



ARKANSAS
Department of Environmental Quality

Permit Tracking Number: ARG250021
AFIN: 35-01477

NOTICE OF COVERAGE (NOC)
NON-CONTACT COOLING WATER, COOLING TOWER BLOWDOWN, AND BOILER
BLOWDOWN GENERAL PERMIT, ARG250000

Highland Pellets, LLC
Attn: Hunter Breshears, Chief Executive Officer
5601 Industrial Drive North
Pine Bluff, AR 71620

The Notice of Intent (NOI) for coverage under the above General Permit was received on September 10, 2019 and has been deemed complete. Your facility has been assigned Permit Tracking Number **ARG250021** and AFIN **35-01477**. Any permit-related correspondence must include these numbers. This NOC is issued in reliance upon the statements and representations made in the submittal and the Department has no responsibility for adequacy or proper functioning of the system.

Compliance with all conditions and limitations of the above permit is required. Please be advised that the permit may contain monitoring and reporting requirements. The Department requests that you read and familiarize yourself with the terms and conditions of the permit. A copy of the General Permit is enclosed.

Highland Pellets, LLC is located as follows: 5601 Industrial Drive North, Pine Bluff, in Jefferson County, Arkansas.

Discharges allowed by the permit shall only occur at the following outfall location:

Outfall 001: Latitude 34° 16' 03" Longitude 92° 04' 13.23"

to receiving waters named:

unnamed tributary of Caney Bayou, thence to Caney Bayou, thence to Lake Langhofer, thence to the Arkansas River.

Expiration Date: November 30, 2022

Bryan Leamons, P.E.
Senior Operations Manager
Office of Water Quality

9/24/2019
Issued Date

**Authorization to Discharge under the National Pollutant Discharge
Elimination System and the Arkansas Water and Air Pollution Control Act**

In accordance with the provisions of the Arkansas Water Pollution Control Act Ark. Code Ann. 8-4-101 et seq.), and the Clean Water Act (33 U.S.C. 1251 et seq.),

Non-contact Cooling Water, Cooling Tower Blowdown, and Boiler Blowdown

This general permit authorizes facilities to discharge non-contact cooling water, cooling tower blowdown, and boiler blowdown wastewater to the Waters of the State except facilities which are excluded in Part 1.2.2 of the General Permit in accordance with effluent limitations, monitoring requirements, and other conditions set forth in this permit.

Legal permittees within the State of Arkansas who fail to make a written request to the Director to be covered by this general permit are not authorized to discharge under this general permit.

After properly filing a Notice of Intent (NOI) under Part 1.3, facilities that are eligible for coverage under this general permit, will receive a Notice of Coverage (NOC) letter, with a tracking number starting with ARG25, and a copy of the permit for the facility.

Effective Date: December 1, 2017

Expiration Date: November 30, 2022



Caleb J. Osborne
Associate Director, Office of Water Quality
Arkansas Department of Environmental Quality

1/10/17

Issue Date:

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PART 1 COVERAGE UNDER THIS PERMIT

1.1 Permit Area

The area covered by this permit includes all areas within the State of Arkansas.

1.2 Authorization

1.2.1 Eligibility

- 1.2.1.1 Facilities discharging non-contact cooling water, cooling tower blowdown, and boiler blowdown wastewater to Waters of the State; and
- 1.2.1.2 Facilities discharging less than or equal to 0.5 MGD; and
- 1.2.1.3 Meet the requirements identified within the permit.

1.2.2 Exclusions

This general permit does not cover the following types of discharges. Other permits such as an individual NPDES permit, an alternate general permit, or other approval from the Department may be obtained for the following, if applicable:

- 1.2.2.1 Any facility that is a direct discharger into a water body listed pursuant to Section 303(d) of the Clean Water Act where the pollutant of concern is present in the discharge and the requirements of the permit are inadequate to provide sufficient reduction of the listed pollutant (e.g. a new source or new discharge if the discharges would cause or contribute to the water quality impairment).
- 1.2.2.2 Any facility that is a direct discharger into an Extraordinary Resource Waters (ERWs), Ecologically Sensitive Waters (ESWs), Natural and Scenic Waterways, losing streams, and reservoirs where pollutants of concern are present in the discharge and the requirements of the permit are inadequate to provide sufficient reduction of the pollutants (e.g. a new source or new discharge if the discharges would cause or contribute to the water quality impairment).
- 1.2.2.3 Any facility that is a direct discharger into a water body for which there is an established Total Maximum Daily Load (TMDL) where the pollutant of concern is present in the discharge and the requirements of the permit are inadequate to provide sufficient reduction of the listed pollutant (e.g. a new source or new discharge if the discharges would cause or contribute to the water quality impairment).
- 1.2.2.4 Discharges that the Department has determined to be or which may reasonably be expected to be contributing to a violation of a water quality standard.

- 1.2.2.5 Facilities not in compliance with a previously issued individual or general permit and/or in violation of state water quality regulations.
- 1.2.2.6 Industries with effluent limit guidelines for non-contact cooling water, cooling tower blow down, and boiler blow down (listed in 40 CFR 400 through 471).
- 1.2.2.7 Discharges mixed with any other discharge (e.g., stormwater.)
- 1.2.2.8 Any facility covered under a National Pollutant Discharge Elimination System (NPDES) individual permit or other NPDES general permits, and the issuance of this permit would violate the anti-backsliding requirements of 40 C.F.R. 122.44(1).
- 1.2.2.9 Facilities using groundwater containing toxic pollutants as listed in APCEC Regulation No. 2.
- 1.2.2.9 Facilities using additives containing chromium or zinc in any form.
- 1.2.2.10 Steam Electric generating plants.
- 1.2..2.11 Facilities receiving water from a cooling water intake structure that is subject to the requirements of regulation §316(b) of the Clean Water Act unless the intake structure is currently covered by an active NPDES Individual Discharge Permit.

This office reserves the right to issue these facilities an individual NPDES permit with more appropriate limitations and conditions.

1.3 Notification

- 1.3.1 A written Notice of Intent (NOI) from new dischargers shall be submitted to the Department at least 30 days prior to the proposed discharge.
- 1.3.2 Existing dischargers seeking to renew their coverage may begin submission of a written NOI or Recertification NOI upon issuance of this general permit renewal but no later than the effective date of this general permit renewal.
- 1.3.3 Submit a NOI that includes, at a minimum, the following information:
 - 1.3.3.1 The legal name and legal address of the legal permittee;
 - 1.3.3.1.1 If the legal permittee is a corporation, then the corporation's name must be listed as it is registered with the office of the Secretary of State of Arkansas.

1.3.3.2 Certificate of Good Standing from the Secretary of State in the state in which the corporation of LLC was formed;

1.3.3.3 The site location (street address or legal description);

1.3.3.4 The name and telephone number of the site contact;

1.3.3.5 The number and location of outfalls;

1.3.3.6 The type of business, facility SIC, and NAICS codes;

1.3.3.7 Name of receiving stream; and

1.3.3.8 The actual or projected wastewater flow.

All notices of intent for coverage under this general permit must be signed and certified in accordance with the Part 6.8 of the permit.

1.3.4 Submit an ADEQ Disclosure Statement Form as required by Reg. 8.204(B), and;

1.3.5 For new permittees, submit the permit fee as required by Reg. 9.404. NOI, permit fees (no permit coverage will be issued until all fees have been paid) and other required documents may be submitted to the following address:

ADEQ, Office of Water Quality
Attn: General Permits
5301 Northshore Drive
North Little Rock, AR 72118

Or by email: Water-permit-application@adeq.state.ar.us

Or by electronic application using ADEQ ePortal:
<https://eportal.adeq.state.ar.us/>

1.3.6 For facilities that are eligible for coverage under a general permit, the Department sends a cover letter and a Notice of Coverage (NOC) to the facility. The cover letter includes the Department's determination that a facility is covered under the general permit and may specify alternate requirements outlined in the permit such as modified sampling frequencies for certain parameters or the inclusion of monitoring for parameters in addition to those requiring regular monitoring. The NOC includes the permit tracking number which starts with ARG25, outfall coordinates, issue date, and expiration date.

1.3.7 If a treatment system is proposed, the applicant must provide ADEQ with plans, specifications, and design calculations along with ADEQ Form 1 and obtain a state construction permit prior to being considered eligible for coverage herein.

1.4 NOI Review and Public Notification Process

All NOIs (New, Renewal, and Recertification) submitted for permit coverage under this general permit will be reviewed by ADEQ prior to undergoing a public notification process as follows:

Upon receipt of Notice of Intent (NOI), ADEQ will review the submitted documents to ensure that all permit requirements are fulfilled. ADEQ may request additional information from the applicant if additional information is necessary to complete the NOI. If ADEQ makes a preliminary determination that the NOI is complete, the NOI will be made available for a five (5) business day public review and comment period on the ADEQ website. ADEQ will review comments received during this period and, if necessary, require the applicant to revise the NOI. If determined appropriate by ADEQ, the permittee will be granted coverage under this general permit upon written notification by ADEQ.

Comments will only be considered if they are in regard to a specific facility's NOI. Comments on the contents of the General Permit ARG250000 will not be considered during the public comment period for a specific facility's coverage under this permit. Commenters will receive notification of the Department's decision regarding the permit.

1.5. Continuation of this Permit

If the existing permit is not reissued or replaced prior to the expiration date, it will be administratively continued in accordance with Ark. Code Ann. § 8-4-203(m) and remain in force and effect. If you were granted permit coverage prior to the expiration date, you will automatically remain covered by the continued permit until the earliest of:

- 1.5.1 Reissuance or replacement of this permit, at which time the legal permittee must comply with the conditions of the new permit to maintain authorization to discharge; or
- 1.5.2 Submittal of a Notice of Termination; or
- 1.5.3 Issuance of an individual permit for the facility's discharges; or
- 1.5.4 A formal permit decision by the ADEQ to not re-issue this general permit, at which time you must seek coverage under an individual permit or other general permits, if available.
- 1.5.5 The Department has informed you that you are no longer covered under this permit.

1.6. Terminating Coverage

1.5.1 A facility with permit coverage who was required to submit an NOI as identified in Part 1.2 must submit a Notice of Termination within 30 days after one or more of the following conditions have been met:

1.6.1.1 All discharges associated with activities authorized by this permit are eliminated; or

1.6.1.2 You have obtained coverage under an individual permit or an alternative general permit for all discharges required to be covered by an NPDES permit.

If a Notice of Termination is submitted without meeting one or more of the conditions identified above, then the Notice of Termination is not valid. The facility with permit coverage is responsible for complying with the terms of this permit until the facility's coverage is terminated.

1.7 Requiring an Individual NPDES Permit

1.7.1 At the discretion of the Director, the Department may require any permittee covered under this general permit to apply for and obtain an individual NPDES permit for reasons that include but are not limited to the following:

1.7.1.1 The discharger is not in compliance with the conditions of the general permit; or

1.7.1.2 Conditions or standards have changed so that the discharger no longer qualifies for a general permit; or

1.7.1.3 The Department does not renew this general permit; or

1.7.1.4 Effluent limitation guidelines (ELG) are promulgated for point sources covered by the general permit and requirements of the general permit are inadequate to provide compliance with the ELG.

The legal permittee must be notified in writing that an application for an individual permit is required. The legal permittee will remain covered under the general permit, including an administratively continued general permit (see Part 1.5), until an individual permit is issued, as long as the legal permittee submits, in a timely manner, a complete application for an individual permit and any other required information. When an individual NPDES permit is issued to a legal permittee otherwise covered under this general permit, the applicability of the general permit to that legal permittee automatically terminates upon the effective date of the individual NPDES permit.

1.7.2 Any legal permittee covered by this General Permit may request to be excluded from the coverage by applying for an individual NPDES permit.

1.8 Permit Transfer

Facilities that are authorized under this permit, which undergo a change in ownership, facility name, or signatory authorization (e.g., a new cognizant official, responsible person, etc.) must submit a Permit Transfer form to the Director. A Permit Transfer form can be obtained from the Permits Branch of the Office of Water Quality at the following website:

<https://www.adeg.state.ar.us/water/permits/npdes/nonstormwater/>

For an ownership change, the permit transfer form must be submitted a minimum of 30 days prior to the date the transfer to the new owner will take place. The new owner must comply with the existing permit for the facility during the interim period. A Disclosure Statement Form will be required. Until the disclosure statement and transfer request is submitted and accepted by ADEQ, the current permittee shall remain liable for all permit fees, even if the current permittee no longer owns the facility.

PART 2

EFFLUENT LIMITATIONS AND MONITORING REQUIREMENTS

The following effluent limitations are applicable for the discharge of non-contact cooling water, cooling tower blowdown, and boiler blowdown wastewater.

<u>Effluent Characteristics</u>	<u>Discharge Limitations</u>		<u>Monitoring Requirements</u>	
	Concentration (mg/l, unless otherwise specified)		Frequency	Sample Type
	Monthly Avg.	Daily Max		
Flow (MGD) ¹	N/A	0.5	Twice/week	Instantaneous
Chemical Oxygen Demand (COD)	50	75	Twice/month	Grab
Total Suspended Solids (TSS)	35	53	Twice/month	Grab
Oil and Grease (O&G)	10	15	Twice/month	Grab
pH	<u>Minimum</u> 6.0 s.u.	<u>Maximum</u> 9.0 s.u.	Twice/month	Grab
Temperature ^{2, 3}	See table below for Outfall Type, Ecoregion, and Maximum Limit		Twice/month	In-situ

¹ Flow must be monitored and reported. Report in units of million gallons per day (MGD).

² Temperature limits are not applicable to discharge of only boiler blowdown. However, reporting is still required.

³ For all outfalls, the discharge shall not cause or contribute to a rise in the temperature of the receiving stream resulting from artificial origin of greater than 5°F, i.e., downstream temp. – upstream temp. shall be ≤ 5°F, based upon the monthly average of the daily temperatures measured at mid-depth or three feet (whichever is less).

Outfall Type	Ecoregion	Temperature Limit, °F
001	Ozark Highlands	84.2
002	Boston Mountains and Arkansas River Valley	87.8
003	Ouachita Mountains, Spring water Influenced Gulf Coastal, Typical Gulf Coastal, and Least-Altered Delta	86
004	Channel-Altered Delta, White River (Dam #1 to mouth), St. Francis River, Mississippi River, Arkansas River, Ouachita River (Little Missouri River to state line), Red River, and Lakes and Reservoirs	89.6
005	Trout Waters	68

PART 3

GENERAL CONDITIONS

3.1 Duty To Comply

The permittee must comply with all conditions of this permit. Any permit noncompliance constitutes a violation of the Federal Clean Water Act and the Arkansas Water and Air Pollution Control Act and is grounds for enforcement action or for requiring a permittee to apply for an Individual Permit. **Any values reported in the required monitoring reports which are in excess of the effluent limitation specified in Part 2 shall constitute evidence of violation of such effluent limitation and of this permit.**

3.2 Penalties for Violations of Permit Conditions

The Arkansas Water and Air Pollution Control Act provides that any person who violates any provisions of a permit issued under the Act shall be guilty of a misdemeanor and upon conviction thereof shall be subject to imprisonment for not more than one (1) year, or a fine of not more than twenty-five thousand dollars (\$25,000) or by both such fine and imprisonment for each day of such violation. Any person who violates any provision of a permit issued under the Act may also be subject to civil penalty in such amount as the court shall find appropriate, not to exceed ten thousand dollars (\$10,000) for each day of such violation. The fact that any such violation may constitute a misdemeanor shall not be a bar to the maintenance of such civil action.

3.3 Permit Actions

This general permit may be modified, revoked and reissued, or terminated for cause in accordance with the requirements of the National Pollutant Discharge Elimination System (NPDES) Permit Program Regulations at 40 CFR Parts 122 and 124, as adopted by reference in APC&EC Reg. 6. The filing of a request by the permittee for a permit modification, revocation and reissuance, or termination, or a notification of planned changes or anticipated noncompliance, does not stay any permit condition.

3.4 Toxic Pollutants

Notwithstanding Part 4.3, if any toxic effluent standard or prohibition (including any schedule of compliance specified in such effluent standard or prohibition) is promulgated under APC&EC Reg. 2, as amended, (regulation establishing water quality standards for surface waters of the State of Arkansas) or Section 307(a) of the Clean Water Act for a toxic pollutant which is present in the discharge and that standard or prohibition is more stringent than any limitations on the pollutant in this permit, this permit shall be modified or revoked and reissued to conform to the toxic effluent standard or prohibition and the permittee so notified.

The permittee shall comply with effluent standards or prohibitions established under APC&EC Reg. 2 (Arkansas Water Quality Standards), as amended, or Section 307(a) of the Clean Water

Act for toxic pollutants within the time provided in the regulations that establish those standards or prohibitions, even if the permit has not yet been modified to incorporate the requirement.

3.5 Civil and Criminal Liability

Except as provided in permit conditions on "Bypassing" (Part 4.4), and "Upsets" (Part 4.5), nothing in this permit shall be construed to relieve the permittee from civil or criminal penalties for noncompliance.

3.6 Oil and Hazardous Substance Liability

Nothing in this permit shall be construed to preclude the institution of any legal action or relieve the permittee from any responsibilities, liabilities, or penalties to which the permittee is or may be subject under Section 311 of the Clean Water Act.

3.7 State Laws

Nothing in this permit shall be construed to preclude the institution of any legal action or relieve the permittee from any responsibilities, liabilities or penalties established pursuant to any applicable State law or regulation under authority preserved by Section 510 of the Clean Water Act.

3.8 Property Rights

The issuance of this permit does not convey any property rights of any sort, or any exclusive privileges, nor does it authorize any injury to private property or any invasion of personal rights, nor any infringement of Federal, State or local laws or regulations.

3.9 Severability

The provisions of this permit are severable, and if any provision of this permit, or the application of any provision of this permit to any circumstance, is held invalid, the application of such provision to other circumstances, and the remainder of this permit, shall not be affected thereby.

3.10 Permit Fees

The permittee shall comply with all applicable permit fee requirements for wastewater discharge permits as described in APC&EC Reg. 9 (Regulation for the Fee System for Environmental Permits). Failure to promptly remit all required fees shall be grounds for the Director to initiate action to terminate this permit under the provisions of 40 CFR 122.64 and 124.5(d), as adopted in APC&EC Reg. 6 and the provisions of APC&EC Reg. 8.

3.11 Permit Applicability

The permit is applicable only to facilities which are direct discharges into "Waters of the State" as defined in 40 CFR 122.2 and are subject to the requirements of sections 301 and 402 of the Clean Water Act.

3.12 Applicable Federal, State or Local Requirements.

Permittees are responsible for compliance with all applicable terms and conditions of this permit. Receipt of this permit does not relieve any operator of the responsibility to comply with any other applicable federal, state or local statute, ordinance, policy, or regulation.

PART 4

OPERATION AND MAINTENANCE OF POLLUTION CONTROLS

4.1 Proper Operation and Maintenance

The permittee shall at all times properly operate and maintain all facilities and systems of treatment and control (and related appurtenances) which are installed or used by the permittee to achieve compliance with the conditions of this permit. Proper operation and maintenance also includes adequate laboratory controls and appropriate quality assurance procedures. This provision requires the operation of backup or auxiliary facilities or similar systems which are installed by a permittee only when the operation is necessary to achieve compliance with the conditions of the permit. The permittee shall provide an adequate operating staff which is duly qualified to carryout operation, maintenance and testing functions required to insure compliance with the conditions of this permit.

4.2 Need to Halt or Reduce not a Defense

It shall not be a defense for a permittee in an enforcement action that it would have been necessary to halt or reduce the permitted activity in order to maintain compliance with the conditions of this permit. Upon reduction, loss, or failure of the treatment facility, the permittee shall, to the extent necessary to maintain compliance with its permit, control production or discharges or both until the facility is restored or an alternative method of treatment is provided. This requirement applies, for example, when the primary source of power for the treatment facility is reduced, is lost, or alternate power supply fails.

4.3 Duty to Mitigate

The permittee shall take all reasonable steps to minimize or prevent any discharge in violation of this permit which has a reasonable likelihood of adversely affecting human health or the environment or the water receiving the discharge.

4.4 Bypass of Treatment Facilities

4.4.1.Bypass not exceeding limitation.

The permittee may allow any bypass to occur which does not cause effluent limitations to be exceeded, but only if it also is for essential maintenance to assure efficient operation. These bypasses are not subject to the provisions of Part 4.4.2 and 4.4.3.

4.4.2.Notice.

4.4.2.1.Anticipated bypass. If the permittee knows in advance of the need for a bypass, it shall submit prior notice, if possible at least ten days before the date of the bypass.

4.4.2.2. Unanticipated bypass. The permittee shall submit notice of an unanticipated bypass as required in Part 6.4 (twenty-four hour reporting).

4.4.3 Prohibition of bypass.

4.4.3.1. Bypass is prohibited and the Director may take enforcement action against a permittee for bypass, unless:

4.4.3.1.1 Bypass was unavoidable to prevent loss of life, personal injury, or severe property damage;

4.4.3.2.1 There were no feasible alternatives to the bypass, such as the use of auxiliary treatment facilities, retention of untreated wastes, or maintenance during normal periods of equipment downtime. This condition is not satisfied if the permittee could have installed adequate backup equipment to prevent a bypass which occurred during normal periods of equipment downtime or preventive maintenance; and

4.4.3.3.1 The permittee submitted notices as required by Part 4.4.2.

4.4.3.2 The Director may approve an anticipated bypass, after considering its adverse effects, if the Director determines that it will meet the three conditions listed above in 4.4.3.1.

4.5 Upset Conditions

4.5.1 Effect of an upset. An upset constitutes an affirmative defense to an action brought for noncompliance with such technology based permit effluent limitations if the requirements of Part 4.5.2 of this section are met. No determination made during administrative review of claims that noncompliance was caused by upset, and before an action for noncompliance, is final administrative action subject to judicial review.

4.5.2 Conditions necessary for a demonstration of upset. A permittee who wishes to establish the affirmative defense of upset shall demonstrate, through properly signed, contemporaneous operating logs, or other relevant evidence that:

4.5.2.1 An upset occurred and that the permittee can identify the specific cause(s) of the upset;

4.5.2.2 The permitted facility was at the time being properly operated;

4.5.2.3 The permittee submitted notice of the upset as required by Part 6.4.2; and

4.5.2.4 The permittee complied with any remedial measures required by Part 4.3.

4.5.3 Burden of proof. In any enforcement proceeding the permittee seeking to establish the occurrence of an upset has the burden of proof.

4.6 Removed Substances

Solids, sludges, filter backwash, or other pollutants removed in the course of treatment or control of waste waters shall be disposed of in a manner such as to prevent any pollutant from such materials from entering the waters of the State. A state land application permit is required for land application of the above wastes.

4.7 Power Failure

The permittee is responsible for maintaining adequate safeguards to prevent the discharge of untreated or inadequately treated wastes during electrical power failure either by means of alternate power sources, standby generators, or retention of inadequately treated effluent.

PART 5

MONITORING AND RECORDS

5.1 Representative Sampling

Samples and measurements taken as required herein shall be representative of the volume and nature of the monitored discharge. All samples shall be taken before the effluent joins or is diluted by any other waste stream, body of water, or substance. Monitoring points shall not be changed without notification to and the approval of the Director. Intermittent discharges shall be monitored.

5.2 Flow Measurement

Appropriate flow measurement devices and methods consistent with accepted scientific practices shall be selected and used to insure the accuracy and reliability of measurements of the volume of monitored discharges. The devices shall be installed, calibrated and maintained to insure the accuracy of the measurements are consistent with the accepted capability of that type of device. Devices selected shall be capable of measuring flows with a maximum deviation of less than 10% from true discharge rates throughout the range of expected discharge volumes and shall be installed at the monitoring point of the discharge.

In lieu of using a flow measurement device, the volume of the monitored discharge may be calculated by utilizing the flow measurements of filter backwash volumes that are required by Arkansas Department of Health in conjunction with operational data on both regular sedimentation basin blowdown volume and total sedimentation basin volume during periodic cleaning operations.

5.3 Monitoring Procedures

Monitoring must be conducted according to test procedures approved under 40 CFR Part 136, unless other test procedures have been specified in this permit. The permittee shall calibrate and perform maintenance procedures on all monitoring analytical instrumentation at intervals frequent enough to insure accuracy of measurements and shall insure that both calibration and maintenance activities will be conducted. An adequate analytical quality control program, including the analysis of sufficient standards, spikes, and duplicate samples to insure the accuracy of all required analytical results shall be maintained by the permittee or designated commercial laboratory.

5.4 Penalties for Tampering

The Arkansas Water and Air Pollution Control Act provides that any person who falsifies, tampers with, or knowingly renders inaccurate, any monitoring device or method required to be maintained under the Act shall be guilty of a misdemeanor and upon conviction thereof shall be subject to imprisonment for not more than one (1) year, or a fine of not more than ten thousand dollars (\$10,000) or by both such fine and imprisonment.

5.5 Reporting of Monitoring Results

Monitoring results must be reported on a printed Discharge Monitoring Report (DMR) form, or in electronic form through NetDMR. For printed forms, permittees are required to use the preprinted DMR forms provided by ADEQ, unless specific written authorization to use other reporting forms is obtained from ADEQ. Monitoring results obtained during the previous calendar month shall be summarized and reported on a DMR form postmarked no later than the 25th day of the month following the completed reporting period, or electronically submitted through Net DMR no later than the 25th day of the month following the completed reporting period, to begin on the effective date of the permit. Duplicate copies of DMRs signed and certified as required by Part 6.9 and all other reports required by Part 6 (Reporting Requirements), shall be submitted to the Director at the following address:

NPDES Enforcement Section
Office of Water Quality
Arkansas Department of Environmental Quality
5301 Northshore Drive
North Little Rock, AR 72118

If permittee uses outside laboratory facilities for sampling and/or analysis, the name and address of the contract laboratory shall be included on the DMR.

5.6 Additional Monitoring by the Permittee

If the permittee monitors any pollutant more frequently than required by this permit, using test procedures approved under 40 CFR 136 or as specified in this permit, the results of this monitoring shall be included in the calculation and reporting of the data submitted in the summary report. Such increased frequency shall also be indicated in the summary report.

5.7 Retention of Records

The permittee shall retain records of all monitoring information, including daily logs, all calibration and maintenance records and all original strip chart recordings for continuous monitoring instrumentation, and copies of all reports required by this permit, and records of all data used to request coverage under this permit, for a period of at least 3 (three) years from the date of the sample, measurement, report or application. This period may be extended by request of the Director at any time.

5.8 Record Contents

Records and monitoring information shall include:

- 5.8.1 The date, exact place, time and methods of sampling or measurements;
- 5.8.2 The individual(s) who performed the sampling or measurements;
- 5.8.3 The date(s) analyses were performed;
- 5.8.4 The individual(s) who performed the analyses;

5.8.5 The analytical techniques or methods used; and

5.8.6 The measurements and results of such analyses.

5.9 Inspection and Entry

The permittee shall allow the Director, or an authorized representative, upon the presentation of credentials and other documents as may be required by law, to:

5.9.1 Enter upon the permittee's premises where a regulated facility or activity is located or conducted, or where records must be kept under the conditions of this permit;

5.9.2 Have access to and copy, at reasonable times, any records that must be kept under the conditions of this permit;

5.9.3 Inspect at reasonable times any facilities, equipment (including monitoring and control equipment), practices, or operations regulated or required under this permit; and

5.9.4 Sample, inspect or monitor at reasonable times, for the purposes of assuring permit compliance or as otherwise authorized by the Clean Water Act and/or Arkansas Water and Air Pollution Control Act, any substances or parameters at any location.

PART 6 REPORTING REQUIREMENTS

6.1 Planned Changes

The permittee shall give notice and provide plans and specification to the Director for review and approval prior to any planned physical alterations or additions to the permitted facility.

Any change in the facility discharge (including the introduction of any new source or significant discharge or significant changes in the quantity or quality of existing discharges of pollutants) must be reported to the permitting authority. In no case are any new connections, increased flows, or significant changes in influent quality permitted that cause violation of the effluent limitations specified herein.

6.2 Transfers

Facilities that are authorized under this permit, which undergo a change in ownership, facility name, or signatory authorization (i.e., a new cognizant official, responsible person, etc.) must submit a Permit Transfer form to the Director. A Permit Transfer form can be obtained from the General Permits Section of the Office of Water Quality at the following website:

<https://www.adeq.state.ar.us/water/permits/npdes/nonstormwater/>

For an ownership change, the permit transfer form must be submitted a minimum of 30 days prior to the date the transfer to the new operator will take place. The new owner must comply with the existing permit for the facility during the interim period. A Disclosure Form will be required. Until the disclosure statement and transfer request is submitted and accepted by ADEQ, the current permittee shall remain liable for permit compliance and all permit fees, even if the current permittee no longer owns the facility.

6.3 Monitoring Reports

Monitoring results shall be reported at the intervals and in the form specified in Part 6.5. **The permittee must submit a DMR every reporting period for each outfall while operating under the permit. A DMR must be submitted even if the facility was operated under the permit for only part of a reporting period. A DMR must also be submitted even when no discharge occurs during a reporting period.**

6.4 Twenty-Four Hour Reporting

The permittee shall report any noncompliance which may endanger health or the environment. Any information shall be provided orally within 24 hours from the time the permittee becomes aware of the circumstances. A written submission shall also be provided within 5 days of the time the permittee becomes aware of the circumstances. The written submission shall contain a description of the noncompliance and its cause; the period of noncompliance, including exact

dates and times, and if the noncompliance has not been corrected, the anticipated time it is expected to continue; and steps taken or planned to reduce, eliminate, and prevent reoccurrences of the noncompliance. The Director may waive the written report on a case-by-case basis if the oral report has been received within 24 hours.

The following shall be included as information which must be reported within 24 hours:

6.4.1 Any unanticipated bypass which exceeds any effluent limitation in the permit; and

6.4.2 Any upset which exceeds any effluent limitation in the permit.

6.5 Other Noncompliance

The permittee shall report all instances of noncompliance not reported under Parts 6.3 and 6.4 at the time monitoring reports are submitted. The reports shall contain the information listed at Part 6.4.

6.6 Changes in Discharge of Toxic Substances

The permittee shall notify the Director as soon as he/she knows or has reason to believe:

6.6.1 That any activity has occurred or will occur which would result in the discharge, in a routine or frequent basis, of any toxic pollutant which is not limited in the permit, if that discharge will exceed the highest of the "notification levels" described in 40 CFR 122.42(a)(1).

6.6.2 That any activity has occurred or will occur which would result in any discharge, on a non-routine or infrequent basis, of a toxic pollutant which is not limited in the permit, if that discharge will exceed the highest of the "notification levels" described in 40 CFR Part 122.42(a)(2).

6.7 [Reserved]

6.8 Duty to Provide Information

The permittee shall furnish to the Director, within a reasonable time, any information which the Director may request to determine whether cause exists for modifying, revoking and reissuing, or terminating this permit, or to determine compliance with this permit. The permittee shall also furnish to the Director, upon request, copies of records required to be kept by this permit.

6.9 Signatory Requirements

All applications, reports, or information submitted to the Director shall be signed and certified as follows:

6.9.1 All permit applications shall be signed as follows:

6.9.1.1 For a corporation: by a responsible corporate officer. For the purpose of this section, a responsible corporate officer means:

- 6.9.1.1.1 A president, secretary, treasurer, or vice-president of the corporation in charge of a principal business function, or any other person who performs similar policy or decision-making functions for the corporation; or
- 6.9.1.1.2 The manager of one or more manufacturing, production, or operation facilities, provided: the manager is authorized to make management decisions which govern the operation of the regulated facility, including having the explicit or implicit duty of making major capital investment recommendations, and initiating and directing other comprehensive measures to assure long term environmental compliance with environmental laws and regulations; the manager can ensure that the necessary systems are established or actions taken to gather complete and accurate information for permit application requirements; and where authority to sign documents has been assigned or delegated to the manager in accordance with corporate procedures.
- 6.9.1.2 For a partnership or sole proprietorship: by a general partner or proprietor, respectively; or
- 6.9.1.3 For a municipality, State, Federal, or other public agency: by either a principal executive officer or ranking elected official. For purposes of this section, a principal executive officer of a Federal agency includes:
 - 6.9.1.3.1 The chief executive officer of the agency, or
 - 6.9.1.3.2 A senior executive officer having responsibility for the overall operations of a principal geographic unit of the agency.
- 6.9.2 All reports required by the permit and other information requested by the Director shall be signed by a person described above or by a duly authorized representative of that person. A person is a duly authorized representative only if:
 - 6.9.2.1 The authorization is made in writing by a person described above;
 - 6.9.2.2 The authorization specified either an individual or a position having responsibility for the overall operation of the regulated facility or activity, such as the position of plant manager, operator of a well or a well field, superintendent, or position of equivalent responsibility. (A duly authorized representative may thus be either a named individual or any individual occupying a named position); and
 - 6.9.2.3 The written authorization is submitted to the Director.
- 6.9.3 Certification. Any person signing a document under this section shall make the following certification:

“I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified

personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.”

6.10 Availability of Reports

Except for data determined to be confidential under 40 CFR Part 2 and APC&EC Reg. 6, all reports prepared in accordance with the terms of this permit shall be available for public inspection at the offices of the Department of Environmental Quality. As required by the Regulations, the name and address of any permit applicant or permittee, permit applications, permits and effluent data shall not be considered confidential.

6.11 Other Information

Where the permittee becomes aware that it failed to submit any relevant facts in a permit application, or submitted incorrect information in a permit application or in any report to the Director, it shall promptly submit such facts or information.

6.12 Penalties for Falsification of Reports

The Arkansas Water and Air Pollution Control Act provides that any person who knowingly makes any false statement, representation, or certification in any application, record, report, plan or other document filed or required to be maintained under this permit shall be subject to civil and/or criminal penalties specified in Part 3.2 under the authority of the Arkansas Water and Air Pollution Control Act (Act 472 of 1949, as amended).

PART 7 OTHER CONDITIONS

- 7.1 Any solids generated from the treatment process shall be stored and/or disposed of in a manner approved by this Department.
- 7.2 Best Management Practices
- 7.2.1 Spills shall be cleaned up expeditiously and not allowed to enter the waste treatment system or outfall(s).
- 7.2.2 Where activities ancillary to the primary business of the facility are performed on site, they shall be performed in a manner to prevent pollutants from entering the waste treatment system or outfall(s).
- 7.2.3 Cooling Water and Boiler Water Additives The permittee shall notify the Director in writing not later than sixty (60) days prior to instituting the use of any biocide corrosion inhibitor or chemical additive in a cooling or boiler system that was not identified in the application for this permit. Such notification shall include:
- 7.2.3.1 Name and general composition of biocide or chemical.
- 7.2.3.2 96-Hour median tolerance limit data for organisms' representative of the biota of the waterway into which the discharge will ultimately reach.
- 7.2.3.3 Quantities to be used.
- 7.2.3.4 Frequency of use.
- 7.2.3.5 Proposed discharge concentrations.
- 7.2.3.6 EPA registration number, if applicable.
- 7.2.4 The use of a biocide or additive containing tributyl tin oxide, zinc, chromium or related compounds is prohibited in a cooling or boiler system(s) from which a discharge regulated by this permit occurs. The additives shall be free of 126 priority pollutants and shall meet State Water Quality Standards or Best Professional Judgment-based total residual chlorine or free available chlorine. Unidentified, unapproved and/or unlisted additives, in both the application and the general permit shall not be used prior to a determination by the Department that permit modification to control discharge of the additive is not required, or prior to issuance of a permit modification controlling discharge of the additive.

PART 8 DEFINITIONS

All definitions contained in Section 502 of the Clean Water Act shall apply to this permit and are incorporated herein by reference. Additional definitions of words or phrases used in this permit are as follows:

- 8.1 "**Act**": the Clean Water Act, Public Law 95-217 (33.U.S.C.1251et seq.) as amended.
- 8.2 "**ADEQ**" the Arkansas Department of Environmental Quality.
- 8.3 "**Administrator**": the Administrator of the U.S. Environmental Protection Agency.
- 8.4 "**APC&EC**": the Arkansas Pollution Control and Ecology Commission.
- 8.5 "**Applicable effluent standards and limitations**": all State and Federal effluent standards and limitations to which a discharge is subject under the Act, including, but not limited to, effluent limitations, standards of performance, toxic effluent standards and prohibitions, and pretreatment standards.
- 8.6 "**Applicable water quality standards**": all water quality standards to which a discharge is subject under the federal Clean Water Act and which have been (a) approved or permitted to remain in effect by the Administrator following submission to the Administrator pursuant to Section 303(a) of the Act, or (b) promulgated by the Director pursuant to Section 303(b) or 303(c) of the Act, and standards promulgated under APC&EC Reg. 2, as amended, (regulation establishing water quality standards for surface waters of the State of Arkansas).
- 8.7 "**Bypass**": the intentional diversion of waste streams from any portion of a treatment facility, as defined at 40 CFR 122.41(m)(1)(i).
- 8.8 "**Daily Discharge**": means the discharge of a pollutant measured during a calendar day or any 24-hour period that reasonably represents the calendar day for purposes of sampling.
 - 8.8.1 **Mass Calculations**: For pollutants with limitations expressed in terms of mass, the "daily discharge" is calculated as the total mass of pollutant discharged over the sampling day.
 - 8.8.2 **Concentration Calculations**: For pollutants with limitations expressed in other units of measurement, the "daily discharge" is calculated as the average measurement of the pollutant over the day.
- 8.9 "**Daily Maximum**": discharge limitation means the highest allowable "daily discharge" during the calendar month.
- 8.10 "**Department**": the Arkansas Department of Environmental Quality (ADEQ).
- 8.11 "**Director**": the Administrator of the U.S. Environmental Protection Agency and/or the Director of the Arkansas Department of Environmental Quality.
- 8.12 "**Grab sample**": an individual sample collected in less than 15 minutes in conjunction with an instantaneous flow measurement.
- 8.13 "**Industrial Facility**": a privately-owned (i.e. non-municipal) treatment plant.
- 8.14 "**Losing Stream Segment**": a stream segment which, beginning at the point of existing or proposed discharge and extending two (2) miles downstream, contribute thirty percent (30%) or more of its flow at a 7Q10 flow or one (1) cfs, whichever is greater, through natural processes such as permeable subsoil or cavernous bedrock into an aquifer.
- 8.15 "**MGD**": shall mean million gallons per day.

- 8.16 "**mg/L**": milligrams per liter; it is essentially equivalent to parts per million in dilute aqueous solutions.
- 8.17 "**Monitoring and Reporting**": When a permit becomes effective, monitoring requirements are of the immediate period of the permit effective date. Where the monitoring requirement for an effluent characteristic is Once/Month, the Discharge Monitoring Report shall be submitted by the 25th of the month following the sampling. Where the monitoring requirement for an effluent characteristic is Once/Quarter or Once/Year, the Discharge Monitoring report shall be submitted by the 25th of the month following the monitoring period end date.
- 8.18 "**Monthly Average**": means the highest allowable average of "daily discharges" over a calendar month, calculated as the sum of all "daily discharges" measured during a calendar month divided by the number of "daily discharges" measured during that month.
- 8.19 "**Municipal Facility**": a treatment plant owned by a municipality or other government entity.
- 8.20 "**National Pollutant Discharge Elimination System (NPDES)**": the national program for issuing, modifying, revoking and reissuing, terminating, monitoring and enforcing permits, and imposing and enforcing pretreatment requirements, under section 307, 402, 318 and 405 of the Clean Water Act.
- 8.21 "**Operator**": for the purpose of this permit, means any person (an individual, association, partnership, corporation, municipality, state or federal agency) who has the primary management and ultimate decision-making responsibility over the operation of a facility or activity. The operator is responsible for ensuring compliance with all applicable environmental regulations and conditions.
- 8.22 "**Once/Quarter**": is defined as a measurement frequency where the sampling is made during a fixed calendar quarter or any part of the fixed calendar quarter. Fixed calendar quarters are: January through March, April through June, July through September, and October through December.
- 8.23 "**Pollutant(s) of Concern**": pollutants that are anticipated in the effluent at a facility of this nature including, but not limited to, those listed in Part 2 of this permit; pollutants which a facility must monitor as part of a Waste Load Allocation (WLA) due to a Total Maximum Daily Load (TMDL).
- 8.24 "**Severe property damage**": substantial physical damage to property, damage to the treatment facilities which causes them to become inoperable, or substantial and permanent loss of natural resources which can reasonably be expected to occur in the absence of a bypass. Severe property damage does not mean economic loss caused by delays in productions.
- 8.25 "**s.u.**" shall mean standard units.
- 8.26 "**Total Suspended Solids (TSS)**": the amount of solid material suspended in water, commonly expressed as a concentration, in terms of mg/L.
- 8.27 "**Treatment works**" means any devices and systems used in storage, treatment, recycling, and reclamation of municipal sewage and industrial wastes, of a liquid nature to implement section 201 of the Act, or necessary to recycle reuse water at the most economic cost over the estimated life of the works, including intercepting sewers, sewage collection systems, pumping, power and other equipment, and alterations thereof; elements essential to provide a reliable recycled supply such as standby treatment units and clear well facilities, and any works, including site acquisition of the land that will be an integral part of the treatment process or is used for ultimate disposal of residues resulting from such treatment.
- 8.28 "**Upset**": an exceptional incident in which there is unintentional and temporary noncompliance

with technology-based permit effluent limitations because of factors beyond the reasonable control of the permittee. An upset does not include noncompliance to the extent caused by operational error, improperly designed treatment facilities, lack of preventive maintenance, or careless or improper operations.

- 8.29 **“Waters of the State”**: all streams, lakes, marshes, ponds, watercourses, waterways, wells, springs, irrigation systems, drainage systems, and all other bodies or accumulations of water, surface and underground, natural or artificial, public or private, which are contained within, flow through, or border upon this state or any portion of the state.