PERMIT TRANSFER FORM

PERMIT NUMBER: ARR00A530

SELECT ALL OF THE FOLLOWING THAT APPLY:

☐ Permittee (legal name) change [CHANGE OF OWNERSHIP]

☒ Permittee (legal name) change [NAME CHANGE ONLY]

☐ Facility name change

☐ Responsible official name change

I. CURRENT PERMITTEE INFORMATION

Permittee (legal name): C.J. Horner Company

Facility Name: Malvern Batch Plant

Responsible Official Name (see Section IV below):

Is the permittee identified above, the owner of the facility? ☐ Yes ☐ No

If No, list owner name:

II. NEW PERMITTEE INFORMATION

Permittee (legal name): Horner Holdings, Inc.

Facility Name (if different from Permittee Name): Malvern Batch Plant

Is the Permittee the owner of the facility? ☒ Yes ☐ No If No, list owner name:

Responsible Official Name (see Section IV below): John Horner

Responsible Official Title: President

Responsible Official E-mail: john.horner@cjhornerinc.com

Permittee Mailing Address: PO Box 1423

Permittee City: Hot Springs,

Permittee State: AR Zip: 71901

Permittee Phone No.: (501) 321-9600

Permittee Type:

☐ STATE ☐ PARTNERSHIP

☐ FEDERAL ☐ PUBLIC

☒ CORPORATION/LLC

State of Incorporation: AR

☐ SOLE PROPRIETORSHIP

☐ OTHER:

Is the new permittee registered with the Arkansas Secretary of State? ☒ Yes ☐ No

If yes, the Permittee (legal name) above must EXACTLY match the name registered with the Arkansas Secretary of State.

A current Certificate of Good Standing from the State of Incorporation must be submitted with this form.

Facility Mailing Address: 149 E. Front St. Facility City: Malvern

Facility State: AR Zip: 72104

Facility Contact Person Name: John Horner Contact Person Title: President

Phone Number: (501) 321-9600 Fax Number: E-mail:

Invoice Contact Person: City:

Invoice Mailing Address: State: Zip:

Invoice Mailing Address: Phone:

Cognizant Official Name*: John Horner Cognizant Official Title: President

Phone Number: Fax Number: E-mail:

* Duly Authorized Representative as outlined in 40 CFR 122.22(b)

PERMIT TRANSFER FORM

III. OWNERSHIP CHANGE AGREEMENT

Please note you must complete Section III only if this permit transfer is for a change of ownership.

Please specify the closing date for this transaction: _____

Current Permittee (Seller): _____

Signature of Responsible Corporate Officer: _____
Title of Responsible Corporate Officer: _____
Printed Name of Responsible Corporate Officer: _____
Date: _____

New Permittee (Buyer): _____

Signature of Responsible Corporate Officer: _____
Title of Responsible Corporate Officer: _____
Printed Name of Responsible Corporate Officer: _____
Date: _____

Disclosure Statement:
Disclosure Statement must be submitted for new permittee. **Disclosure Statement is not required for Stormwater Permits.**

Is Disclosure Statement enclosed: ☐ Yes ☐ No

Trust Fund Requirements:
If this facility is subject to the trust fund requirements (Ark. Code Ann. §8-4-203(b)(1)(B)), the permittee must also submit the ADEQ Trust Fund Requirements form with this transfer form. A form may be obtained from the ADEQ website:

https://www.adeq.state.ar.us/water/permits/npdes/individual/pdfs/ndstw-trust-fund-certification-form.pdf

Land Use Contract:
For **land application permits** you must submit a new land use contracts for all the sites permitted under the current permit for land application. The new land use contract must be signed by the new permittee and land owner.

IV. CERTIFICATION OF NEW PERMITTEE (Responsible Official in Section II)

"I certify that the cognizant official designated in this Permit Transfer Form (Section II) is qualified to act as a duly authorized representative under the provisions of 40 CFR Part 122.22(b). If no cognizant official has been designated, I understand that the Department will accept reports signed by the applicant. I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."

In addition, I certify that there will be no operational changes that warrant a permit modification. (Please note that if there are changes that warrant a permit modification, then you must submit a complete application, updated plans, design calculations and specifications, and the permit modification fee along with this Ownership Change Form. The transfer may be made effective prior to permit modification.)

Typed or Printed Name: John Horner Title: President
Signature: [signature] Date: 1/26/19