

**Southwest Arkansas Regional Solid Waste Management District**

**Regional Solid Waste Needs Assessment**

**February 28, 2018**

## Element Number 1

### “Plan Area Structure”

#### 1. Demographics

##### 1.1. Map of District

- 1.1.1. District Map of Class 1 cities included in [Appendix A](#).
- 1.1.2. District maps showing locations of landfills, transfer stations, recycling facilities and permitted municipal composting sites are included in [Appendix A](#).

##### 1.2. Census Data

- 1.2.1. 2010 Census Population of the District is 149,257.
- 1.2.2. District population decreased by 7634 or -4.87% from 1990 to 2010 as shown in [Appendix B, Table 1](#).
- 1.2.3. Estimated District population change from 2010 to 2020 is projected to be dramatically more than in 2000 and 2010, with an estimated decrease of 9262, a 6.21% decrease. [See Appendix B, Table 1](#).
- 1.2.4. The Southwest District has 70,826 housing units, of which, 60,474, or 85.38% are occupied, per the 2010 Census. [See Appendix B, Table 2](#).
- 1.2.5. The Southwest District has 70,826 housing units, of which, 60,474, or 85.38% are occupied, per the 2010 Census.

## **Element Number 2**

### **“Administrative Structure”**

#### **2.1 Board Composition**

##### **2.1.1 Elected Officials –**

**Columbia County – Larry Atkinson**

**City of Magnolia – Parnell Vann**

**Calhoun County – Floyd Nutt**

**City of Hampton – Rick Shirron**

**Dallas County – Jimmy Jones**

**City of Fordyce – John MacNichol**

**Miller County – Roy John McNatt**

**City of Texarkana – Ruth Bell**

**Ouachita County – Robert McAdoo**

**City of Camden – Marie Trisollini**

**Union County – Mike Loftin**

**City of El Dorado – Frank Hash**

**City of Smackover – Bobby Neal**

**2.1.2 Appointed Members –** Landfill representative – Mike Howell – WCA

Recycling representative – Richard Metzelaars – Abilities Unlimited

**2.1.3 Advisory Boards -** None.

**2.1.4 Board Meetings -** Provide the following information regarding the District’s Board Meetings:

**2.1.4.1** The Southwest RSWMD Board meetings approximately quarterly.

**2.1.4.2** Meetings are normally located at the District offices, in Magnolia. Meetings are normally scheduled in conjunction with and after normal Southwest Arkansas PDD Board meetings, which helps combine meetings and makes meetings convenient for Board members who are on both boards.

**2.1.4.3** The public is notified through the District’s newspaper one week in advance of the meetings. Upon completion of the District website, notices will be posted there and on social media.

**2.1.4.4** Is an agenda provided to the public prior to scheduled Board meetings?

**2.2 Public Participation** - This section analyses the relationship between the Board and the public and what steps the District takes to bridge any gap in communication. Provide the following information regarding systematic input from the public:

**2.2.1** Board meetings are open to the public. Public input is both encouraged and allowed at all meetings.

- 2.2.2 Public input is solicited through notices to newspapers on major board actions. Again, upon completion of the District's website, online public input will be collected also.
- 2.2.3 Local business, industry and officials are personally invited to attend meetings by board members and by District staff. Solid waste industry representatives, consultants, landfill operators, haulers and other interested parties are routinely notified of meetings upon request and occasionally speak on solid waste topics of interest.
- 2.2.4 Certificate of Need public input and participation procedures are detailed in the District's Policies and Procedures. They include a public notice in the newspaper(s) of the area affected and a publicized public hearing in the area to solicit comments, which are presented to the Board prior to action being taken on any Certificate of need request.

### **2.3 District Administrative Staff**

2.3.1 The Southwest Arkansas Regional Solid Waste Management Board has entered into an agreement with the Southwest Arkansas Planning and Development District to provide support services. Two of the staff members of the Southwest Arkansas Planning and Development District serve as staff to assist the District in solid waste management related issues.

2.3.2 Renee Dycus, Executive Director – 28 years (10 years as Director).  
Blake Harrell, Solid Waste Manager, 18 years, (10 in Solid Waste)  
All staff are full time employees of the Southwest Arkansas Planning & Development District, with years of solid waste experience and are knowledgeable in the history and development of solid waste law in Arkansas.

### **2.4 Contractors, Consultants, Service Providers, and Volunteers**

- 2.4.1 The Board of Directors contracts with the SWAPDD Inc. to provide administrative support to the District. SWAPDD Inc. employs the District Solid Waste Executive Director and other staff to provide these services. The Executive Director of the Development District is the Executive Director of the Southwest Arkansas Regional Solid Waste Management District.
- 2.4.2 None. With the changing of the tire law, the District has ended tire hauling/transportation/disposal contracts with all tire contractors.
- 2.4.3 Yes.
- 2.4.4 The contract is a perpetual contract.
- 2.4.5 See 2.3.2 Above.
- 2.4.6 The District does not employ volunteers. Volunteer programs are performed at the local government level.

**2.5 Solid Waste Authorities**

- 2.5.1 Not applicable.
- 2.5.2 Not applicable.
- 2.5.3 Not applicable.
- 2.5.4 Not applicable.
  - 2.5.4.1 Not applicable.

**2.6 Administrative Procedures and Other Documents**

- 2.6.1 Southwest Arkansas District Policies and Procedures are approved by the Board of Directors. The District followed state recommended process in place at the time of adoption. District Policies and Procedures were adopted by the Board of Directors in August of 2014, after a public notice and public comment period.
- 2.6.2 Yes. The Board of Directors, interested parties and the general public were provided input into the development of the Districts rules, regulations and procedures through Board notification and a public notice and input period.
- 2.6.3 District Policies and Procedures are found in [Appendix C](#).

**2.7 Administrative Funding**

**2.7.1 Funding Sources:**

a. Recycling Grants -	\$ <u>288,107.00</u>
b. Waste Tire Grants -	\$ <u>300,439.75</u>
c. Other grants (identify Source) _____	\$ _____
d. Total administrative funds from Grants	\$ <u>588,546.75</u>

Next, list all administrative fees levied and collected by the District.

<u>Source</u>	<u>Most Recent Annual Dollar Amount</u>	<u>% of total</u>
ADEQ GRANTS (from d above)	\$ <u>588,546.75</u>	<u>92.2%</u> %
Local Sources:		
Hauler Licensing	\$ <u>10,350.00</u>	
Taxes	\$ _____	
Per Capita Fee	\$ <u>35,821.68</u>	

Assessment/Tipping	\$ _____	
Collection Fee	\$ _____	
Other* (box rentals)	\$ <u>3,500.00</u>	
Total Local Revenue	\$ <u>49,671.68</u>	<u>7.8</u> %
Total Annual Funds	\$ <u>638,218.43</u>	<u>100</u> %

## 2.8 RSWMD Budget

**2.8.1** The Southwest Arkansas Regional Solid Waste Management Board has entered into an agreement with the Southwest Arkansas Planning and Development District (SWAPDD) to provide support services. A Budget is Attached within the SWARSWMD Audit ([Appendix D](#)).

**2.8.2** The District Board of Directors approves all budgets and operations.

## 2.9 Public Education and Support

**2.9.1** District programs and resources utilized for education and support: The District provides public education to elected officials, businesses and the general public in the areas of waste collection, disposal, waste tire recycling and disposal, waste reduction practices and community recycling programs including traditional recycling, electronics recycling, composting and special material recycling. Methods of providing this education will include the District's web site (Under Construction), District Board meetings, workshops and contacts with area K-12 and post-secondary educators, media advertising, recycling forums, attendance at civic and community events, brochures, and other educational and promotional materials. The District provides technical assistance to local officials, facility managers and service providers in solid waste planning and operations. SWAPDD offices operate as a service center for internet and phone inquiries about proper disposal of specific items.

**2.9.2** The District's web site development is nearing completion and will be a place to visit for information on all area facilities, programs reports, plans and services that are available to elected officials, communities, businesses and residents. Notices of Board meetings, program updates, reports and grant opportunities will be posted here. The District is also creating a Facebook page that will have similar information, making the program more accessible. The District's members advertise their programs with local ads, radio campaigns and brochures.

**2.9.3** See 2.9.2. above. This information is also accessible at our local member government offices and available via phone call to the District offices.

**2.9.4** Public outreach efforts are listed in 2.9.1 and 2.9.2 above. All meetings and documents are available to the public and input is welcomed.

**2.10 Programs for Elimination of Illegal Dumping and Open Burning**

- 2.10.1** The SWARSW District works with ADEQ, local governments and property owners regarding site abatement. Landowners are notified of the law and of their responsibility regarding dump sites. Tire removal from sites is usually arranged through the UPPERSW District waste tire program, which the Southwest District works with UPPER SW Landfill and until the end of the existing tire program, would pay the disposal costs for tires in most situations. Moving forward (After 1-1-18), that decision would be made by UpperSW Management District. In the event that a property owner is unable or cannot afford to hire manpower and equipment needed to clean up a site, especially if the site poses a health or environmental hazard, the District and the local government jurisdiction work together with the State to help the property owner with the clean-up. The District does not employ a dump control officer and relies on local government officials to report and assist with enforcement. Education components will be implemented on the District website upon completion.
- 2.10.2** The District relies on its working relationship with ADEQ, county governments, law enforcement and area inspectors for information on illegal dumping.
- 2.10.3** SWRSWMD does not employ a Dump Control Officer.

## Element Number 3

### “Waste Origin, Composition, and Characterization”

#### Guidance Document

Under this element, RSWMDs are asked to identify the quantities and makeup of waste generated within the District. Identifying the quantities and types of waste in the waste stream is an important step in planning for the waste management processes of waste reduction, recycling, re-use, waste to energy, and planning for adequate disposal capacity for the District.

**3 Waste Categories** - Provide a spreadsheet or table categorizing solid waste as being generated by residential households and commercial entities, as well as waste generated by industries within the District.

**3.1 Residential and Commercial Waste Estimation** – Based upon the SWAPDD 2010 Census population of 149,257 and U.S. EPA household and commercial waste estimates of 4.43 pounds/person/day, expected annual District waste totals are estimated as follows:

**TONS PER DAY:** 149,257 persons X 4.43 lbs/day = 661,208.51 lbs Daily = 330.60 Tons Daily =

**EPA Annualized District Tonnage Estimate:** 120,670 tons/year

<b>WCA District Tons for 2017</b>	148,655.77
Plus Waste Transported out of District*	12,360.00
Less Out of District Waste Transported In	(54,910.10)
Recycled and Composted Waste (12 Mo.)**	41,205.00
<b>Actual 2017 District Waste Generation***</b>	<b>147,310.77</b>

\*Figures are from Richardson Waste Transfer Station in Miller County. Miller County is also serviced by private contractors who were unwilling to provide estimates to the District, citing proprietary information. Estimate is figured from Richardson Waste report only

\*\* Figures are from recycling surveys on a fiscal year basis (July 1 – June 30). These are estimates only for calendar year

\*\*\* This does not take into account private industry landfills operating within the District, nor does it take into account the growing retail and industry trend of backhauling recyclable materials, particularly evident among discount stores, grocery stores and manufacturing industries in the area. This information was not made available to us.



- 3.2 Municipal Solid Waste Makeup** - can be computed by utilizing the percent of material commonly found in the waste stream and the populations for each county of the state. This information, found on the website for the U.S. Census Bureau, Population Division, is provided on following pages.

<u>Waste Type</u>	<u>Estimated 2010 Tons</u>	<u>Percent of Waste Stream*</u>
Paper and Paperboard	32,124.82	26.6%
Food Scraps	17,979.83	14.9
Yard Trimmings	16,049.11	13.3
Plastics	15,566.43	12.9
Metals	10,860.30	9.0
Rubber and Textiles	11,463.65	9.5
Wood	7,481.54	6.2
Glass	5,309.48	4.4
Other	<u>3,834.84</u>	<u>3.2</u>
<b>District Total</b>	<b>120,670 .00</b>	<b>100.0%</b>

\*Percentages based upon 2014 U.S. EPA MSW by material type. That is a national average.  
<http://www.waste360.com/waste-reduction/14-charts-epa-s-latest-msw-estimates>

### 3.3 Industrial Waste Generation -

- 3.3.1** Southwest Arkansas RSWMD does not have a large industrial presence in the state, with Union County being an exception. Agriculture and forestry are major industries in the rural service area. According to our records, 23 industries with 100+ employees exist in the District. Surveys were sent to the larger industries, and none were returned by the time of this report. The District received numerous calls concerning this survey, citing a wariness of filling out this survey, having to get corporate headquarter approval and proprietary nature of their business and processes. The District made several attempts to gather this information, both by phone call and mail.
- 3.3.2** See 3.3.1 above
- 3.3.3** See 3.3.1 above
- 3.3.3.1** N.A.
- 3.3.3.2** N.A.
- 3.3.3.3** N.A.
- 3.3.3.4** N.A.

**Table 1. Annual Estimates of the Resident Population for Counties of Arkansas: April 1, 2010 to July 1, 2011**

Geographic Area	April 1, 2010		Population Estimates (as of July 1)	
	Census	Estimates Base	2010	2011
<b>Arkansas</b>	<b>2,915,918</b>	<b>2,915,921</b>	<b>2,921,588</b>	<b>2,937,979</b>
Arkansas County	19,019	19,019	18,994	18,892
Ashley County	21,853	21,853	21,840	21,692
Baxter County	41,513	41,513	41,527	41,536
Benton County	221,339	221,339	222,924	227,556
Boone County	36,903	36,903	36,914	37,051
Bradley County	11,508	11,508	11,501	11,482
Calhoun County	5,368	5,368	5,331	5,144
Carroll County	27,446	27,446	27,533	27,512
Chicot County	11,800	11,800	11,799	11,721
Clark County	22,995	22,995	22,959	22,858
Clay County	16,083	16,083	16,070	15,880
Cleburne County	25,970	25,970	26,000	25,901
Cleveland County	8,689	8,689	8,697	8,672
Columbia County	24,552	24,552	24,498	24,401
Conway County	21,273	21,273	21,275	21,270
Craighead County	96,443	96,443	96,705	98,315
Crawford County	61,948	61,948	61,997	61,944
Crittenden County	50,902	50,902	50,913	50,525
Cross County	17,870	17,866	17,834	17,781
Dallas County	8,116	8,116	8,092	8,072
Desha County	13,008	13,008	12,986	12,763
Drew County	18,509	18,509	18,517	18,467
Faulkner County	113,237	113,237	114,021	116,342
Franklin County	18,125	18,125	18,128	18,047
Fulton County	12,245	12,245	12,242	12,296
Garland County	96,024	96,022	96,218	97,124
Grant County	17,853	17,853	17,893	17,988
Greene County	42,090	42,090	42,171	42,720
Hempstead County	22,609	22,609	22,590	22,541
Hot Spring County	32,923	32,923	32,938	32,881
Howard County	13,789	13,789	13,817	13,886
Independence County	36,647	36,647	36,724	36,861
Izard County	13,696	13,696	13,671	13,419
Jackson County	17,997	17,997	17,972	17,866
Jefferson County	77,435	77,435	77,317	76,246
Johnson County	25,540	25,540	25,578	25,742
Lafayette County	7,645	7,645	7,636	7,516
Lawrence County	17,415	17,415	17,421	17,178
Lee County	10,424	10,424	10,423	10,326
Lincoln County	14,134	14,134	14,100	14,006
Little River County	13,171	13,171	13,137	12,996
Logan County	22,353	22,353	22,334	22,290
Lonoke County	68,356	68,354	68,658	69,341
Madison County	15,717	15,720	15,707	15,776

Marion County	16,653	16,653	16,633	16,573
Miller County	43,462	43,462	43,530	43,759
Mississippi County	46,480	46,480	46,332	45,966
Monroe County	8,149	8,149	8,121	8,075
Montgomery County	9,487	9,487	9,486	9,433
Nevada County	8,997	8,997	8,984	9,017
Newton County	8,330	8,330	8,339	8,264
Ouachita County	26,120	26,120	26,129	25,880
Perry County	10,445	10,445	10,464	10,405
Phillips County	21,757	21,757	21,671	21,442
Pike County	11,291	11,291	11,296	11,259
Poinsett County	24,583	24,583	24,551	24,514
Polk County	20,662	20,662	20,664	20,610
Pope County	61,754	61,754	61,875	62,331
Prairie County	8,715	8,715	8,713	8,618
Pulaski County	382,748	382,750	383,581	386,299
Randolph County	17,969	17,969	17,970	18,017
St. Francis County	28,258	28,258	28,165	27,970
Saline County	107,118	107,120	107,636	109,526
Scott County	11,233	11,233	11,279	11,272
Searcy County	8,195	8,195	8,186	8,036
Sebastian County	125,744	125,744	125,853	127,127
Sevier County	17,058	17,058	17,147	17,293
Sharp County	17,264	17,264	17,255	17,380
Stone County	12,394	12,394	12,431	12,603
Union County	41,639	41,639	41,535	41,427
Van Buren County	17,295	17,295	17,300	17,083
Washington County	203,065	203,065	204,061	207,521
White County	77,076	77,076	77,332	78,167
Woodruff County	7,260	7,264	7,265	7,229
Yell County	22,185	22,185	22,202	22,060

(X) Not applicable.

Note: The April 1, 2010 Population Estimates base reflects changes to the Census 2010 population from the Boundary and Annexation Survey (BAS) and other geographic program revisions. It does not reflect changes from the Count Question Resolution program. All geographic boundaries for the 2011 population estimates series are defined as of January 1, 2011.

**Suggested Citation:**

**Table 1. Annual Estimates of the Resident Population for Counties of Arkansas: April 1, 2010 to July 1, 2011 (CO-EST2011-01-05)**

**Source: U.S. Census Bureau, Population Division**

**Release Date: April 2012**

## Element Number 4

### “Waste Collection, Recycling, and Disposal”

#### 4.1 Existing Solid Waste Management Facilities

4.1.1 **Landfills** – See table below for information on District Landfills

4.1.2 The following disposal facilities operate within the District Borders:

Landfill Name	Class	Area Served	Waste Source In/Out District	Tonnage (if known)	Life Exp. (if known)
Waste Corp of Arkansas (El Dorado, AR)	1	South Arkansas	In & Out	148,655	6.7*
Calhoun County	IV	Calhoun County	In District		93.8
Dallas County	IV	Dallas County	In District		9.4
Columbia County	IV	Columbia County	In & Out		14.67
City of Camden	IV	Camden	In District		13.5

\*WCA has an active permit modification for an adjacent piece of land on record with ADEQ, which when approved, will increase capacity to 39.2 years.

4.1.3 A map locating each is included in [Appendix A](#).

4.1.4 Provided in table above.

4.1.5 Gate rate for WCA Landfill is \$67.12/ton after taxes and fees.

#### 4.2 **Transfer Stations**

4.2.1 See chart in 4.2.3 below.

4.2.2 See map in [Appendix A](#).

**4.2.3** The following Transfer Stations operate within the District.

Transfer Station	Area Served	Materials Accepted	Material Disposition
Richardson Waste 2934 CR 10 Texarkana, AR 71857	Fouke – Miller County	Class 1	New Boston, TX
City of Camden 2463 County Club Road Camden, AR 71701	Camden	Class 1	WCA – El Dorado, AR
Dallas County 2487 Highway 8 West Fordyce, AR 71742	Dallas County	Class 1	WCA – El Dorado, AR

**4.3 All other facilities**

**4.3.1** See listing below under 4.4.1 below.

**4.4 All other facilities:**

**4.4.1** Other facilities:

**PERMITTED COMPOSTING FACILITIES**

City of El Dorado Composting Facility

794 Industrial Road                      Contact: Frank Hash  
El Dorado, AR 71730                      (870) 862-7911

**RECYCLING CENTERS****Calhoun County**

Recycling Center                      Floyd Nutt  
1st Street                                      (870) 798-4818  
Hampton, AR 71744

**Columbia County**

Abilities Unlimited                      Sandy Marlar  
223 West University                      Executive Director  
Magnolia, AR 71753                      (870) 234-2558

**Dallas County**

Dallas County Recycling 2487 Highway West 8 Fordyce, AR 71742	James Luff (870) 352-8832
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**Ouachita County**

Ouachita Industries 157 Grinstead St, SE Camden, AR 71701	Cathy Cash (870) 836-3056
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Ouachita County Recycling 3850 Hwy 278 Bypass Camden, AR 71701	Robert McAdoo (870) 837-2210
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**Union County**

El Dorado Recycling Center 1600 S Jackson Ave El Dorado, AR 71730	Robert Edmonds (870) 863-4244
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**Miller County**

Texarkana Recycling Center 2601 Dudley Ave Texarkana, AR 75504	Brandy Chewning (870) 779-4946
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**4.5 Solid Waste Collection Systems -**

**4.5.1** A table showing the collection systems in place for each County/Municipality can be found in [Appendix E](#).

**4.6 Collection Service Providers**

The types of District collection service providers, frequency of pickup, and revenue collection types are listed in [Appendix E](#).

**4.6.1** Costs of Services: The cost of services varies in the District. All of the entities either provide or subcontract for services. The private providers consider their pricing, customer account and routing information to be proprietary information and is not included here.

**4.6.2** Number of accounts per provider is considered proprietary information and is not included here. The District has received many complaints and refusals to complete this survey.

**4.6.3** As with 4.6.2 above, volume of material by hauler is not available to the District. The District monitors disposal of waste from within its' boundaries, as is documented earlier in this plan.

#### 4.7 Waste Hauler/Transporter

The collection system providers and actual haulers are generally the same entity. As stated in 4.6.1. above, private service providers consider their account information to be proprietary and do not allow this information to be shared.

- 4.7.1 A listing of District waste haulers with contact information, number of vehicles permitted, is found in table form in [Appendix F, Commercial Waste Hauler Permits](#).
- 4.7.2 See [Appendix F, Commercial Waste Hauler Permits](#)
- 4.7.3 See [Appendix F, Commercial Waste Hauler](#)
- 4.7.4 A District wide map with street level detail on hauler coverage areas is not available. The District has received numerous comments from private haulers that would not or could not provide this information. It was deemed that this is proprietary information. A County or City hauler would only consist of a map of the whole County or City Streets. Detailed map showing coverage area served by the hauler.
- 4.7.5 Materials transported are residential and commercial MSW, Class 4 waste, sludge and industrial waste.

#### 4.8 Import/Export Status

- 4.8.1 One District transfer station accepts waste from within the District boundaries and transfer it out of the District for disposal. Richardson Waste reported that 12,379 tons were transported to New Boston Landfill, in New Boston, TX. In the 2013 report from the District, Camden was transporting waste out of District to Pine Bluff, AR, but has since decided to (and is) transport waste to WCA in El Dorado.
- 4.8.2 WCA accepts out of District and out of State waste. According to WCA, 48,812 out of District tons were accepted and 11,097 tons from out of State were accepted.

- 4.8.3 According to District records, the Haulers that transport waste out of District are as follows:

Richardson Waste  
4841 East St  
Texarkana, AR 71854  
870.779.1429

Get Rid of It America  
313 Ready Road  
El Dorado, AR 71730  
800.996.0012

Texas Newco LLC  
2708 W 7<sup>th</sup> Street  
Texarkana, TX 77024  
903.809.9451

## **“Executive Summary”**

### **E.1 Overview**

The Southwest RSWMD has in place a solid waste system that meets the present needs of most District residents. In most areas, curbside waste collection is provided, but small rural areas it is too expensive to operate because of low population density and is often cost prohibitive due to low income residents who typically live in these areas.

### **E.2 Identification of Potential Areas of Need and Future Planning:**

#### **E.2.1 Collection:**

The District is served by approximately 16 solid waste haulers who operate 129 permitted trash trucks, ranging from large packers and roll-offs in more urban areas to smaller passenger truck operations. This average of 21 trucks per county meets the present needs of the District, given its mostly rural nature. The District will continue to work with local governments and private operators toward improving collection services through regional approaches which offer greater economies of scale.

In the more rural areas, efforts to strengthen the existing collection systems and encourage further development of rural waste collection systems will continue. Assuring the availability of local service is an ongoing objective.

#### **E.2.2. Disposal:**

The Southwest District has adequate disposal capacity for Class 1 waste in the Class 1 landfills in El Dorado and various Class IV landfills.

#### **E.2.3. Recycling:**

The District’s six counties are served by seven recycling centers, which collect varied materials including paper, plastic, cardboard, scrap metal, fabric and other assorted recycling materials. Curbside recycling collection is county-wide in Columbia County and Calhoun County. It is the goal of the District to provide the technical and grant assistance needed to support center operations and to help insure long term sustainability.

#### **E.2.4. Waste Reduction:**

The District continues to work with local governments to reduce the cost of disposal by addressing recycling as a part of the solution. Reuse, recycling and composting are included in local waste reduction strategies. Aside from the financial benefits of waste reduction, on-gong education at the community level and in the District’s education systems is an essential key to progress in long term waste reduction.

#### **E.2.5. Special Materials:**

Electronic waste in the District is managed through a network of collection sites at each of the recycling centers. These centers have EWaste trailers purchased with EWaste recycling funds, which transport the EWaste to Unicor in Texarkana, Texas for disposal. The lack of viable markets for electronic waste is a concern to the District. The Texarkana disposal site of Unicor is close and convenient for SWRSWMD, but the concern for transportation costs are a concern for other Districts. In addition, UNICOR is now charging a per/lb fee on any CRT’s delivered to the facility. The District is using the majority of EWaste funding to pay for those



recycling costs. With recent legislative changes eliminating permanent E-Waste funding, the lack of a long term financial solution to E-Waste (disposal fee, manufacturer responsibility etc.) is a great concern to the District.

Clean Harbors in El Dorado has special hazardous waste collection days on occasion. SWRSWMD works with Clean Harbors to extend that opportunity to the entire District, but permitting regulations prevent Clean Harbors from doing so.

The District will continue to address special materials – including but not limited to littering, illegal dumping, open burning and household hazardous wastes, – through education, public awareness and technical assistance to cities and counties.

#### E.2.6. Education and Public Awareness:

District education programs include a variety of approaches: the (almost completed )District web site(Southwestar.org),local billboards, radio and newspaper releases and advertising, the District's e-mail newsletter, membership in local government groups, solid waste education material distributions to schools, and presentations and visits to schools, civic and community groups.

Education is necessary on an on-going basis to achieve responsible solid waste management long term. District plans are to continue development of a comprehensive public education program for all solid waste services, utilizing traditional and non-traditional education venues along with electronic media and expanded electronic resources for business, community and school information and education.

#### E.3 Transportation effectiveness:

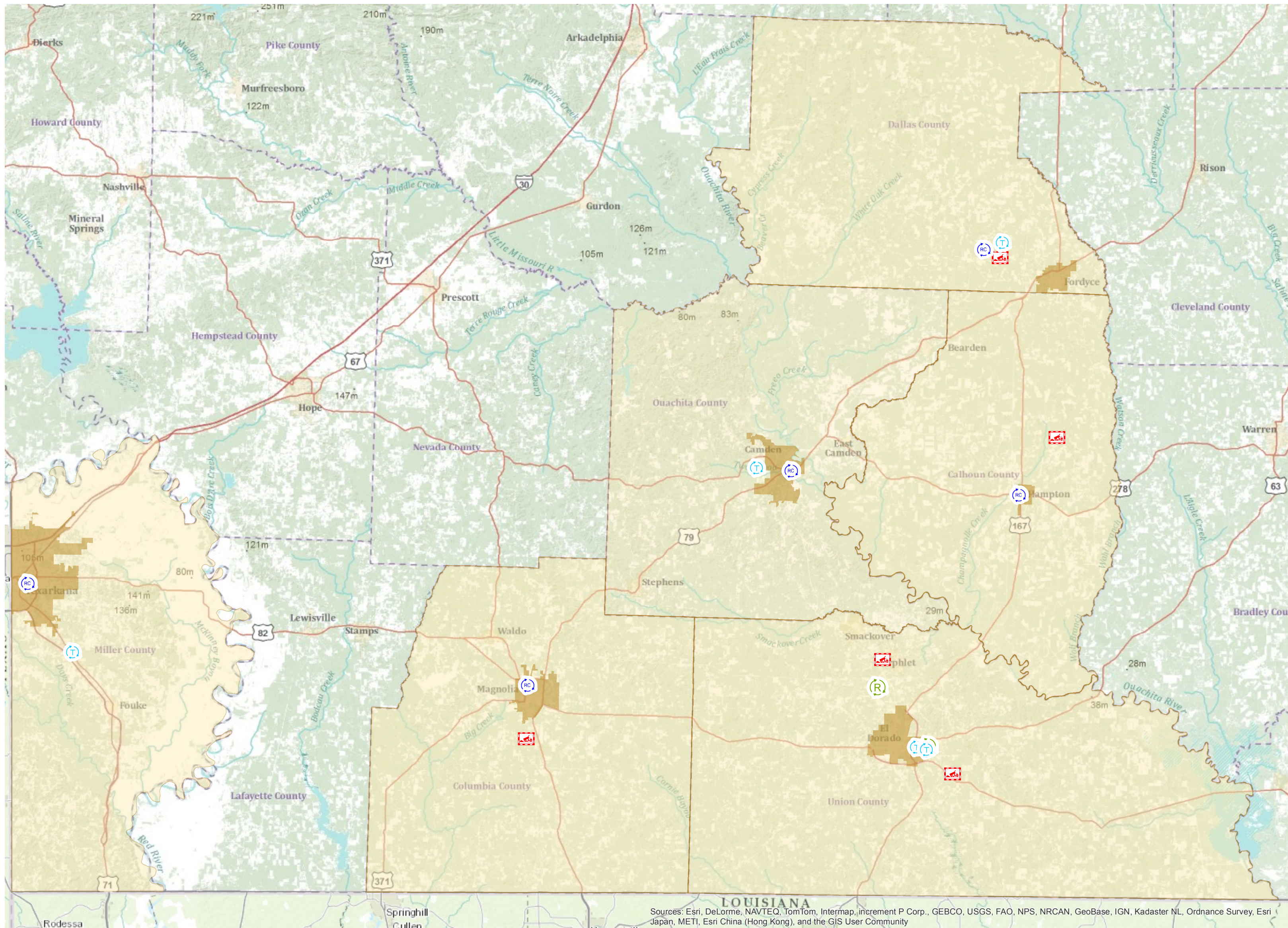
The SWRSWMD believes the transportation system works effectively for the mostly rural SW District. An informal survey of the board members show that members believes the amount of transfer stations currently mostly meet their needs. With decreasing populations in the District, it is unlikely for the amount of these to increase in the coming years. The District constantly monitors these needs with the Board and will request additional resources if necessary.

#### E.4 Regulatory and Statutory changes: N/A

#### E.5 Adequacy of Funding: The District has lost some funds from the re-organization of the tire program. The District is currently evaluating the effects of this change.

# Appendix A

# Solid Waste Sites



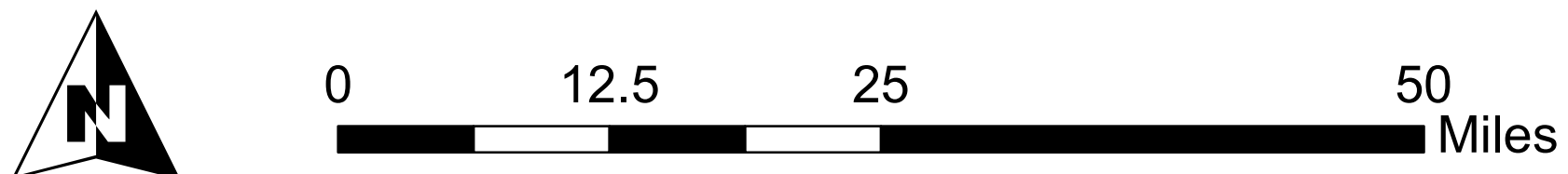
## Legend

### Solid Waste Sites

- Type
- Waste Recovery
  - Landfill
  - Recovery
  - Transfer Station

- County
- County
- ### Class 1 Cities
- Camden
  - El Dorado
  - Fordyce
  - Hampton
  - Magnolia
  - Texarkana

Sources: Esri, DeLorme, NAVTEQ, TomTom, Intermap, increment P Corp., GEBCO, USGS, FAO, NPS, NRCAN, GeoBase, IGN, Kadaster NL, Ordnance Survey, Esri Japan, METI, Esri China (Hong Kong), and the GIS User Community



# Appendix B

County	Pop 1990	Pop 2000	Pop 2010	Projected 2020	% Difference 2010/2020
Calhoun	5826	5744	5368	3983	-25.33
Columbia	25691	25603	24552	22054	-9.95
Dallas	9614	9210	8116	7042	-12.94
Miller	38467	40443	43462	43260	6.28
Ouachita	30574	28790	26120	23191	-10.97
Union	46719	45629	41639	40465	-2.74
Totals	156891	155419	149257	139995	93.79%
		99.06%	95.13%	90.08%	

(4.87% decrease in population between 1990 and 2010)

County	Total Housing Units	Occupied Housing Units	Percentage Occupied
Calhoun	2897	2262	78.10%
Columbia	11569	9759	84.20%
Dallas	4305	3280	76.20%
Miller	19281	17219	89.30%
Ouachita	13121	11003	83.90%
Union	19653	16951	86.30%
Totals	70826	60474	85.38%

# Appendix C

# **SOUTHWEST ARKANSAS REGIONAL**



## **SOLID WASTE MANAGEMENT DISTRICT**

**POLICIES, PROCEDURES & CRITERIA  
FOR  
ARKANSAS ACT 870 OF 1989  
CERTIFICATE OF NEED  
REVIEW FOR SOLID WASTE LANDFILL FACILITIES**

August 2014



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# CERTIFICATE OF NEED REVIEW FOR SOLID WASTE LANDFILL FACILITIES

## **RULE 1. DESCRIPTION**

The Southwest Arkansas Regional Solid Waste Management Board (SWARSWMB) is the designated Board, to conduct the solid waste planning and management responsibilities mandated in Section Four (4) of Arkansas Act 870 of 1989. This Board has been duly designated under the terms and conditions prescribed in Section Three (3) of Section Nine (9), as applicable, of Act 870 of 1989, and its jurisdictional boundaries include: Calhoun, Columbia, Dallas, Miller, Ouachita and Union Counties; and the incorporated places of Bearden, Camden, Carthage, Chidester, East Camden, El Dorado, Emerson, Felsenthal, Fordyce, Fouke, Fulton, Garland, Huttig, Junction City, Louann, Magnolia, McNeil, Norphlet, Reader, Smackover, Sparkman, Stephens, Strong, Taylor, Texarkana, Thornton, and Tinsman.

The State Law referred to above provides that the SWARSWMB shall have the following powers and duties:

- a. Collect data, study and evaluate the solid waste management needs of all localities within this jurisdiction, as provided in Section Five (5) of the law, and to publish findings as a Regional Needs Assessment.
- b. Evaluate on a continuous basis the solid waste needs of this jurisdiction, and thereby update the Regional Needs Assessments at least biennially, yearly or as required by ADEQ;
- c. Formulate recommendations to all local governments within this jurisdiction on solid waste management issues, and to formulate plans for providing adequate solid waste management;
- d. Issue or deny Certificates of Need to any applicant for a solid waste landfill permit within this jurisdiction;
- e. Adopt such rules or regulations as necessary to assure: 1) consistency of review and decision making procedures/criteria among the Boards, and public notice and participation in any findings or rulings of this Board; and
- f. Carry out all other powers and duties conferred by Act 870 of 1989.

## **RULE 2. DEFINITIONS**

- Affected Persons:** Includes: The applicant, the Arkansas Department of Environment Quality (ADEQ); the Regional Solid Waste Planning Board (RSWPB) or Solid Waste Service Area Board (SWSAB), where applicable, for the area in which the proposed solid waste landfill is to be located; RSWPBs and SWSABs having jurisdiction in contiguous areas to the location; units of general local government, within the geographic area served or to be served by the applicant and within the Regional Solid Waste Planning District (RSWPD) or Solid Waste Service Area (SWSA); and solid waste landfill permittees having a permitted solid waste disposal site in the RSWPD or SWSA in which the landfill is proposed to be located.
- Interested Party:** The Director or his designee, the Board, the person making application to the Board or any person submitting written comments on the application within the public comment period.
- Certificate of Need:** A certificate issued by the Southwest Arkansas Regional Solid Waste Planning Board to an individual or organization proposing to obtain a landfill permit for solid waste disposal. The certificate recognizes that such landfill, when available, will be needed by those for whom it is intended. A Certificate of Need is a condition of application for a solid waste landfill permit under the Arkansas Solid Waste Management Code. The Department may deny any permit based upon the denial of a Certificate of Need by the Southwest Arkansas Regional Solid Waste Planning Board.
- Certificate of Need Review:** Review of petitions for Certificates of Need where the landfill if permitted will be located in this jurisdictional area. Under Arkansas Act 870 of 1989, RSWPBs and SWSABs, where applicable, are required to have the respective Board administer a Certificate of Need Review Program.
- Solid Waste:** All putrescible and non-putrescible waste in solid or semi-solid form, including, but not limited to yard or food waste, waste glass, waste metals, waste plastics, wastepaper, waste paperboard, and all other solid or semi-solid wastes resulting from industrial, commercial, agricultural, community and residential activities.
- Landfill:** A permitted landfill under the Arkansas Solid Waste Management Act, Arkansas Code 8-6-201 et seq., except those permitted landfills where a private industry bears the expense of operating and maintaining the landfill solely for the disposal of wastes generated by the industry.

### **RULE 3. PURPOSE AND APPLICABILITY:**

- A. The Southwest Arkansas Regional Solid Waste Planning Board will administer the Certificate of Need Review Program which: 1) applies to the offering of new or increased solid waste landfill disposal capacity within its jurisdictional boundaries, and 2) is consistent with the laws of the State.
- B. In performing its review functions, the Southwest Arkansas Regional Solid Waste Planning Board shall follow procedures and apply criteria developed in accordance with procedures and regulations herein.

### **RULE 4. GENERAL**

- A. The Southwest Arkansas Regional Solid Waste Planning Board will administer within the Southwest Arkansas Regional Solid Waste Service Area a Certificate of Need Review Program.
- B. Only the Southwest Arkansas Regional Solid Waste Planning Board will issue or deny Certificates of Need for proposed landfill permits within the Southwest Arkansas Regional Solid Waste Service Area.
- C. In issuing or denying Certificates of Need, the Southwest Arkansas Regional Solid Waste Planning Board will take into account the findings enumerated in the Regional Needs Assessment Report applicable to the area where the landfill site is proposed, and the criteria enumerated in RULE 4., Paragraphs c., d., e., and RULE 10.
- D. Each decision of the Southwest Arkansas Regional Solid Waste Planning Board to issue a Certificate of Need must be consistent with the Regional Needs Assessment.
- E. Each decision of the Southwest Arkansas Regional Solid Waste Planning Board to issue or deny a Certificate of Need must be based on documented evidence clearly indicating that the proposed solid waste landfill:
  - 1) Is consistent with the regional planning strategy adopted by Southwest Arkansas Regional Solid Waste Planning Board in the Regional Needs Assessment;
  - 2) Does not conflict with existing comprehensive land use plans of any local governmental entities;
  - 3) Does not disturb an archaeological site as recognized by the Arkansas Archaeological Survey, or a Rare and Endangered Species habitat as recognized by the Arkansas Game and Fish Commission or the U.S. Fish and Wildlife Service; and

- 4) Will not adversely affect the public use of any local, state, or federal facility, including but not limited to parks and wildlife management areas.

NOTE: The decision of the Southwest Arkansas Regional Solid Waste Planning Board to issue or deny a Certificate of Need shall not be based on any technical merits related to the proposed or existing solid waste landfills.

## **RULE 5. SCOPE OF CERTIFICATE OF NEED PROGRAM**

The Southwest Arkansas Regional Solid Waste Planning Board will conduct reviews for new or increased solid waste landfill disposal capacity to be located or proposed to be located in the Southwest Arkansas Regional Solid Waste Service Area and which are subject to review under the Certificate of Need Program. Following are the only types of permits that are reviewable under the Certificate of Need Program:

- a. Permits for new solid waste landfill disposal sites,
- b. Transfer of existing solid waste landfill disposal permits,
- c. Reclassification of existing solid waste landfill disposal permits.

## **RULE 6. NOTICE OF INTENT**

Before any person submits a petition for a Certificate of Need, that person must notify Southwest Arkansas Regional Solid Waste Planning Board at least thirty (30) days prior to the submission of said petition. The information required in the “notice of intent” shall include:

- a. Name of Applicant;
- b. Applicant’s Address and Telephone Number;
- c. Contact Person, Telephone Number and E-Mail Address;
- d. Whether the applicant is seeking a new, or transfer or reclassification of an existing landfill permit;
- e. Site of the proposed or existing solid waste landfill, including precise legal description and total acreage;
- f. Description of the geo-political jurisdictions to be served by the landfill, including population estimates by jurisdiction;

- g. Confirmation from ADEQ that the applicant has requested a statement concerning the current and projected solid waste disposal capacity respective to the area and landfill class being proposed; and
- h. Any other information deemed necessary by the Board.

## **RULE 7. ENFORCEMENT**

A. The Certificate of Need Program provides that:

- 1) No Certificate of Need Application will be accepted nor will a Certificate of Need be issued to any applicant where the solid waste landfill disposal capacity for the respective area and class (s) of permit under review exceeds twenty-five (25) years at the time of the “Notice of Intent” (RULE 6.) is submitted.
- 2) No Certificate of Need Application will be accepted for review unless a “Notice of Intent” has been filed in accordance with RULE 6.
- 3) The Southwest Arkansas Regional Solid Waste Planning Board will only issue a Certificate of Need for applications which are found to be needed.

## **RULE 8. ADOPTION AND PUBLIC NOTICE OF REVIEW PROCEDURES AND CRITERIA**

Before the Southwest Arkansas Regional Solid Waste Planning Board adopts these proposed review procedures and criteria, or any revisions to the proposed review procedures and criteria, persons will be given the opportunity to offer written comments on the procedures and criteria. The Southwest Arkansas Regional Solid Waste Planning Board will mail a copy of the proposed revisions to ADEQ at the time notice is provided pursuant to the following paragraph.

The Southwest Arkansas Regional Solid Waste Planning Board will publish, in one or more newspapers of general circulation in the area of jurisdiction, a notice stating that review procedures and criteria, or revisions to them have been proposed for adoption and are available at the Southwest Arkansas Regional Solid Waste Planning Board Office for inspection and copying, and the date of the public hearing referred to below.

Prior to the adoption of these rules and any revisions to these rules, the Southwest Arkansas Regional Solid Waste Planning Board shall conduct a public hearing not less than twenty (20) days before the effective date of adoption. The public hearing will be held in the county where the administrative office of the Southwest Arkansas Regional Solid Waste Planning Board is located.

## **RULE 9. PROCEDURES FOR CERTIFICATE OF NEED REVIEW**

- A. Notification of the Beginning of a Review – Timely written notification will be sent to affected persons, at the beginning of a review of an application for a Certificate of Need, and to any person who has required his name on the Southwest Arkansas Regional Solid Waste Planning Board mailing list maintained by the Southwest Arkansas Regional Solid Waste Planning Board. Notification will include the proposed review period (Rule 9. Paragraph b.), the date (s) of any scheduled or anticipated meetings and the public hearing to be held during the course of review, and the location where information related to the request for Certificate of Need may be reviewed.
1. For purposes of this paragraph, the date of notifications is the date on which the notice is sent or the date on which the notice appears in a newspaper of general circulation, whichever is later, and
  2. Written notification to affected persons will be made by fax, mail or email; and notification to members of the public will be provided through newspaper of general circulation and public information channels in the Regional Solid Waste Service Area, whichever is applicable.
- B. Review Period – The Southwest Arkansas Regional Solid Waste Planning Board will establish a review period, which will include: 1) the date of the public hearing to be held in the County where the proposed solid waste landfill is to be located; and 2) the date of final Southwest Arkansas Regional Solid Waste Planning Board finding (normally sixty (60) days or less from the date of notification). The review period begins on the date established in Rule 9., Paragraph a.
- C. Public Comment Period – The Southwest Arkansas Regional Solid Waste Planning Board will accept written comments regarding an application for the period from the date of notification (Rule 9, Paragraph a.) through the close of business on the date of the public hearing (Rule 9, Paragraph f.) regarding the application for which notification was made.
- D. Information Requirements – There is a provision for persons subject to a review to submit to the Southwest Arkansas Regional Solid Waste Planning Board information that the Southwest Arkansas Regional Solid Waste Planning Board requires relating to Rule 4, Paragraph e., Items 1-4. The form, manner, and content of the information will be as follows:
1. The applicant’s petition for a Certificate of Need shall include:
    - a. Applicant name, address, and telephone number;
    - b. Contact Person and Telephone number;
    - c. Name of individual/organization having legal ownership of the land where the proposed site and/or existing landfill is located;

- d. Description of geo-political jurisdictions to be served; including population estimates by jurisdiction;
  - e. Documentation that the proposed solid waste landfill complies with each of the criteria enumerated in Rule 4, Paragraph e., Items 1-4.;
  - f. Amount of disposal capacity being proposed (stated in years) and description of how the capacity was calculated; and
  - g. Other information deemed necessary to make determination of need. (This information will be specified and requested of the applicant within two weeks of receipt of initial application. The beginning of review (Rule 9., Paragraph a.) will not commence until all information is on file with the Southwest Arkansas Regional Solid Waste Planning Board.
2. An application for a Certificate of Need will not be placed under review nor will notification of beginning of review be made until or unless the application contains the information specified herein, and has been determined to be complete.
- E. Written Findings and Conditions – Written findings, including specific documentation, which state the basis for any final decision made by the southwest Arkansas Regional Solid Waste Planning Board are required. When a Certificate of Need is to be issued, these findings will include the findings of need required by Rule 7. The Southwest Arkansas Regional Solid Waste Planning Board will not make its final decision subject to any condition unless the condition directly relates to criteria established under Rule 4., Paragraphs c., d., e., and Rule 10., and/or criteria prescribed by regulation by the Southwest Arkansas Regional Solid Waste Planning Board in accordance with an authorization under State Law.
- F. Public Hearing in the course of Review – The Southwest Arkansas Regional solid Waste Planning Board will provide a public hearing during the course of review on each Certificate of Need application (before the Southwest Arkansas Regional Solid Waste Planning Board makes its decision). The public hearing will be held in the County where the proposed solid waste disposal facility is to be located, and notification of said public hearing will be made in accordance with Rule 9, Paragraph a., Item 2).
- G. Ex Parte Contacts – After the commencement of a public hearing under paragraph e. of Rule 9., and before a decision is made, there shall be no “ex parte” contacts between: (1) any person acting on behalf of the applicant, and (2) any person in the Southwest Arkansas Regional Solid Waste Planning Board who exercises any responsibility respecting the application or withdrawal.

NOTE: An “Ex Parte Contact” is defined as “an oral or written communication not on the public record with respect to which reasonable prior notice to all parties is not given, but it shall not include requests for status reports on any matter or proceeding.”



- H. Withdrawal of a Certificate of Need – An applicant for a Certificate of Need will need specify the time the applicant will make an application for a solid waste landfill permit to the Arkansas Department of Environmental Quality, and a time, that if and when a permit is issued by the ADEQ, that construction will be completed and operation of the permitted facility is to begin. After issuance of a Certificate of Need, the Southwest Arkansas Regional Solid Waste Planning Board will periodically review the progress of the holder of the Certificate of Need in meeting the timetable specified in the approved application and report same to the ADEQ and permit holder. If on the basis of this review the Southwest Arkansas Regional Solid Waste Planning Board determines that the holder of the Certificate of Need is not meeting the timetable and is not making a good faith effort to meet it, the Southwest Arkansas Regional Solid Waste Planning Board may withdraw the Certificate of Need. In the withdrawing a Certificate of Need, the Southwest Arkansas Regional Solid Waste Planning Board will follow the procedures in Paragraph f. of this RULE.
  
- I. Availability of Reports; Method of obtaining Public Access – The Southwest Arkansas Regional Solid Waste Planning Board will provide upon request, notification of the status of the review of proposals, findings made in the course of the reviews, and other appropriate information respecting all reviews. The Southwest Arkansas Regional Solid Waste Planning Board will maintain and keep on file, and will provide access by general public to all applications reviewed by the Southwest Arkansas Regional Solid Waste Planning Board and to all other written materials essential to any review.

## **RULE 10. CRITERIA FOR REVIEW OF CERTIFICATE OF NEED APPLICATIONS**

The Southwest Arkansas Regional Solid Waste Planning Board will utilize the following criteria in its review of petitions for Certificates of Need.

- A. Rule 4., Paragraphs c., d., and e.
- B. The information provided by the applicant in the petition.
- C. The requirements and considerations of any Needs Assessments prepared pursuant to Section 8 of ACT 870.
- D. The location of the applicant's proposed solid waste landfill based on jurisdictions needs and its highway and road system.
- E. The need for the solid waste landfill based upon the jurisdiction's excess projected capacity which is currently permitted for operation.

- F. That the approval of the Certificate of Need and resulting increase in landfill capacity for the respective class does not cause the jurisdiction's excess projected capacity for that class to exceed thirty (30) years.
- G. In the case of existing or previously permitted landfills the quality of operation and compliance by those facilities in the past.
- H. Any Solid Waste Management System Plan, promulgated and approved pursuant to Arkansas Act 237 of 1971, and to the extent these plans conform to an overall regional planning strategy.

## **RULE 11. CONTINUING EFFECT OF A CERTIFICATE OF NEED**

- A. When the southwest Arkansas Regional Solid Waste Planning Board grants a Certificate of Need, the obligation for such approval shall be the submission of a pre-application to the Arkansas Department of Environmental Quality (ADEQ) within sixty (60) days of the date of the Certificate of Need. If after sixty days a pre-application has not been submitted to ADEQ then the Certificate of Need shall be withdrawn as prescribed in Rule 9., Paragraph h.
- B. Under no conditions or circumstances shall a Certificate of Need be in effect for more than six (6) months, unless a permit application for which the Certificate was issued is pending and active with the ADEQ. If in the event a permit application is denied or approved by ADEQ, then the Certificate of Need, for which the permit was requested, becomes withdrawn.
- C. Under no conditions or circumstances shall a Certificate of Need be transferred, assigned, or otherwise provided to any individual or organization other than as originally specified on the Certificate of Need.

## **RULE 12. THE SIXTY (60) DAY APPLICATION PROCESS FOR CERTIFICATE OF NEED REVIEWS**

The following is a summary of the process for submitting and the progression of an application for Certificate of Need. Each step in the process must be completed prior to issuing or denying a Certificate of Need.

- A. Letter of Intent – A letter of intent is required for every proposal to be reviewed. A potential applicant must submit a letter of intent to the Southwest Arkansas Regional Solid Waste Planning Board prior to submission of an application. (Refer to Rule 6.)
- B. Submission of Application to ADEQ– The applicant is responsible for submitting all information concerning the proposed acquisition of a Certificate of Need for the purpose of obtaining a solid waste landfill permit. (Refer to Rule 9., Paragraph d.)

- C. Determination of Completeness – The Southwest Arkansas Regional Solid Waste Planning Board will determine the completeness of an application, and within two weeks of receipt of an application, notify the applicant of any additional information necessary for completion of the application. If no request for additional information are made by the Southwest Arkansas Solid Waste Planning Board within two weeks, the application will be deemed to be complete and affected persons will be notified of the beginning of review. (Refer to Rule 9., Paragraph a. and d.)
- D. Beginning of Review – The initiation of the sixty (60) day review period begins on the date of notification by the Southwest Arkansas Regional Solid Waste Planning Board, by letter, to the applicant of the completeness of the application and the beginning of the review or the date that public notice appears in the newspapers, whichever is later. (Refer Rule 9., Paragraph a.)
- E. Notification of the Beginning of Review – Upon determination of completeness, the Southwest Arkansas Regional Solid Waste Planning Board will notify in writing, the applicant and all other affected persons that the review period for the application has begun. (Refer Rule 9., Paragraph a and b.)
- F. Public Hearing During Course of Review – The Southwest Arkansas Regional Solid Waste Planning Board will provide a public hearing during the course of review. (Refer Rule 9., Paragraph f.)
- G. The Southwest Arkansas Regional Solid Waste Planning Board Findings – The Southwest Arkansas Regional Planning Board will make a final determination on the application based on the established criteria and will provide written findings which state the basis for the final determination. (Refer Rule 9., Paragraph f.) Such findings will be sent to:
  - 1. The applicant,
  - 2. The Arkansas Department of Environmental Quality, and
  - 3. Others upon request.

**RULE 13. APPEALS OF DECISION OF THE SOUTHWEST ARKANSAS  
REGIONAL SOLID WASTE PLANNING BOARD**

- A. Any interested party to a Certificate of Need determination by a Board may appeal the decision to the Director of the Arkansas Department of Environmental Quality pursuant to procedures adopted by the Commission.

# **SOUTHWEST ARKANSAS REGIONAL**



## **SOLID WASTE MANAGEMENT DISTRICT**

**POLICIES, PROCEDURES & CRITERIA  
FOR  
DISTRICT SOLID WASTE MANAGEMENT &  
RECYCLING FUND &  
LEVYING DISTRICT-WIDE PER-CAPITA FEE**

August 2014

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## **ARTICLE 1. DESCRIPTION**

Ark. Code Ann. 8-6-704. (Boards-Powers and Duties) Act 752 of 1991 established a system of regional solid waste management and planning in Arkansas, forming regional solid waste management districts and describing their powers, duties and responsibilities.

The regional solid waste management districts are responsible for planning, studying and evaluation the solid waste management needs of their areas and publishing regional needs assessments and regional solid waste management plans which then guide decisions for solid waste management systems development and operation.

In order to carry out these duties and powers, the Board must be able to pay for the overhead, maintenance and operation of the office, pay for the services of the contracts into which it enters, to purchase the insurance, and to pay the professionals.

## **ARTICLE 2. AUTHORIZATION**

- Ark. Code Ann. 8-6-710. Solid Waste Management Responsibility

The Board is responsible for the solid waste management of the District, which by necessity includes the collection, disposal, treatment and general management of the District's whole system of operations.

- Ark. Code Ann. 8-6-711. District Solid Waste Management System

The Board is authorized to contract concerning facilities of any nature necessary or desirable for the control, collection and disposal, treatment or other handling of solid waste.

- Ark. Code Ann. 8-6-714. Rents, Fees, and Charges-Collection by Utilities

The Board may fix, charge and collect rents, fees and charges for the disposal, treatment, or other handling or solid waste by the District.

Notwithstanding that the District does not itself own and operate the landfills nor the fleet of collection vehicles, the management of the total system of waste disposal and treatment is included in the authority of the Board to raise revenues.

## **ARTICLE 3. DEFINITIONS**

- Board:** Southwest Arkansas Regional Solid Waste Management District Board of Directors.
- District:** Southwest Arkansas Regional Solid Waste Management District (incorporated cities and towns and unincorporated places of Calhoun, Columbia, Dallas, Miller, Ouachita, and Union Counties).
- District Solid Waste Management & Recycling Fund:**  
The combined proceeds of the per-capita fee levied by the Board.
- Per-Capita Fee:** Fee assessed on each person counted by the most recent federal census.
- Solid Waste Collection System:**  
The method utilized by each unit of local government for collection of solid waste and controlling the flow of the solid waste stream, be it publicly or privately operated. Any unit of local government which utilizes revenue to pay for solid waste collection and disposal, be it general revenue or specific fees for the collection and disposal of solid waste, is operating a solid waste collection system.
- Solid Waste:** All putrescible and non-putrescible waste in solid, semi-solid, or liquid form, including, but not limited to yard or food waste, waste glass, waste metals, wastepaper, waste paperboard, and all other solid or semi-solid wastes resulting from industrial, commercial, agricultural, community, and residential activities, but does not include “materials in the recycling, or composting process”.
- Unit of Local Government:**  
Any incorporated city or town and any unit of county government.

## **ARTICLE 4. ADMINISTRATIVE PROCEDURES:**

- A. The District Solid Waste Management and Recycling Fund shall be established in a separate interest bearing checking account into which all proceeds shall be deposited.
- B. Funds for District operations shall be transferred from District Solid Waste Management and Recycling Fund to the Districts operating accounts in accordance with the District’s budget.
- C. Because remittance of the fees is done on a quarterly basis and there may be short term, balances in excess of month to month operating requirements, the District may choose to make short term investments in instruments backed by the full faith and credit of the U.S. Government.



## **ARTICLE 5. ENFORCEMENT AND PENALTIES:**

- A. Violation of this rule, “Policies, Procedures and Criteria for Regional Solid Waste Management and Recycling Fund”, regulation shall be grounds for denial of any future application of Certificate of Need, and shall also result in revocation of License to haul solid waste issued by the District. Violation grant funds made to the board.
  
- B. Ark. Code Ann. 8-6-722 (Penalties) Any person who violates this “Policies, Procedures and Criteria for Regional Solid Waste Management and Recycling Fund” regulation of the Board shall be deemed guilty of a misdemeanor. Upon conviction, the persons shall be subject to imprisonment of not more than thirty (30) days or a fine of not more than one thousand dollars (\$1,000), or both imprisonment and fine.

# **SOUTHWEST ARKANSAS REGIONAL**



## **SOLID WASTE MANAGEMENT DISTRICT**

**PERMITTING PROGRAM FOR SOLID WASTE HAULERS**

August 2014

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# PERMITTING PROGRAM FOR SOLID WASTE HAULERS

## PURPOSE:

To better control and monitor the solid waste system, the Southwest Arkansas Regional Solid Waste Management Board, as required by Act 752 of 1991, enacts and will enforce the following rules and regulations for the licensing of all haulers of solid waste in the District. Requirements for licensing are based on the minimum standards published in Revised Regulation 22 (May 1992) of the Arkansas Solid Waste Management Code.

## 1.0 DEFINITIONS:

Board:	Southwest Arkansas Regional Solid Waste Management Board (SWARSWMB).
District:	Southwest Arkansas Regional Solid Waste Management District (incorporated cities and towns and unincorporated places of Calhoun, Columbia, Dallas, Miller, Ouachita, and Union Counties).
Hauler:	A Person engaged in the collection within the boundaries of the district, and/or transportation of solid waste for disposal or storage within the boundaries of the district or to an authorized disposal site outside the district (i.e. tire disposal facility). Hauler does not include a person transporting his own household waste to a permitted facility.
Person:	Any state agency, municipality, government subdivision of the state or of the United States, public or a private corporation, individual, partnership, association or other entity.
Process Waste:	Solid waste resulting from industrial/manufacturing and/or processing operation.
Solid Waste:	Any refuse resulting from industrial, commercial, agricultural, and community and residential activities.

## **2.0 SCOPE:**

All transportation systems shall meet the conditions outlined below. Failure to comply with these conditions may result in a revocation of the hauler license.

1. Solid Waste shall be collected and transported so as to prevent public health hazards, and nuisances.
2. Collection and transportation equipment shall be designed and constructed so as to be as leak-proof as practical. The waste shall be suitably enclosed or covered so as to prevent roadside littering, attraction of vectors or creation of other nuisances, in accordance with A.S.A. 1987 §8-6-407.
3. Collection and transportation vehicles will be kept in a safe, sanitary, and operable condition in accordance with state law.
4. Regular collection days shall be established as appropriate. Other collections shall be performed as necessary.
5. Collection and transportation of appliances, furniture and any special waste shall be accomplished in accordance with the requirements of state and federal regulations.
6. Collection and transportation of chemicals, medical wastes, poisons, explosives, radiological wastes and other materials shall be in accordance with the requirements of state and federal regulations.
7. All solid waste collected shall be transported to a permitted facility.

## **3.0 PERMITS REQUIRED:**

- A. Effective January 1, 1994, no Hauler shall engage in the business of collection and/or transportation of solid waste in the District without first securing a permit from the board. This does not apply to private individuals who transport their personal household waste to a permitted facility.
- B.
  1. A permit shall be issued only to a person, partnership, corporation, association, the State of Arkansas, a political subdivision of the state, and improvement district, a sanitation authority, or solid waste management district.
  2. A permit is required:
    - a. By any individual or entity who collects, for a fee, more than (5) cubic yards of solid or process waste on a scheduled basis;

3. The District may engage in hauling of solid waste within the district without a permit, but shall comply with all applicable standards required in Section 2.0.
4. The permit shall be issued for a period not to exceed one (1) calendar year (January 1<sup>st</sup> – December 31<sup>st</sup>).

#### **4.0 PERMITTING STANDARDS & PROCEDURES:**

- A. Any person who transports solid waste shall:
  1. Hold the appropriate driver's license as defined by state law
  2. Annually register all collection vehicles with SWARSWMB and SWARSWMB Providing the Hauler Application:
    - a. Name, address, telephone number, email address and point of contact of the registrant
    - b. Description of each vehicle to be registered including:
      - i. Make, Model, & Year of vehicle
      - ii. Vehicle identification number
      - iii. Name of vehicle owner
      - iv. Vehicle capacity
    - c. Records and data on the nature of waste collected or transported or provide any other information to help the Board track composition, volume and flow of solid waste (this information is to be given on the Hauler Application).
- B. Any person applying for a permit must establish financial responsibility to the Board. Proof of liability insurance will be required and may be considered adequate financial responsibility.
- C. To receive a permit, application shall be made to the Board, on forms to be prescribed by the Board accompanied by an annual fee.
- D. Said permit shall be non-transferable and non-returnable. Said fees shall be non-refundable.
- E. Any person who begins a business or any permitted person who adds additional vehicles during a calendar year shall have thirty (30) days to register with the Board and obtain a permit without penalty.

## 5.0 FEES:

- A. The fee shall be assessed based on the following criteria:
  - 1. Annual fee of \$50.00 per vehicle (for the 2014 year), per year will be assessed to each person applying for a permit. Annual fee of \$75.00 per vehicle, per year will be assessed to each person applying for a permit from January 2015 and on.

## 6.0 PENALTIES:

- A. Failure to register under these regulations and to obtain a permit constitutes a misdemeanor under Ark. Code Ann. § 8-6-722. Upon conviction the person shall be subject to imprisonment for not more than thirty (30) days or a fine of not more than one thousand dollars (\$1,000.00), or both imprisonment and fine. Additionally, failure to register and obtain a permit shall subject the hauler to administrative penalties of not more than five hundred dollars (\$500.00) for the first offense and not more than one thousand dollars (\$1000.00) for subsequent offenses. Each day or part of any day during which a violation is continued or repeated shall constitute a separate offense.
- B. Failure to comply with any other part of these regulations constitutes a misdemeanor under Ark. Code Ann. § 8-6-722. Upon conviction the person shall be subject to imprisonment for not more than thirty (30) days or a fine of not more than two hundred fifty dollars (\$250.00) for the first offense, five hundred dollars (\$500.00) for a second offense and one thousand dollars (\$1,000.00) for subsequent offenses, or both imprisonment and fine. Each day or part of any day during which a violation is continued or repeated shall constitute a separate offense.

# **SOUTHWEST ARKANSAS REGIONAL**



## **SOLID WASTE MANAGEMENT DISTRICT**

Recycling Funds  
E-Waste Funds  
Policies & Procedures

May 2016



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## GENERAL INFORMATION:

### **Recycling:**

Act 133 of 2013 eliminated the Recycling Grant Program under the Solid Waste Management & Recycling Fund Act and provided for an alternate means of distributing these funds. Funds collected under the provisions of Ark. Code Ann § 8-6-607 and deposited into the State Treasury to the Credit of the Solid Waste Management and Recycling Fund, less up to twenty percent (20%) for administrative support for Arkansas Department of Environmental Quality (ADEQ), shall be allocated annually to each of the approved Regional Solid Waste Management Districts (Districts). Funds shall be disbursed to each Regional Solid Waste Management District no later than September 1 of each year. These funds are now to be administered by the Regional Solid Waste Management District and not ADEQ.

### **Computer and Electronic Recycling:**

Pursuant to Act 1410 of 2001 and Act 970 of 2005, codified as Arkansas Code Annotated (A.C.A) § 25-34-101 et al, there is established on the books of the Treasurer of the State, and Chief Fiscal Officer of the State, a fund known as the Computer and Electronic Recycling Fund. The Computer and Electronic Funds shall be disbursed annually to each Regional Solid Waste Management District following the end of each fiscal year. This grants program will be known by its short title: E-Waste.

## 1.0 ACRONYMS / DEFINITIONS:

ADEQ:	Arkansas Department of Environmental Quality
APC&EC:	Arkansas Pollution Control & Ecology Commission
Board:	Southwest Arkansas Regional Solid Waste Management Board (SWARSWMB)
Board:	Regional Solid Waste Management Board formed under Ark. Code Ann. § 8-6-701 et seq. and pursuant to the authority of the APC&EC as provided by Ark. Code Ann. § 8-6-707
Compacted Cubic Yard Of Waste:	Volume equal to 3'x3'x3' of solid waste which has received any amount of mechanical compaction
Computer:	A programmable electronic machine that performs high speed mathematical or logical operations or that assembles, stores, correlates, or otherwise processes information
Demanufacturing:	End of life disposition of electronic devices and computers; includes recovery of hard drives and chips with resale value, the removal of commodities, such as copper, aluminum, and gold for sale to scrap consumers, the removal and hazardous waste disposal of toxins and heavy metals, and the shredding or melting of materials that can be sold and manufactured into new products

District:	Southwest Arkansas Regional Solid Waste Management District (incorporated cities and towns and unincorporated places of Calhoun, Columbia, Dallas, Miller, Ouachita, and Union Counties)
District:	Regional Solid Waste Management District formed under Ark. Code Ann. §8-6-701 et seq. and pursuant to the Authority of the APC&EC as provided by Ark. Code Ann. § 8-6-707
Electronics:	Devices utilizing electrons and electric circuits including household appliances, televisions, recording and playing devices for music or video tapes, compact discs and digital technology
E-Waste:	Computer or electronic equipment that is either no longer needed for or capable of serving its intended purpose, is considered scrap and is destined for disposal
Grant Decision:	Final administrative decision by the SWARSWMB and Solid Waste Manger/Assistant.
Grant Round:	A single grant cycle that opens with the acceptance of new applications for funding and ends with the approval and disbursement of grant awards from funds available for the grant cycle
Grantee:	The grant applicant awarded funding for a grant proposal
Grinding:	The process for removal of yard waste from limbs and trees; sometimes resulting after large storms or other disasters. This is not limited to only limbs and trees, can be yard waste as well. Wood grindings are recycled as boiler fuel.
Recycling:	The systematic collection, sorting, decontaminating, and returning of waste materials to commerce as commodities for use or exchange.
Solid Waste:	Any refuse resulting from industrial, commercial, agricultural, and community and residential activities.
Solid Waste Reduction Activities:	Other activities that divert materials from landfills for reuse including, without limitation: <ul style="list-style-type: none"> <li>a. Using waste items as raw materials in a production process, such as adding shingles to asphalt mix for paving;</li> <li>b. Using waste items to produce an end product without recycling, such as returning wood chips to citizens as mulch;</li> <li>c. Using waste items as fuel, such as burning wood chips or tire chips in a waste-to fuel process; or</li> <li>d. Other activities as approved</li> </ul>

SWARSWMB:	Southwest Arkansas Solid Waste Management Board
Tipping Fee:	A charge made by a landfill or a transporter to its customers for waste received and may or may not include the disposal fees
Ton:	Short ton consisting of a net weight measure of two thousand pounds (2,000 lbs.)
Un-compacted Cubic Yard Of Waste:	Volume equal to a 3'x3'x3' of solid waste which has received no amount of mechanical compaction.

## 2.0 ELIGIBILITY:

The following entities or types of entities are eligible to apply for Recycling & E-Waste funding under the programs explained within these Policies and Procedures:

1. Cities & Counties
2. Other State or Local Government Entities
3. Schools, Colleges or Universities
4. Non-Profit Organizations or Associations

The following types of expenditures are **eligible** for funding under the programs explained within these Policies and Procedures:

- + Solid Waste Planning – Planning studies are eligible for funding if an applicant’s proposed study meets the solid waste planning requirements of Ark. Code Ann. §8-6-201 et seq., §8-6-1901 et seq. Regulation 22: Solid Waste Management, and the Minimum Requirements for Regional Solid Waste Management District Plans as set forth in the Statewide Solid Waste Management Plan. The funded planning study must include goals for the diversion of recyclable materials from disposal at landfills or incinerators and must set out methods for increasing recycling in the study area. Only first-year costs for planning staff are eligible. Boards may expend an amount equal to no more than ten percent (10%) of their administrative allocation for plan updates.
- + Recycling or Composting Equipment and Material Recovery Facilities – Recycling equipment and recycling facilities are eligible for funding if existing facilities and equipment do not provide adequate and efficient service for the project area. The facilities must meet the objectives of the solid waste management plan for recycling facilities. Composting facilities and equipment are also eligible. Equipment is to be used no less than fifty percent (50%) of the time of recycling activities or other grant-funded projects. Applicants shall provide information that reasonably demonstrates that existing mechanical processing equipment or facilities are not serving or could not serve the relevant area.

- ✦ Solid Waste Education and Public Awareness Programs – Education and public awareness activities and materials are eligible if, in accordance with the legislative intent of Ark. Code Ann. §8-6-601 et seq., they are part of a plan for introducing or promoting recycling, composting, or other solid waste management practices that divert wastes from landfills, encourage waste reduction and stimulate demand for products produced from recycled materials.
- ✦ Transfer Stations – Waste transfer stations which accept three (3) or more recyclable materials, as identified in Regulation 28, are eligible for funding.
- ✦ Recycling Programs – Recycling activities that meet the intent and requirements of Ark. Code Ann. § 8-6-601 et seq. are eligible for funding.
- ✦ Waste Reduction Activities – Other waste stream reduction activities that divert the flow of materials away from landfills to be put to beneficial use are eligible for funding.
- ✦ Collection, Transportation, or Processing – Marketing, development, and implementation of the most efficient and convenient means of collecting, transporting, and processing scrap electronic equipment from residents and businesses.
- ✦ Local or Statewide Recycling & Demanufacturing – Establish statewide, regional or local contracts for computer electronics recycling and demanufacturing businesses with an emphasis on rural and social economic challenged areas.
- ✦ Innovative Recycling, Donation, Demanufacturing, or Disposal – other **innovative measures**, subject to approval from the Board, that implements and promotes the recycling, donation, demanufacturing or disposal options for computers and electronic equipment in a convenient manner for citizens and businesses of Arkansas.
- ✦ Computer and Electronic Equipment Transportation Cost – Cost incurred in the process of transporting computer and electronic equipment for the purpose of recycling or reuse. Funds may be used to pay a contractor or local or district transporter.
- ✦ Contractual Services – Cost incurred for services contracted outside of the district or local facility for management of computer and electronic equipment collection, processing, or transportation.
- ✦ Administration of the Recycling Program – SWARSWMD collects ten (10) percent of the recycling funds made available for funding each year. SWARSWMD contracts with SWAPDD Inc. for the administration of the Solid Waste Programs. This contract currently is not to exceed \$85,000 yearly.

**Only** reasonable costs are allowable. The following types of expenditures are **not eligible** for funding under the Recycling and E-Waste programs explained within these Policies and Procedures (this is not an all-inclusive list):

- ✚ Administrative Costs – With the exception of administration funds collected by SWARSWMD for administration of the recycling program, funding assistance shall not be used for costs that do not directly relate to a specific recycling, computer or electronic equipment recycling project and have not received written approval from the Board as specifically attributable to an approved project. Examples of ineligible administrative expenditures include, but are limited to: administrative salaries, planning; land acquisition, unless part of a building acquisition; and food.
- ✚ Activities that are not considered recycling: The Board shall not approve projects that are not recycling or waste reduction projects. (Example: Roads/Streets, graders, dump trucks etc.)
- ✚ Routine Activities – Funding assistance shall not be provided to perform routine maintenance and monitoring activities nor other services or actions which are provided or should be provided, in the normal course of a solid waste management system, plan or effort, by a grantee.
- ✚ Existing Equipment or Facilities – Funding assistance shall not be provided for purchasing mechanical processing equipment or facilities if existing mechanical processing equipment or facilities efficiently and adequately serve the relevant area, unless the Board determines to be rational and that the equipment or facility is an indispensable component to the otherwise eligible project and would more efficiently serve the relevant area.

### **3.0 APPLICATION PROCESS AND APPROVAL:**

Forms to be used in both application processes is included in this document. An application shall not be considered without being on required forms and with required attachments.

Recycling Applications will be due on October 31<sup>st</sup> of each year. E-Waste Applications will be due on July 31<sup>st</sup> of each year. Should the 31<sup>st</sup> fall on a Saturday or Sunday the application is due on Monday. Please note that these dates are subject to change due to availability of funds.

Applications will be submitted to Debbie Harbour, Solid Waste Assistant, SWARSWD, PO Box 767, Magnolia, AR 71754 or [debbie.harbour@arkansas.gov](mailto:debbie.harbour@arkansas.gov).

Applications shall be evaluated and approved by the Board based upon the type of project, the implementation process, the scope of project and the anticipated results listed on the grant application and total amount of funding available for the year.

#### **4.0 DISTRIBUTION:**

Funds shall not be disbursed by the Board prior to the start of a project. Board shall make distribution of grant funds to approved grantees once project is completed and required documentation is completed. Required documentation is included in this document. All grants awarded after October 2015, must be expended within one year of award.

#### **5.0 CONDITIONS OF GRANT:**

Funds for any grant project (awarded after October 2015) not started and completed within one year of award will be forfeited and become part of the total funds available in the next grant year, unless the project delay receives written approval by Solid Waste Manager/Assistant.

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## RECYCLING APPLICATION:

Southwest Arkansas Regional Solid Waste Management District

**List and give a brief description of each planned project and why it is needed.** Provide enough details about the proposed project so that the Southwest Arkansas Regional Solid Waste Management Board can determine if the project meets the intent and requirements of A.C.A § 8-6-609.

**Also, please attach a cost estimate for each planned project.**

**Project Description:**

**Estimated Amount of Request:**

**Project Description:**

**Estimated Amount of Request:**

**Project Description:**

**Estimated Amount of Request:**

Date Submitted: \_\_\_\_\_, 2016

Authorized Signature: \_\_\_\_\_

**PLEASE RETURN COMPLETED PRE-APPLICATIONS TO:**

DEBBIE HARBOUR - SWAPDD, INC.  
PO BOX 767  
MAGNOLIA, AR 71754

[debbie.harbour@arkansas.gov](mailto:debbie.harbour@arkansas.gov)  
870-234-0135 Fax

**PLEASE RETURN PRE-APPLICATION BY October 31st.**



## E-WASTE APPLICATION:

Southwest Arkansas Regional Solid Waste Management District

**List and give a brief description of each planned project and why it is needed.** Provide enough details about the proposed project so that the Southwest Arkansas Regional Solid Waste Management Board can determine if the project meets the intent and requirements of program.

**Also, please attach a cost estimate for each planned project.**

**Project Description:**

**Estimated Amount of Request:**

**Project Description:**

**Estimated Amount of Request:**

**Project Description:**

**Estimated Amount of Request:**

Date Submitted: \_\_\_\_\_, 2016

Authorized Signature: \_\_\_\_\_

**PLEASE RETURN COMPLETED PRE-APPLICATIONS TO:**

DEBBIE HARBOUR - SWAPDD, INC.  
PO BOX 767  
MAGNOLIA, AR 71754

[debbie.harbour@arkansas.gov](mailto:debbie.harbour@arkansas.gov)  
870-234-0135 Fax

**PLEASE RETURN PRE-APPLICATION BY July 31st.**

# Appendix D

**GOVERNMENT-WIDE FINANCIAL STATEMENTS  
AND REQUIRED SUPPLEMENTAL INFORMATION**  
*(With Independent Auditor's Reports Thereon)*

**SOUTHWEST ARKANSAS REGIONAL SOLID  
WASTE MANAGEMENT DISTRICT**

*For the Year Ended June 30, 2016*

**SOUTHWEST ARKANSAS REGIONAL SOLID WASTE  
MANAGEMENT DISTRICT**

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**SOUTHWEST ARKANSAS REGIONAL SOLID WASTE MANAGEMENT DISTRICT  
MANAGEMENT'S DISCUSSION AND ANALYSIS  
FOR FISCAL YEAR ENDED JUNE 30, 2016**

The discussion and analysis of Southwest Arkansas Regional Solid Waste Management District's annual financial report provides a review of the District's financial activities for the fiscal year ending June 30, 2016. The intent of this discussion and analysis is to look at the District's financial performance as a whole. Readers should also review the basic financial statements and notes to enhance understanding of the District's financial performance.

**OVERVIEW OF THE FINANCIAL STATEMENTS**

The annual report consists of a series of financial statements and notes to those statements. The notes will explain some of the information in the statements and provide more detailed data. The statements are followed by a section of required supplementary information that further explains and supports the financial statements.

The statement of Net Position and Statement of Activities (GWFS) are considered district-wide statements. These provide information about the over-all or district-wide activities including short-term and long-term information and overall financial status. The Statement of Net Position includes all of the District's assets and liabilities. All of the current year's revenues and expenses are accounted for in the Statement of Activities regardless of when cash is received or paid.

Fund financial statements provide the next level of detail and focus on individual parts of the District telling how services were financed in the short-term as well as what remains for future spending. The District is reporting one kind of fund, governmental.

Governmental fund statements (FFS) tell how basic services like recycling were financed and what remains for future spending. A comparison can be made as to the amount of funds spent in each area.

The District is a special-purpose government engaged in a single governmental program. Under GASB No. 34, special-purpose governments are allowed to combine the GWFS with the FFS.

**FINANCIAL ANALYSIS OF THE DISTRICT**

*Table 1: Assets, Liabilities and Net Position*

**STATEMENT OF NET POSITION**

	<b>6/30/2016</b>	<b>6/30/2015</b>
<b>Assets</b>		
Cash	\$ 390,563	\$ 378,375
Investments	226,957	286,958
Accounts receivable	3,116	1,083
Capital assets, net	46,281	53,786
<b>Total Assets</b>	<b>\$ 666,917</b>	<b>\$ 720,202</b>
<b>LIABILITIES, DEFERRED OUTFLOWS AND NET POSITION</b>		
<b>Liabilities</b>		
Accounts payable	\$ 45,883	\$ 42,494
<b>Deferred Outflows</b>		
Deferred revenue	245,677	285,599
<b>Total Liabilities and Deferred Outflows</b>	291,560	328,093
<b>Fund Balances/Net Position</b>		
Invested in capital assets	46,281	53,786
Restricted	295,863	307,269
Unassigned	33,213	31,054
<b>Total Fund Balance/Net Position</b>	375,357	392,109
<b>Total Liabilities and Net Position</b>	<b>\$ 666,917</b>	<b>\$ 720,202</b>

**SOUTHWEST ARKANSAS REGIONAL SOLID WASTE MANAGEMENT DISTRICT  
MANAGEMENT'S DISCUSSION AND ANALYSIS  
FOR FISCAL YEAR ENDED JUNE 30, 2016**

**STATEMENT OF NET POSITION AND GOVERNMENTAL FUNDS BALANCE SHEET**

The Statement of Net Position and Balance Sheet – Governmental Funds presents a picture of the District as of June 30, 2016. This document reports the District's assets, liabilities and Net Position/Fund Balance. The Net Position and Fund Balance are calculated by subtracting the liabilities from the assets. The change in Net Position/Fund Balance identifies whether the financial position of the District has improved or diminished. The District's net position decreased \$16,752, approximately 44% of the decrease was related to non-cash depreciation expense.

**Table 2: Statement of Revenues, Expenses and Changes in Net Position**

**STATEMENT OF ACTIVITIES**

	<b>2016</b>	<b>2015</b>
<b>Expenditures/Expenses</b>		
Administration expense	\$ 85,000	\$ 85,000
Depreciation expense	7,505	7,505
Office expense	1,136	1,186
Professional fees	39,808	7,500
Waste tire grant expense	272,147	277,095
Recycling E-Waste grant expense	81,868	105,496
Recycling grant expense	273,031	351,962
<b>Total Expenditures/Expenses</b>	<b>760,495</b>	<b>835,744</b>
Other income	4,450	5,400
Waste tire grants	308,005	278,357
Recycling e-waste grants	81,868	105,497
Recycling grants	302,029	380,137
<b>Total Program Revenues</b>	<b>696,352</b>	<b>769,391</b>
<b>General Revenues</b>		
Interest income	3,544	3,284
License income	8,025	8,225
Per capita fees	35,822	35,822
<b>Total General Revenues</b>	<b>47,391</b>	<b>47,331</b>
<b>Excess of Revenues over Expenditures/Expenses</b>	<b>(16,752)</b>	<b>(19,022)</b>
<b>Fund Balance/Net Position - Beginning</b>	<b>392,109</b>	<b>411,131</b>
<b>Fund Balance/Net Position - Ending</b>	<b>\$ 375,357</b>	<b>\$ 392,109</b>

**OPERATING RESULTS AND CHANGES IN NET POSITION**

The current year revenues and expenses are accounted for in the Statement of Activities and Revenues, Expenditures, and Changes in Net Position – Governmental Funds. All of the District's activities are reported as governmental activities. Recycling and tire recycling grants constitute the major portion of revenue. This statement also reports the changes in Net Position/fund balance and helps to answer the question, "Is the District in better condition or worse condition as a result of the year's activities?"

The District's Net Position decreased by \$16,752 in the current year in part due to waste tire grant expenses including program expenses and admin expenses, exceeding waste tire grant revenue, in addition to unexpected legal expenses. The District secured legal counsel as a result of their denial of certificates of need for the Recycling program and the applicant's request for an appeal, as well as a review of policies and procedures revisions.

**SOUTHWEST ARKANSAS REGIONAL SOLID WASTE MANAGEMENT DISTRICT  
MANAGEMENT'S DISCUSSION AND ANALYSIS  
FOR FISCAL YEAR ENDED JUNE 30, 2016**

Waste tire grant revenue is provided by ADEQ based on a formula that allocates all state tire sales fees to each District in the state. Waste tire expense is calculated from the gross tons of tires recycled each quarter. Because waste tire expenses are not tied to waste tire income, but rather tied to consumer activity, fluctuations are to be expected. Should waste tire income ever fall short of the needs, the state provides an alternate grant opportunity to fund the difference. Because there is cash in the District's tire fund due to previous years' cumulative revenue in excess of the previous years' cumulative expenses, the current year shortfall does not negatively impact the District.

**SUMMARY AND ECONOMIC OUTLOOK**

The District's overall financial position is sound and the District anticipates the next fiscal year will be comparable with the current year ended June 30, 2016. No new capital purchases are planned at this time. During the last year, the District gained approval from the state to reallocate the purpose of some older grants so that the money could be spent on other projects. The board has stated that grinding is the largest need the District has at this time.

The Southwest Arkansas Regional Solid Waste Management District is fiscally sound and solvent largely due to the close watch over the resources by the District's board and administrative agent. The board meets three to four times each year with good attendance and discussion.

**CONTACTING THE DISTRICT'S FINANCIAL MANAGEMENT**

This financial report is designed to provide our citizens, taxpayers and granting agencies with a general overview of the District's finances and to demonstrate the District's accountability for the money it receives. Southwest Arkansas Regional Solid Waste Management District contracts with Southwest Arkansas Planning and Development District, Inc. for the administration of district functions. If you have questions about this report or need additional financial information, contact Southwest Arkansas Planning and Development District, Inc., P.O. Box 767, Magnolia, Arkansas, 71754 or telephone (870) 235-7524.

Shane Bennett  
Financial Management Director

100 East Peach, Suite 330  
El Dorado, Arkansas 71730

Phone (870) 862-6510  
Fax (870) 862-6516

**Marc J. Emrich, CPA**



Toll Free 1-866-242-6510

[www.eldocpa.com](http://www.eldocpa.com)

408 South Main  
Warren, Arkansas 71671

Phone (870) 226-5050  
Fax (870) 226-4499

**Stacy D. Scroggins, CPA**

## INDEPENDENT AUDITOR'S REPORT

To the Board of Directors  
Southwest Arkansas Regional Solid Waste Management District  
Magnolia, Arkansas

### Report on the Financial Statements

We have audited the accompanying financial statements of the governmental activities and each major fund of Southwest Arkansas Regional Solid Waste Management District (the "District") as of and for the year ended June 30, 2016, and the related notes to the financial statements, which collectively comprise the District's basic financial statements as listed in the table of contents.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditor's Responsibility

Our responsibility is to express opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### Opinions

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities and each major fund of the District as of June 30, 2016, and the respective changes in financial position for the year then ended in accordance with accounting principles generally accepted in the United States of America.



**Southwest Arkansas Regional Waste Management District  
Independent Auditor's Report (Continued)**

**Other Matters**

*Required Supplementary Information*

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis and budgetary comparison information on pages 1–3 and 12, respectively, be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

**Other Reporting Required by Government Auditing Standards**

In accordance with *Government Auditing Standards*, we have also issued our report dated March 30, 2017, on our consideration of the District's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with Government Auditing Standards in considering the District's internal control over financial reporting and compliance.



Emrich & Scroggins, LLP  
El Dorado, Arkansas

March 30, 2017

**SOUTHWEST ARKANSAS REGIONAL SOLID WASTE MANAGEMENT DISTRICT**  
**Statement of Net Position and Balance Sheet – Governmental Funds**  
**June 30, 2016**

	<u>Balance Sheet - Governmental Funds</u>			<u>Statement of Net Position</u>
	<u>General Fund</u>	<u>Special Revenue Fund</u>	<u>Total Governmental Funds</u>	<u>Government- Wide</u>
<b>ASSETS</b>				
Cash	\$ 106,872	\$ 283,691	\$ 390,563	\$ 390,563
Investments	130,017	96,940	226,957	226,957
Accounts receivable	3,116	-	3,116	3,116
Due from other funds	6,482	-	6,482	-
Capital assets, net	-	46,281	46,281	46,281
<b>Total Assets</b>	<u>\$ 246,487</u>	<u>\$ 426,912</u>	<u>\$ 673,399</u>	<u>\$ 666,917</u>
<b>LIABILITIES AND NET POSITION</b>				
<b>Liabilities</b>				
Accounts payable	\$ 6,402	\$ 39,481	\$ 45,883	\$ 45,883
Due to other funds	-	6,482	6,482	-
Unearned revenue	-	245,677	245,677	245,677
<b>Total Liabilities</b>	<u>6,402</u>	<u>291,640</u>	<u>298,042</u>	<u>291,560</u>
<b>Fund Balances/Net Position</b>				
Invested in capital assets	-	46,281	46,281	46,281
Restricted	206,872	88,991	295,863	295,863
Unassigned	33,213	-	33,213	33,213
<b>Total Fund Balance/Net Position</b>	<u>240,085</u>	<u>135,272</u>	<u>375,357</u>	<u>375,357</u>
<b>Total Liabilities and Net Position</b>	<u>\$ 246,487</u>	<u>\$ 426,912</u>	<u>\$ 673,399</u>	<u>\$ 666,917</u>

The accompanying notes are an integral part of these financial statements.

**SOUTHWEST ARKANSAS REGIONAL SOLID WASTE MANAGEMENT DISTRICT**  
**Statement of Activities and Revenues, Expenditures and Changes in Fund Balance –**  
**Governmental Funds**  
**For the Year Ended June 30, 2016**

	Statement of Revenues, Expenditures and Changes in Fund Balance - Governmental Funds			Statement of Activities
	General Fund	Special Revenue Fund	Total Governmental Funds	Government- Wide
<b>Expenditures/Expenses</b>				
Administration expense	\$ 18,721	\$ 66,279	\$ 85,000	\$ 85,000
Office expense	902	234	1,136	1,136
Depreciation expense	-	7,505	7,505	7,505
Professional fees	39,808	-	39,808	39,808
Waste tire grant expense	-	272,147	272,147	272,147
Recycling grant expense	-	354,899	354,899	354,899
<b>Total Expenditures/Expenses</b>	<u>59,431</u>	<u>701,064</u>	<u>760,495</u>	<u>760,495</u>
<b>Program Revenues</b>				
Other income	3,700	750	4,450	4,450
Waste tire grants	-	308,005	308,005	308,005
Recycling grants	-	383,897	383,897	383,897
<b>Total Program Revenues</b>	<u>3,700</u>	<u>692,652</u>	<u>696,352</u>	<u>696,352</u>
<b>General Revenues</b>				
Interest income	1,093	2,451	3,544	3,544
License income	8,025	-	8,025	8,025
Per capita fees	35,822	-	35,822	35,822
<b>Total General Revenues</b>	<u>44,940</u>	<u>2,451</u>	<u>47,391</u>	<u>47,391</u>
<b>Excess of Revenues over Expenditures/Expenses</b>	(10,791)	(5,961)	(16,752)	(16,752)
<b>Fund Balance/Net Position</b>				
<b>Beginning Balance</b>	<u>250,876</u>	<u>141,233</u>	<u>392,109</u>	<u>392,109</u>
<b>Ending Balance</b>	<u>\$ 240,085</u>	<u>\$ 135,272</u>	<u>\$ 375,357</u>	<u>\$ 375,357</u>

The accompanying notes are an integral part of these financial statements.

**SOUTHWEST ARKANSAS REGIONAL SOLID WASTE MANAGEMENT DISTRICT**  
**Notes to Financial Statements**  
**June 30, 2016**

**NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

*Nature of Activities* – The Southwest Arkansas Regional Solid Waste Management District (the “District”) is a special district formed by Act 870 of the Arkansas Legislature in 1989 and renamed with expanded powers by Act 752 in 1991. The District consists of six counties in Southwest Arkansas. The District oversees and regulates solid waste disposal in the six county area. All of the District’s grants come from the Arkansas Department of Environmental Quality.

*Reporting Entity* – The accompanying financial statements present all of the activities of the District. Since it is a special purpose government that is legally separate and fiscally independent of other state or local governments, the District is considered a primary government. This District has no component units, and there are no other primary governments with which the District has a significant relationship.

*Basis of Presentation* – The accompanying financial statements of the District have been prepared in conformity with generally accepted accounting principles (GAAP) as applied to governmental units. The Governmental Accounting Standards Board (GASB) is the accepted standard setting body for establishing governmental accounting and financial reporting principles. All governmental activities and funds of the District follow FASB Statements and Interpretations issued on or before November 30, 1989, Accounting Principles Board Opinion, and Accounting Research Bulletins, unless those pronouncements conflict with GASB pronouncements.

*Measurement Focus/Basis of Accounting* – Under GASB No. 34, governmental entities prepare two types of financial statements: 1) Government-Wide Financial Statements (“GWFS”); and 2) Fund Financial Statements (“FFS”). The District, however, is a special-purpose government engaged in a single governmental program. Such governments with a single governmental program are allowed to combine the GWFS and FFS. Accordingly, the District has elected to present its financial statements in this manner. These statements include all the financial activities of the District.

*Government-wide Financial Statements* – The statement of Net Position and the statement of activities display information about the primary government (the District). These statements include the financial activities of the overall government and were prepared using the economic resources measurement focus and the accrual basis of accounting.

Program revenues included in the Statement of Activities are received from parties outside the District’s citizenry. As a whole, program revenues reduce the net cost of the function to be financed from the District’s general revenues. Such revenues include operating state grants restricted for specific purposes.

*Fund Financial Statements* – The District uses funds to maintain its financial records during the year. Fund accounting is designed to demonstrate legal compliance and to aid management by segregating transactions related to certain District functions and activities. A fund is defined as a separate fiscal and accounting entity with a self-balancing set of accounts. FFS report detailed information about the District. The District has two governmental funds, a general fund and a special revenue fund, which account for all of the District’s general activities.

The General Fund accounts for all non-restricted funds and represents the primary operating fund of the District. The General Fund is available for any purpose provided it is expended or transferred in accordance with state and federal laws and according to District policy.

The Special Revenue fund accounts for the proceeds of specific revenue sources that are legally restricted to expenditures for specified purposes, or designed by the District to be accounted for separately.

Governmental funds are reported using the current financial resources measurement focus and the modified accrual basis of accounting. Under this method, revenues are recognized when measurable and available. The District considers all revenues reported in the governmental funds to be available if the revenues are collected within sixty days after year-end. When both restricted and unrestricted resources are available for use, it is the District’s policy to use restricted resources first, then unrestricted resources as needed. Per Capita fees, licenses, and interest are considered to be susceptible to accrual. Expenditures are recorded when the related fund liability is incurred. General capital asset acquisitions are reported as expenditures in governmental funds. Proceeds of general long-term debt and acquisitions under capital leases are reported as other financing sources.

**SOUTHWEST ARKANSAS REGIONAL SOLID WASTE MANAGEMENT DISTRICT**  
**Notes to Financial Statements**  
**June 30, 2016**

**NOTE 1 - SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**

This approach differs from the manner in which the governmental activities of the GWFS are prepared. Governmental fund financial statements therefore, theoretically include reconciliations with brief explanations to better identify the relationship between the GWFS and the FFS. However, the District's financial statements have no items requiring reconciliation between the GWFS and the FFS.

*Unearned revenues* – Unearned revenues consist of restricted grant funds which have been received, but have not been expended.

*Capital Assets* – Purchased or constructed capital assets are reported at cost or estimated historical cost. Donated fixed assets are recorded at their estimated fair value at the date of donation. Capital assets consist of equipment costing \$1,000 or more, which has been depreciated using the straight-line method over a useful life of five to ten years.

*Estimates* – The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

*Subsequent Events* – Management has considered events through March 30, 2017, the date on which the financial statements were available to be issued.

**NOTE 2 – DEPOSITS AND INVESTMENTS**

The Board of Directors has not formally adopted deposit and investment policies that limit the government's allowable deposits or investments and address the specific types of risk to which the government is exposed.

*Custodial Credit Risk* – Custodial credit risk is the risk that, in the event of the failure of the counterparty, the City will not be able to recover the value of its investments or collateral securities that are in the possession of an outside party.

Cash and Cash Equivalents – At June 30, 2016, the carrying amount of the District's bank deposits was \$390,562 and the respective bank balances totaled \$404,695 all of which was fully insured or collateralized.

Investments – The District only invests in certificates of deposits in financial institutions located in the state of Arkansas. This policy is in compliance with state statutes. Certificates of deposit with maturities greater than 3 months are considered investments. The District had \$226,958 invested in certificates of deposit at June 30, 2017 which were covered by FDIC insurance.

*Concentration of Credit Risk* – Concentration of credit risk is the risk of loss attributed to the magnitude of the City's investment in a single issuer. The City has concentrations of credit risk of over 5% by issuer that are required to be disclosed as follows:

Issuer	Investment Type	Fair Value	% of Total Deposits and Investments
<i>Primary Government</i>			
Farmers Bank & Trust	Cash and certificates of deposit	\$ 236,889	38%
BancorpSouth	Cash accounts	297,824	47%
Stephens Bank	Certificates of deposit	96,940	15%

**SOUTHWEST ARKANSAS REGIONAL SOLID WASTE MANAGEMENT DISTRICT**  
**Notes to Financial Statements**  
**June 30, 2016**

**NOTE 3 – CAPITAL ASSETS**

The District recorded no capital additions during the year ended June 30, 2016. Capital asset activity for the year was as follows:

	<u>Beginning Balance</u>	<u>Additions</u>	<u>Disposals</u>	<u>Ending Balance</u>
Property and Equipment	\$ 81,050	\$ -	\$ -	\$ 81,050
Less: Accumulated Depreciation	<u>27,264</u>	<u>7,505</u>	<u>-</u>	<u>34,769</u>
<b>Capital Assets, Net</b>	<u>\$ 53,786</u>			<u>\$ 46,281</u>

**NOTE 4 – INTERFUND BALANCES**

The Special Revenue Fund paid expenses on behalf of the General Fund during the year resulting in interfund balances of \$6,482 at June 30, 2016. Interfund balances are short-term in nature and are typically paid and received within one month.

**NOTE 5 – FUND BALANCE**

The District classifies fund balances for governmental funds as follows:

*Nonspendable Fund Balance* includes fund balance amounts that cannot be spent either because they are not in spendable form or because of legal/contractual requirements.

*Spendable Fund Balance* includes Restricted, Committed, Assigned, and Unassigned designations:

*Restricted* includes fund balance amounts that are limited for specific purposes which are externally imposed by providers, such as creditors or amounts constrained due to constitutional provisions or enabling legislation.

*Committed* includes fund balance amounts that are obligated to a specific purpose which are internally imposed by the government through formal action (Ordinances and Resolutions) at the highest level of decision making authority (Board of Directors). These commitments can only be overturned by a like action.

*Assigned* includes spendable fund balance amounts that are intended to be used for specific purposes that are considered neither restricted nor committed. Undesignated excess fund balances may be assigned by the Board of Directors for specific purposes through the budget process or agenda items. The assigned designation may be reversed by the Board of Directors at any public meeting.

*Unassigned* includes residual positive fund balances within the General Fund, which have not been classified within the other above mentioned categories. Unassigned Fund Balances may also include negative balances for any governmental fund if expenditures exceed amounts restricted, committed, or assigned for those specific purposes.

When both restricted and unrestricted resources are available for use, it is the District's policy to use restricted resources first, then unrestricted resources (committed, assigned, and unassigned) as they are needed. When unrestricted resources (committed, assigned, and unassigned) are available for use in the General Fund, it is the District's policy to use unassigned resources first, then assigned, and then committed as needed. When unrestricted resources (committed, assigned, and unassigned) are available for use in any other governmental fund, it is the District's policy to use committed resources first, then assigned, and then unassigned as needed. The District does not have a formal minimum fund balance requirement.

**SOUTHWEST ARKANSAS REGIONAL SOLID WASTE MANAGEMENT DISTRICT**  
**Notes to Financial Statements**  
**June 30, 2016**

**NOTE 5 – FUND BALANCE (Continued)**

The District accounts for special revenue sources that are restricted to expenditures for specified purposes in its Special Revenue Fund. The Special Revenue Fund receives grant funds which are restricted for specific purposes by the Arkansas Department of Environmental Quality. As of June 30, 2016, the District’s fund balances were composed of the following:

	<b>General Fund</b>	<b>Special Revenue Fund</b>	<b>Total Governmental Funds</b>
Invested in Capital Assets	\$ -	\$ 46,281	\$ 46,281
Restricted:			
Waste Tire & Recycling	-	88,991	88,991
Upper Southwest Solid Waste District (Note 7)	206,872		206,872
Unassigned	33,213	-	33,213
<b>Total Fund Balances</b>	<b>\$ 240,085</b>	<b>\$ 135,272</b>	<b>\$ 375,357</b>

**NOTE 6 – RELATED PARTY MATTERS**

The District’s board of directors shares several common members with the board of directors of Southwest Arkansas Planning and Development District, Inc. (“SWAPDD”). SWAPDD provides administration services to the District for \$85,000 annually. The District owes SWAPDD \$21,250 as of June 30, 2016 for administration services.

**NOTE 7 – CONCENTRATIONS**

Approximately 93% of the funding for the District’s programs is from governmental grants. Accordingly, the district is economically dependent on government funding for its continued operations.

**NOTE 8 – OTHER COMMITMENTS**

The District contracts with a regional landfill, Upper Southwest Solid Waste District (“USSWD”), for hauling and disposing of tires. Arkansas Department of Environmental Quality (“ADEQ”) has required additional financial assurance from USSWD due to increased tires on the landfill. To assist USSWD with its financial assurance, the District has provided a \$200,000 letter of credit at a local financial institution payable to ADEQ. The letter of credit carries an interest rate of 6%, and had a balance of \$0 at June 30, 2016. If ADEQ draws on the letter of credit, principal and interest payments will be required. The letter of credit is secured by District funds including a certificate of deposit and money market account with balances totaling \$206,872 at June 30, 2016. These funds are not available for use as long as the letter of credit is intact.

**SOUTHWEST ARKANSAS REGIONAL SOLID WASTE MANAGEMENT DISTRICT**  
**Required Supplementary Information**  
**Budgetary Comparison Schedule – General Fund and Special Revenue Fund**  
**For the Year Ended June 30, 2016**

	<u>Budget**</u>	<u>Actual</u>	<u>Variance Favorable/ (Unfavorable)</u>
<b>GENERAL FUND</b>			
<b>Revenues</b>			
Per capita fees	\$ 35,822	\$ 35,822	\$ -
Interest income	1,215	1,093	(122)
Other income	11,900	11,725	(175)
	<u>48,937</u>	<u>48,640</u>	<u>(297)</u>
Total Revenues			
<b>Expenditures</b>			
Administration	30,352	18,721	11,631
Office expense	1,250	902	348
Professional Fess	7,500	39,808	(32,308)
	<u>39,102</u>	<u>59,431</u>	<u>(20,329)</u>
Total Expenses			
<b>Excess of Revenues over Expenditures</b>	<u>\$ 9,835</u>	<u>\$ (10,791)</u>	<u>\$ (20,626)</u>
<b>SPECIAL REVENUE FUND</b>			
<b>Revenues</b>			
Interest income	\$ 1,904	\$ 2,451	\$ 547
Administrative	54,648	66,279	11,631
Contractual income	666,483	625,623	(40,860)
Other income	1,800	750	(1,050)
	<u>724,835</u>	<u>695,103</u>	<u>(29,732)</u>
Total Revenues			
<b>Expenditures</b>			
Administration	54,648	66,513	(11,865)
Depreciation	-	7,505	(7,505)
Office expense	-	-	-
Contractual expense	638,483	627,046	11,437
	<u>693,131</u>	<u>701,064</u>	<u>(7,933)</u>
Total Expenditures			
<b>Excess of Revenues over Expenditures</b>	<u>\$ 31,704</u>	<u>\$ (5,961)</u>	<u>\$ (37,665)</u>

\*\* The original budgets are presented; there were no amendments to the original budgets.



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**Stacy D. Scroggins, CPA**

**INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE  
AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH  
GOVERNMENT AUDITING STANDARDS**

To the Board of Directors  
Southwest Arkansas Regional Solid Waste Management District  
Magnolia, Arkansas

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities and each major fund of Southwest Arkansas Regional Solid Waste Management District (the "District"), as of and for the year ended June 30, 2016, and the related notes to the financial statements, which collectively comprise the District's basic financial statements, and have issued our report thereon dated March 30, 2017.

**Internal Control over Financial Reporting**

In planning and performing our audit of the financial statements, we considered the District's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the District's internal control. Accordingly, we do not express an opinion on the effectiveness of the District's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or, significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

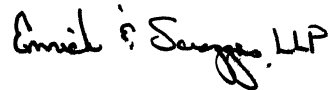
**Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the District's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

**Southwest Arkansas Regional Waste Management District  
Report on Internal Control over Financial Reporting and on Compliance (Continued)**

**Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.



Emrich & Scroggins, LLP  
El Dorado, Arkansas

March 30, 2017

# Appendix E

<b>County</b>	<b>Collection Systems</b>	<b>Frequency</b>	<b>Price</b>	<b>Jurisdiction</b>	<b>Material Disposition</b>
Dallas County	Self Pick up	weekly	1 cent sales tax	Whole County + Cities therein	WCA Landfill
Columbia County	Contractor (WCA)	weekly	1 cent sales tax	Whole County + Cities	WCA Landfill
Miller County	homeowner contractor choice	varies	billed directly	Whole County	New Boston
Ouachita County	Self Pick up	weekly	1 cent sales tax	Whole County	WCA Landfill
Union County	Self Pick up	weekly	1 cent sales tax	County + all cities except Smackover and El Dorado	WCA Landfill
Calhoun County	Self Pick up	weekly	1 cent sales tax	Whole County + Cities except Thornton	WCA Landfill
Texarkana	homeowner contractor choice	varies	billed directly	City of Texarkana	New Boston
Fouke	homeowner contractor choice	varies	billed directly	City of Fouke	New Boston
City of Camden	Self Pick up	weekly	1 cent sales tax	City of Camden	WCA Landfill
Smackover	Contractor (Get Rid of It)	weekly	1 cent sales tax	City of Smackover	WCA Landfill
City of El Dorado	Self Pick up	weekly	1 cent sales tax	City of El Dorado	WCA Landfill
City of East Camden	Self Pick up	weekly	1 cent sales tax	City of East Camden	WCA Landfill

# Appendix F

Waste Haulers Permitted

Company Name	Address	City, State, Zip	Phone Number	Contact	# of Trucks Permitted
Texas Newco	2708 W 7 <sup>th</sup>	Texarkana, TX 75501	903.809.9451	Jarrod Miller	2
Get Rid of It	313 Ready Rd	El Dorado, AR 71730	800.996.0012	Glenn Thweatt	14
Ouachita Co	145 Jefferson SW	Camden, AR 71701	870.837.2210	Robert McAdoo	4
City of Chidester	118 Willow St	Chidester, AR 71726	870.685.2906	Jeanette Ponder	1
Calhoun Co	PO Box 566	Hampton, AR 71744	870.798.4818	Floyd Nutt	3
Cooper Cleanup Services	PO Box 951	Magnolia, AR 71754	870.299.9152	Eaffron Cooper	1
City of El Dorado	PO Box 2170	El Dorado, AR 71731	870.862.7911	Frank Hash	10
City of Garland	P.O. Box 9	Garland, AR 71839	870.683.2289	Linda Dudley	1
City of Stephens	P.O. Box 6	Stephens, AR 71764	870.786.5404	Marlene Fulkroad	1
City of Camden	P.O. Box 278	Camden, AR 71711	870.836.6436	Amy Perrin	6
Union County	101 N. Washington, Rm 101	El Dorado, AR 71730	870.881.4040	Charles Conner	10
Highland Industrial Park	P.O. Box 3108	East Camden, AR 71711	870.574.6332	Horace Green	4
City of Calion	P.O. Box 406	Calion, AR 71724	870.748.2564	Karen Evans	1
Dallas County	206 W 3 <sup>rd</sup>	Fordyce, AR 71742	870.352.8832	James Luff	4
East Camden	P.O. Box 994	East Camden, AR 71711	870.833.2196	Angie McAdoo	2
Richardson Waste	P.O. Box 1978	Texarkana, AR 75504	870.779.1429	Sharon Richardson	22