inc.	---	0.015%

**Santrol Europe ApS:**

<b>Shareholder</b>	<b>Interests</b>
Technisand, Inc.	100%

**Technisand Canada Sales Ltd.:**

<b>Shareholder</b>	<b>Ownership Percentage</b>
Technisand, Inc.	100%

## SCHEDULE L

### Federal environmental agencies

U.S. Environmental Protection Agency, U.S. Corps of Engineers, Nuclear Regulatory Commission, U.S. Fish and Wildlife Service

### Other state agencies

The environmental regulatory authorities in each of the following states:

Alabama, Arkansas, California, Colorado, Georgia, Idaho, Illinois, Indiana, Louisiana, Minnesota, Mississippi, Missouri, New Jersey, North Carolina, Oklahoma, Pennsylvania, South Carolina, Tennessee, Texas, Virginia, Washington, Wisconsin.